

Lecture # 9

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Main points of # 8

- formulated the scattering problem for classical physics
- introduced cross-section

From now, we will use a system of units with $\hbar = 1$.

PLAN

(08)

- Scattering in quantum mechanics
- Møller operators and S-matrix
- S-matrix and evolution operator in interaction picture of QM
- Properties of S and Ω_{\pm} , isometric & unitary operators
- Energy conservation and S-matrix
- Scattering amplitude, definition

② Scattering in Quantum mechanics

①



incident particles.



scattering center

As previously, take $V(x) \rightarrow 0$ at $|\vec{x}| \rightarrow \infty$.

Evolution of the wave-packet very far from the scattering center is given by:

$$- \frac{\hbar}{i} \frac{\partial \psi}{\partial t} = H_0 \psi, \quad \text{with } H_0 = \frac{p^2}{2m}$$

$$(***) \quad \psi(t) \rightarrow U_0(t) |\psi_{in}\rangle, \quad U_0(t) = \exp\left[-\frac{i}{\hbar} H_0 t\right]$$

$t \rightarrow -\infty$

and, for $t \rightarrow +\infty$,

$$\psi(t) \rightarrow U_0(t) |\psi_{out}\rangle$$

$|\psi_{in}\rangle$ is an analogue of $\vec{x}_{in} + \vec{v}_{in} t$

and $|\psi_{out}\rangle$ is an analogue of $\vec{x}_{out} + \vec{v}_{out} t$
in classical mechanics

Problem of scattering theory: find $|\psi_{out}\rangle$ as a function of $|\psi_{in}\rangle$

The mathematical procedure:

(i) Find general solution of Schrödinger eq.

$$-\frac{\hbar}{i} \frac{\partial \psi}{\partial t} = H \psi; \quad H = \frac{p^2}{2m} + V(x)$$

(ii) Select solutions with time-asymptotics (***) , at $t \rightarrow -\infty$

(iii) Find their behaviour at $t \rightarrow +\infty$

This will give $|\psi_{out}\rangle$ as a function of $|\psi_{in}\rangle$

Remark: $|\psi(t)\rangle \xrightarrow[t \rightarrow \infty]{} |\psi\rangle$ means:

$$|\psi(t) - \psi|^2 \rightarrow 0 \text{ at } t \rightarrow \infty.$$

Let us find the (formal) solution of
the quantum scattering theory

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Solution of the Schrödinger equation is

$$|\psi(t)\rangle = e^{-\frac{i}{\hbar} H t} |\psi_0\rangle \equiv U(t) |\psi_0\rangle \quad (1)$$

↑
evolution operator

$$\text{when } t \rightarrow +\infty, \quad \psi(t) \rightarrow U_0(t) |\psi_{\text{out}}\rangle \quad (2)$$

$$\text{when } t \rightarrow -\infty, \quad \psi(t) \rightarrow U_0(t) |\psi_{\text{in}}\rangle$$

from (1) and (2)

$$\psi_0 = U^\dagger(t) \psi(t) = \lim_{t \rightarrow +\infty} U^\dagger(t) U_0(t) |\psi_{\text{out}}\rangle$$

Let us denote

$$\lim_{t \rightarrow +\infty} U^\dagger(t) U_0(t) = \Omega_- \Rightarrow$$

$$\psi_0 = \Omega_- |\psi_{\text{out}}\rangle$$

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from (1) and (3):

$$\begin{aligned}\psi_0 &= \hat{U}^+ \psi(t) = \lim_{t \rightarrow -\infty} \hat{U}^+(t) \hat{U}_0(t) |\psi_{in}\rangle = \\ &= \Omega_+ |\psi_{in}\rangle, \quad \text{where } \Omega_+ = \lim_{t \rightarrow -\infty} \hat{U}^+(t) \hat{U}_0(t)\end{aligned}$$

$$\begin{aligned}\text{Then, } |\psi_{out}\rangle &= \Omega_-^+ |\psi_0\rangle = \Omega_-^+ \Omega_+ |\psi_{in}\rangle \\ &= S |\psi_{in}\rangle\end{aligned}$$

S is called S (scattering)-matrix

$$\Omega_{\pm} = \lim_{t \rightarrow \mp\infty} \hat{U}^+(t) \hat{U}_0(t)$$

are called Møller operators.

S -matrix can be related to the evolution operator in the interaction (Dirac) representation of Quantum mechanics.

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Reminder: in Dirac representation of quantum mechanics the operators and states are both time-dependent,

$$O_I = e^{\frac{i}{\hbar} H_0 t} O_S e^{-\frac{i}{\hbar} H_0 t}$$

$$|\Psi_I\rangle = e^{\frac{i}{\hbar} H_0 t} e^{-\frac{i}{\hbar} H t} |\Psi_S\rangle,$$

where O_S and $|\Psi_S\rangle$ are operators and states in the Schrödinger picture

$$\left(\frac{dO_S}{dt} = 0; \quad -\frac{\hbar}{i} \frac{\partial \Psi_S}{\partial t} = H \Psi_S \right)$$

In our notations:

$$\lim_{t \rightarrow -\infty} \Psi_I = \Psi_{in}, \quad \lim_{t \rightarrow +\infty} \Psi_I = \Psi_{out},$$

$$\text{Since } e^{\frac{i}{\hbar} H_0 t} e^{-\frac{i}{\hbar} H t} |\Psi_S\rangle \xrightarrow{t \rightarrow -\infty} e^{\frac{i}{\hbar} H_0 t} e^{-\frac{i}{\hbar} H_0 t} |\Psi_{in}\rangle = \Psi_{in}.$$

The same is for Ψ_{out} .

The evolution operator in interaction picture:

$$\Psi_I(t_1) = \exp\left[\frac{i}{\hbar} H_0 t_1\right] \exp\left[-\frac{i}{\hbar} H t_1\right] |\Psi_S\rangle =$$

$$= e^{\frac{iH_0 t_1}{\hbar}} e^{-\frac{iH t_1}{\hbar}} e^{+\frac{iH t_2}{\hbar}} e^{-\frac{iH_0 t_2}{\hbar}} \Psi_I(t_2) =$$

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$S(t_1, t_2) \cdot \Psi_I(t_2)$, with

$$S(t_1, t_2) = \exp\left[\frac{iH_0 t_1}{\hbar}\right] \exp\left[-\frac{i}{\hbar} H(t_1 - t_2)\right] \exp\left[-\frac{iH_0 t_2}{\hbar}\right]$$

So, $S = \lim_{\substack{t_1 \rightarrow +\infty \\ t_2 \rightarrow -\infty}} S(t_1, t_2)$

So- S-matrix is a limit of the evolution operator in the interaction picture of QM.

Properties of S-matrix and of Moller operators

Questions: S and Ω_{\pm} are the limits

$t \rightarrow \pm\infty$ of unitary operators. Are they unitary? Reminder of definitions.

Definition #1: Operator U is called unitary if

(i) Domain of U, $\mathcal{D}(U) = \mathcal{H}$

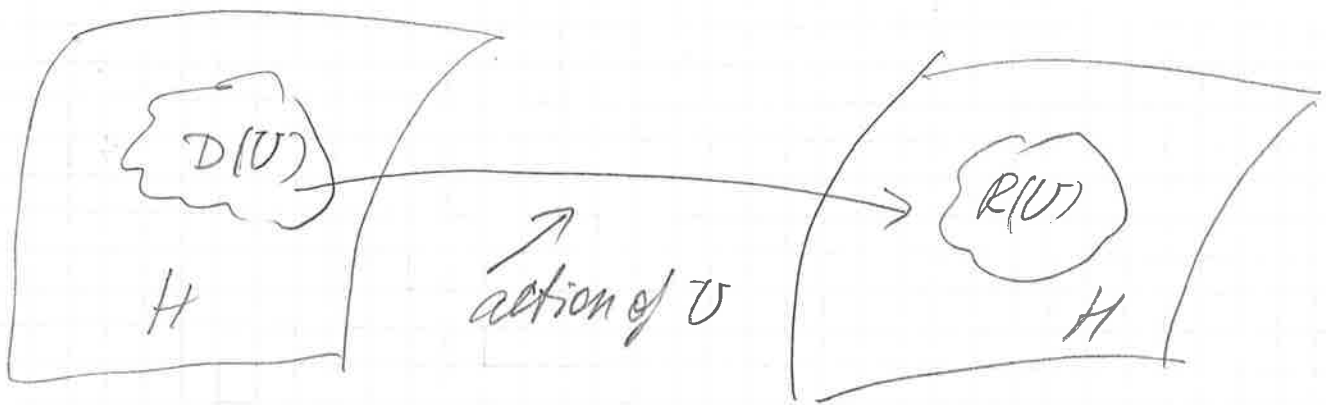
(\mathcal{H} is the whole Hilbert space)

Domain of operator: subspace of vectors on which U is defined

(ii) The range of U , $R(U) = H$

Range (image) of operator: the vectors

$$U|\psi\rangle, \text{ where } |\psi\rangle \in \mathcal{D}(U)$$



(iii) $\|U|\psi\rangle\| = \|\psi\rangle\|$, i.e. U does not change the norm of a vector.

Definition # 2. operator U is called isometric, if (i) and (iii) are valid, but (ii) is not necessarily true.

Example: take basis vectors in H , $|1\rangle, |2\rangle, \dots$ and define operator Ω as:

$$\Omega|1\rangle = |2\rangle; \Omega|n\rangle = |n+1\rangle$$

It is isometric but not unitary,
since $R(\Omega) \neq \mathcal{H}$.

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Answers to the questions

(i) S-matrix is unitary and well defined for the following class of potentials:

$$1) V(r) = O\left(\frac{1}{r^{3+\epsilon}}\right), \quad \epsilon > 0, \quad r \rightarrow \infty$$

This is needed to define rigorously in and out states. If 1) is not satisfied, the motion at $t \rightarrow \pm\infty$ is not free (cannot be described by H_0)

$$2) V(r) = \left(\frac{1}{r^{3/2-\epsilon}}\right), \quad r \rightarrow 0$$

This excludes "falling" to the center trajectories

$$3) V \text{ is a continuous function of } \vec{x}.$$

The proof can be found in the book by Taylor.
In what follows we will always assume that these conditions are satisfied.

(ii) Møller operators are isometric, if there are bound states, and are unitary, if there are no bound states.

To see this, let us prove some important relations with Møller operators:

$$H\Omega_{\pm} = \Omega_{\pm}H_0, \quad H\Omega_{\pm}^{\dagger} = \Omega_{\pm}^{\dagger}H_0,$$

$$H_0\Omega_{\pm}^{\dagger} = \Omega_{\pm}^{\dagger}H, \quad H_0\Omega_{\pm} = \Omega_{\pm}H$$

Formal proof:

$$e^{iH\tau/\hbar} \cdot \Omega_{+} = e^{iH\tau/\hbar} \lim_{t \rightarrow -\infty} e^{iHt/\hbar} e^{-iH_0t/\hbar}$$

$$= \lim_{t \rightarrow -\infty} e^{iH(t+\tau)/\hbar} e^{-iH_0t/\hbar} =$$

$$\lim_{t \rightarrow -\infty} \left(e^{iH(t+\tau)/\hbar} e^{-iH_0(t+\tau)/\hbar} \right) e^{+iH_0\tau/\hbar} =$$

$$= \Omega_{+} e^{iH_0\tau/\hbar}, \quad \text{i.e.}$$

$$e^{iH\tau/\hbar} \Omega_{+} = \Omega_{+} e^{+iH_0\tau/\hbar}$$

take derivative with respect to τ and put τ to zero:

$$H\Omega_{+} = \Omega_{+}H_0 \quad ; \quad H_0\Omega_{+}^{\dagger} = \Omega_{+}^{\dagger}H$$

exactly in the same way [change limit $t \rightarrow -\infty$ to $t \rightarrow +\infty$]:

$$H\Omega_- = \Omega_- H_0 ; \quad \Omega_-^\dagger H = H_0 \Omega_-^\dagger$$

This leads to:

$$\Omega_+^\dagger H \Omega_+ = H_0$$

$$\Omega_-^\dagger H \Omega_- = H_0$$

Note: ± as subscript: Ω_\pm
+ as superscript. sign of hermitean conjugation.

Let us consider eigen-vectors of H_0 :

$$H_0 |\vec{p}\rangle = \frac{\vec{p}^2}{2m} |\vec{p}\rangle \quad (\text{eigenstates of momentum})$$

$$\text{or } H_0 |\vec{p}\rangle = E_p |\vec{p}\rangle, \quad E_p = \frac{\vec{p}^2}{2m}$$

Now,

$$H\Omega_+ |\vec{p}\rangle = \Omega_+ H_0 |\vec{p}\rangle = E_p \Omega_+ |\vec{p}\rangle$$

So, $\Omega_+ |\vec{p}\rangle$ is an eigenvector of full Hamiltonian with eigenvalue E_p .

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Now we can see that Ω_+ and Ω_- cannot be unitary if there are bound states.

vectors $|\bar{p}\rangle$ span all Hilbert space.

$\Omega_+|\bar{p}\rangle$ are eigenstates of H with positive eigenvalues \Rightarrow bound states of H ,

$H\psi = E\psi$, $E < 0$ can never be obtained from $\Omega_+|\bar{p}\rangle$.

basis of $R(\Omega_+)$ is composed from $\Omega_+|\bar{p}\rangle \Rightarrow$
 \rightarrow
range

$H = R(\Omega_+) \oplus B$
 \nwarrow Hilbert space of bound states.

B and $R(\Omega_+)$ are orthogonal:

if $\psi_1 \in B$, $\psi_2 \in R(\Omega_+)$, then $\langle \psi_1 | \psi_2 \rangle = 0$

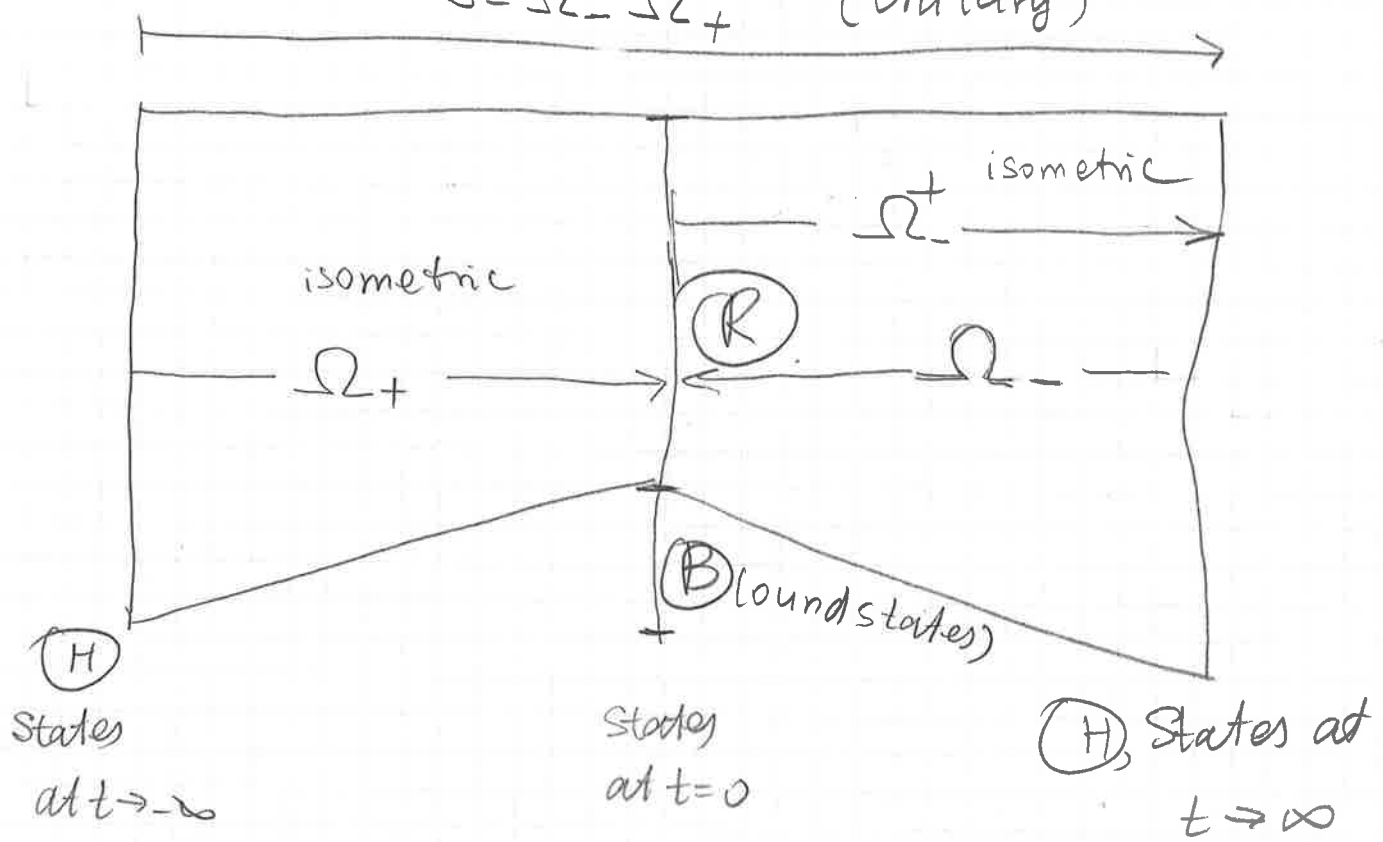
Simplest proof

$$\psi_1 = \sum c_n \psi_n \quad ; \quad H\psi_n = E_n \psi_n, \quad E_n < 0$$

$$\psi_2 = \sum B_n \tilde{\psi}_n \quad ; \quad H\tilde{\psi}_n = \tilde{E}_n \tilde{\psi}_n, \quad \tilde{E}_n > 0$$

$\langle \tilde{\psi}_n, \psi_m \rangle = 0$, as eigenvectors with different eigenvalues.

$$S = \Omega_-^\dagger \Omega_+ \quad (\text{unitary})$$



Overall picture: for the class of potentials for which S -matrix is unitary,

$$R(\Omega_+) = R(\Omega_-) = R$$

$\nwarrow \quad \nearrow$
 Range (image) of Ω_+ and Ω_-

$H = R \oplus B$, where B is the subspace corresponding to the bound states, and $R \perp B$.

Energy conservation and S-matrix

Let us prove an important result:

$[S, H_0] = 0$. Physically almost evident:

kinetic energy of in-particles ($t \rightarrow -\infty$) is the same as kinetic energy of out-particles, ($t \rightarrow +\infty$)

This is based on relations we found in page 7.

since $S = \Omega_-^\dagger \Omega_+$, we have

$$\begin{aligned}
[H_0, S] &= H_0 \Omega_-^\dagger \Omega_+ - \Omega_-^\dagger \Omega_+ H_0 = \\
&= \Omega_-^\dagger H \Omega_+ - \Omega_-^\dagger \Omega_+ H_0 = \\
&= \Omega_-^\dagger \Omega_+ H_0 - \Omega_-^\dagger \Omega_+ H_0 = 0
\end{aligned}$$

QED: $[H_0, S] = 0$

S-matrix and the scattering amplitude

Since S commutes with H_0 , H_0 and S have a common set of eigenvectors, we take them to be $|\vec{p}\rangle$:

$$\hat{p} |\vec{p}\rangle = \vec{p} |\vec{p}\rangle; \text{ in } x\text{-representation}$$

$$\langle \vec{x} | \vec{p} \rangle = \frac{1}{(2\pi\hbar)^{3/2}} e^{i\vec{p}\vec{x}/\hbar}$$

In momentum representation,

$$\langle \vec{p}' | S | \vec{p} \rangle, \text{ we can relate}$$

$|\psi_{\text{out}}\rangle$ and $|\psi_{\text{in}}\rangle$:

$$\psi_{\text{out}}(\vec{p}') = \int \langle \vec{p}' | S | \vec{p} \rangle \psi_{\text{in}}(\vec{p}) d^3\vec{p}$$

Physical interpretation of $\langle \vec{p}' | S | \vec{p} \rangle$:

amplitude of probability to get a state with momentum \vec{p}' out of the state with momentum \vec{p} .

To introduce "scattering" amplitude, consider

$$D = \langle p' | [H_0, S] | p \rangle = (E_{p'} - E_p) \langle p' | S | p \rangle$$

Therefore,

$$\langle p' | S | p \rangle = \delta(E_{p'} - E_p) \times \text{some function of momentum } p.$$

If there is no interaction, $S = 1$.

So, let's introduce

$$S = 1 + R, \quad [R, H_0] = 0$$

$$\text{So, } \langle p' | S | p \rangle = \delta^3(\vec{p} - \vec{p}') -$$

$$- 2\pi i \delta(E_p - E_{p'}) f(\vec{p}' \leftarrow \vec{p}) = *$$

The first term, $\sim \delta^3(\vec{p} - \vec{p}')$ is the amplitude to pass the center without scattering.

$$* = \delta^3(\vec{p} - \vec{p}') + \frac{i}{2\pi m} \delta(E_p - E_{p'}) f(\vec{p}' \leftarrow p)$$

f is called scattering amplitude

t and f are related to each other as

$$f(\vec{p}' \leftarrow \vec{p}) = -(2\pi)^2 m t(\vec{p}' \leftarrow \vec{p})$$

T is the matrix element of T -matrix, which will be introduced later.

Relation of f and physics (i.e. cross-section) :

$$\frac{d\sigma}{d\Omega} = |f(\vec{p}' \leftarrow \vec{p})|^2$$

Differential cross-section is simply the modulus of the scattering amplitude!

This will be proven in the next lecture.