

# **Frontiers in Chemical Synthesis III**

## **Stereochemistry**

### **Seminar Program**

#### **April 14-15, 2016, BCH4310/5310**

	<b>Speaker</b>	<b>Title</b>
<b>April 14, 2016, BCH 4310: Session I</b> Chair: Nicolas Gaeng		
13h30-14h30	Yang Sun	<i>Ruthenium Catalyzed Asymmetric Transfer Hydrogenation</i>
14h30-15h30	Franck Le Vaillant	<i>Towards a More Sustainable Asymmetric Catalysis with Iron-based Complexes: Progress since 2011</i>
15h30-16h30	Raphael Beltran	<i>Major Developments in Rh-catalyzed Asymmetric 1,4-Addition of Boron Species to Enones</i>
16h30-17h30	Cyril Piemontesi	<i>Asymmetric Pictet-Spengler Reactions</i>
<b>April 15, 2016, BCH 5310: Session II</b> Chair: Franck Le Vaillant		
8h30-9h30	Nicolas Gaeng	<i>Chiral Sulfoxides as Ligands in Asymmetric Catalysis</i>
9h30-10h30	Marta Falcone	<i>Chiral Heterobimetallic Lanthanoid Complexes in Asymmetric Catalysis</i>
10h30-11h30	Lionel Schouwey	<i>Catalyst Design: from Proline to more Efficient and Enantioselective Derivatives</i>

# Ruthenium-Catalyzed Transfer Hydrogenation

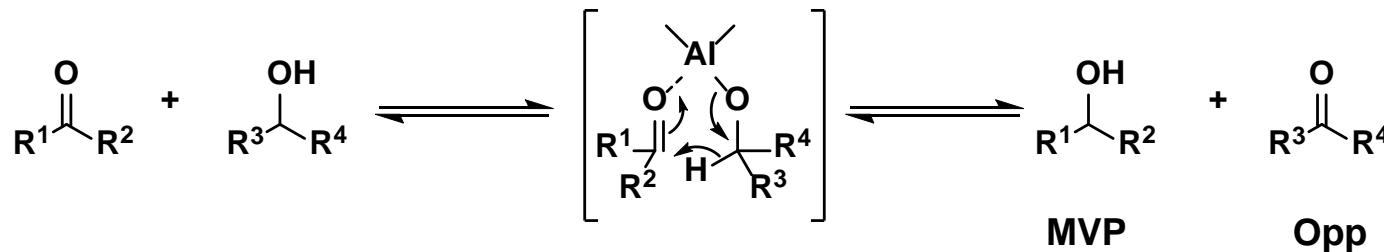
Frontiers in Chemical Synthesis: Stereochemistry

**Yang Sun**

Lausanne, 14<sup>th</sup> April 2016

- Introduction
- Noyori's ligand for ATH
- The development of Noyori's ligand
- ATH in water and ionic liquid

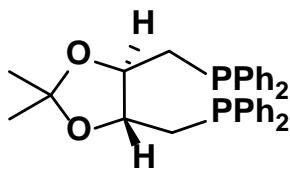
## Meerwein-Ponndorff-Verley reduction &amp; Oppenauer oxidation



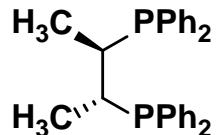
H. Meerwein, R. Schmidt, *Liebigs. Ann. Chem.* **1925**, 444, 221;  
A. Verley, *Bull. Soc. Chim. Fr.* **1925**, 37, 537;  
W. Ponndorff, *Angew. Chem.* **1926**, 39, 138;  
R. V. Oppenauer, *Rec. Trav. Chim.* **1937**, 56, 137.

## The early stage Ruthenium-catalyzed asymmetric version of transfer hydrogenation

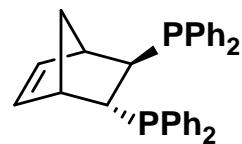
- Examples of phosphorus ligands



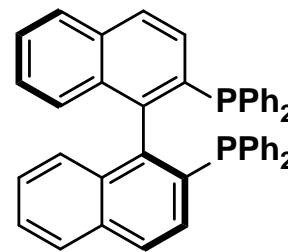
(R,R)-(-)-DIOP



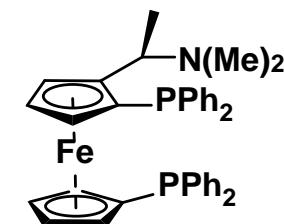
(R,R)-(+)-CHIRAPHOS



(R,R)-(-)-NORPHOS



(S)-(-)-BINAP

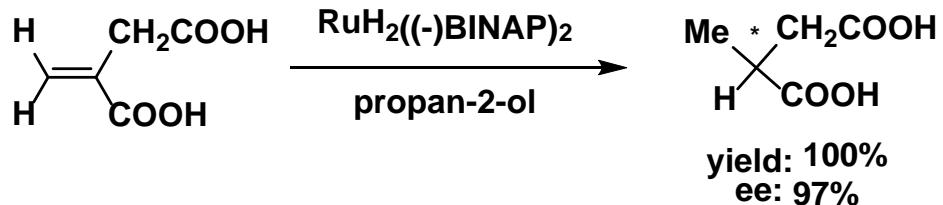


(R,S)-(-)-BPPFA

G. Yassinovich, G. Mestroni, S. Gladiali, *Chem. Rev.* **1992**, 92, 1051.

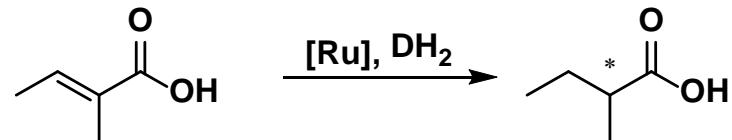
## The early stage Ruthenium-catalyzed asymmetric version of transfer hydrogenation

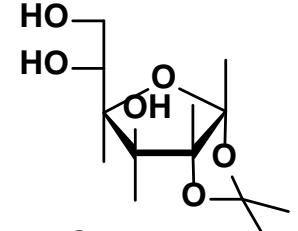
- The achievements of TH with phosphorus ligands



G. Yassinovich, G. Mestroni, S. Gladiali, *Chem. Rev.* **1992**, 92, 1051.

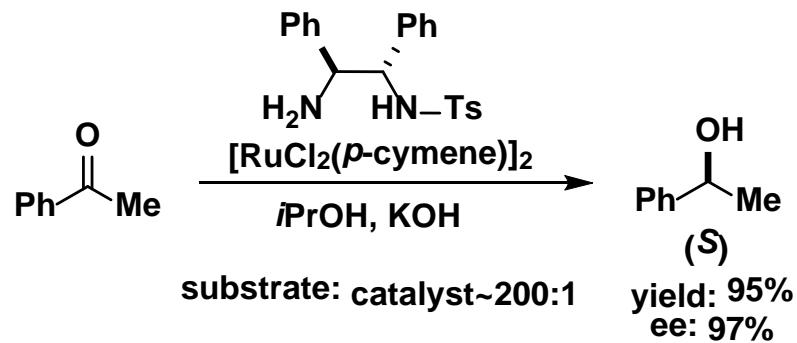
- The influence of other chiral sources (Hydrogen donors)



DH <sub>2</sub>	cat.	ee(%)
	[RuCl <sub>2</sub> (PPh <sub>3</sub> ) <sub>3</sub> ]	8.9 ( <i>R</i> )
	[Ru <sub>2</sub> Cl <sub>4</sub> ((-) -DIOP) <sub>3</sub> ]	22.5 ( <i>R</i> )
	[Ru <sub>2</sub> Cl <sub>4</sub> ((+) -DIOP) <sub>3</sub> ]	3.9 ( <i>S</i> )
	[RuCl <sub>2</sub> (PPh <sub>3</sub> ) <sub>3</sub> ]	6.7 ( <i>R</i> )
	[Ru <sub>2</sub> Cl <sub>4</sub> ((-) -DIOP) <sub>3</sub> ]	12.9 ( <i>R</i> )
	[Ru <sub>2</sub> Cl <sub>4</sub> ((+) -DIOP) <sub>3</sub> ]	6.4 ( <i>R</i> )

G. Descotes, D. Sinou, *Tetrahedron Lett.* **1976**, 4083.

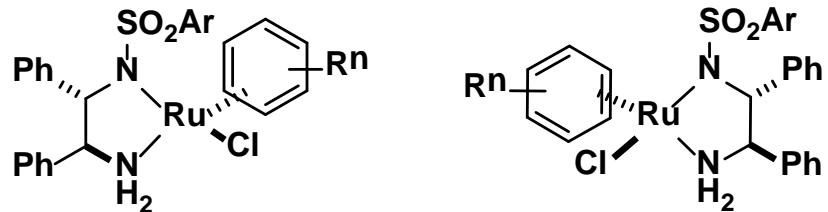
- Examples



S. Hashiguchi, A. Fujii, J. Takehara, T. Ikariya, R. Noyori, *J. Am. Chem. Soc.* **1995**, *117*, 7562.

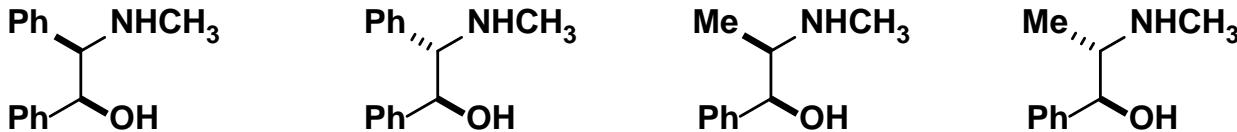
- Origin of stereoselectivity

### diamine ligands



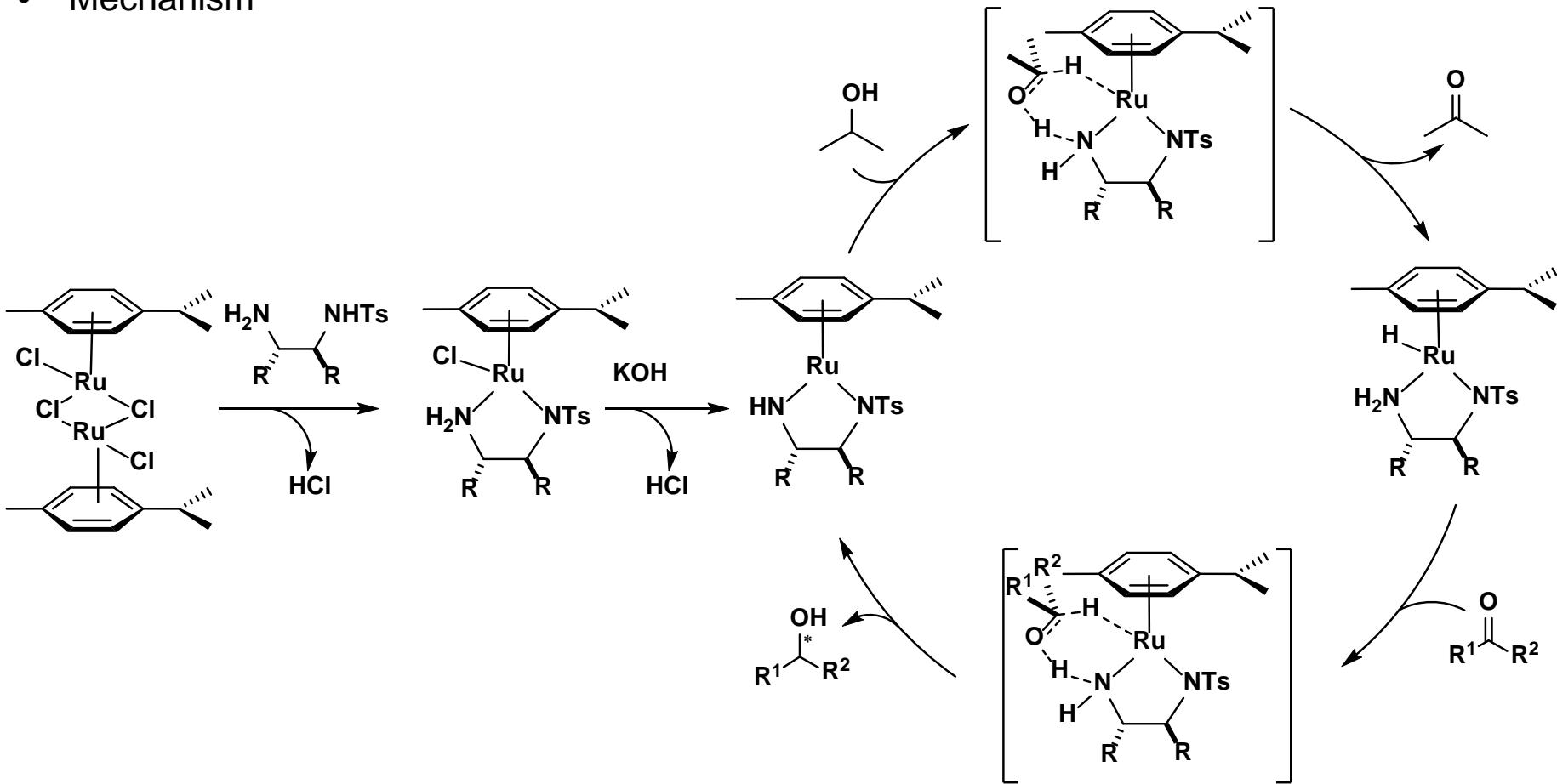
Ar= 4-CH<sub>3</sub>C<sub>6</sub>H<sub>4</sub>, 2,4,6-(CH<sub>3</sub>)<sub>3</sub>C<sub>6</sub>H<sub>2</sub>  
 $\eta^6$ -arene= mesitylene, *p*-cymene, benzene

### amino alcohol ligands



R. Noyori, S. Hashiguchi, *Acc. Chem. Res.* **1997**, *30*, 97.

- Mechanism

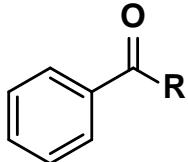


- Hydrogen donor

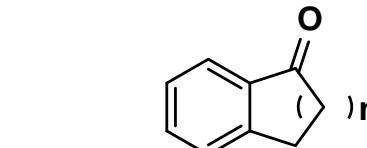
Isopropanol or formic acid/trimethylamine  
corresponding product: acetone/ CO<sub>2</sub>

S. Gladiali, E. Alberico, Chem. Soc. Rev., 2006, 35, 226.

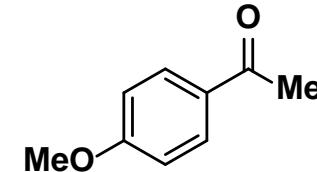
- Scope
- Mainly aryl-alkyl ketones (alkyl-alkynyl ketones)



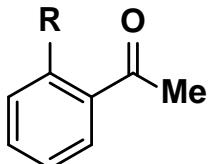
R= Me >99% yield, 98% ee  
 R= Et 96% yield, 97% ee  
 R= iPr 41% yield, 83% ee  
 R= tBu <1% yield



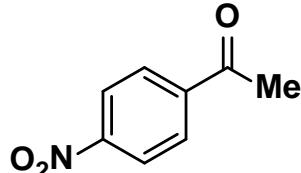
n= 1  
*i*PrOH: 45% yield, 91% ee  
 HCOOH/NEt<sub>3</sub>: >99% yield, 99% ee  
  
 n= 2  
*i*PrOH: 65% yield, 97% ee  
 HCOOH/NEt<sub>3</sub>: >99% yield, 99% ee



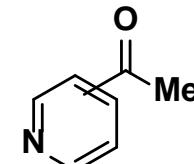
*i*PrOH: 53% yield, 72% ee  
 HCOOH/NEt<sub>3</sub>: >99% yield, 97% ee



R= Me 53% yield, 91% ee  
 R= OMe 24% yield, 89% ee



100% yield, 86% ee



2-acetylpyridine: 99% yield, 91% ee  
 3-acetylpyridine: 99% yield, 89% ee  
 4-acetylpyridine: 99% yield, 92% ee

- Alkyl group: Not sterically bulky
- Aryl group: High oxidation potential preferred

*o*-Substituted difficult

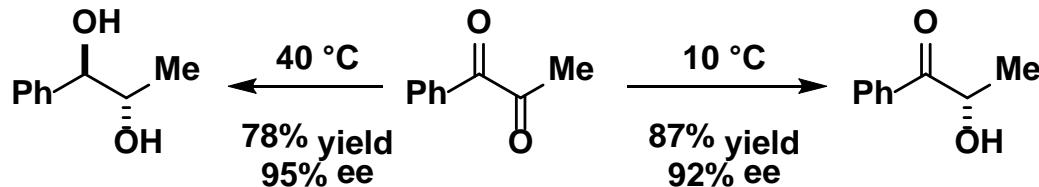
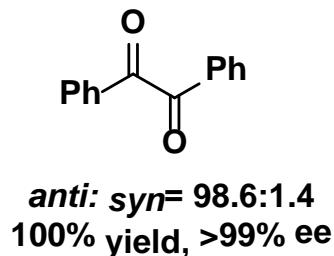
Electron withdrawing groups erode stereoselectivity

Heteroaromatic groups tolerated

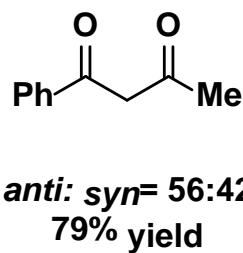
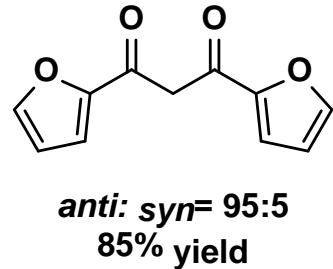
R. Noyori, S. Hashiguchi, *Acc. Chem. Res.* **1997**, *30*, 97.  
 K. Okano, K. Murata, T. Ikariya, *Tetrahedron Lett.* **2000**, *41*, 9277.

- Diketones and  $\beta$ -keto esters

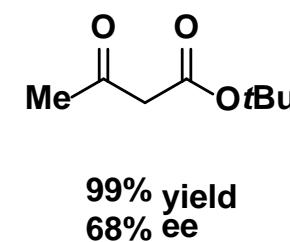
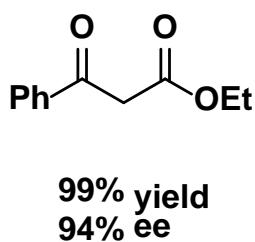
## 1,2-diketone



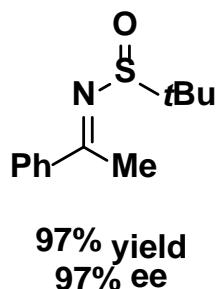
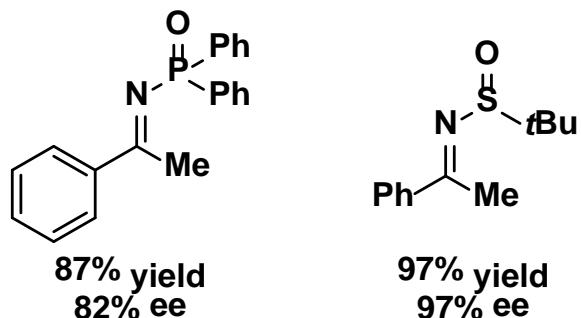
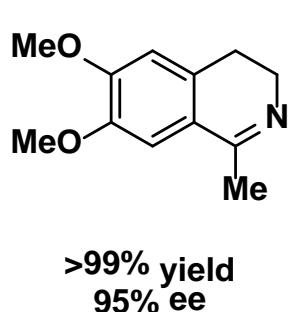
## 1,3-diketone



## $\beta$ -keto ester mono reduction



- Imines



R. Noyori *et al.*, *Org. Lett.* **1999**, *1*, 1119;  
 T. Ikariya *et al.*, *Org. Lett.* **2000**, *2*, 3833;

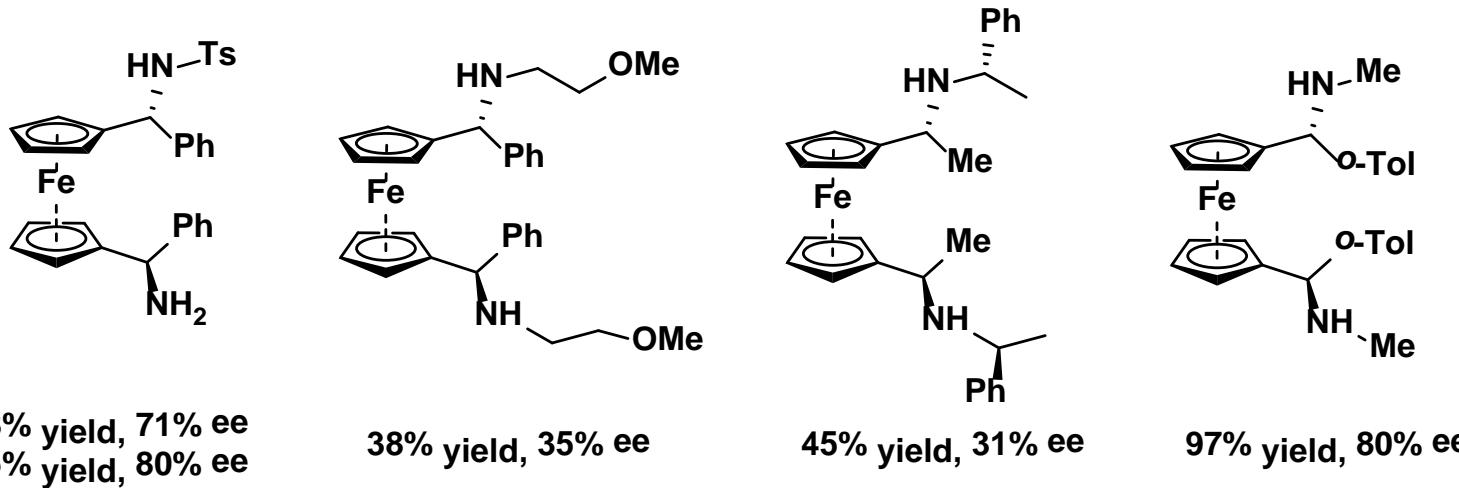
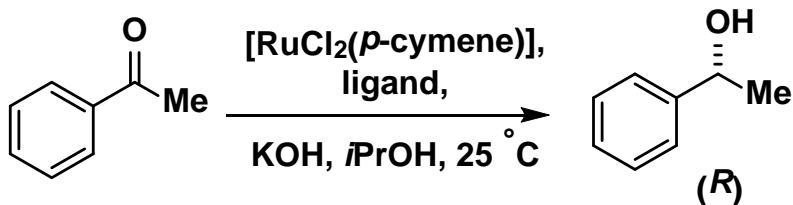
P. I. Dalko *et al.*, *Tetrahedron Lett.* **2001**, *42*, 5005;

R. Noyori *et al.*, *J. Am. Chem. Soc.* **1996**, *118*, 4916;

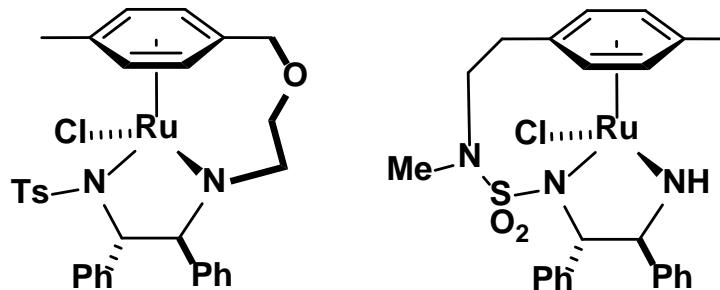
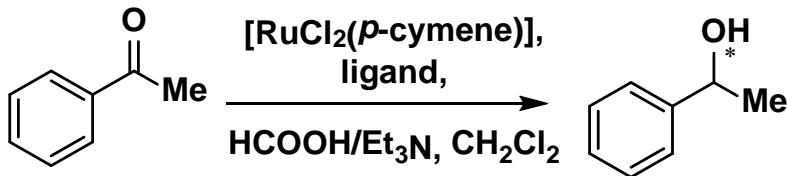
M. Yus *et al.*, *Chem. Eur. J.* **2012**, *18*, 1969;

M. Yus *et al.*, *Appl. Sci.* **2012**, *2*, 1;

F. Foubelo *et al.*, *Chem. Rec.* **2015**, *15*, 907.

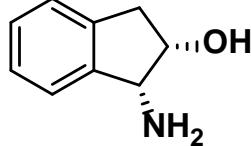


L. Schwink *et al.*, *Tetrahedron: Asymmetry* **1998**, 9, 1143.

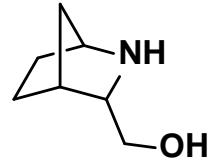


99% yield, 99% ee

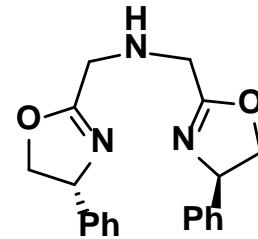
100% yield, 99.9% ee



70% yield, 91% ee



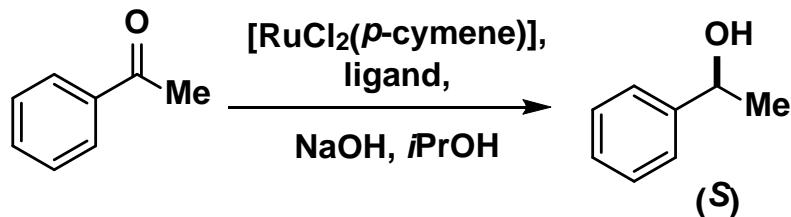
92% yield, 95% ee



81% yield, 98% ee

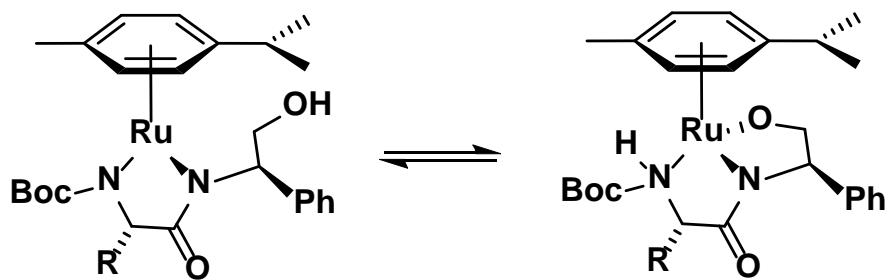
M. Wills *et al.*, *Catal. Sci. Technol.*, **2012**, *2*, 406;  
 X. Zhang *et al.*, *J. Am. Chem. Soc.* **1998**, *120*, 3817.

- Boc group & free hydroxyl group crucial



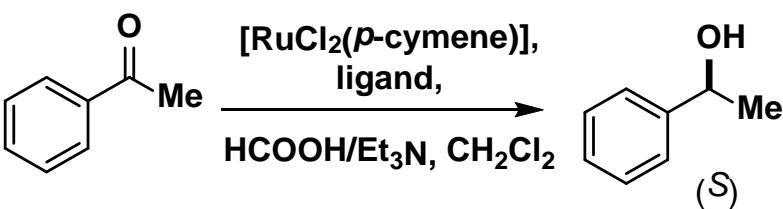
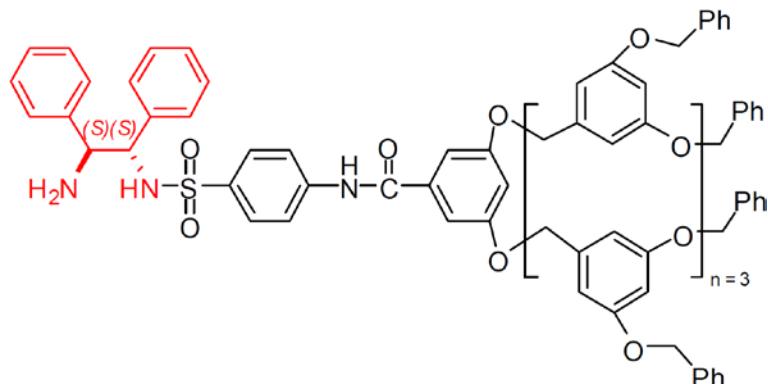
95% yield, 93% ee

91% yield, 94% ee

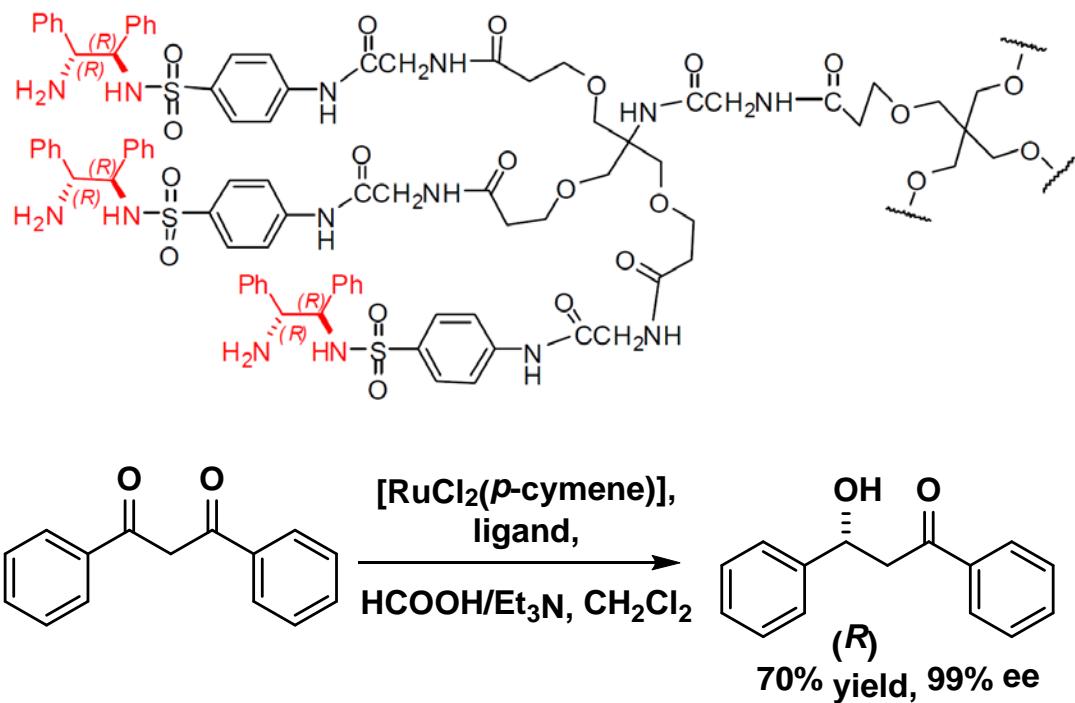


H. Adolfsson *et al.*, *Chem. Eur. J.* **2003**, 9, 4031;  
 H. Adolfsson *et al.*, *Chem. Eur. J.* **2004**, 10, 294.

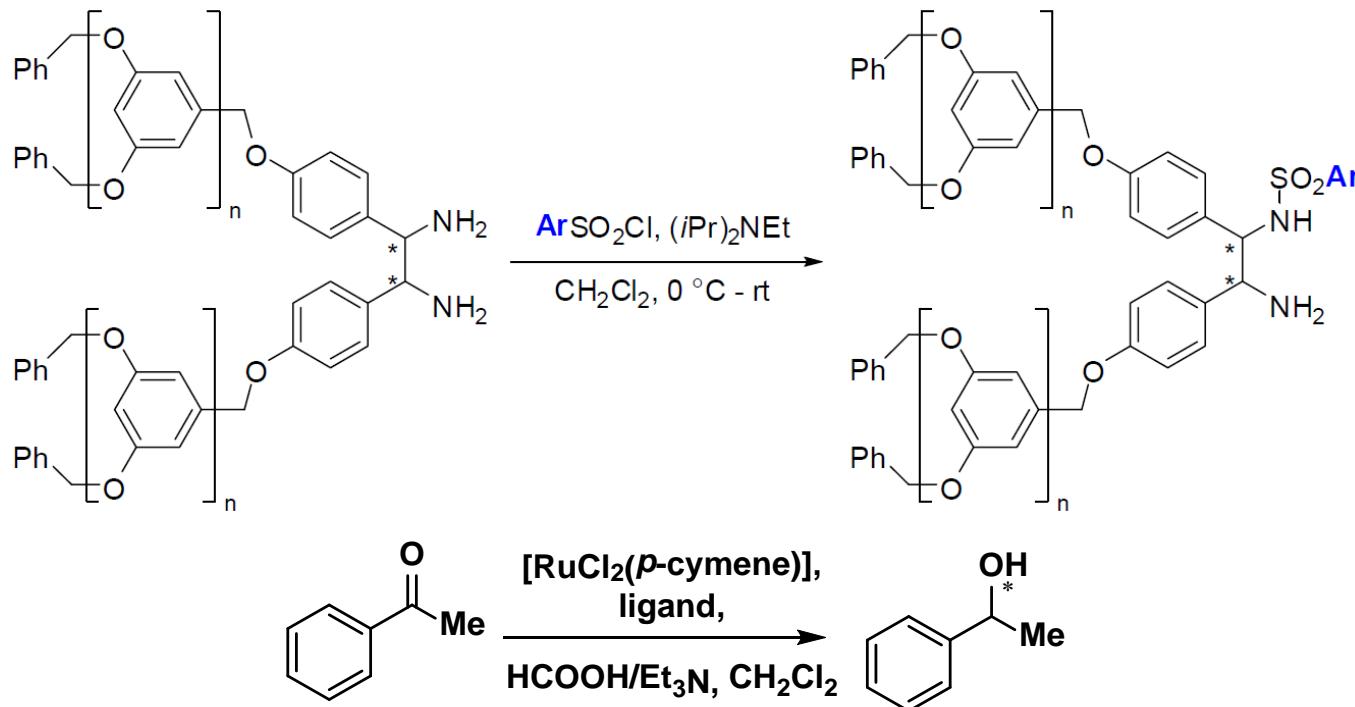
- Immobilization on supporting apparatus can allow for recovery
- For ATH, two classes of dendrimers initially tested



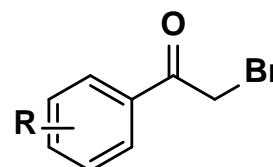
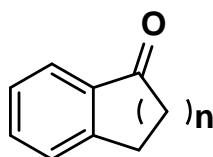
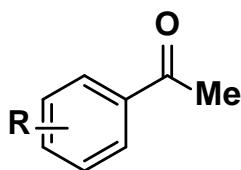
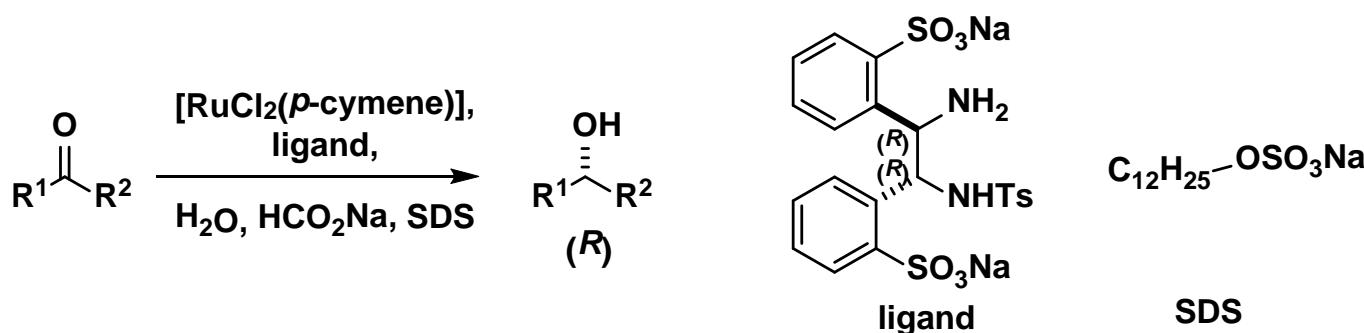
Run #	t (h)	Conversion (%)	ee (%)
1	20	98	96.5
2	20	92	96.6
3	25	87	96.8
4	30	85	96.7
5	40	73	96.3
6	40	52	87



A. S. C. Chan *et al.*, *Chem Commun.* **2001**, 1488;  
 A. S. C. Chan *et al.*, *J. Org. Chem.* **2002**, 67, 5301.

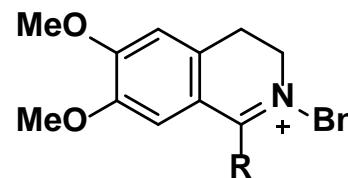
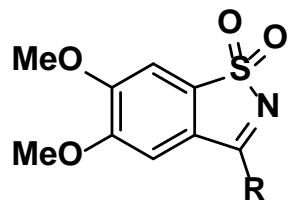
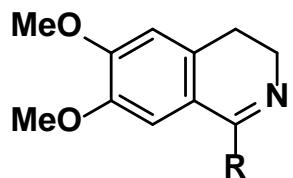
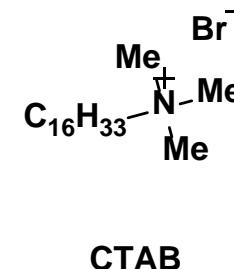
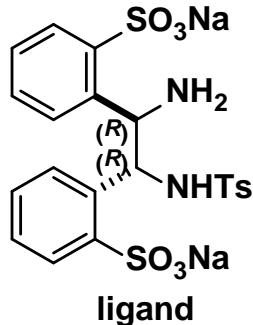
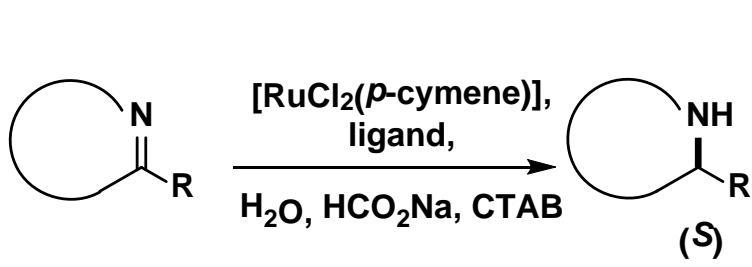


$n$	Ar	Configuration	time (h)	Conversion (%)	ee (%)	Configuration
0	$4-\text{CH}_3\text{C}_6\text{H}_4$	(R,R)	20	95	96.8	R
1	$4-\text{CH}_3\text{C}_6\text{H}_4$	(R,R)	20	>99	96.6	R
2	$4-\text{CH}_3\text{C}_6\text{H}_4$	(R,R)	20	97.1	96.1	R
					(95.4, 90.2, 83.7, 71.2) (97.5, 97.2, 97.5, 97.0)	
3	$4-\text{CH}_3\text{C}_6\text{H}_4$	(R,R)	20	75	94.6	R
2	$2,4,6-\text{Et}_3\text{C}_6\text{H}_2$	(S,S)	20	93.0	91.7	S
2	$2,4,6-i\text{Pr}_3\text{C}_6\text{H}_2$	(S,S)	20	91.7	92.8	S
2	1-naphthyl	(S,S)	20	>99	96.3	S



R	yield	ee	n	yield	ee	R	yield	ee
p-Me	94%	94%	1	66%	83%	H	87%	94%
p-F	88%	92%	2	21%	98%	NO <sub>2</sub>	58%	84%

Recyclability possible: retention of stereoselectivity, loss of conversion (99% → 75%)



R	yield	ee
Me	97%	95%
Et	68%	92%
iPr	90%	90%

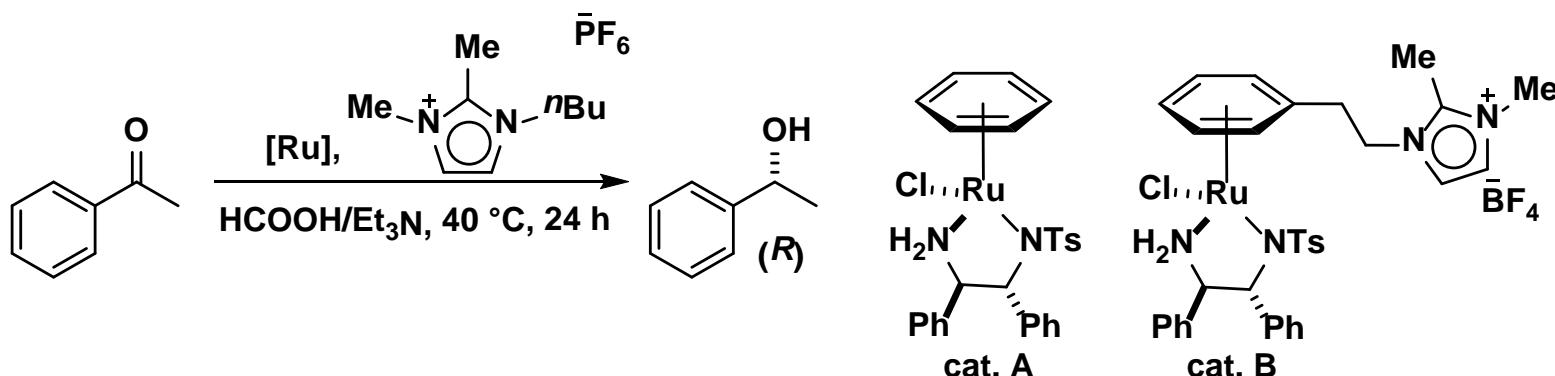
R	yield	ee
Me	97%	65%
tBu	95%	94%
	97%	94%
	95%	94%
	96%	94%
	85%	94%

Recycle  
experiment

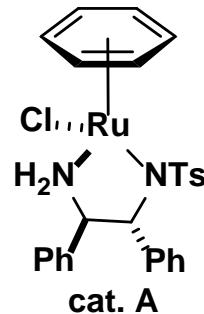
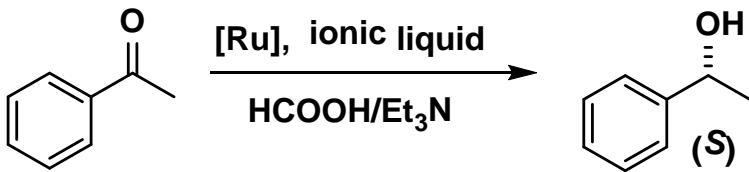
R	yield	ee
Me	85%	90%
Ph	94%	95%

Acyclic imines unsuccessful

J. Deng *et al.*, *Chem. Commun.* **2006**, 1766.



Cycle #	Catalyst A (% yield, % ee)	Catalyst B (% yield, % ee)	Cycle #	R =	Conversion (%)	ee (%)
	>99%, 99%	>99%, 99%	1	o-Me	72%	97%
1	>99%, 99%	>99%, 99%	2	p-Cl	99%	95%
2	>99%, 99%	>99%, 99%	3	H	99%	99%
3	>99%, 99%	80%, 99%	4	H	98%	99%
4	99%, 99%	45%, 99%	-----			
5	96%, 99%		1	Acetophenone	99%	97%
			2	Tetralone	99%	97%
			3	Benzaldehyde	90%	N/A

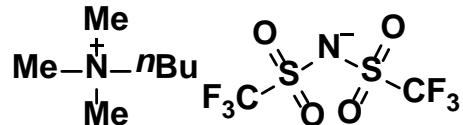
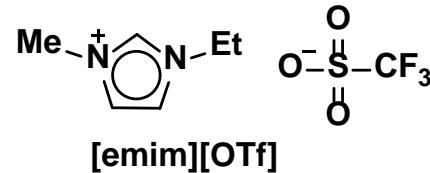
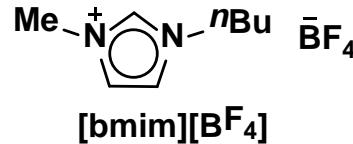


Ionic Liquid	Cycle #	Time (h)	Conversion (%)	ee (%)
[bmim][BF <sub>4</sub> ]		40	<1	-
[bmim][MeSO <sub>4</sub> ]		48	19	85
[emim][OTf]		24	0	-
[bmim][PF <sub>6</sub> ]	1	31	97	96
	2	50	92	95
	3	95	46	89
[bmim][NTf <sub>2</sub> ]	1	27	98	96
	2	21	58	96
[tmba][NTf <sub>2</sub> ]	1	26	98	97
	2	41	99	97
	3	94	99	97
	4	50	56	96



Hydrophilic ILs inhibit reaction.

Hydrophobic ILs slow reaction, but good ee.



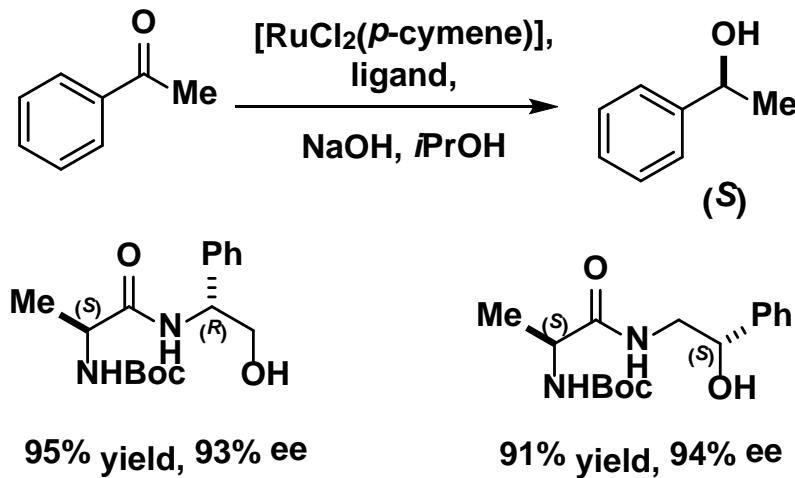
### Conclusion

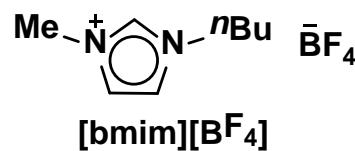
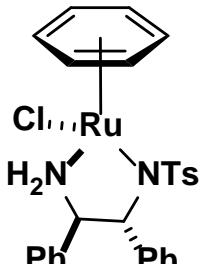
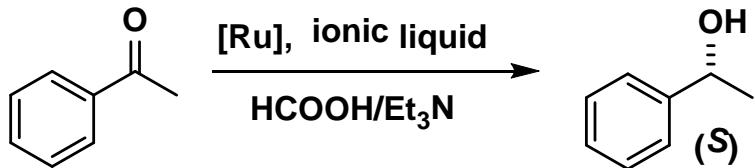
- Ruthenium-catalyzed ATH is very an efficient methodology
- Role of solvent in transition state may be significant
- Various ligands are suitable for ATH, such diamines, amino alcohol
- Catalyst can be recovered and reused
- ATH can be run in water or ionic liquid

### Future directions:

- Increase substrate: catalyst ratio
- Expand scope
- Improve recoverability further

1) How to explain the relationship between the configurations of the ligand and the configuration of the product?

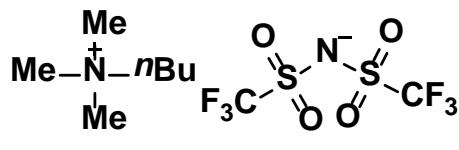
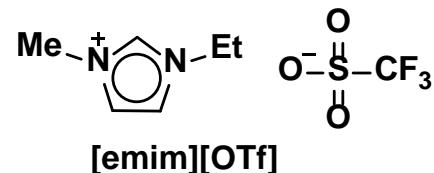




Ionic Liquid	Cycle #	Time (h)	Conversion (%)	ee (%)
--------------	---------	----------	----------------	--------

[bmim][BF <sub>4</sub> ]		40	<1	-
[bmim][MeSO <sub>4</sub> ]		48	19	85
[emim][OTf]		24	0	-
[bmim][PF <sub>6</sub> ]	1	31	97	96
	2	50	92	95
	3	95	46	89
[bmim][NTf <sub>2</sub> ]	1	27	98	96
	2	21	58	96
[tmba][NTf <sub>2</sub> ]	1	26	98	97
	2	41	99	97
	3	94	99	97
	4	50	56	96

Hydrophilic Ionic Liquids



Hydrophilic ILs inhibit reaction.  
 Hydrophobic ILs slow reaction,  
 but good ee.  
 Why?



Thanks for your kind attention !

# Towards a more sustainable asymmetric catalysis using Iron-based complexes: *Progress since 2011 in hydrogenation and heteroatoms transfer*

**Franck Le Vaillant**

**Laboratory of Catalysis and Organic Synthesis (LCSO)**

*2<sup>nd</sup> Year PhD Student, Prof. J. Waser Group*

Frontiers in Organic Chemistry, EPFL, April 14<sup>th</sup> 2016

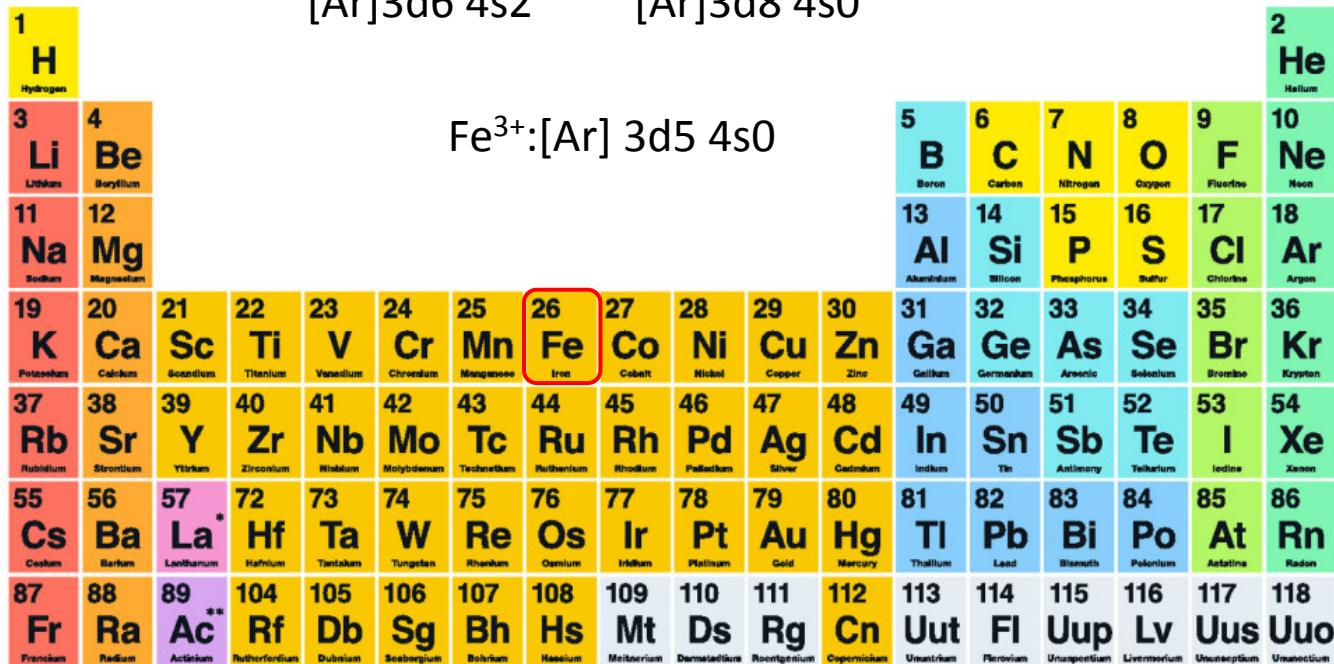
# Table of contents

- 1. Introduction
- 2. State of the art before 2011
- 3. Reduction of ketones and imines
- 4. Reactivity towards alkenes
- 5. Heteroatoms transfers using hypervalent iodine reagents
- 6. Achiral iron complexes
- 8. Conclusion and perspectives

# 1. Introduction

[Ar]3d6 4s2

[Ar]3d8 4s0



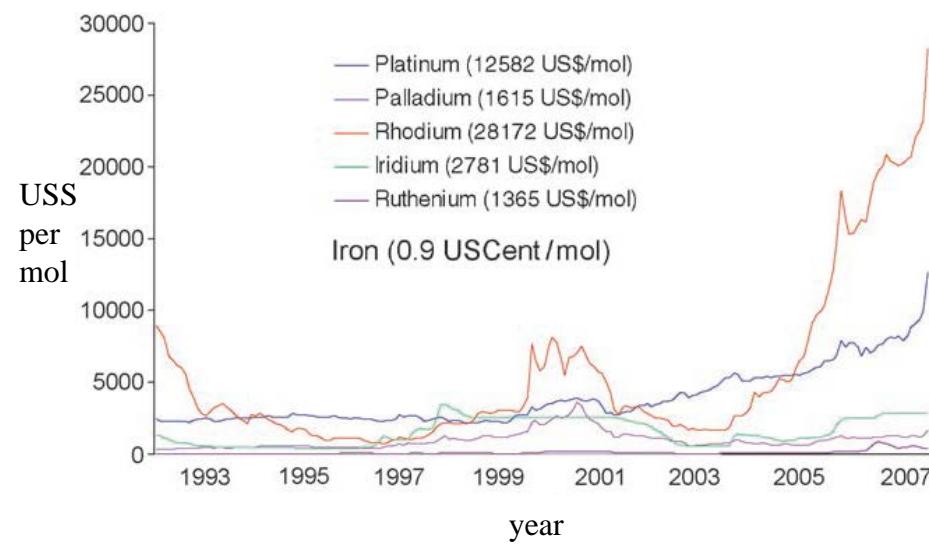
<b>* 58 Ce</b>	<b>59 Pr</b>	<b>60 Nd</b>	<b>61 Pm</b>	<b>62 Sm</b>	<b>63 Eu</b>	<b>64 Gd</b>	<b>65 Tb</b>	<b>66 Dy</b>	<b>67 Ho</b>	<b>68 Er</b>	<b>69 Tm</b>	<b>70 Yb</b>	<b>71 Lu</b>
Cerium	Praseodymium	Neuropodium	Protactinium	Samarium	Europium	Gadolinium	Terbium	Dysprosium	Holmium	Erbium	Thulium	Ytterbium	Lutetium

**Common oxidation state: -II, -I, 0, +II, +III, +VI**

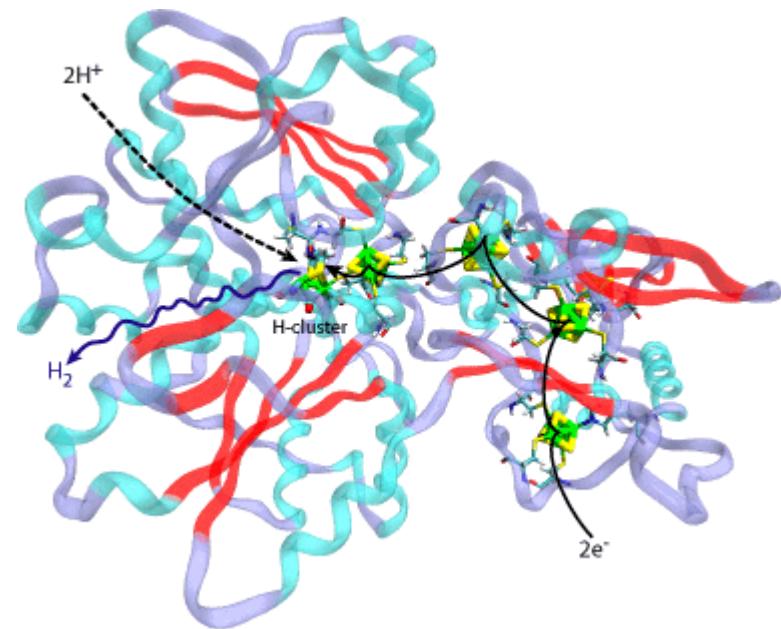
**Often in octahedral structure in complexes**

# 1. Introduction

## Market prices of transition metals



## Biological iron-based catalysts



**IRON = MOST ABUNDANT METAL  
ON EARTH = CHEAP**

(~4.7 wt % of Earth's crust)

**IRON = LOW TOXICITY =  
BENIGN FOR HUMANS**

- 1) M. Beller *et al. Angew. Chem. Int. Ed.* **2008**, *47*, 3317
- 2) Kovuru Gopalaiah, *Chem. Rev.* **2013**, *113*, 3248–3296

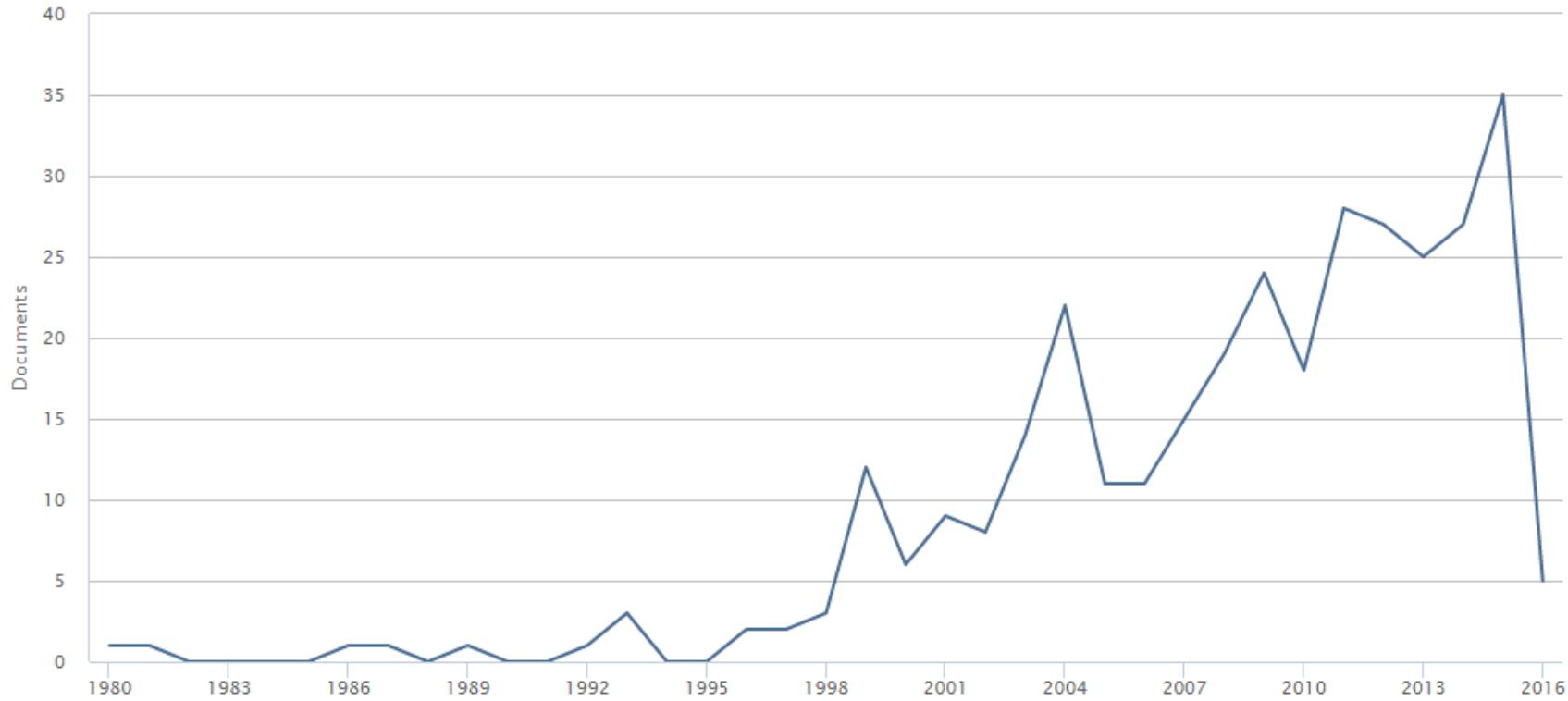
# 1. Introduction

Asymmetric iron catalysis : 332 results

1980 – 2010 : 182 results

2011 – 2016 : 150 results

## Documents by year

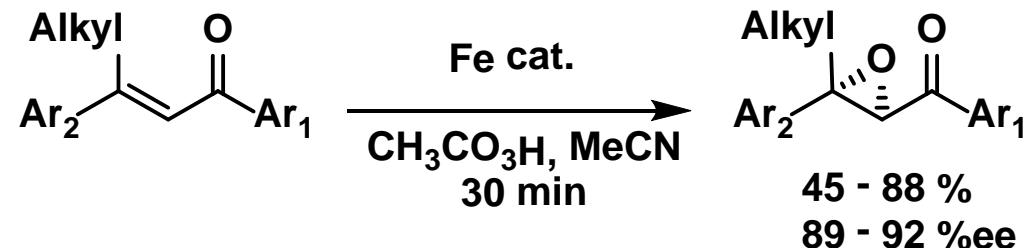


Goal of the talk: Introduction to asymmetric iron catalysis and why it is more and more relevant nowadays

# QUESTIONS

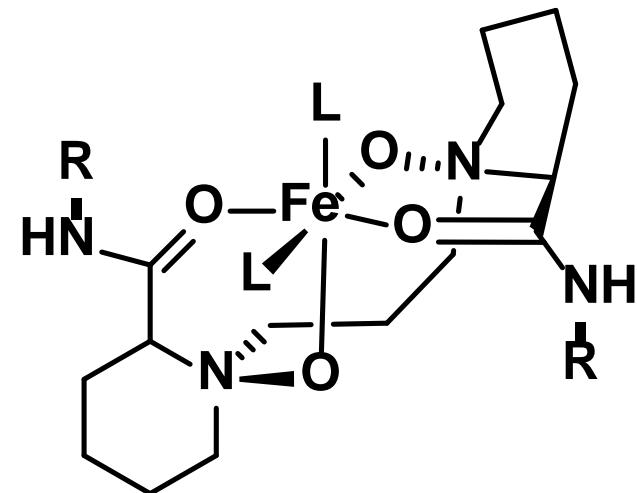
## QUESTION 1

Explain why the epoxidation of  $\beta$ - $\beta$  disubstituted olefins is challenging, and why the method described is efficient.



## QUESTION 2

In the haloamination of alkenes, try to draw the transition state using the geometry of this ligand to explain the *anti* selectivity of the product.

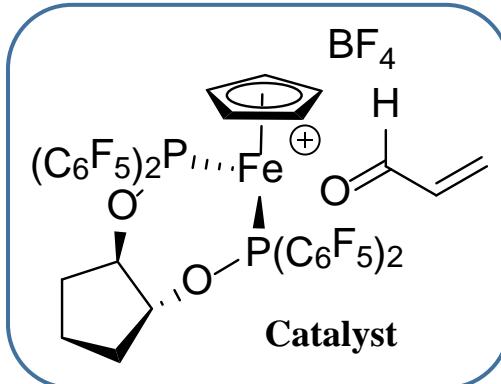
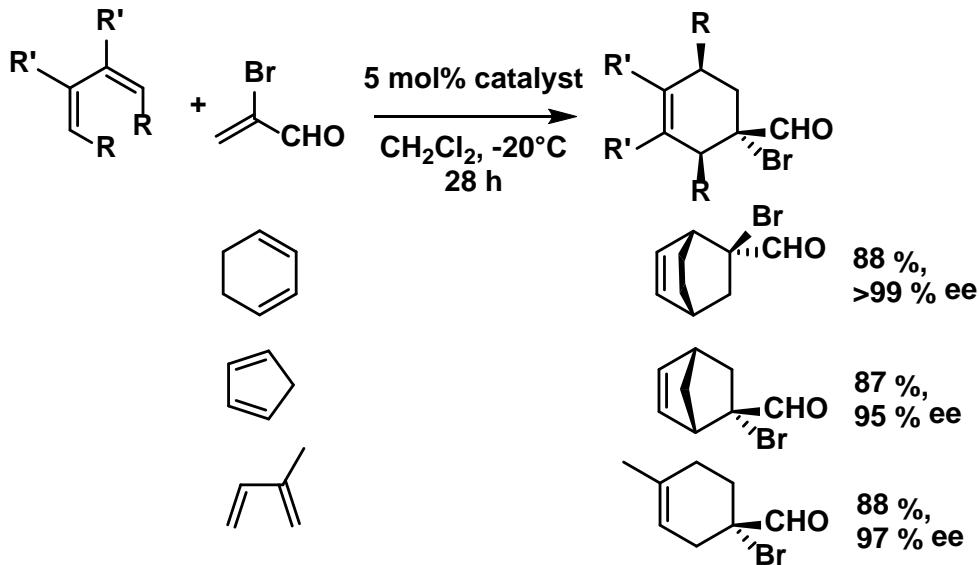


## 2. State of the art before 2011

## 2.a. Asymmetric Cycloaddition

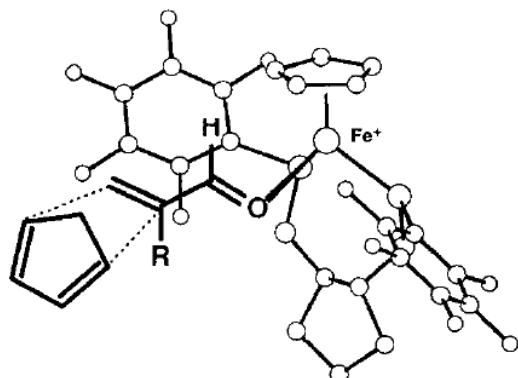
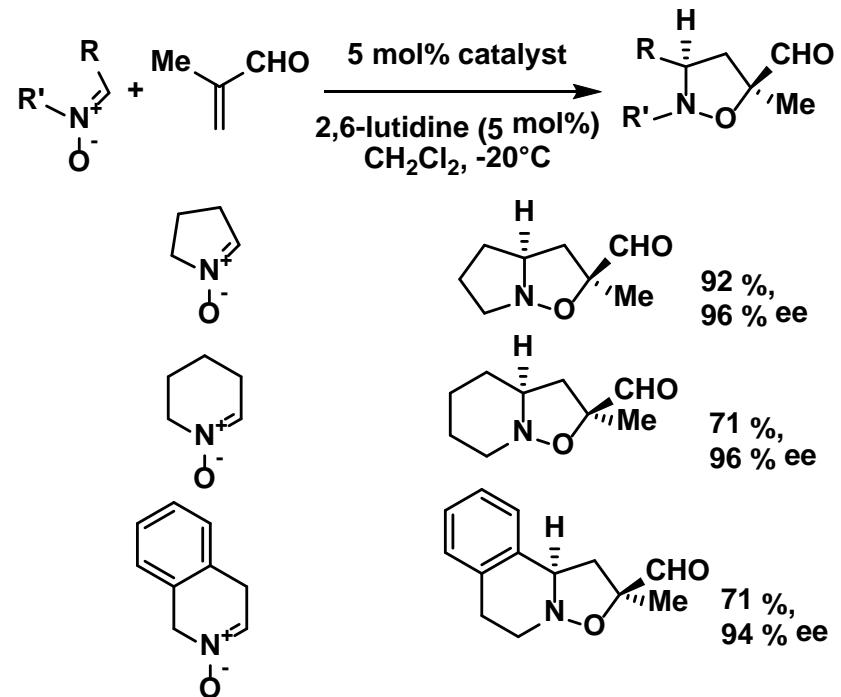
State of the art  
before 2011

### Diels – Alder



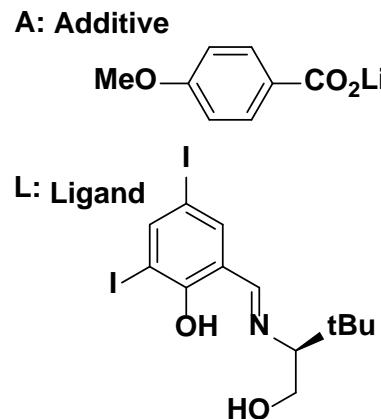
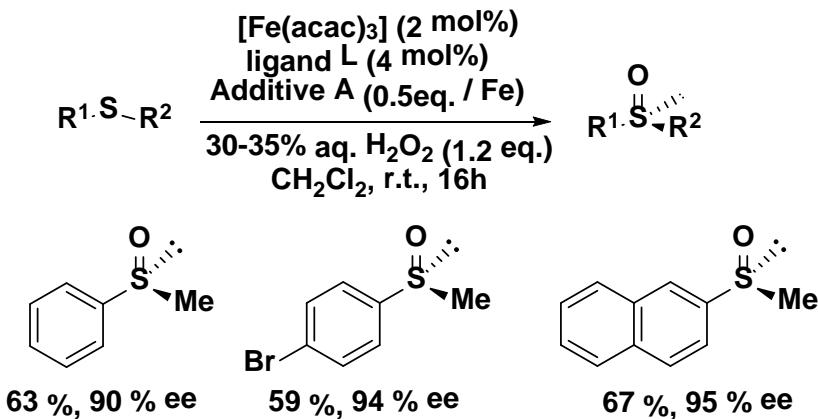
- 1) E. P. Kündig *et al.* *Angew. Chem. Int. Ed.* **1994**, 33, 1856  
 2) E. P. Kündig *et al.* *J. Am. Chem. Soc.* **2002**, 124, 4968

### 1,3-Dipolar Cycloaddition with Nitrone



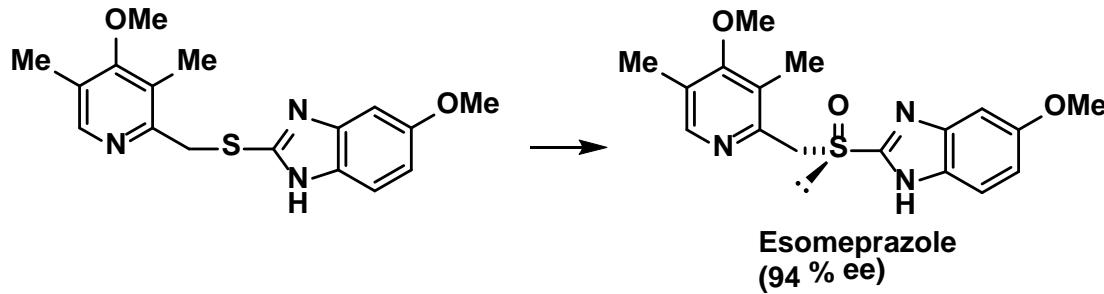
## 2.b. Asymmetric Sulfide Oxidation to Sulfoxide

State of the art  
before 2011



Prof. Carlsten Bolm

Useful reaction in pharmaceutical industry:



“While the number of [enantioselective] reactions is still limited, these results constitute the basis of a promising new area of research.”

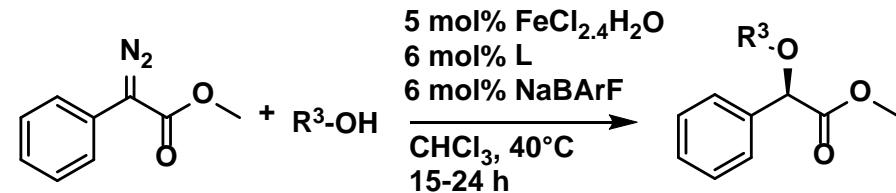
1) C. Bolm et al. *Chem. Eur. J.* **2005**, *11*, 1086

2) J. Legros, J. Le Paih, L. Zani, C. Bolm *Chem. Rev.* **2004**, *104*, 6217

## 2.c. Enantioselective O-H bond insertions

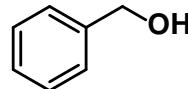
State of the art  
before 2011

### Alcohol insertion



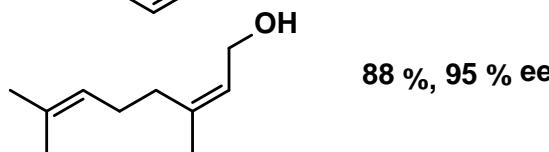
n-BuOH 93 %, 98 % ee

MeOH



85 %, 96 % ee (69 % ee with Cu)

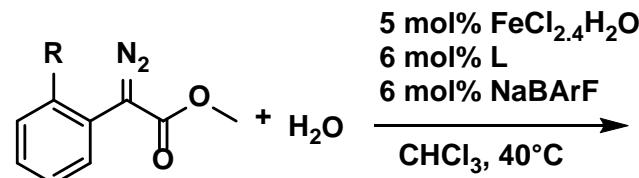
94 %, 98 % ee (77 % ee with Cu)



88 %, 95 % ee

15 examples, all ee up to 90%

### Water insertion



R = H

90 %, 95 % ee

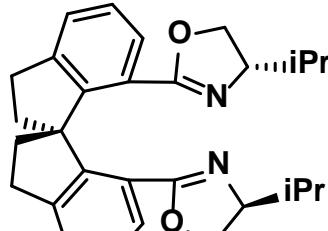
R = Cl

92 %, 95 % ee

92 % ee

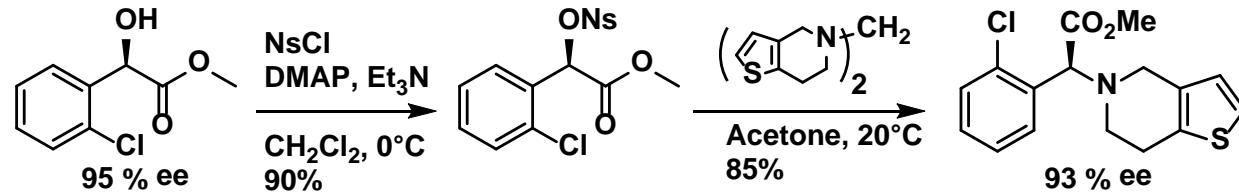
with 1 mol% of cat.

17 examples, all ee up to 90%



L: Spirobox

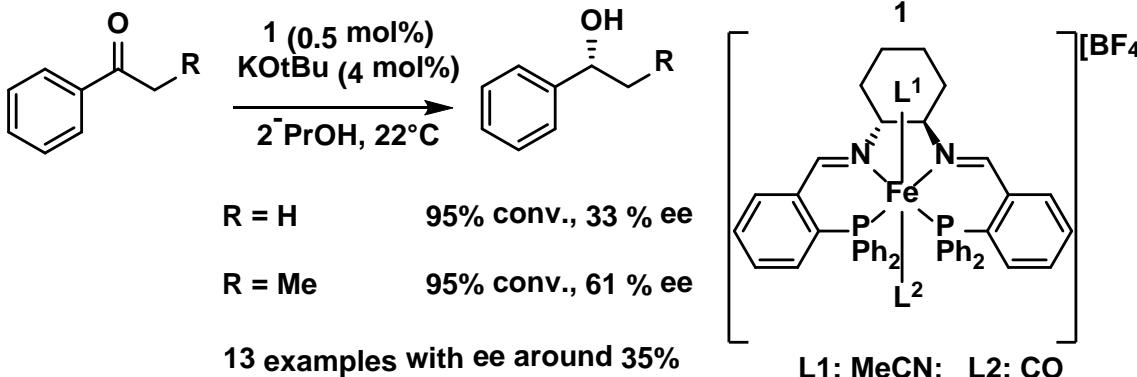
### Clopidogrel: Platelet aggregation inhibitor



## 2.d. Asymmetric Transfer Hydrogenation

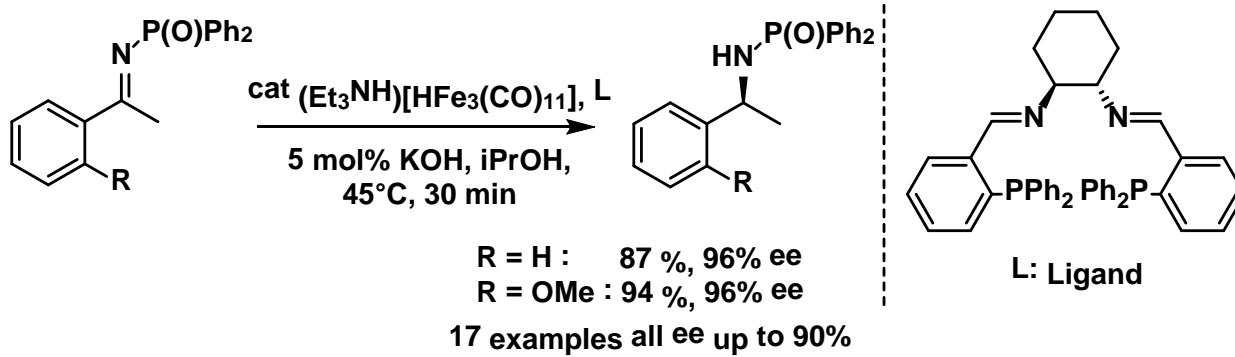
State of the art  
before 2011

### Ketones



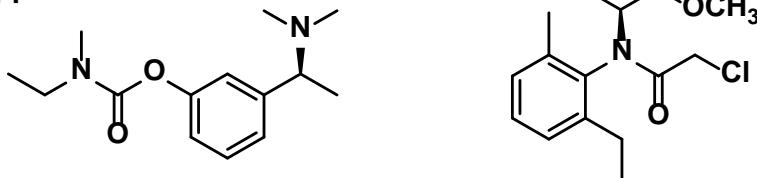
Prof. Robert. H. Morris

### Imines



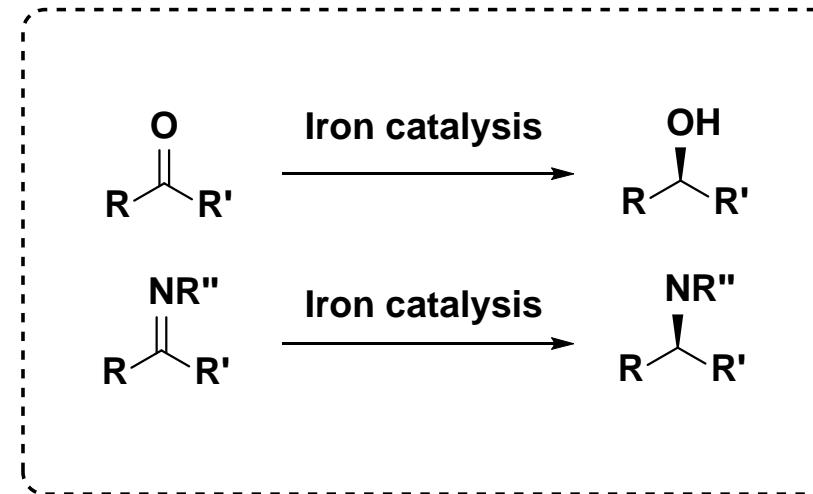
Prof. Matthias Beller

### Applications:



- 1) C. Sui-Seng, F. Freutel, A. J. Lough, R. H. Morris, *Angew. Chem. Int. Ed.* **2008**, *47*, 940  
2) M. Beller *et al.* *Angew. Chem. Int. Ed.* **2010**, *49*, 8121

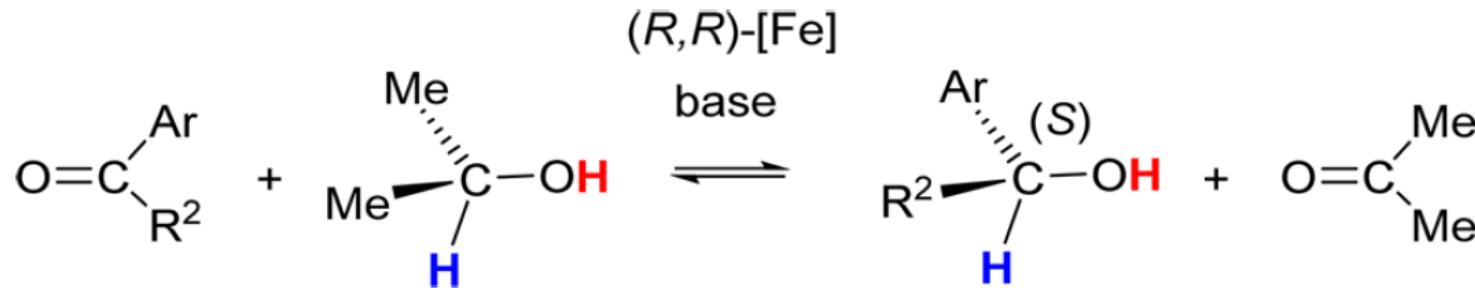
### 3. Reduction of Ketones and Imines



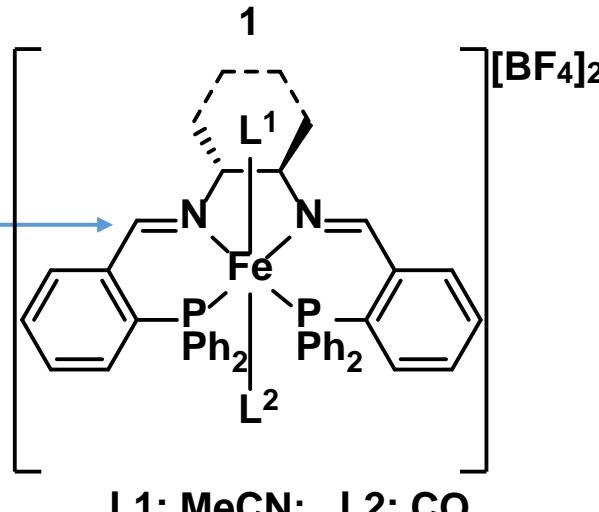
*Progress since 2011*

### 3.a. Asymmetric Reduction of Ketones and Imines

#### Asymmetric Transfer Hydrogenation (ATH)



- 6 membered ring more flexible
- Allow ligand dissociation under reducing conditions



**First generation**

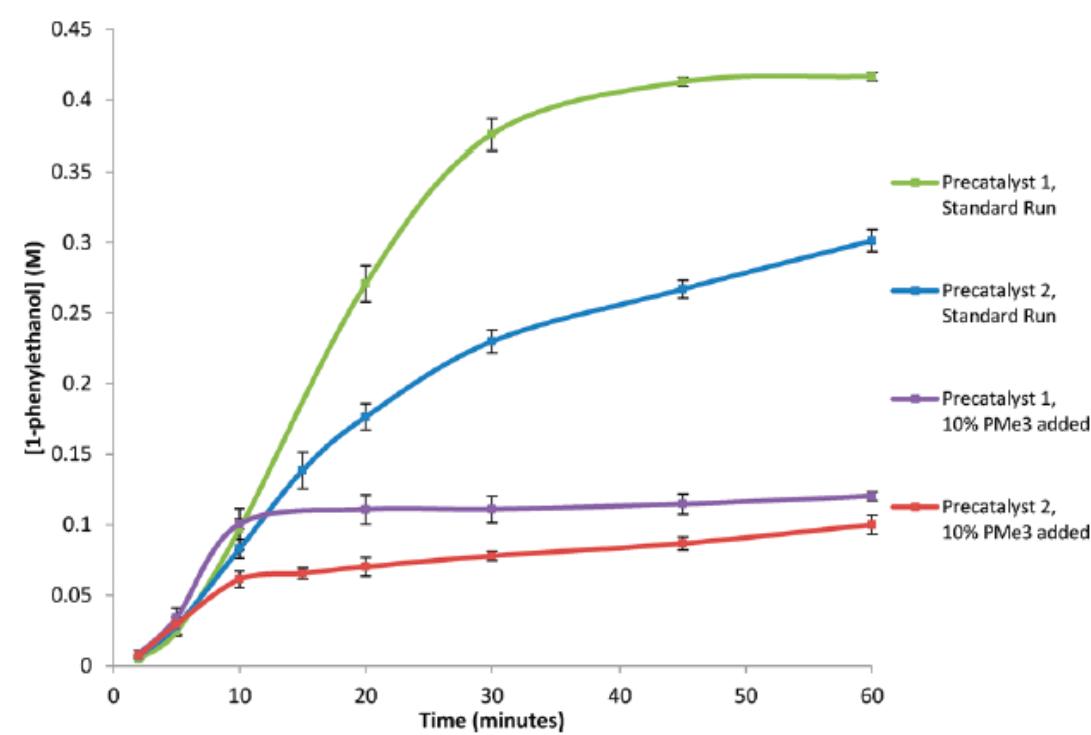
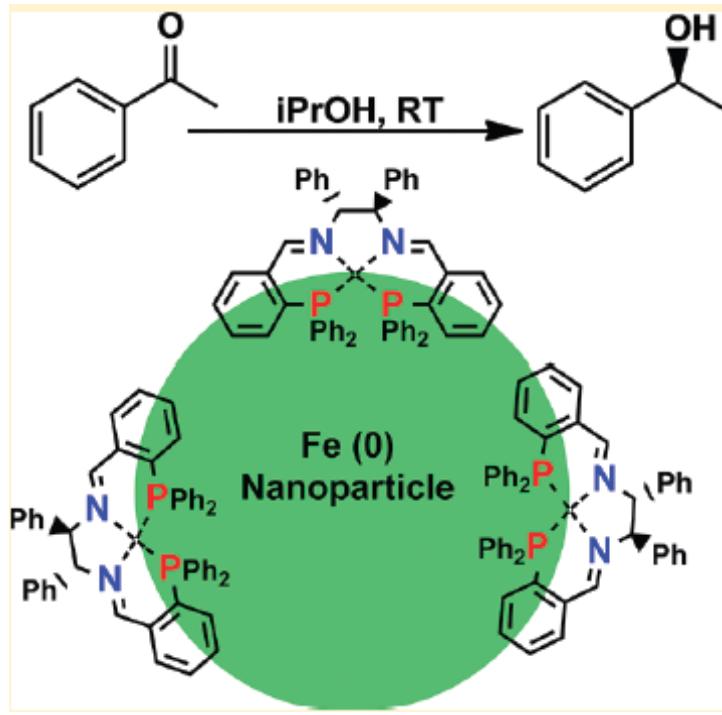
Weiwei Zuo *et al.* *Science* **342**, 1080 (2013);

P. E. Sues, K. Z. Demmans and R. H. Morris, *Dalton Trans.*, **2014**, 43, 7650–7667

Weiwei Zuo & Robert H Morris, *Nature Protocols*, **2015**, 10, 2, 241-257

### 3.a. Asymmetric Reduction of Ketones and Imines

The actual active species is a functionalized chiral iron NPs

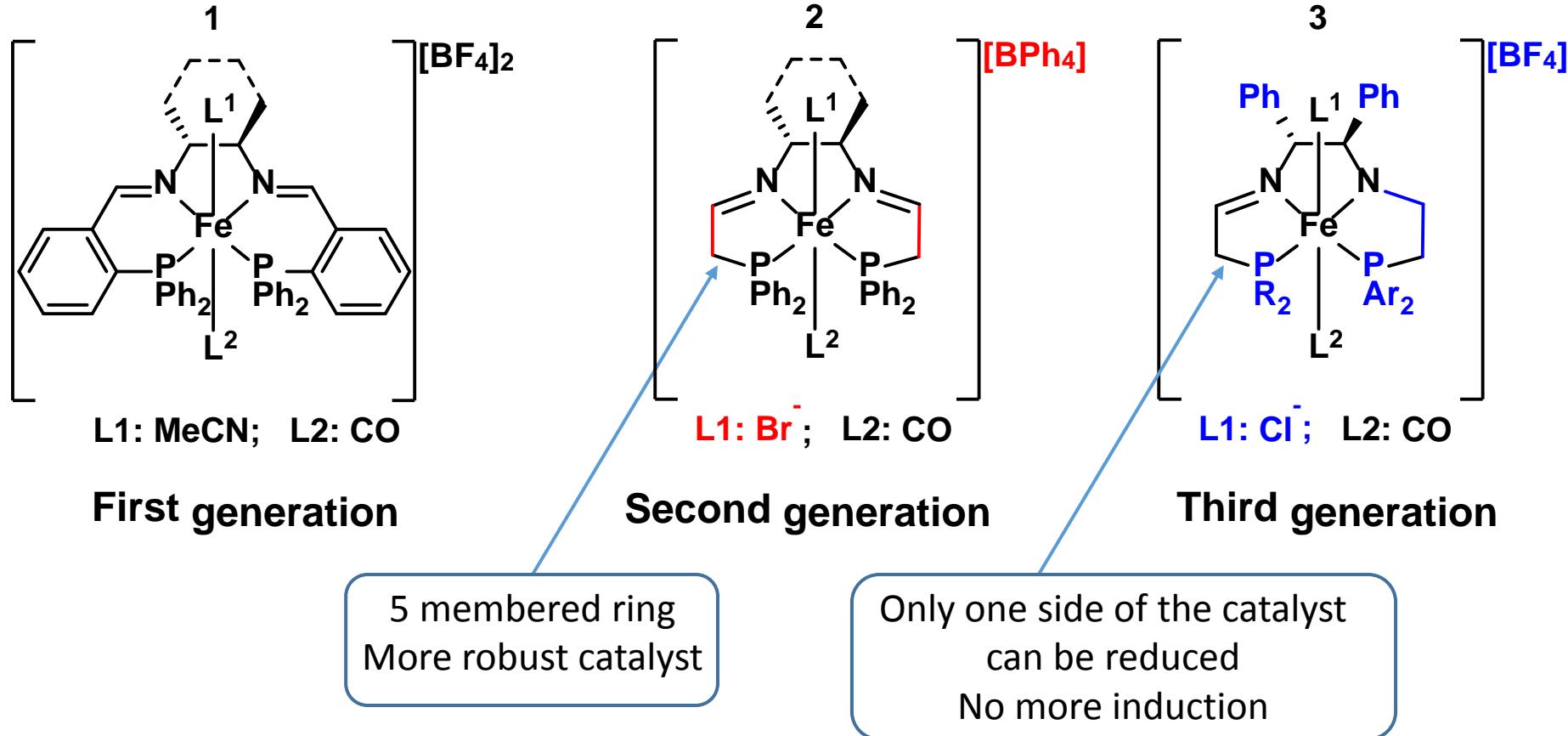


**Figure 1.** Standard catalytic runs using **1** and **2**, and poisoning runs using **1** and **2** and 10% PMe<sub>3</sub> added at  $t = 10$  min.

Experiment with poisonous PR<sub>3</sub> addition

### 3.a. Asymmetric Reduction of Ketones and Imines

#### Asymmetric Transfer Hydrogenation (ATH)

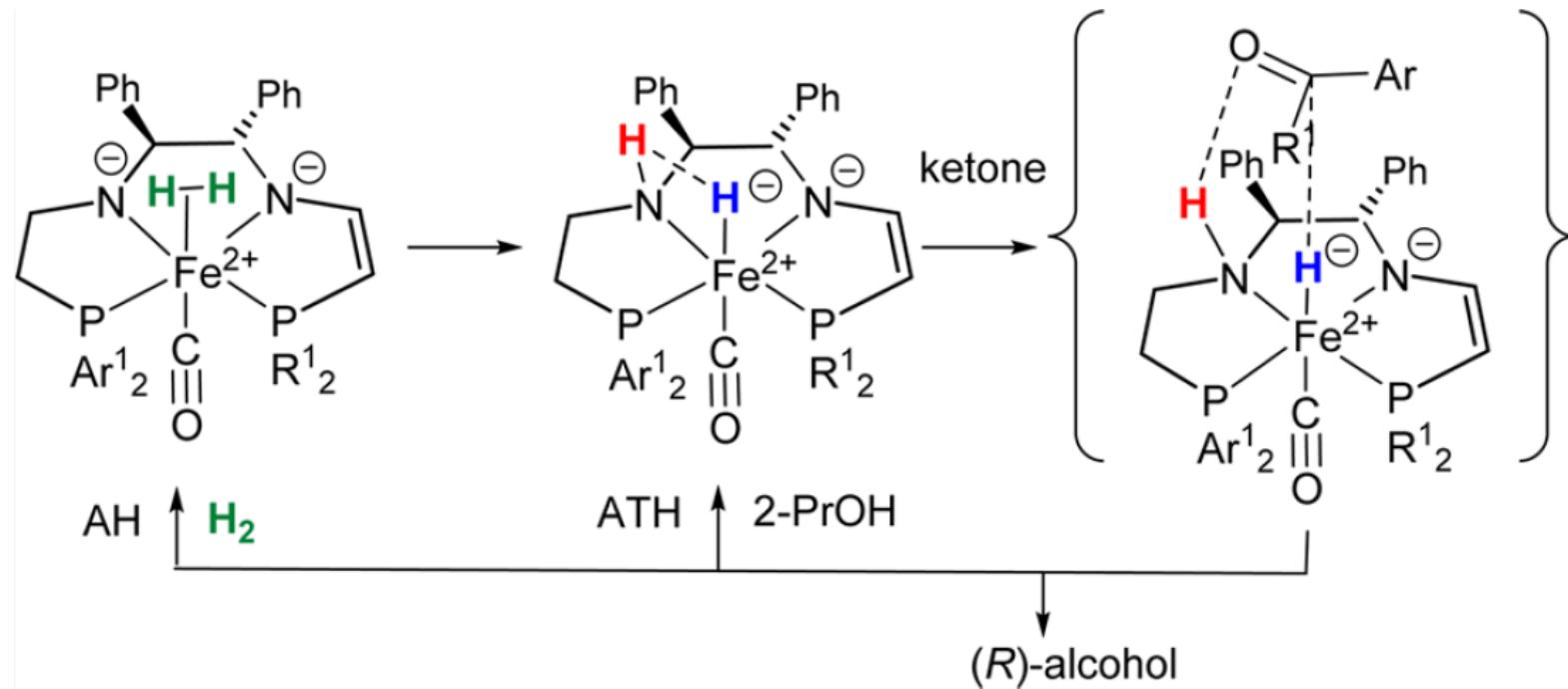


Weiwei Zuo *et al.* *Science* **342**, 1080 (2013);

P. E. Sues, K. Z. Demmans and R. H. Morris, *Dalton Trans.*, **2014**, 43, 7650–7667

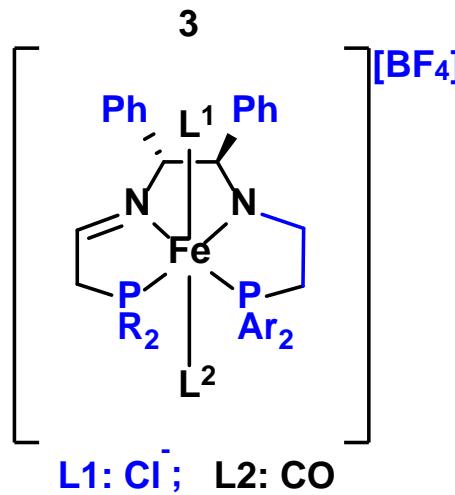
Weiwei Zuo & Robert H Morris, *Nature Protocols*, **2015**, 10, 2, 241-257

### 3.a. Asymmetric Reduction of Ketones and Imines



- 1) Weiwei Zuo *et al.* *Science* **342**, 1080 (2013);
- 2) R. H. Morris, *Acc. Chem. Res.* **2015**, *48*, 1494-1502

### 3.a. Asymmetric Reduction of Ketones and Imines



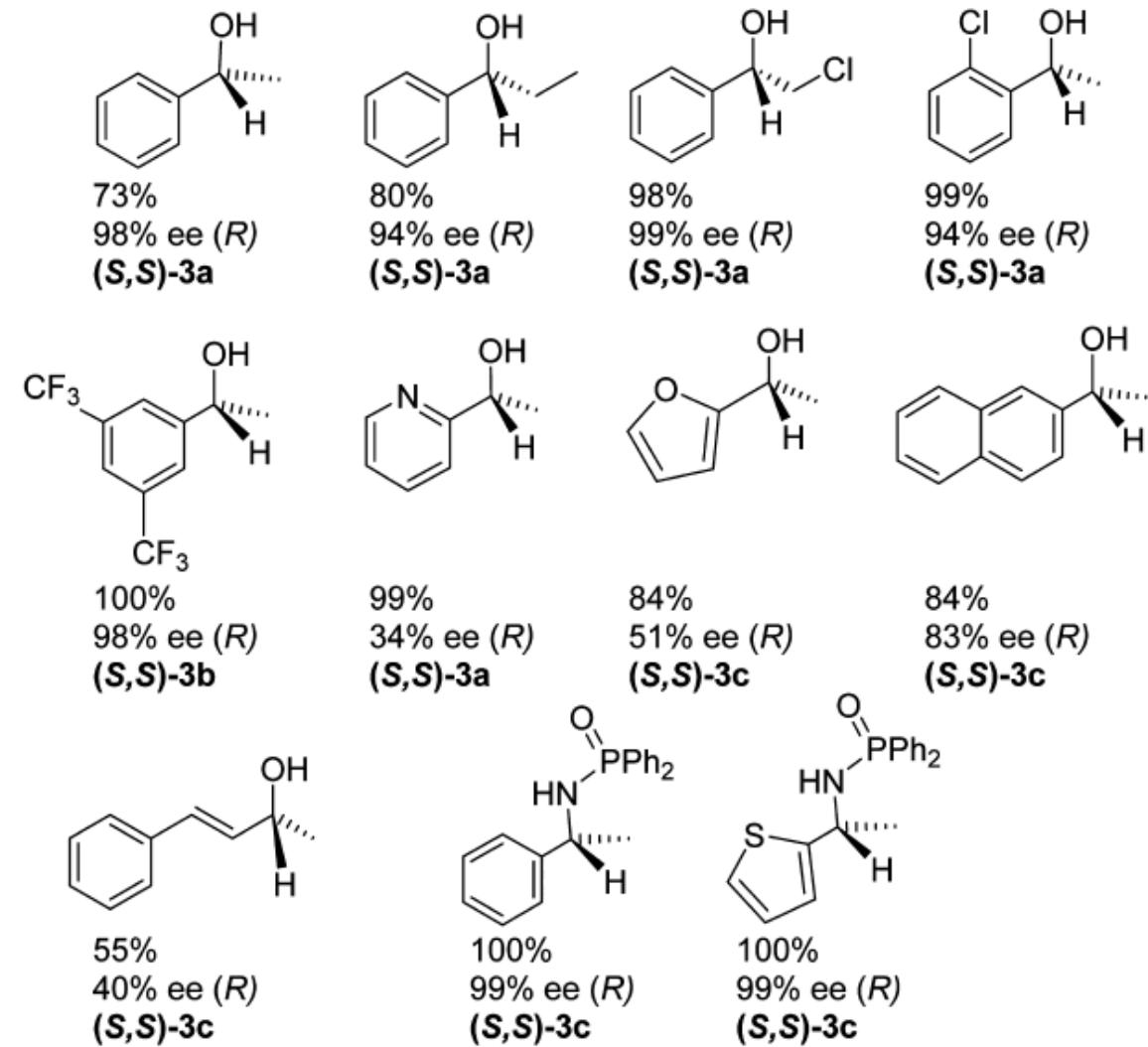
#### Third generation

**(S,S)-3a**  $R^1 = \text{Cy}$ ,  $\text{Ar}^1 = \text{Ph}$

**(S,S)-3b**  $R^1 = \text{Ar}^1 = 3,5\text{-Me}_2\text{C}_6\text{H}_3$

**(S,S)-3c**  $R^1 = \text{Ar}^1 = \text{Ph}$

**(S,S)-3d**  $R^1 = 4\text{-MeC}_6\text{H}_4$ ,  $\text{Ar}^1 = \text{Ph}$



1) Weiwei Zuo et al. *Science* **342**, 1080 (2013);

2) R. H. Morris, *Acc. Chem. Res.* **2015**, *48*, 1494-1502

### 3.b. Asymmetric Reduction of Ketones

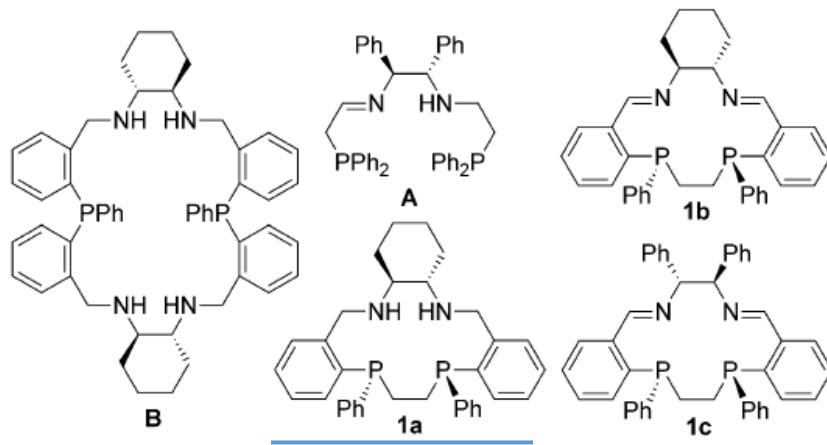
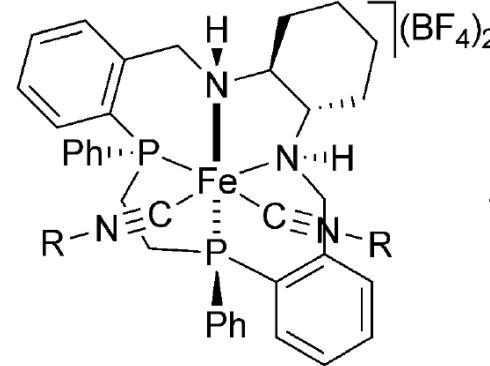


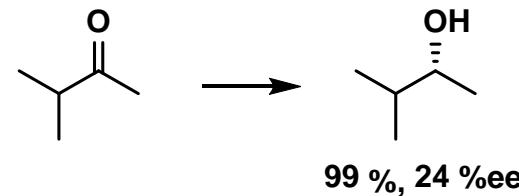
Figure 1. Open-chain PNNP ligand A and macrocyclic analogues.



CO / MeCN => RNC where R = CEt<sub>3</sub> or NiPr<sub>2</sub>

**Macrocyclic structure : prevent NP formation**

Alkylarylketones : 25 examples  
(66 – 99 % yield, 76 – 99 % ee)

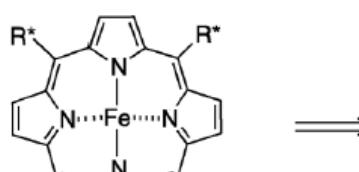


## 4. Reactivity towards alkenes

- a) Epoxidation
- b) Aminohydroxylation
- c) Haloamination
- d) Hydroboration

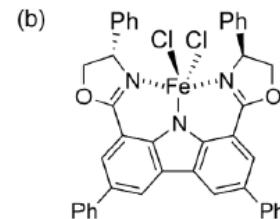
## 4.a. Asymmetric Epoxidation

### Non-Heme Iron(III) Complex with Porphyrin-like Properties

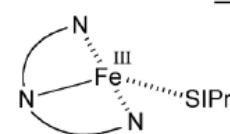


porphyrin (tetradentate)  
(difficult design and preparation)

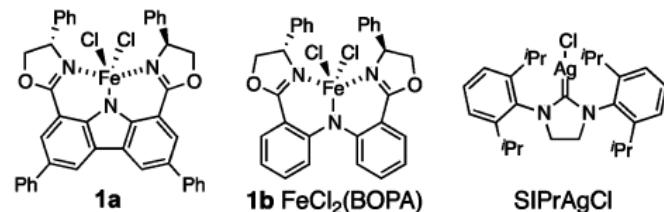
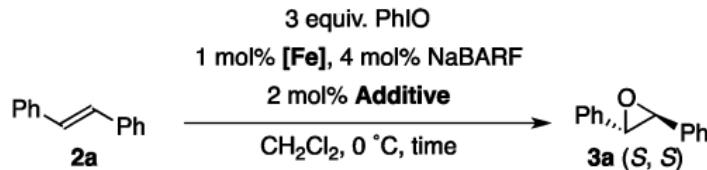
carbazole-based tridentate  
(easy preparation with high diversity)



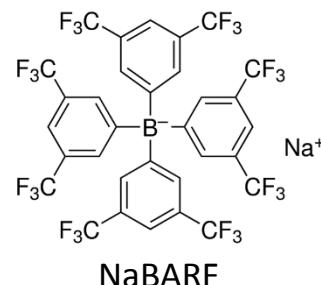
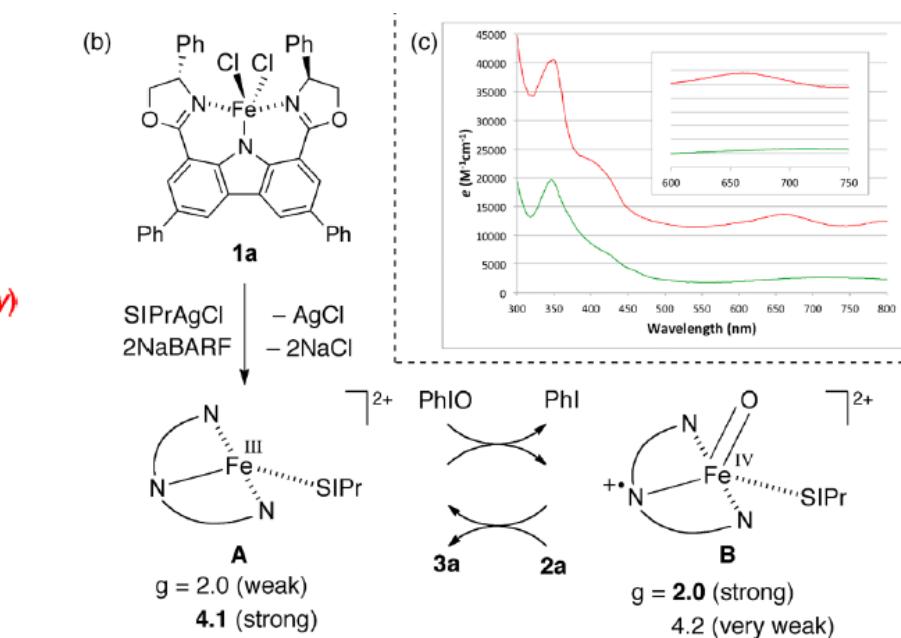
SIPrAgCl  
2NaBARF  
↓  
– AgCl  
– 2NaCl



$g = 2.0$  (weak)  
**4.1** (strong)

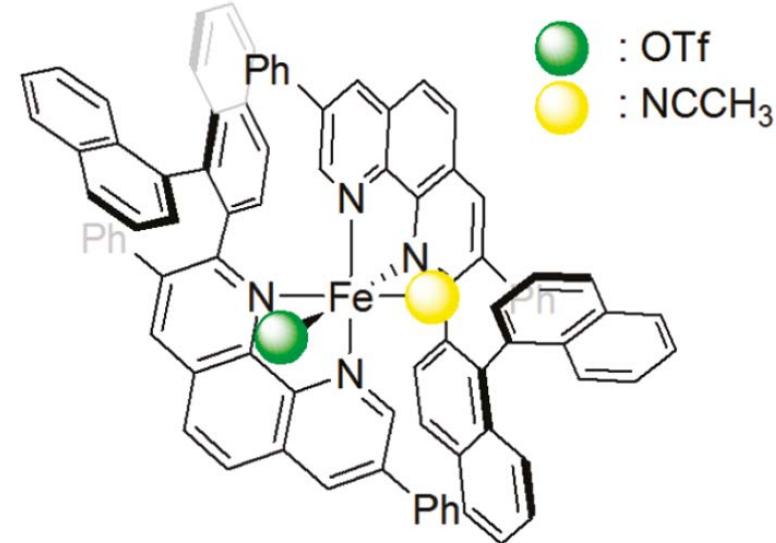
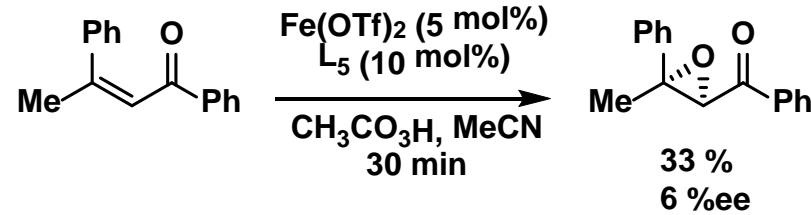
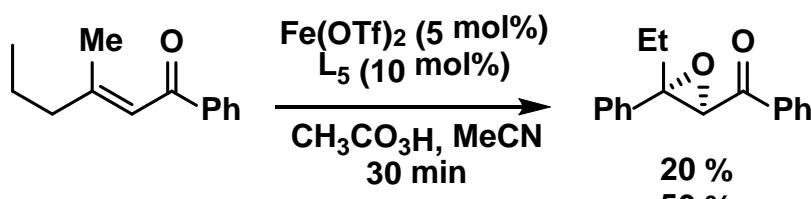
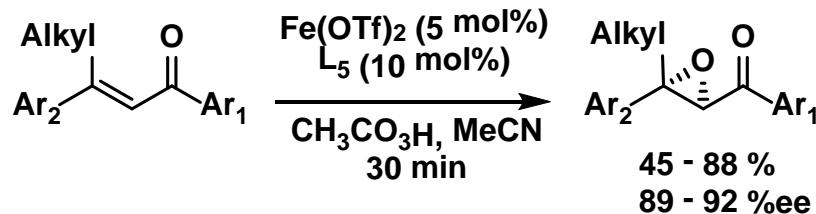


entry	complex	additive	time (min)	yield (%) <sup>a</sup>	ee (%) <sup>b</sup>
1	1a	None	30	35	83
2 <sup>c</sup>	1a	None	60	trace	NA <sup>d</sup>
3	1a	SIPrAgCl	60	55	88
4	1b	SIPrAgCl	60	trace	NA <sup>d</sup>



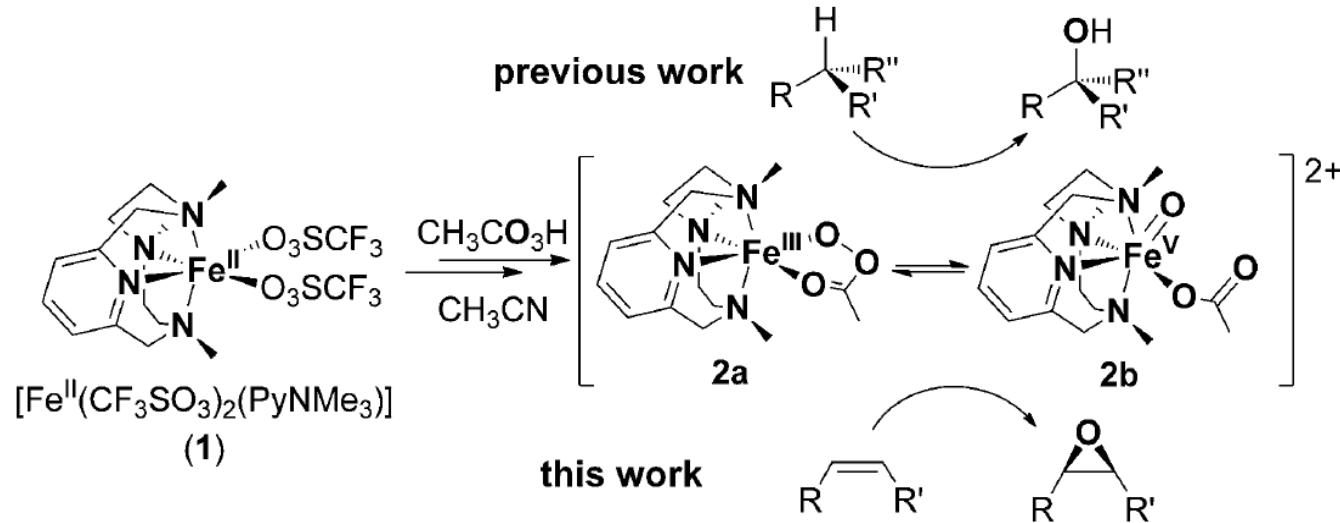
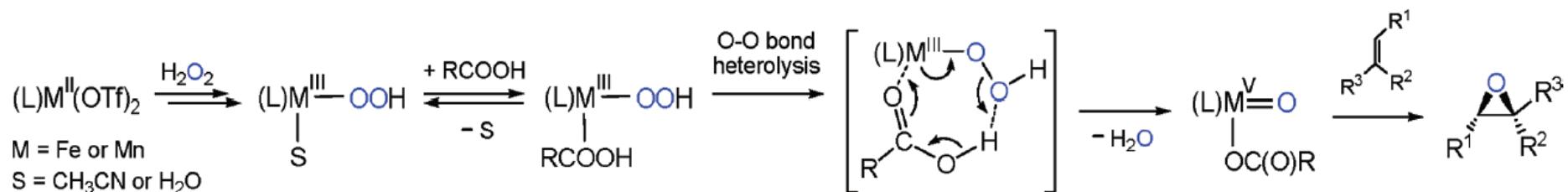
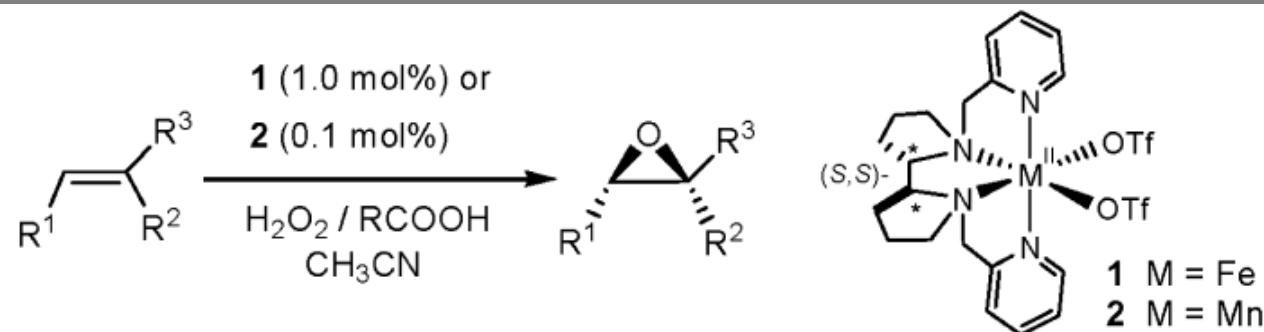
## 4.a. Asymmetric Epoxidation of Enones

Challenging epoxidation of  $\beta,\beta$  disubstituted olefin

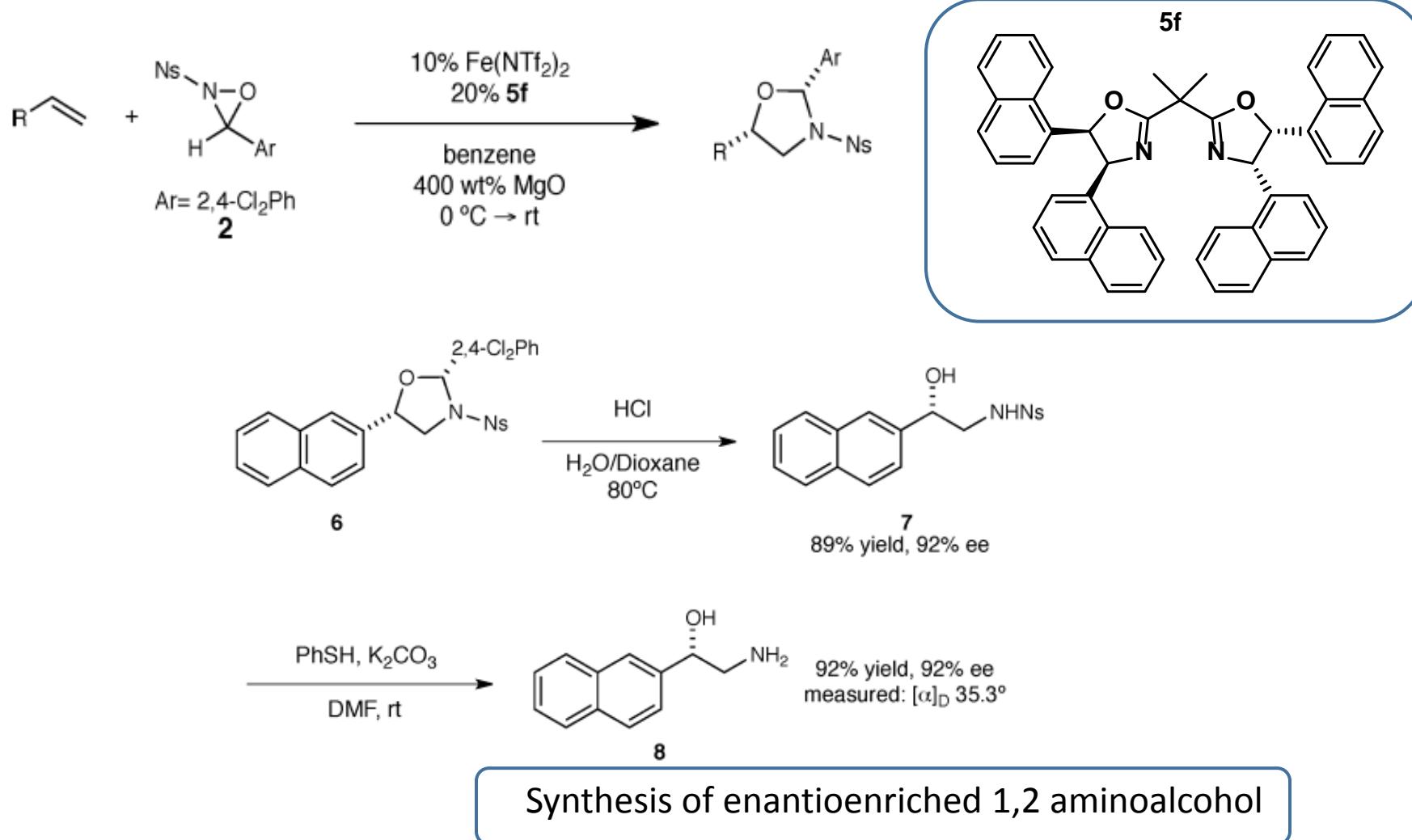


Question: explain why it is challenging,  
and why this method is efficient.

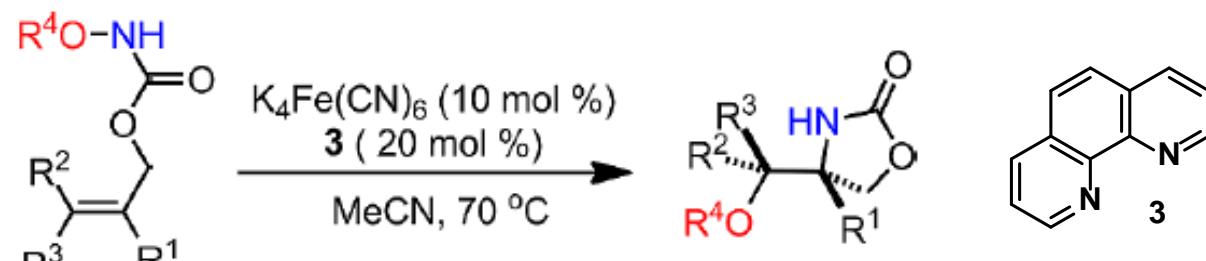
## 4.a. Asymmetric Epoxidation



## 4.b. Asymmetric Intermolecular Aminohydroxylation

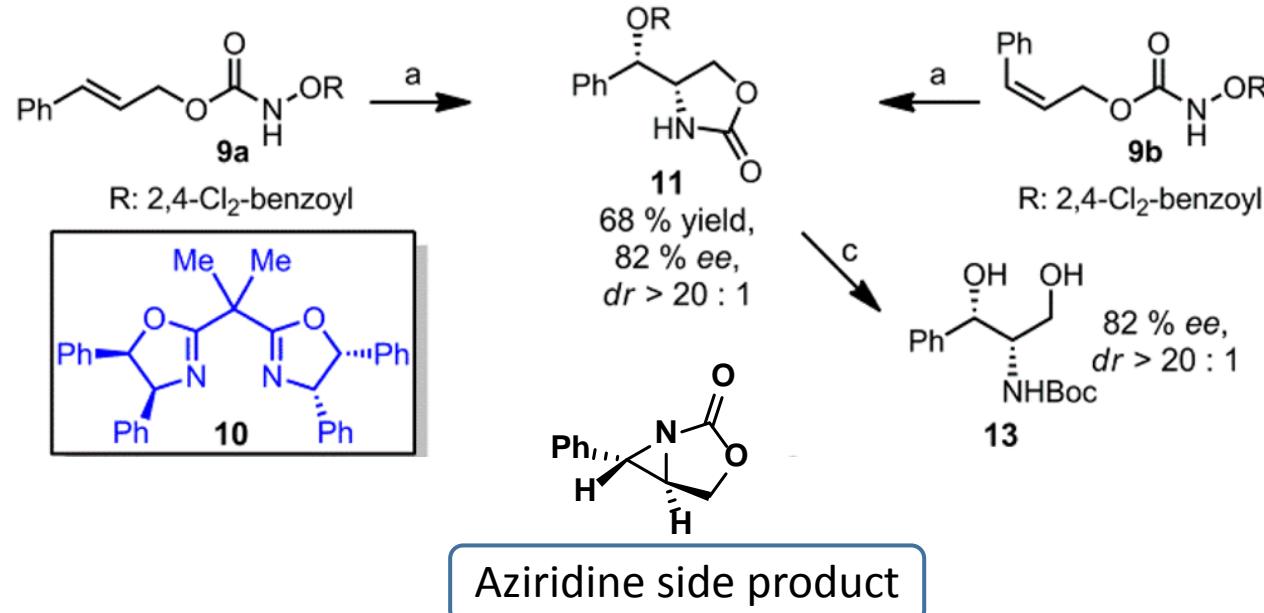


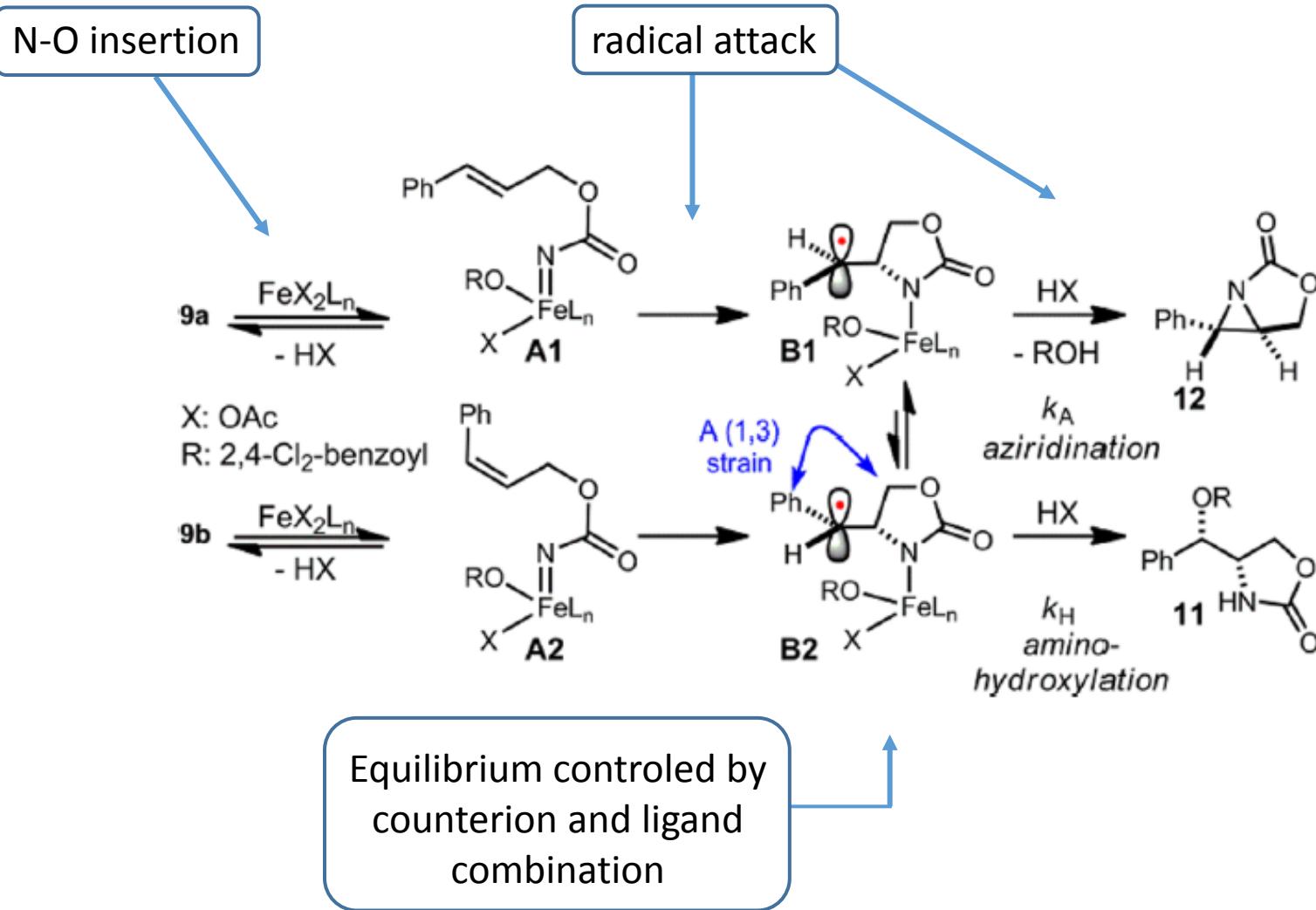
Diastereoselective



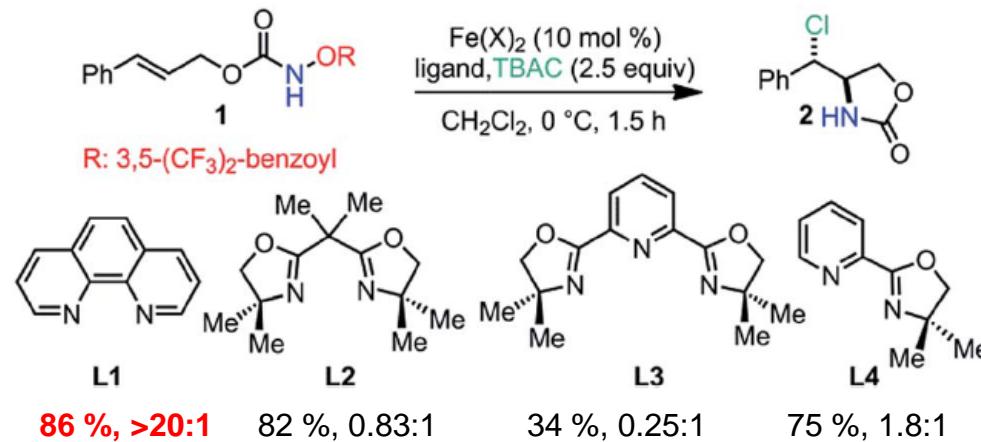
16 examples  
dr 2:1 to >20:1

Enantioselective

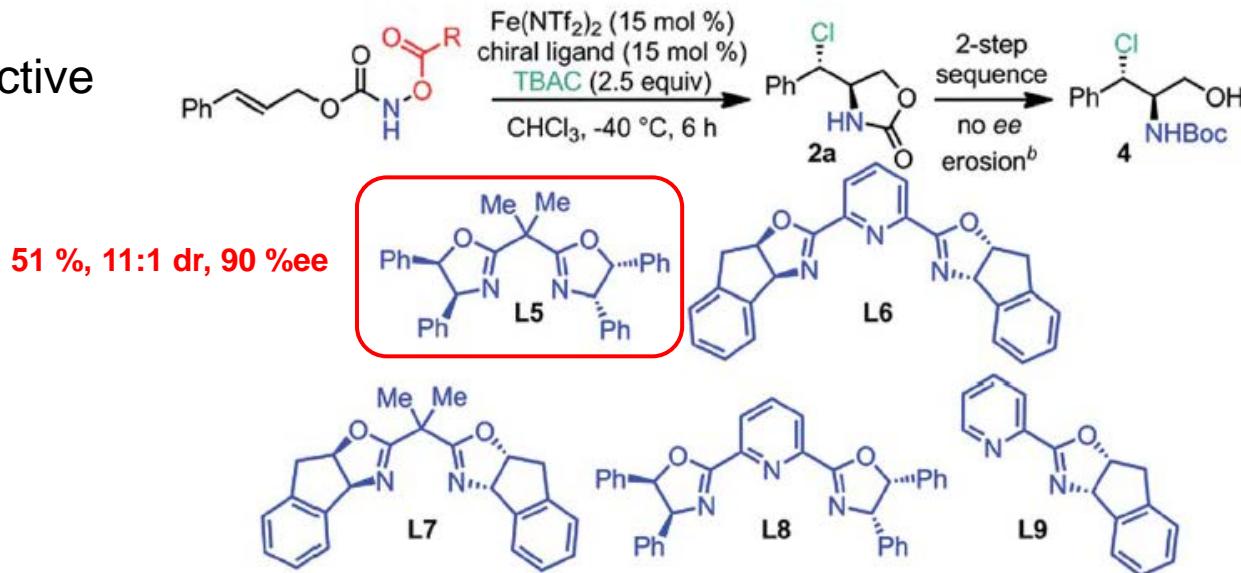




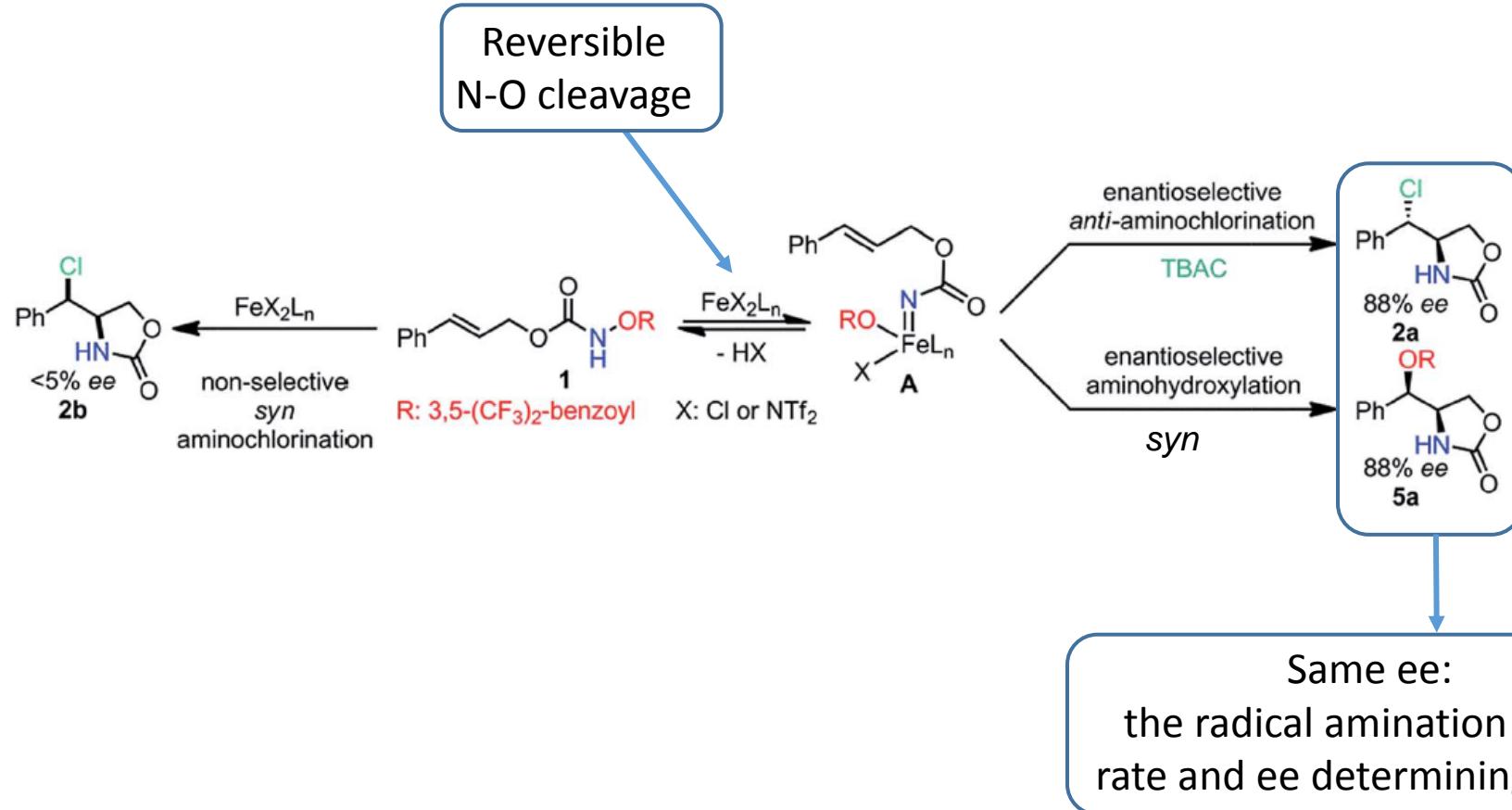
Diastereoselective



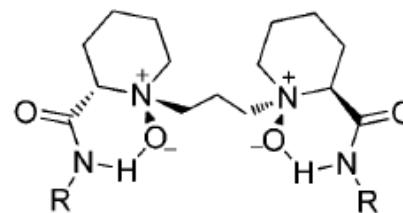
Enantioselective



## 4.c. Asymmetric Intramolecular Aminochlorination



## 4.c. Asymmetric haloamination of alkenes

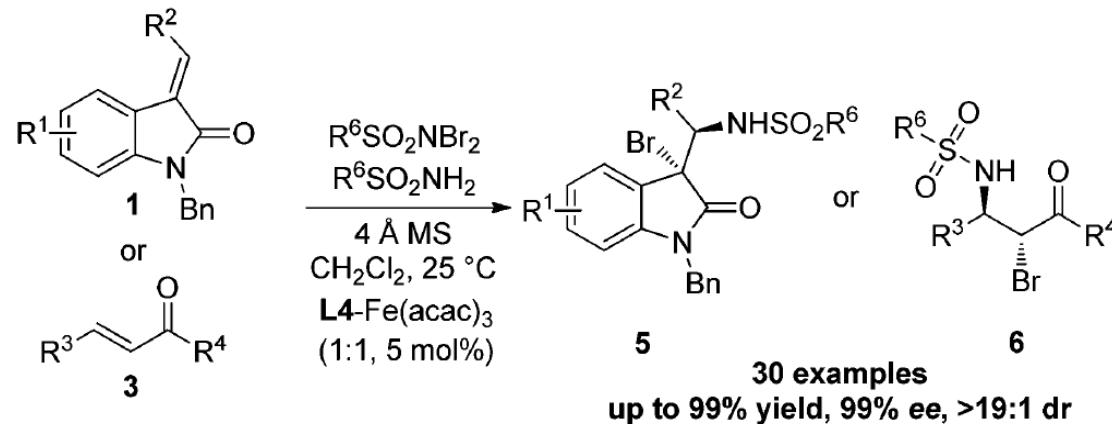


- L1: R = Adamantyl
- L2: R = Ph
- L3: R = Bn
- L4: R = C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>CH<sub>2</sub>
- L5: R = C<sub>6</sub>H<sub>5</sub>CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>

Entry <sup>a</sup>	Metal	Ligand	Yield of <b>2a</b> <sup>b</sup> (%)	ee of <b>2a</b> <sup>c</sup> (%)	dr of <b>2a</b> <sup>d</sup> (anti : syn)
1	Fe(acac) <sub>3</sub>	<b>L1</b>	40	7	>19:1
2	Fe(acac) <sub>3</sub>	<b>L2</b>	88	96	>19:1
3	Fe(acac) <sub>3</sub>	<b>L3</b>	99	97	>19:1
4	Fe(acac) <sub>3</sub>	<b>L4</b>	99	99	>19:1
5	Fe(acac) <sub>3</sub>	<b>L5</b>	98	97	>19:1

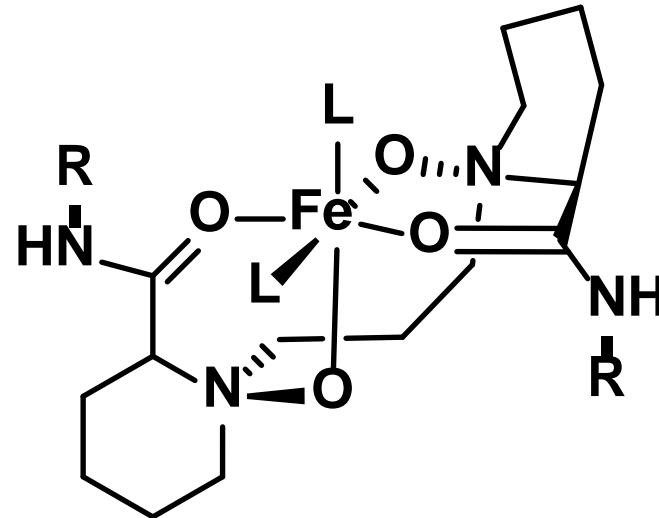
18 examples, 90 – 99 % yield, 93 – 99 % ee, >19:1 dr

## 4.c. Asymmetric haloamination of alkenes

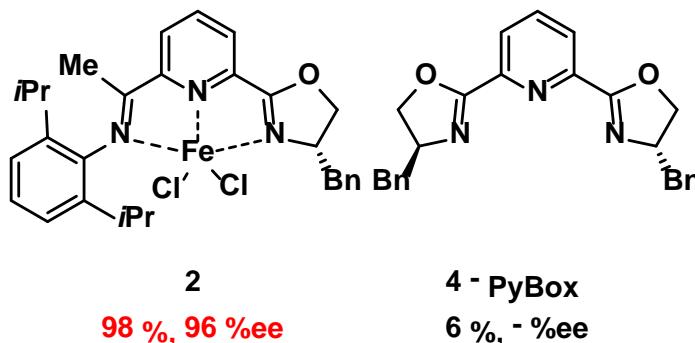
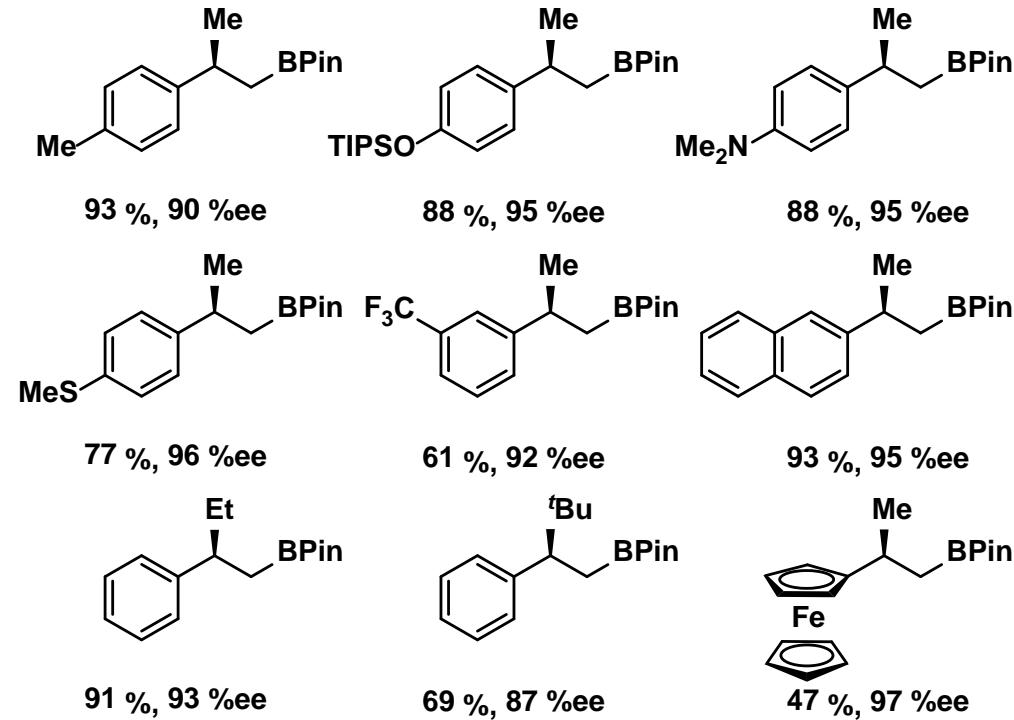
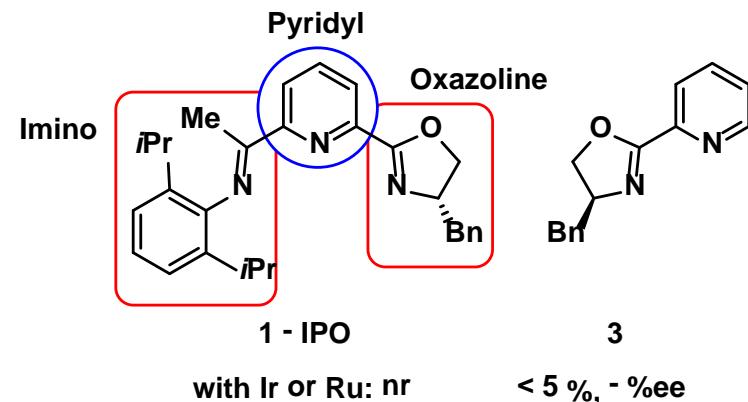
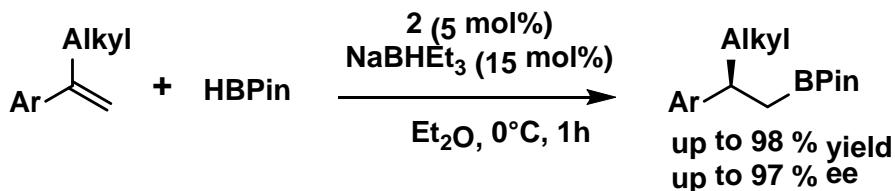
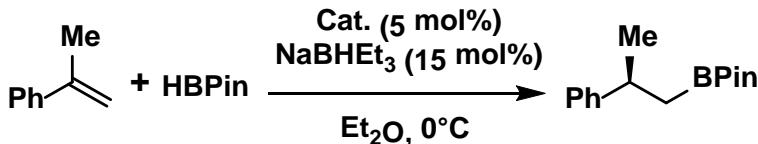


**QUESTION ??**

Draw the transition state using the geometry of this ligand to explain the *anti* selectivity



## 4.d. Asymmetric Hydroboration

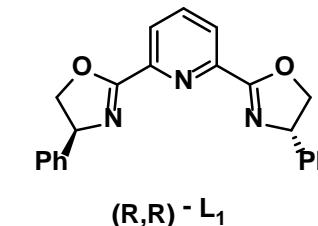
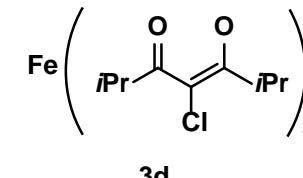
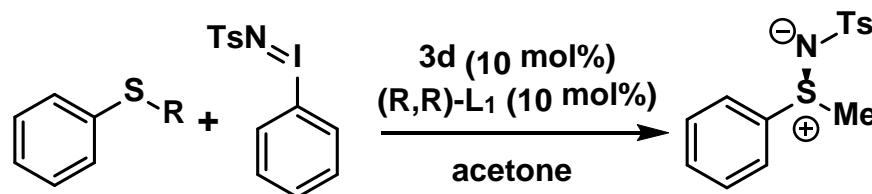


- High regio- and enantioselectivities
- Glove box not necessarily required
- PyOX and PyBox doesn't work

## 5. Heteroatoms transfers using hypervalent iodine reagents

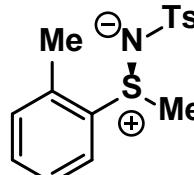
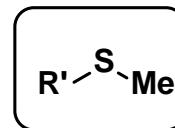
- Sulfimides synthesis by nitrene transfer
- Azidation of  $\beta$ -ketoesters and oxindoles

## 5.a. Enantioselective Nitrene Transfer to Sulfides

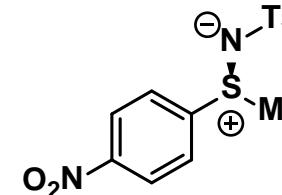


R = linear alkyl chain:

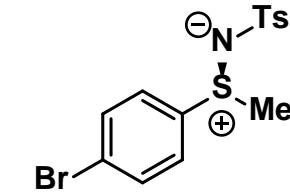
from C1 to C8: 80 to 98 %, 86 to 90 % ee



91 %, 84 % ee



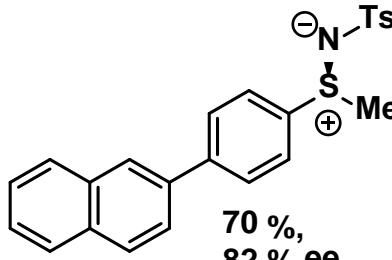
82 %, 66 % ee



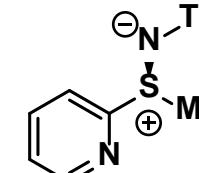
97 %, 86 % ee

R = C<sub>14</sub>H<sub>29</sub> : 23 %, 82 % ee

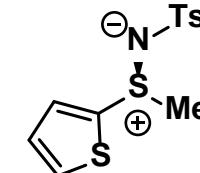
R = iPr : 49 %, 60 % ee



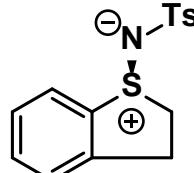
70 %,  
82 % ee



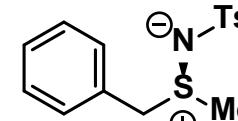
81 %, 84 % ee



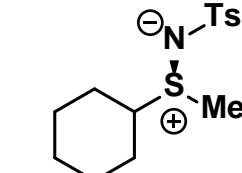
49 %, 86 % ee



91 %, 84 % ee

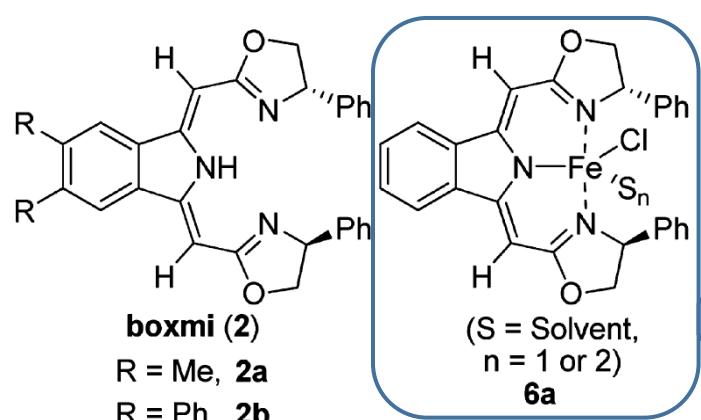
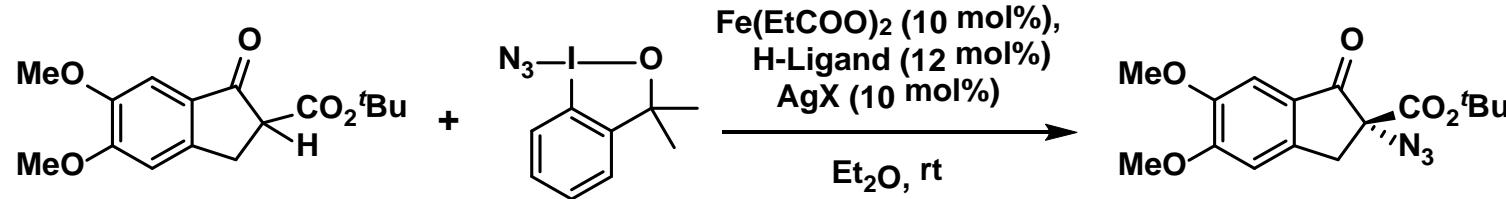


55 %, 10 % ee

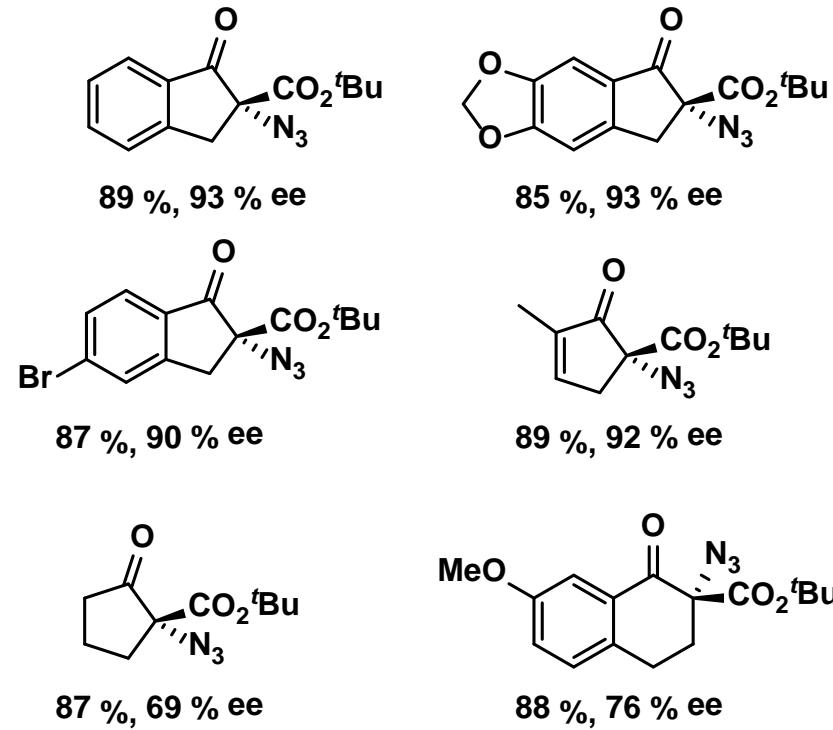


47 %, 66 % ee

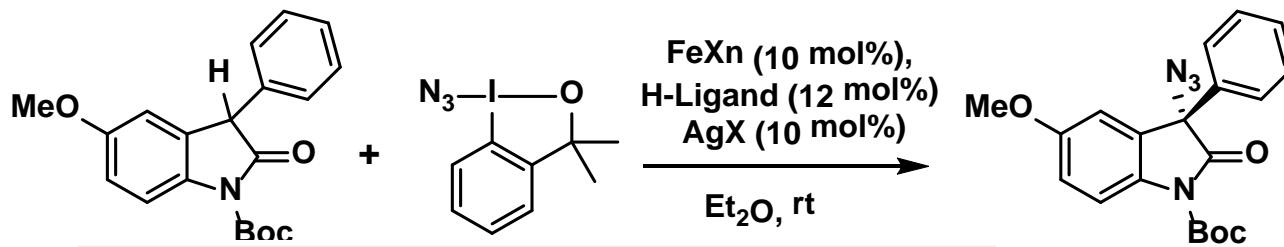
## 5.b. Asymmetric Azidation of $\beta$ -ketoesters



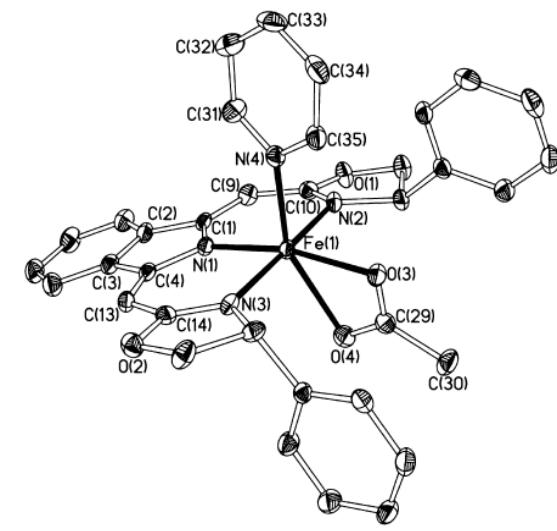
	R
7a	$\text{CF}_2\text{CF}_3$
7b	Ph
7c	$4\text{-MeO-C}_6\text{H}_4$
<b>7d</b>	$4\text{-NO}_2\text{-C}_6\text{H}_4$
7e	$\text{C}_6\text{F}_5$
7f	2-Naphthyl



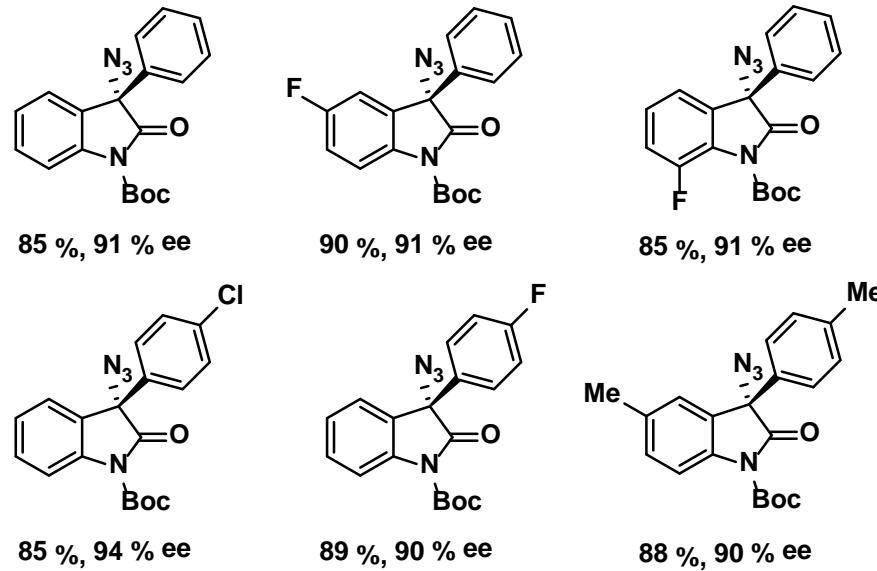
## 5.b. Asymmetric Azidation of Oxindoles



entry	MXn	H-Lig	AgX	solvent	T	t (h)	yield (%) <sup>a</sup>	ee (%) <sup>b</sup>
1	6a	—	7d	Et <sub>2</sub> O	rt	36	86	78
2	3	2c	—	Et <sub>2</sub> O	rt	36	87	91
3	3	2c	—	THF	rt	36	84	84
4	3	2a	—	Et <sub>2</sub> O	rt	36	84	90
5	3	2c	—	Et <sub>2</sub> O	0 °C	48	51	69
								—



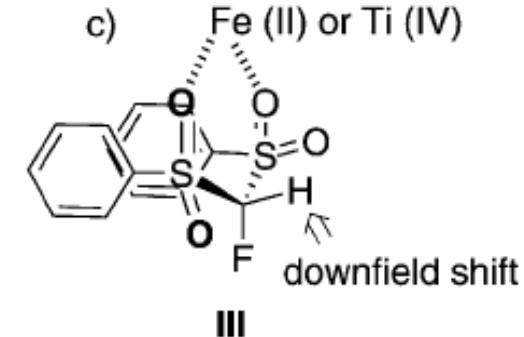
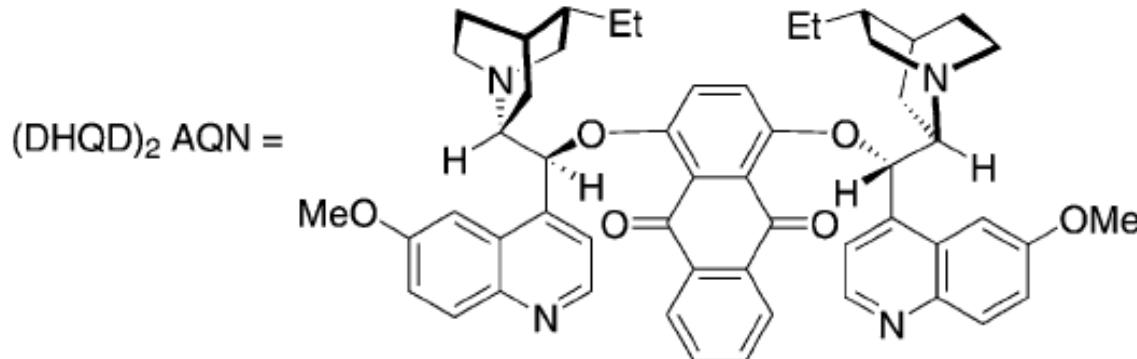
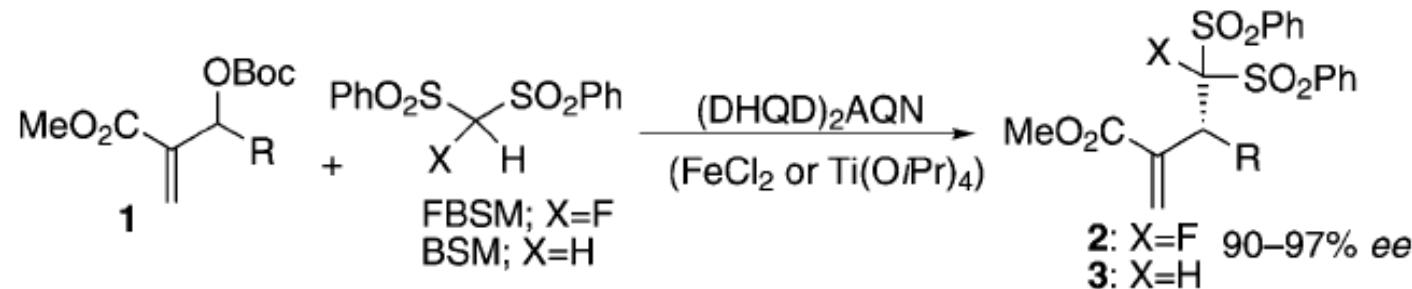
- In situ generation of the catalyst is better
- No silver salt needed



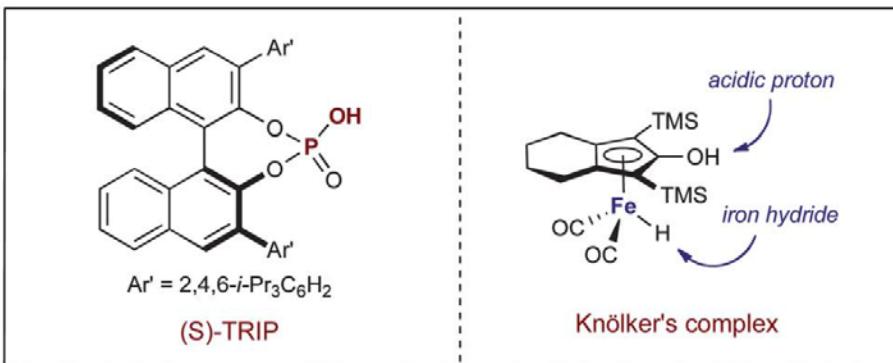
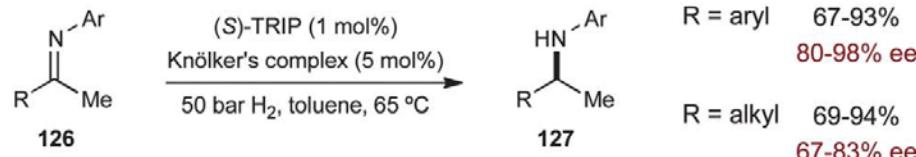
# 6. Achiral Iron Complexes

## 6.a. Dual Catalysis with Organocatalyst

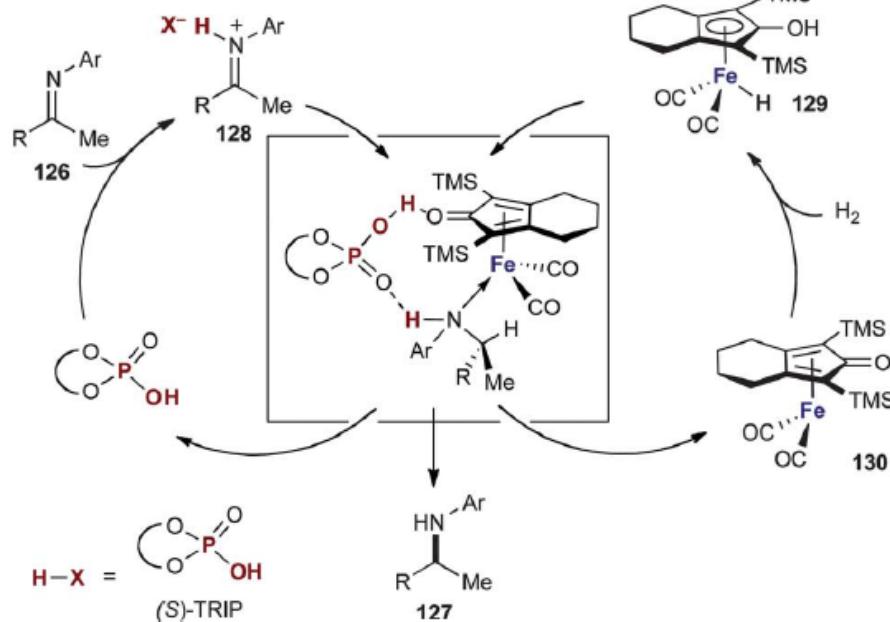
Asymmetric Allylic Monofluoromethylation and Methylation of Morita–Baylis–Hillman Carbonates with FBSM and BSM by Cooperative Cinchona Alkaloid/FeCl<sub>2</sub> Catalysis



## 6.b. Asymmetric reduction of imines

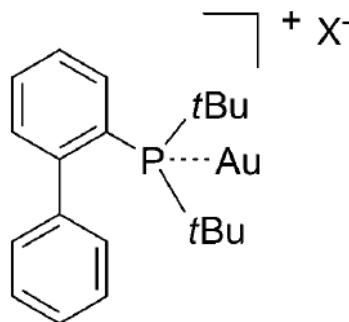
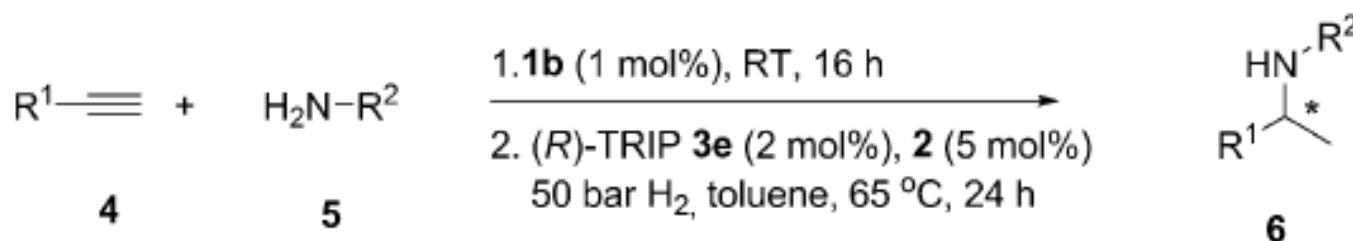


Mechanism:



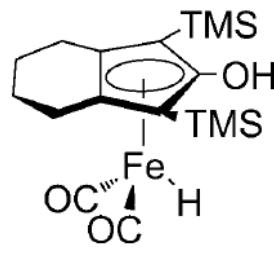
- 1) S. Zhou, S. Fleischer, K. Junge, M. Beller, *Angew. Chem., Int. Ed.*, **2011**, *50*, 5120–5124
- 2) M. Darwish, M. Wills, *Catal. Sci. Technol.*, **2012**, *2*, 243–255
- 3) A. E. Allen, David W. C. MacMillan, *Chem. Sci.*, **2012**, *3*, 633–658

## 6.c. Asymmetric Hydroamination of Alkynes

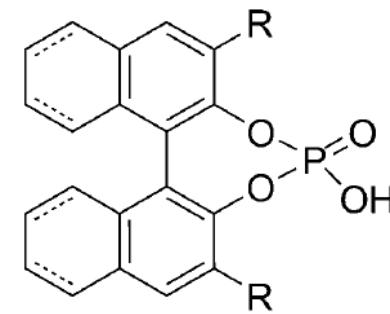


1a: X = Cl

1b: X = BF<sub>4</sub>



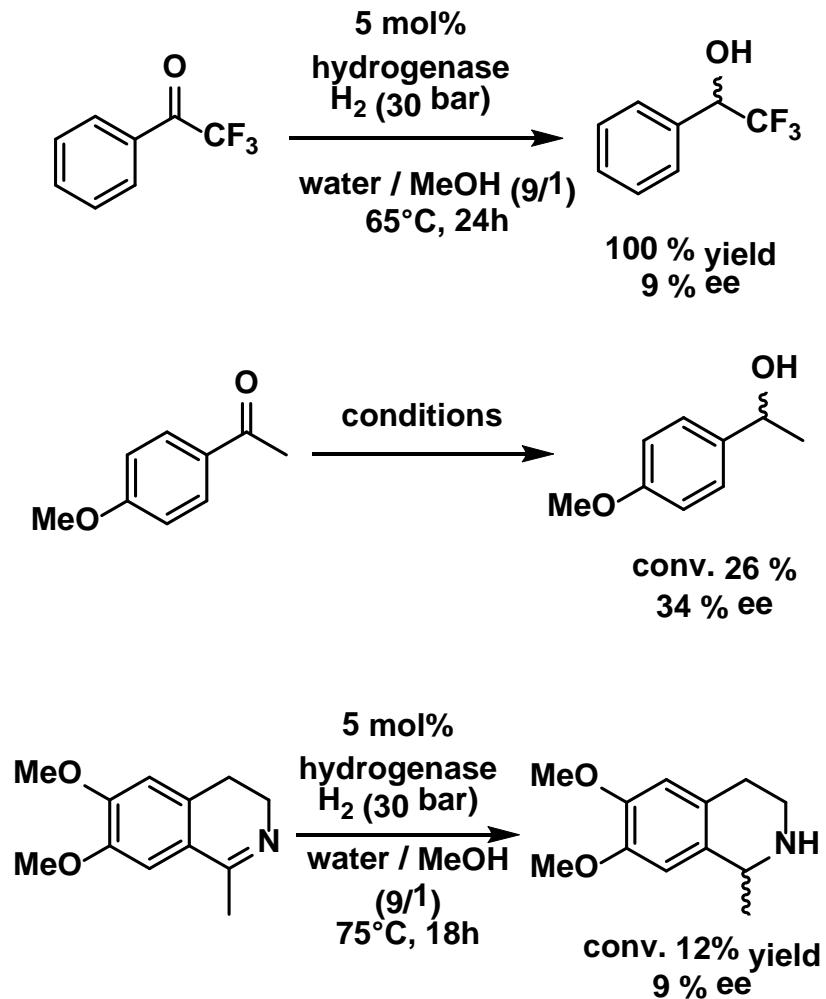
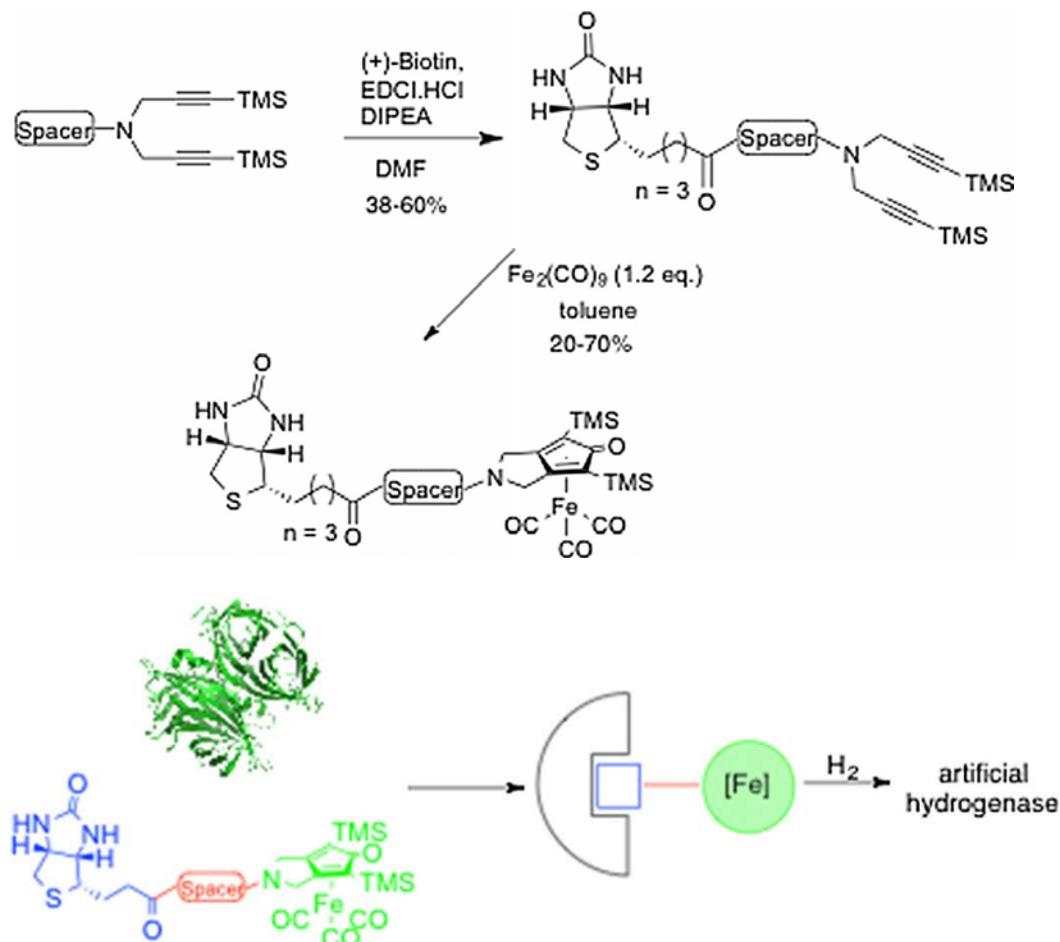
2



3

## 6.d. Artificial metalloenzymes

Achiral Cyclopentadienone Iron Tricarbonyl Complexes Embedded in Streptavidin



# Outlook

- Decrease catalyst loading (often 5-10 mol%)
- Recyclability (if NP, by using magnets)
- More diversity of the ligand classes  
    Mostly Box, PyBox, SpiroBox, Porphyrin
- New chiral ferrocene based ligands
- Domino reaction (>2 bonds formation)
- Asymmetric transformations in aqueous media
- C-C bond formation (Carbocyclization,  
    Cyclopropanation, Mukaiyama, Grignards...)

# Conclusion

$\text{Fe}-\text{H}$

Iron hydride

$\text{Fe}=\text{O}$

Oxo-iron

$\text{Fe}=\text{NR}$

Iron-Nitrenoid

$\text{Fe}=\text{CR}_2$

Iron carbene

Most abundant metal on earth

Low toxicity

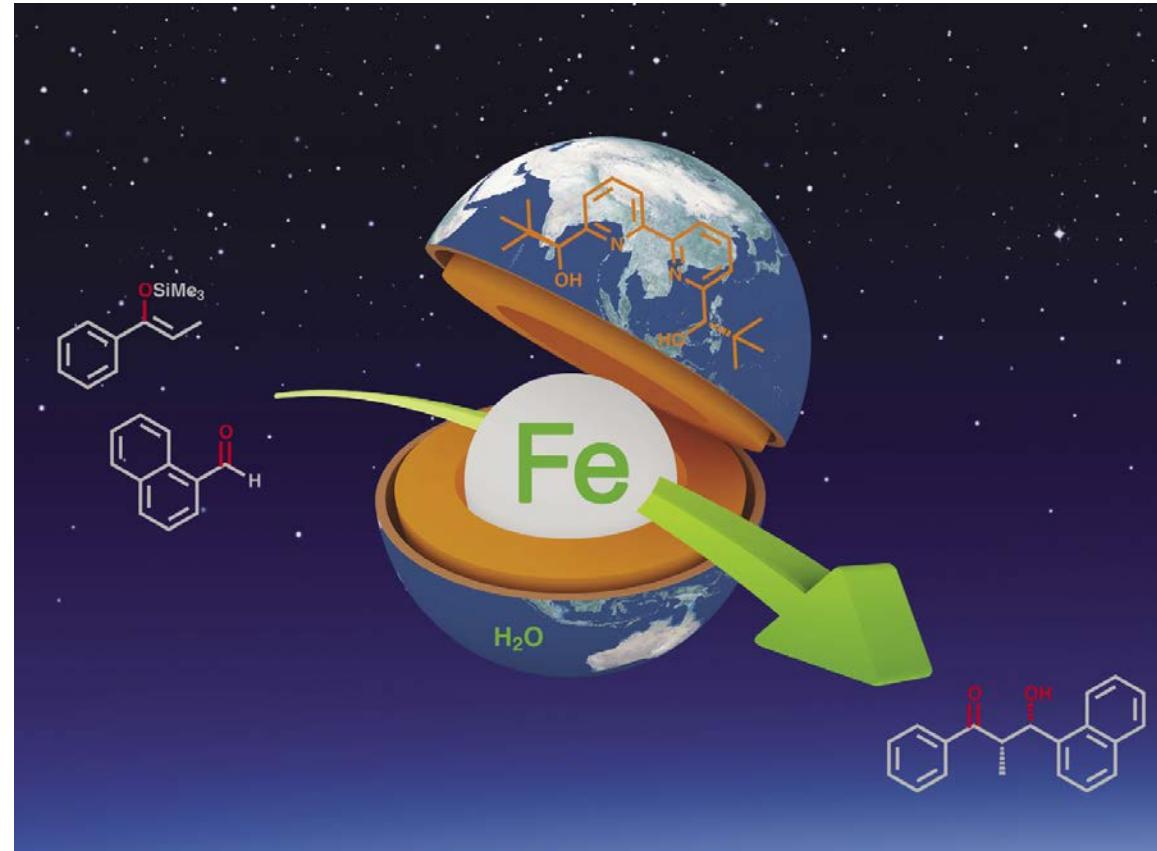
Cheap

Efficient

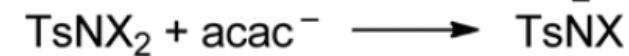
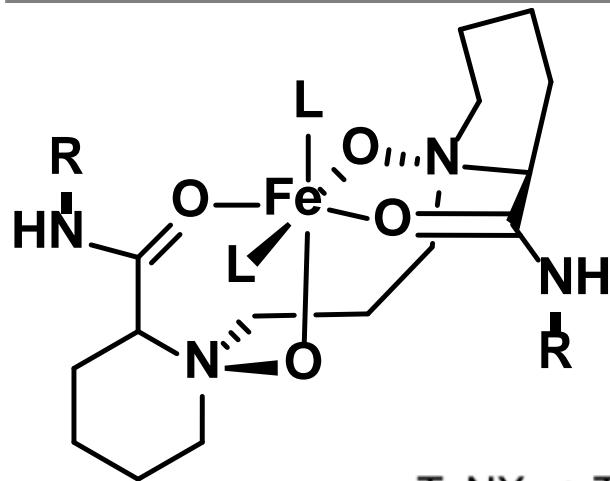
Various types of transformations

High chemo- and stereo selectivity

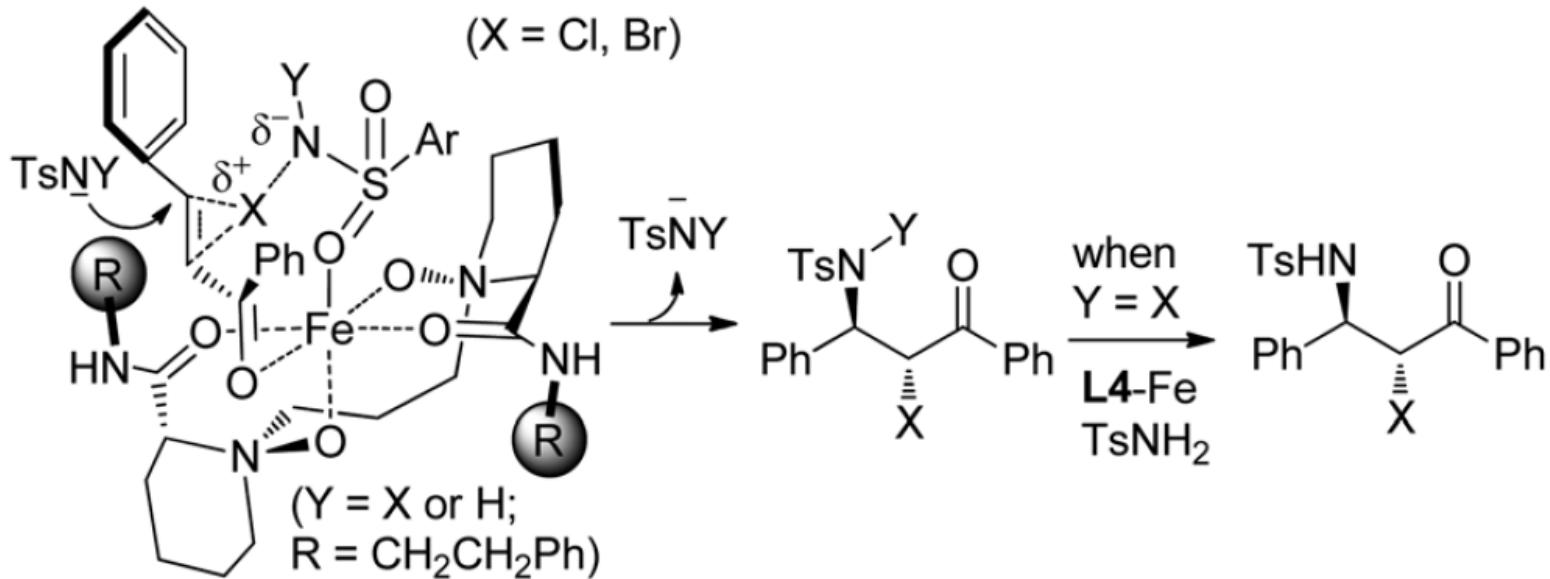
Aqueous media tolerated

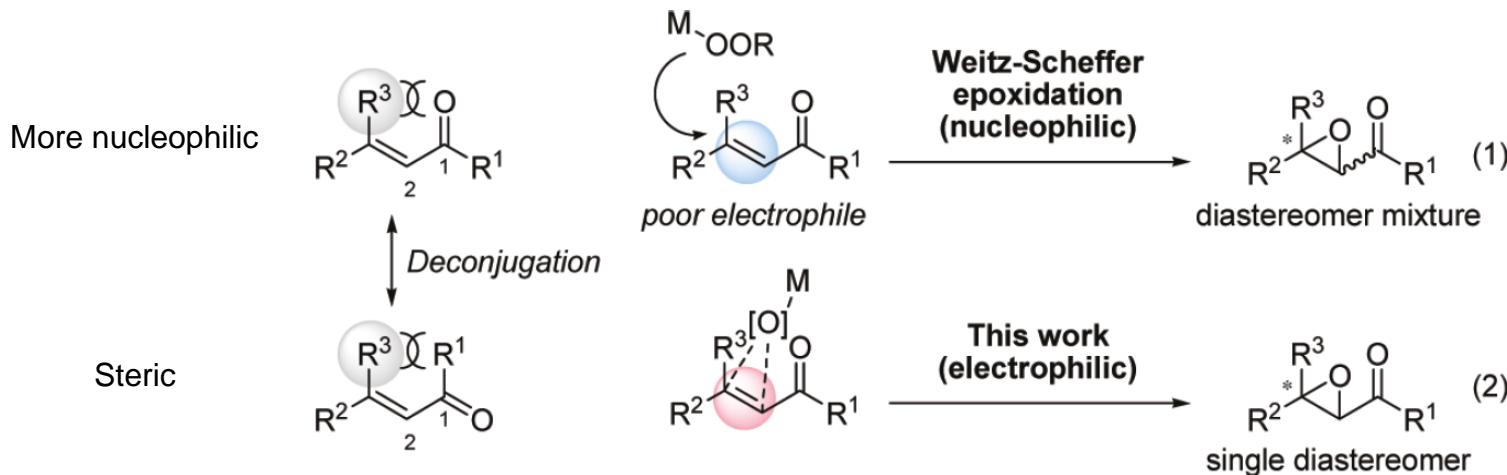


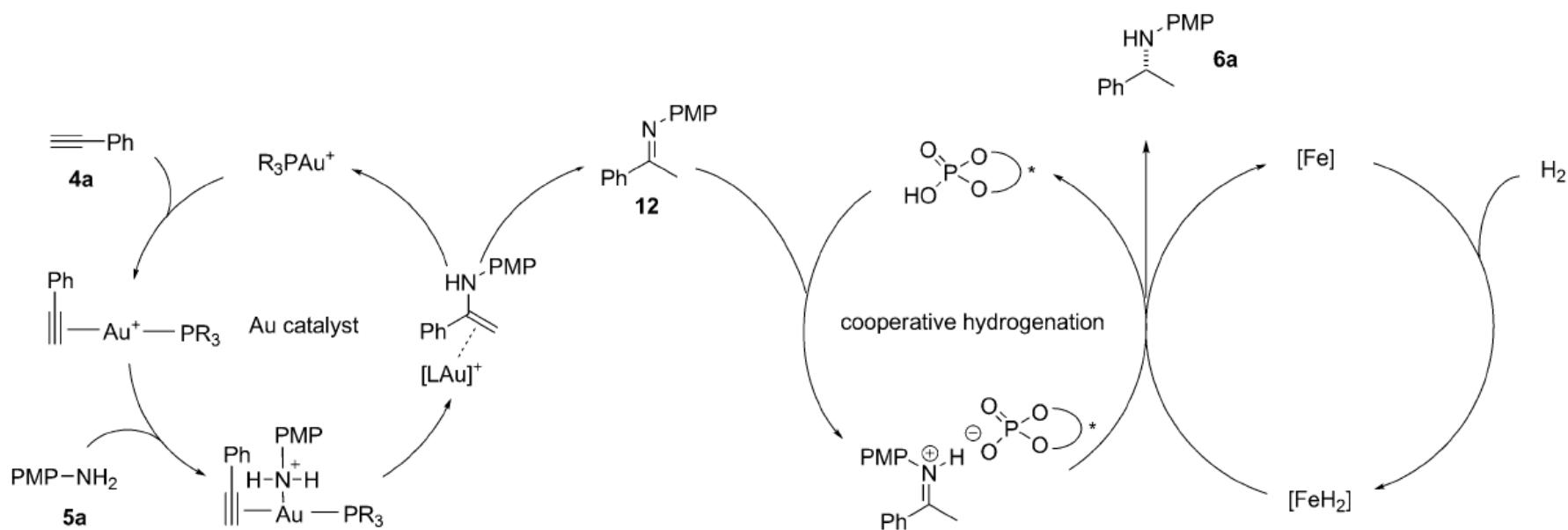
Thank you for  
your attention

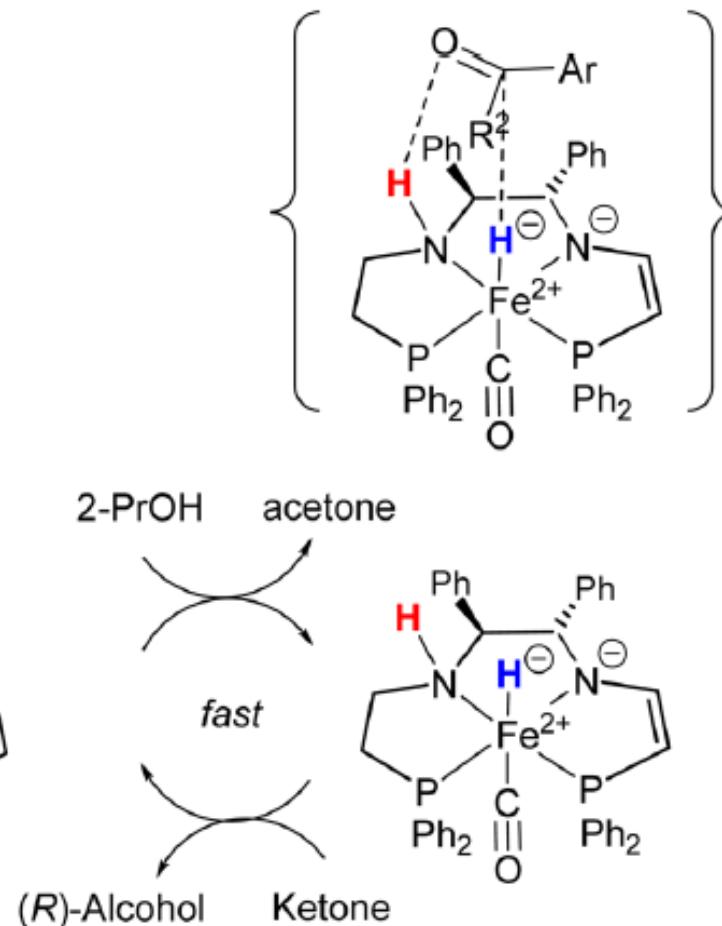
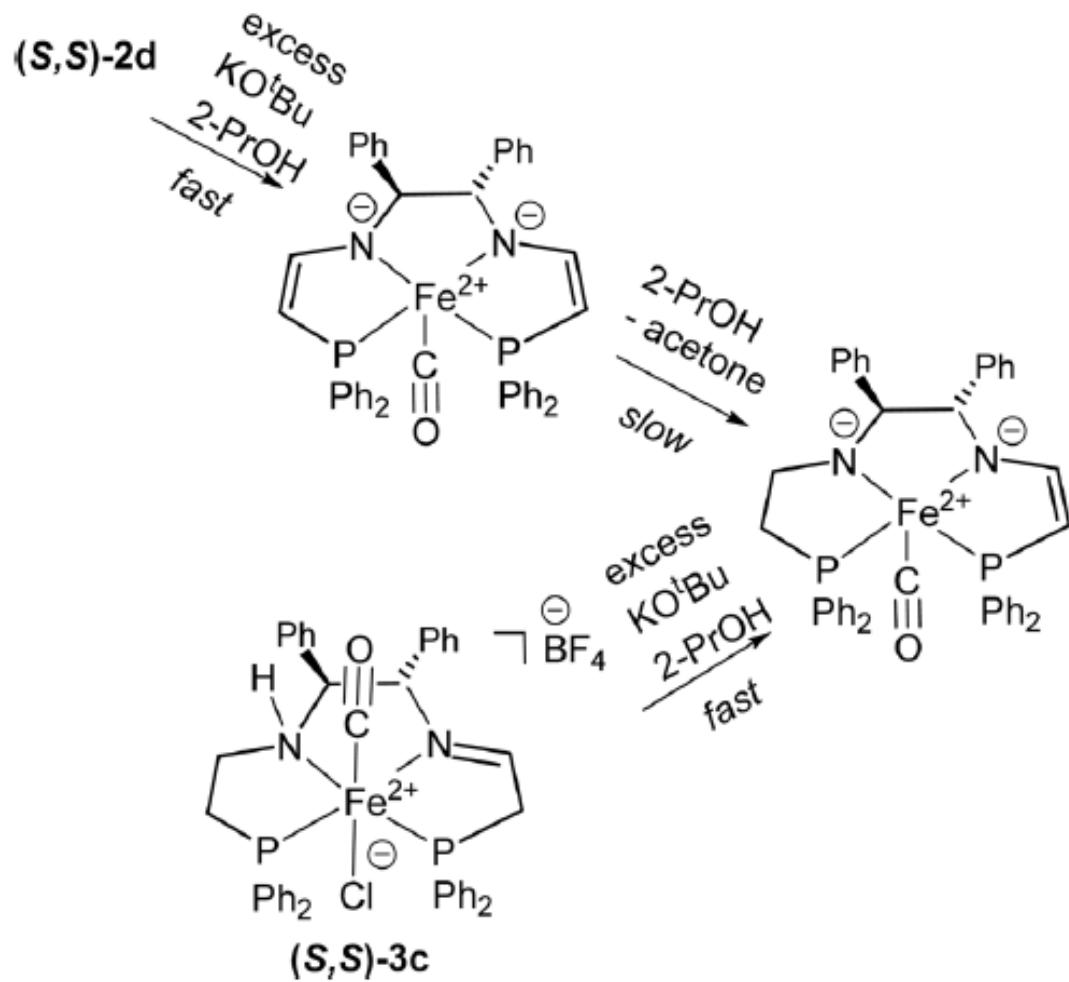


(X = Cl, Br)

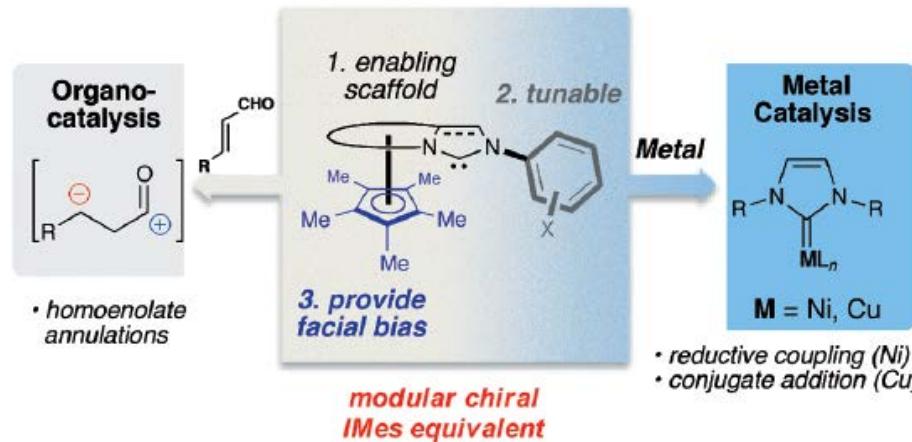




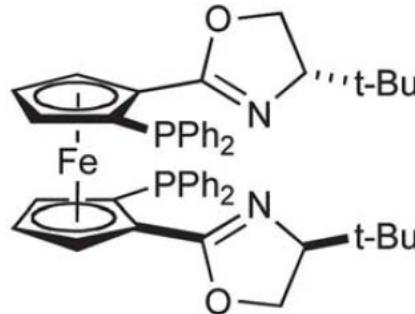
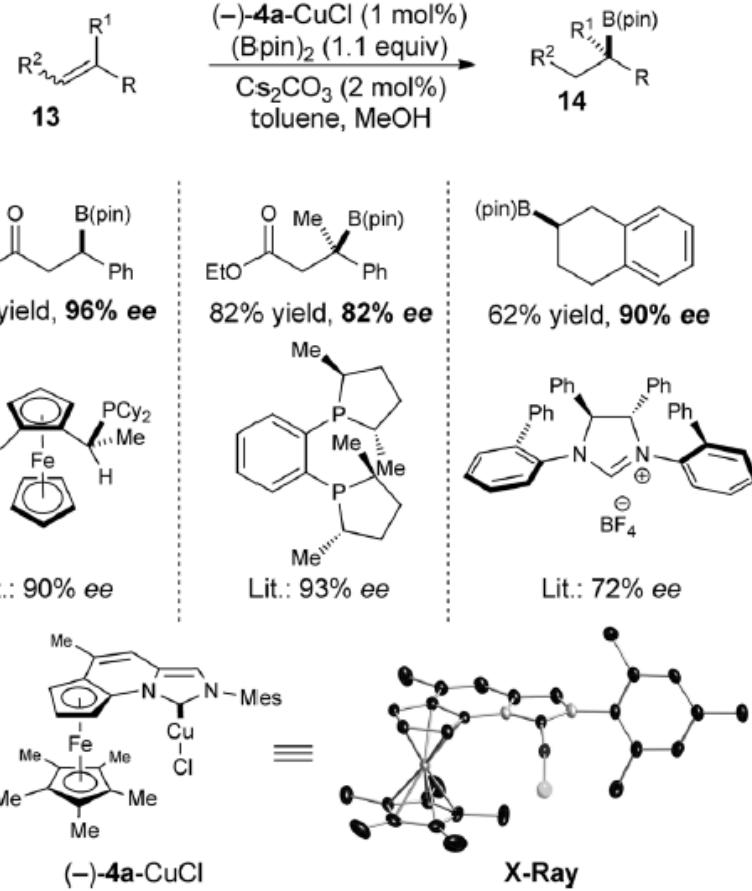




# Ligand design



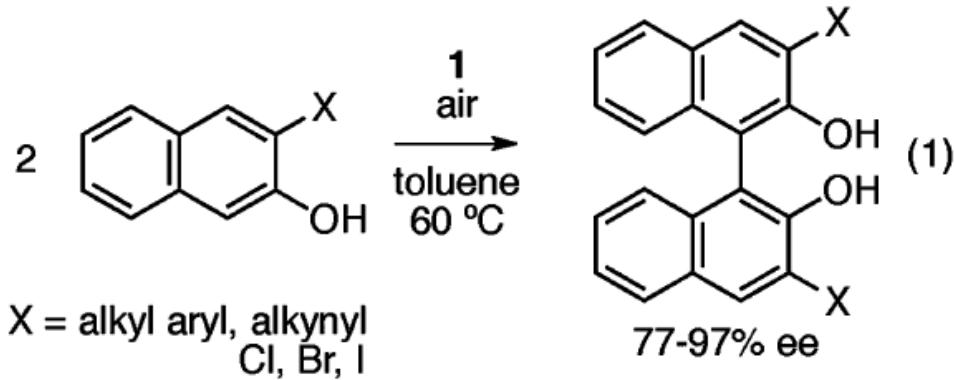
## Cu/NHC-catalyzed borylation



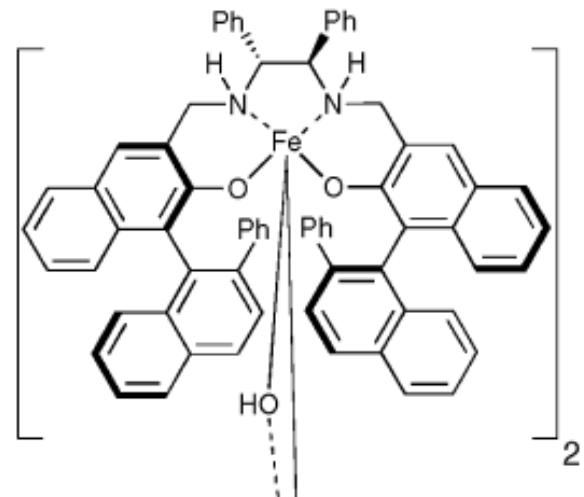
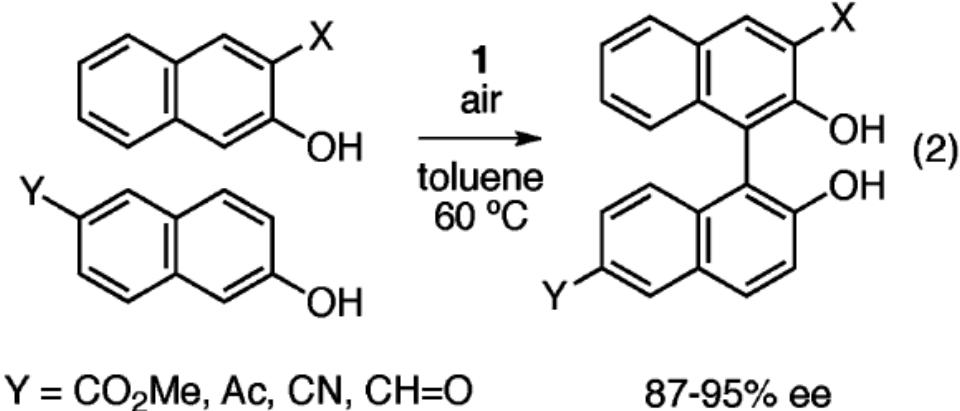
## 6. Asymmetric Oxidative Transformation of 2-Naphthols

- Coupling
- Dearomatization
- Spirocyclization

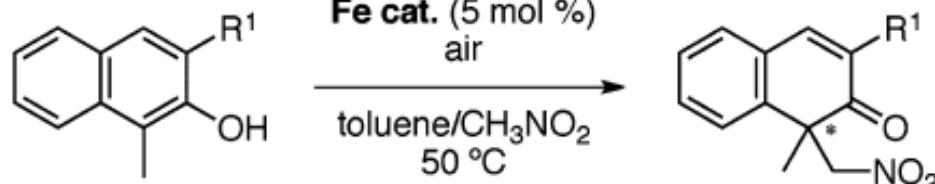
## Efficient chiral BINOL synthesis



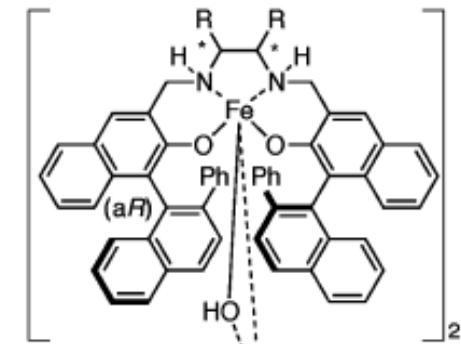
Prof. T. Katsuki



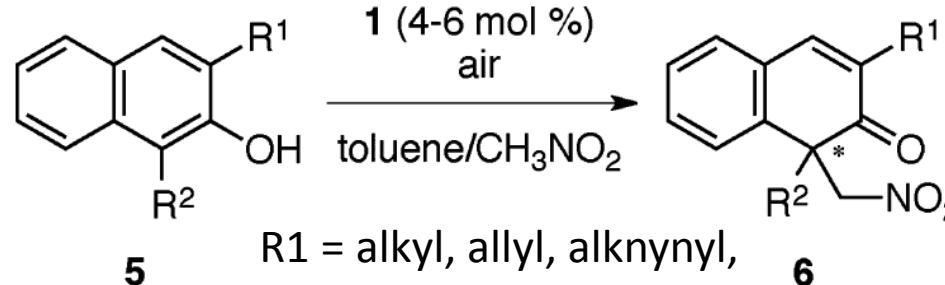
## With nitroalkanes



entry	R <sup>1</sup>	cat.	yield (%) <sup>b</sup>	ee (%) <sup>c</sup>
1	Me	1	90	90 (+)
2	Me	2	trace	n.d.
3	Me	3	61	80 (+)
4	Me	4	48	84 (-)
5	Me	1	n.r.	— Under Ar
6	H	1	23 <sup>i</sup>	34 (+)



1: (a*R*, *R*), R = Ph, 3: (a*R*, *R*), R = -(CH<sub>2</sub>)<sub>4</sub>-  
2: (a*R*, *S*), R = Ph, 4: (a*R*, *S*), R = -(CH<sub>2</sub>)<sub>4</sub>-



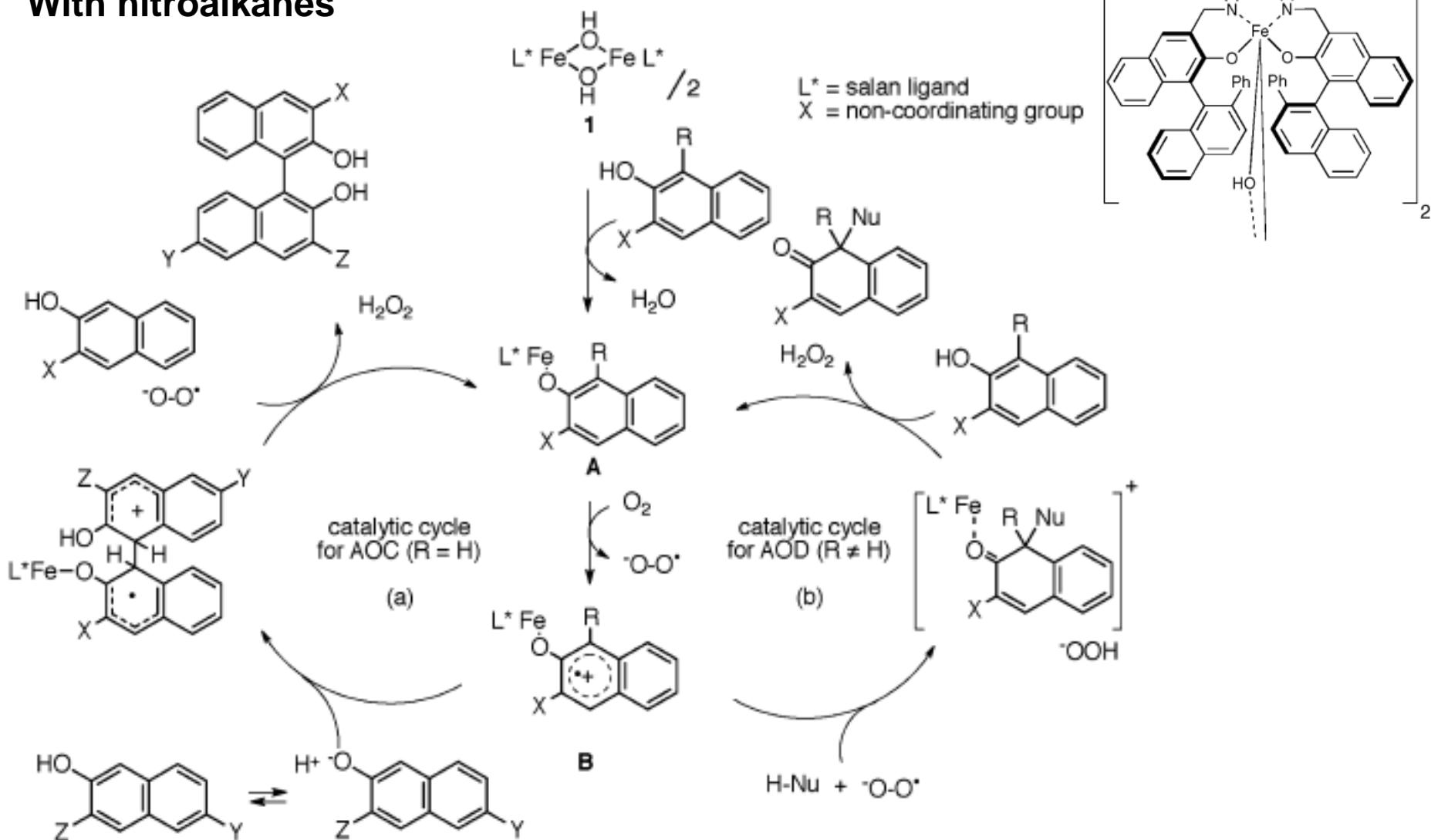
75 to 92 % yield  
88 to 96 % ee

5      R1 = alkyl, allyl, alkynyl,  
aromatic, halogen,  
R2 = alkyl

## AOC and AOD of 2-Naphthols

C-C bond formation

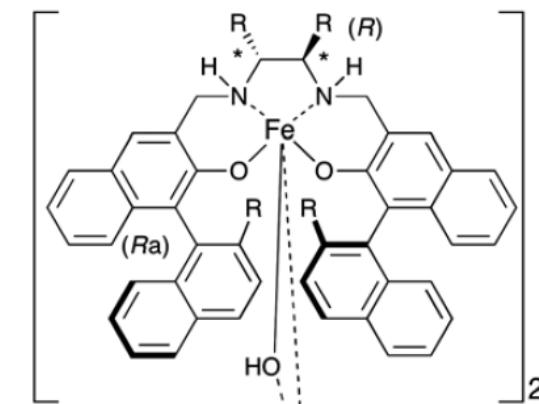
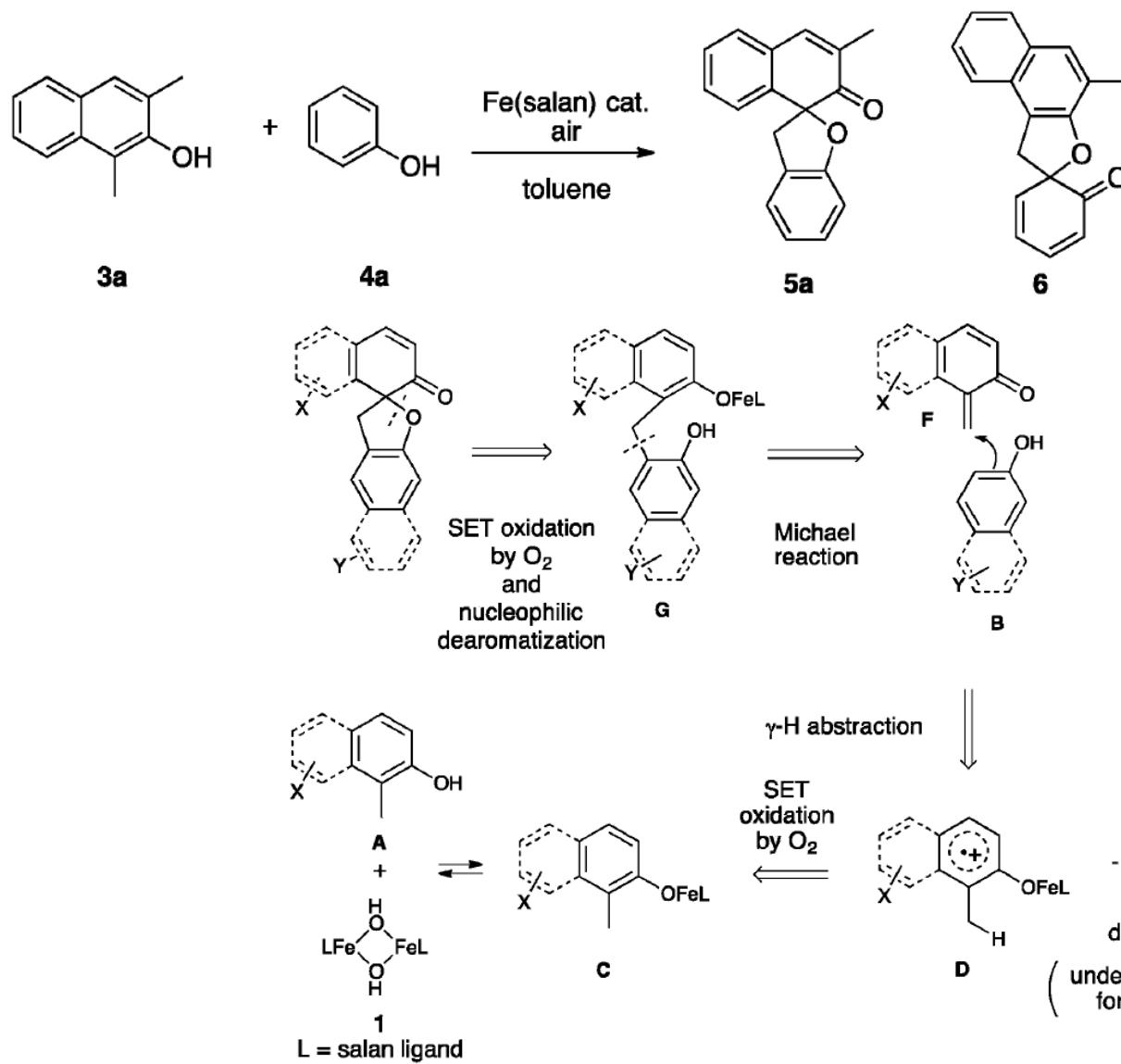
## With nitroalkanes



Z = X or H, Y = EWG or H

## AOD by spirocyclization

C-C bond formation



# *Major developments in Rh-catalyzed asymmetric 1,4-addition of boron species to enone*

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BY RAPHAËL BELTRAN

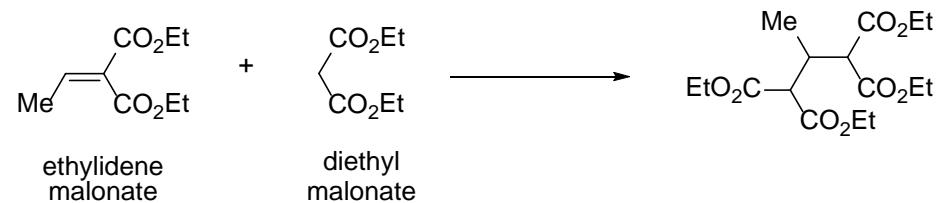
# Table of contents

---

- Introduction
- First non-asymmetric & asymmetric 1,4 addition
- Mechanistic studies
- Evolution of chiral ligands
- Application in total synthesis
- Conclusion

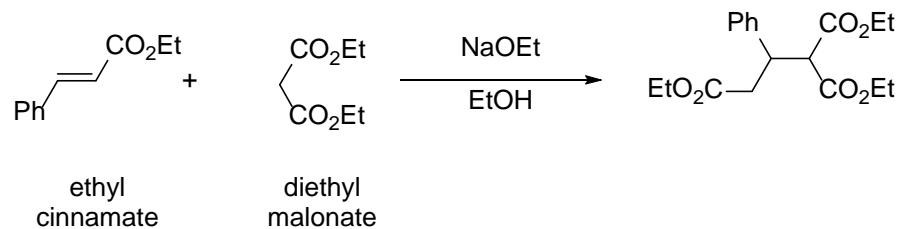
# Origins of conjugated addition

- First example of carbon nucleophile adding to an electron-deficient double bond



Komnenos, T. *Liebigs Ann. Chem.* **1883**, 218, 145.

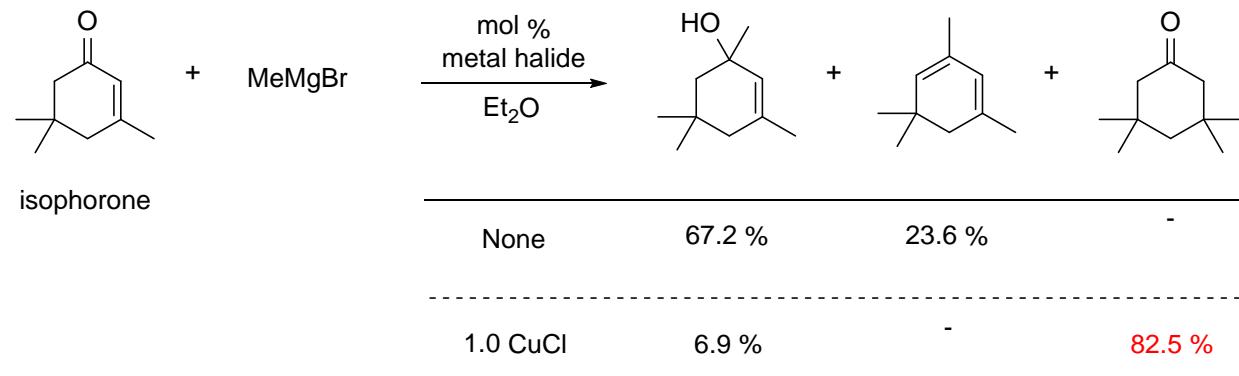
- Michael systematically investigated the reaction of stabilized anions with  $\alpha,\beta$ -unsaturated systems



Michael, A. *J. Prakt. Chem./Chem.-Ztg.* **1887**, 35, 349.

# Origins of conjugated addition

## ► First conjugated addition to enone

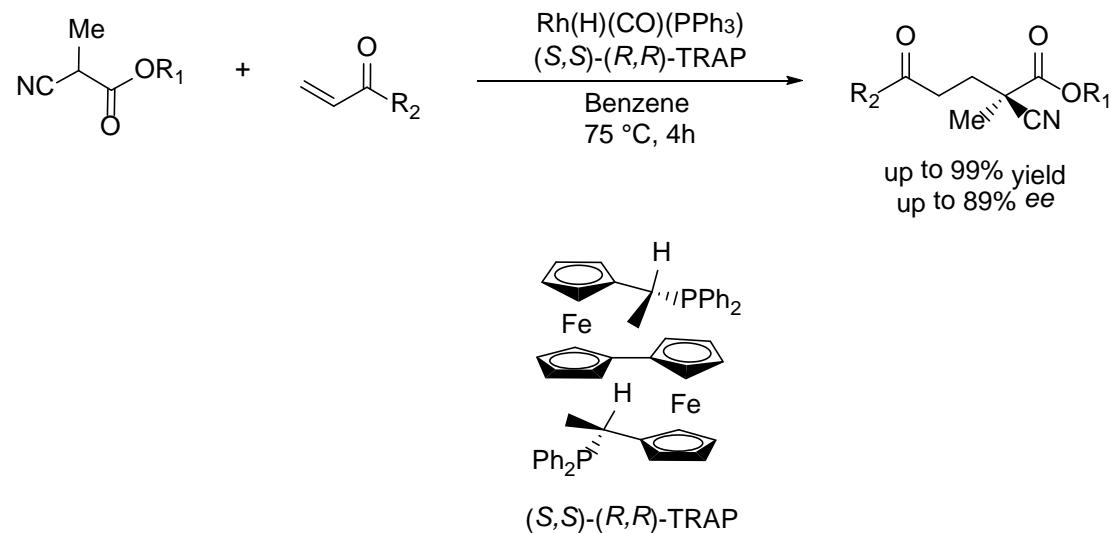


Kharasch, M. S, Tawney, P. O. *J. Am. Chem. Soc.* **1941**, 63, 2308

## ► Beginning of a vast number of studies with organometallic reagents.

# First enantioselective 1,4-addition using Rh

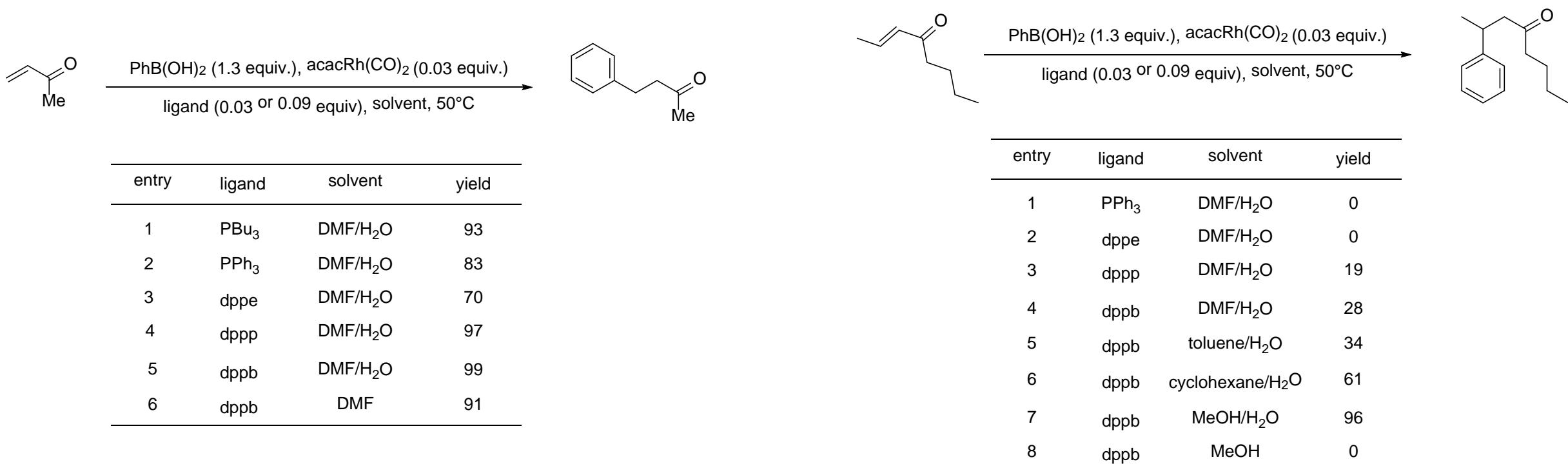
➤  $\alpha$ -cyano carboxylate with vinyl ketone or acrolein



➤ TRAP ligand designed by the same group. Both planar chiralities & stereogenic centers.

# First 1,4 addition of boronic acid

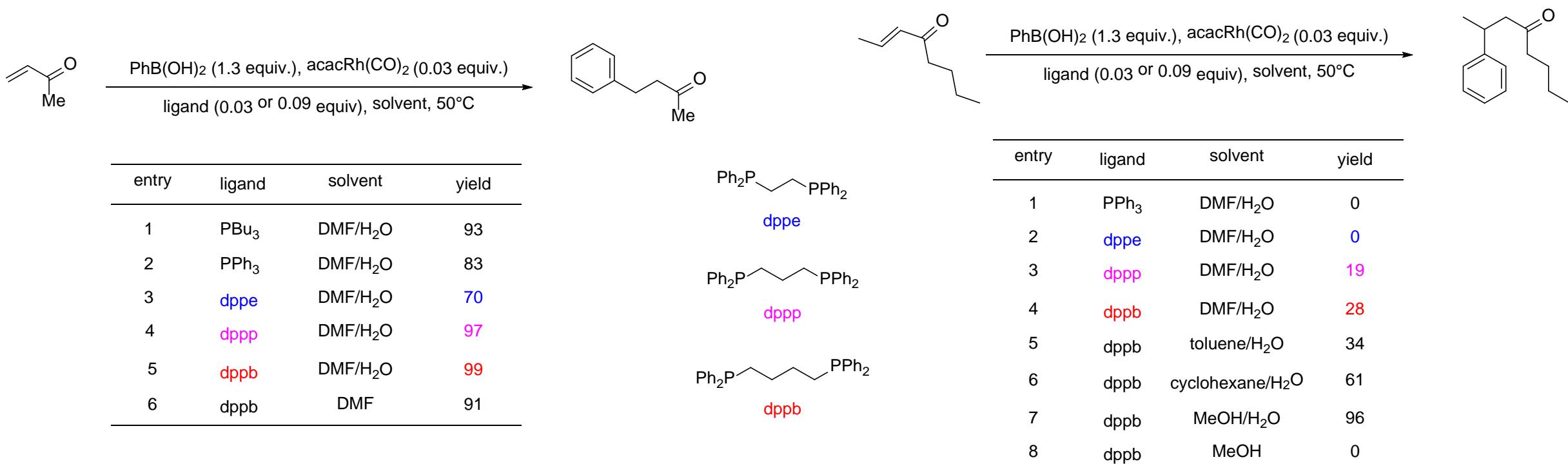
➤ 1,4-addition of aryl-boronic acids to  $\alpha,\beta$ -unsaturated ketones using a phosphine-rhodium complex as a catalyst system.  
Sakai, M.; Hayashi, H.; Miyaura, N. *Organometallics* **1997**, *16*, 4229.



➤ Suggesting that the reaction is accelerated upon the increase of the P-Rh-P angles: dppb>dppp>dppe

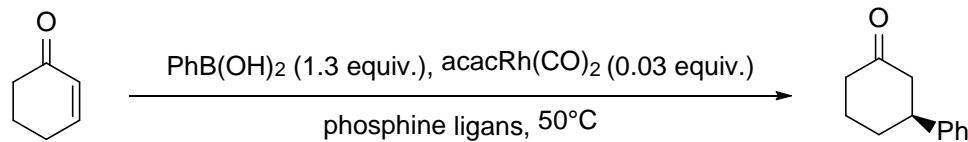
# First 1,4 addition of boronic acid

➤ 1,4-addition of aryl-boronic acids to  $\alpha,\beta$ - unsaturated ketones using a phosphine-rhodium complex as a catalyst system.  
 Sakai, M.; Hayashi, H.; Miyaura, N. *Organometallics* **1997**, *16*, 4229.



- Suggesting that the reaction is accelerated upon the increase of the P-Rh-P angles: dppb>dppp>dppe
- Electron-withdrawing & -donating groups: No difference. Ortho substituents strongly retarded the addition.

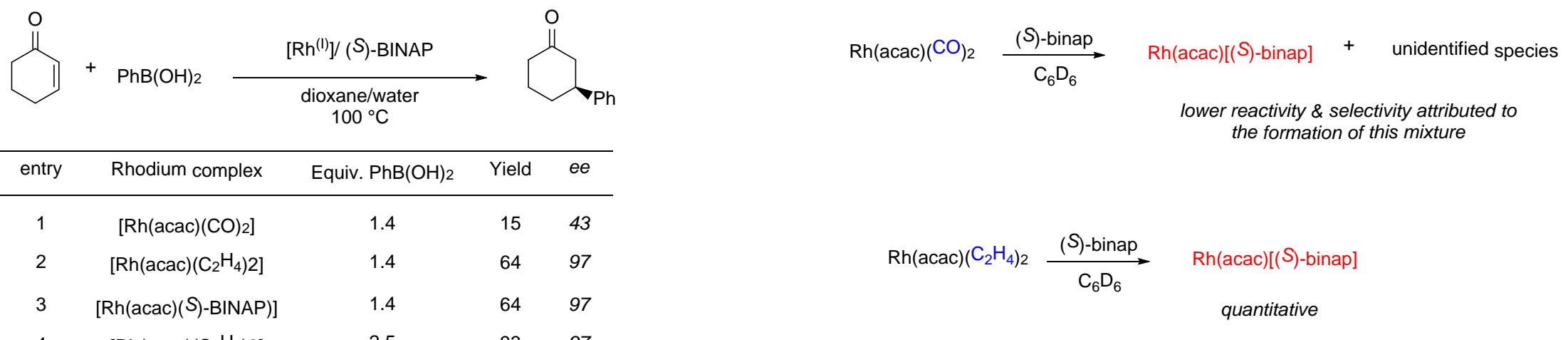
# First asymmetric 1,4-addition of boronic acid



Very slow reaction with any chiral ligands examined.

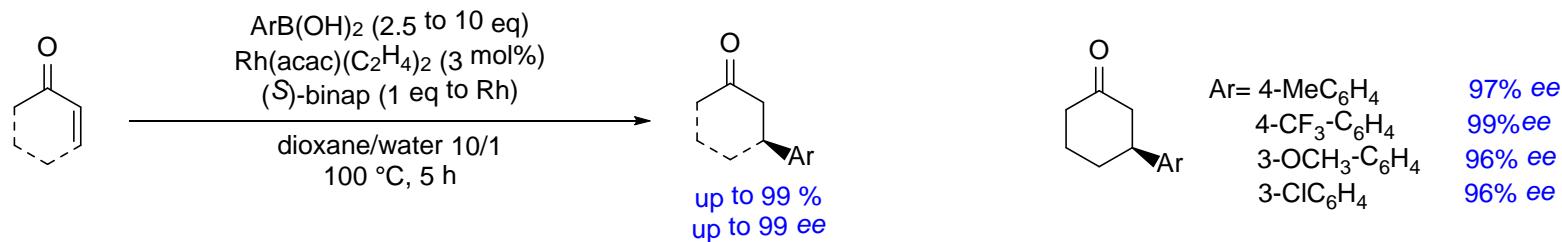
Takaya, Y.; Ogasawara, M.; Hayashi, T.; Sakai, M.; Miyaura, N. *J. Am. Chem. Soc.* **1998**, *120*, 5579.

## ► What about the catalytic species?



*this complex showed the same catalytic activity and stereoselectivity as the *in situ* generated catalyst conclusion:  $\text{Rh}(\text{acac})[(-)\text{-binap}]$  is a catalytically active species or a key precursor*

# First asymmetric 1,4-addition of boronic acid



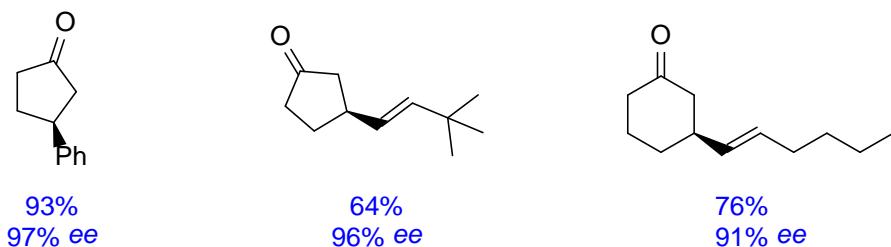
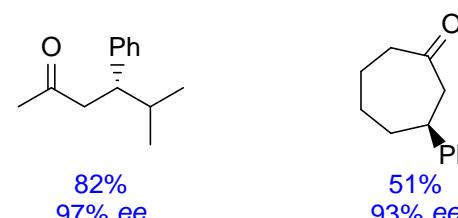
➤ Generation of  $\text{Rh}(\text{acac})[(S)\text{-binap}]$

➤ High reaction temperature (100 °C). Less than 60 °C < 3% yield.

➤ Enantioselectivity constant between 40 and 120°C

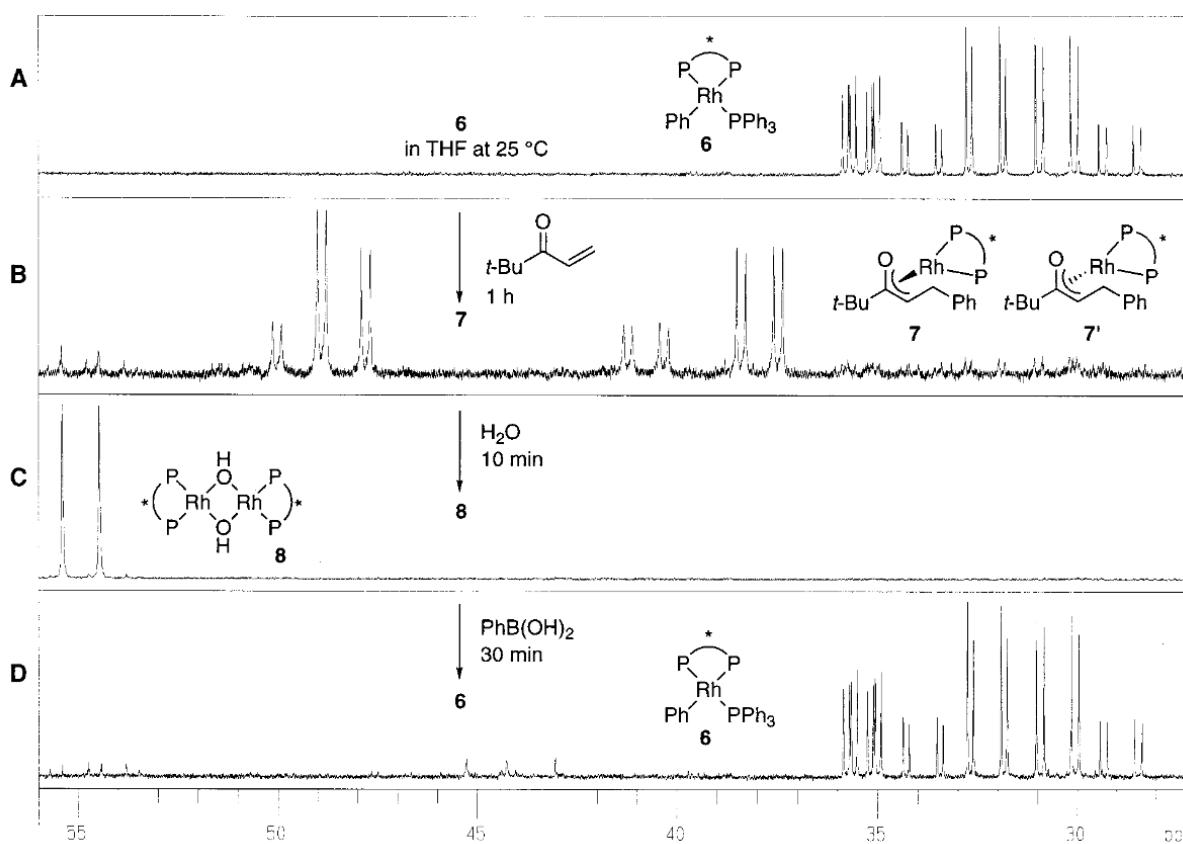
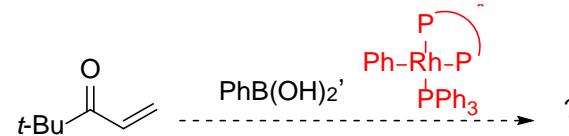
➤ Mixture of dioxane and water in a ratio of 10 to 1

➤ Broad scope, linear & cyclic enones.



Takaya, Y.; Ogasawara, M.; Hayashi, T.; Sakai, M.; Miyaura, N. *J. Am. Chem. Soc.* **1998**, *120*, 5579.

# Mechanistic studies



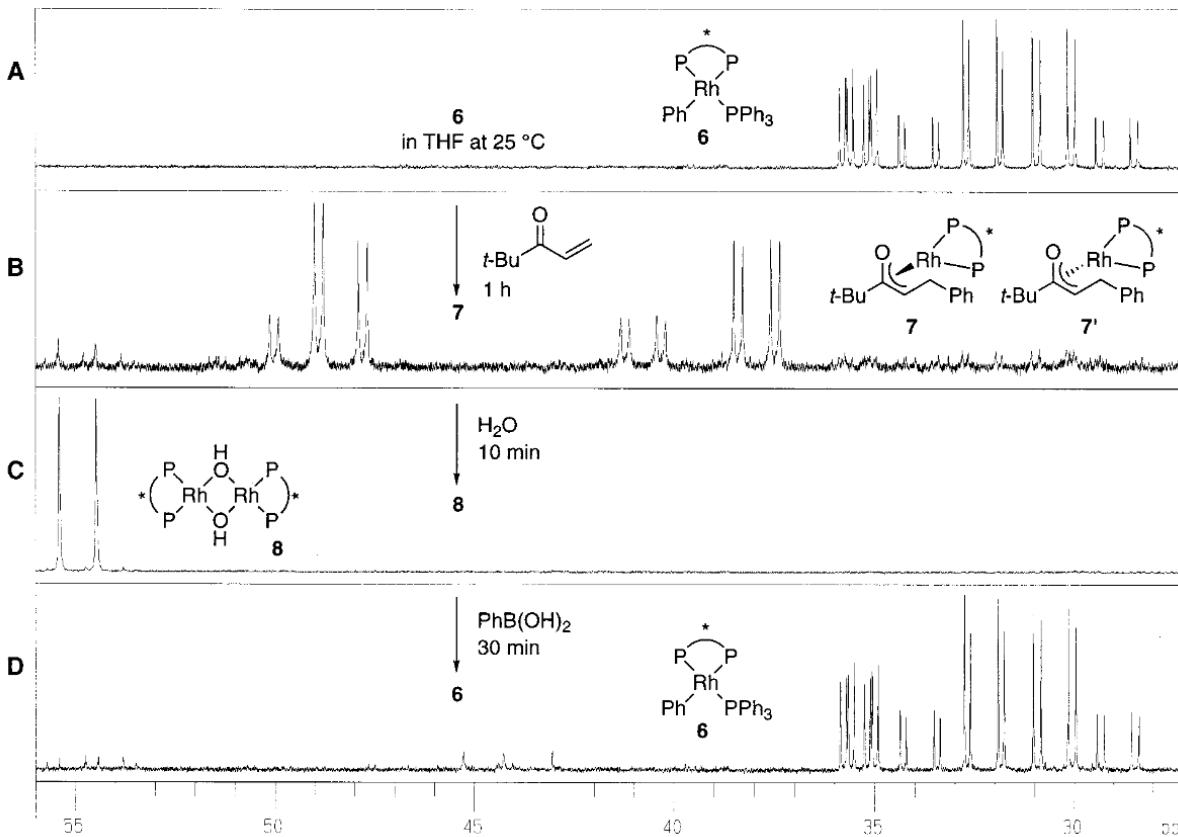
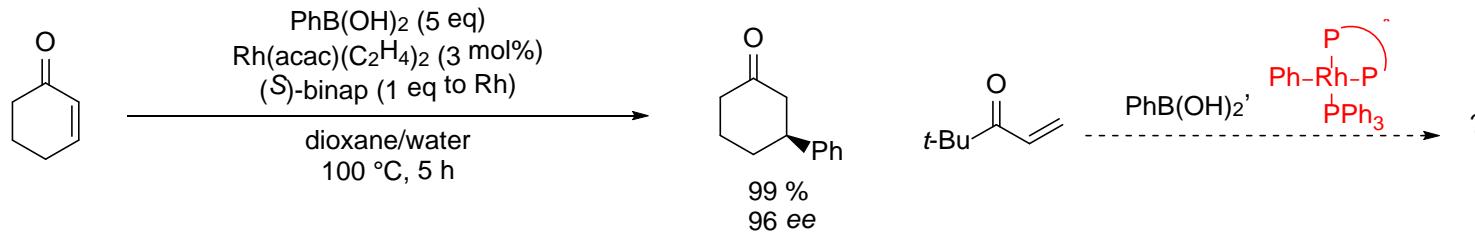
Three *ddd*, characteristic of square-planar Rh complex with 3 unequivalent phosphorus atoms

Two new species in a ratio 2/1. One singlet at 4.5 ppm assignable to PPh<sub>3</sub>.  
 $\frac{[RhCl(binap)]_2}{[Rh(OH)(cod)]_2} \xrightarrow{\text{benzene}} \frac{1/2[Rh(OH)(binap)]_2}{}$  same NMR spectra  
 This isomers assigned to two diastereomeric oxa- $\pi$ -allylrhodium complexes according to procedure in *Organometallics*, 1994, 13, 890.

Immediate generation of  $\alpha$ -*new* rhodium species assigned to be hydroxorhodium. *Nb*, the singlet for free PPh<sub>3</sub> stay at the same high field

Transmetalation of a phenyl group from boron to rhodium species 8. Quite fast at 25 °C  
 PPh<sub>3</sub> which was free in step B & C came back to the rhodium at the transmetalation

# Mechanistic studies



Three *ddd*, characteristic of square-planar Rh complex with 3 unequivalent phosphorus atoms

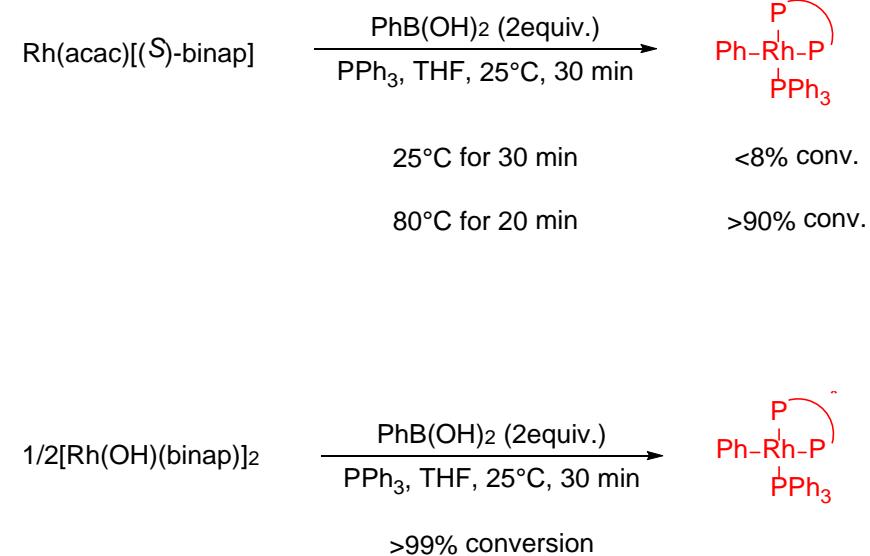
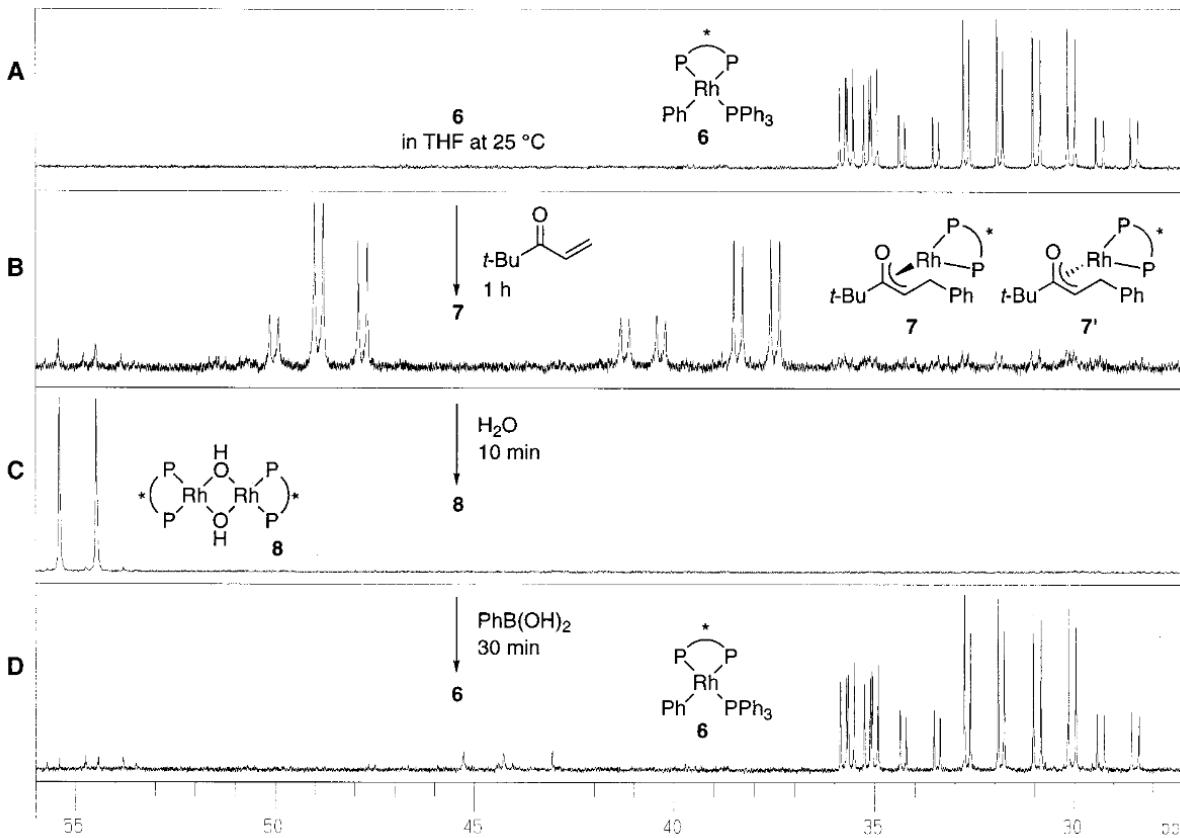
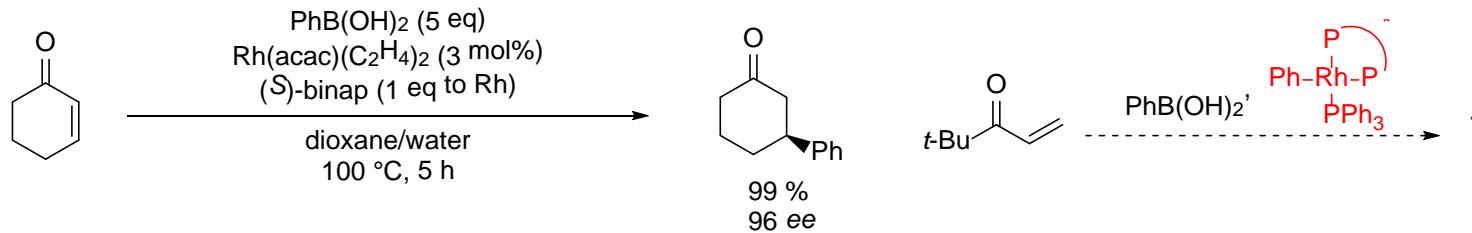
Two new species diastereomeric oxa- $\pi$ -allylrhodium complexes

Immediate generation of a new rhodium species: **hydroxorhodium**.

Transmetalation of a phenyl group to rhodium specie.  
Done at 25 °C

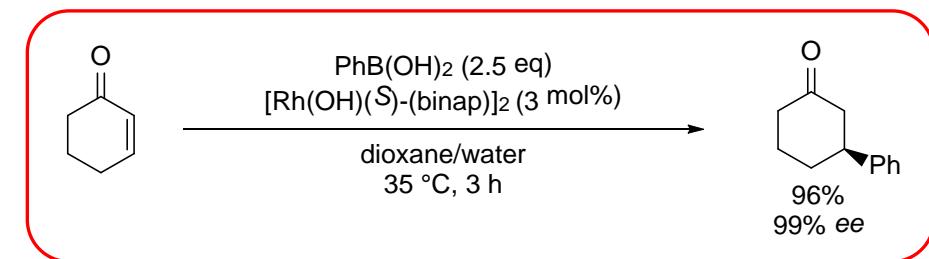
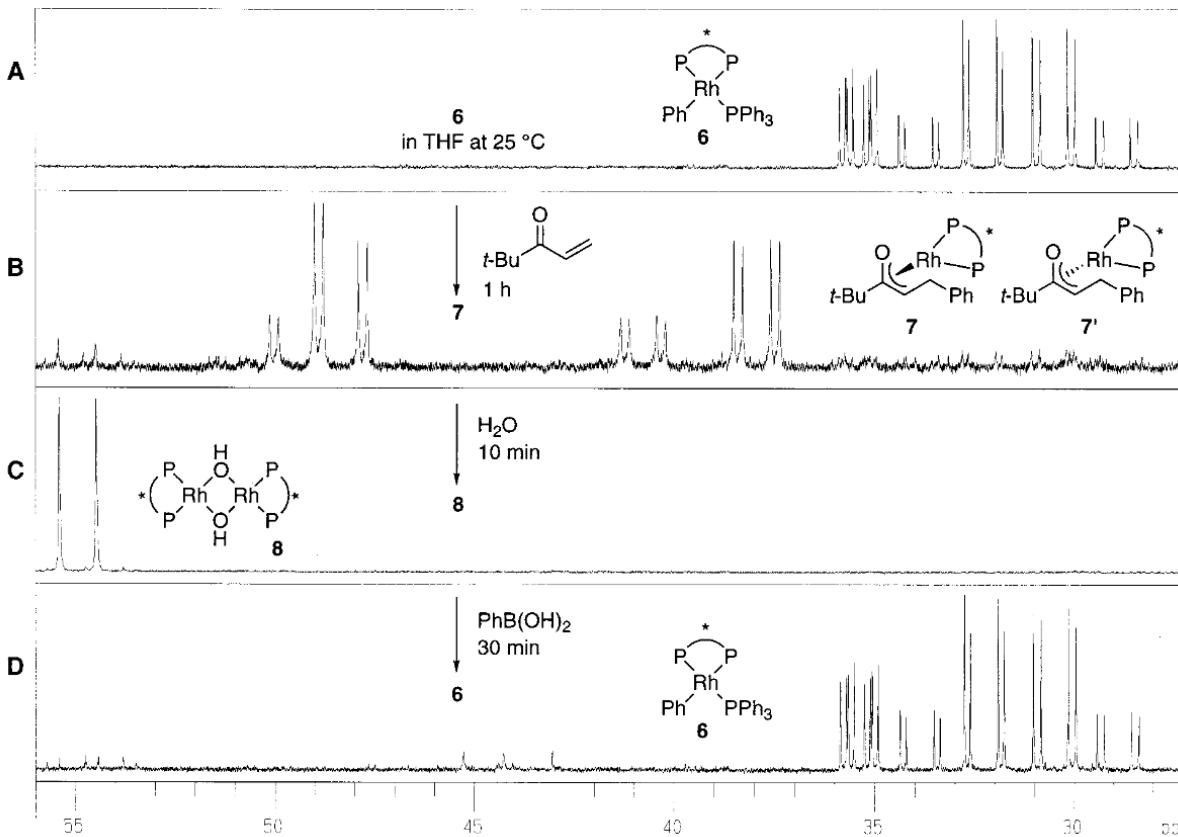
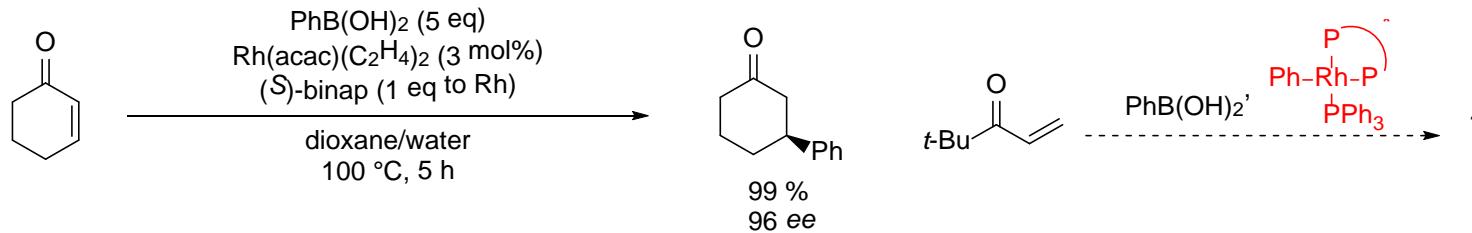
T. Hayashi, *J. Am. Chem. Soc.*, **2002**, 124, 5052

# Mechanistic studies



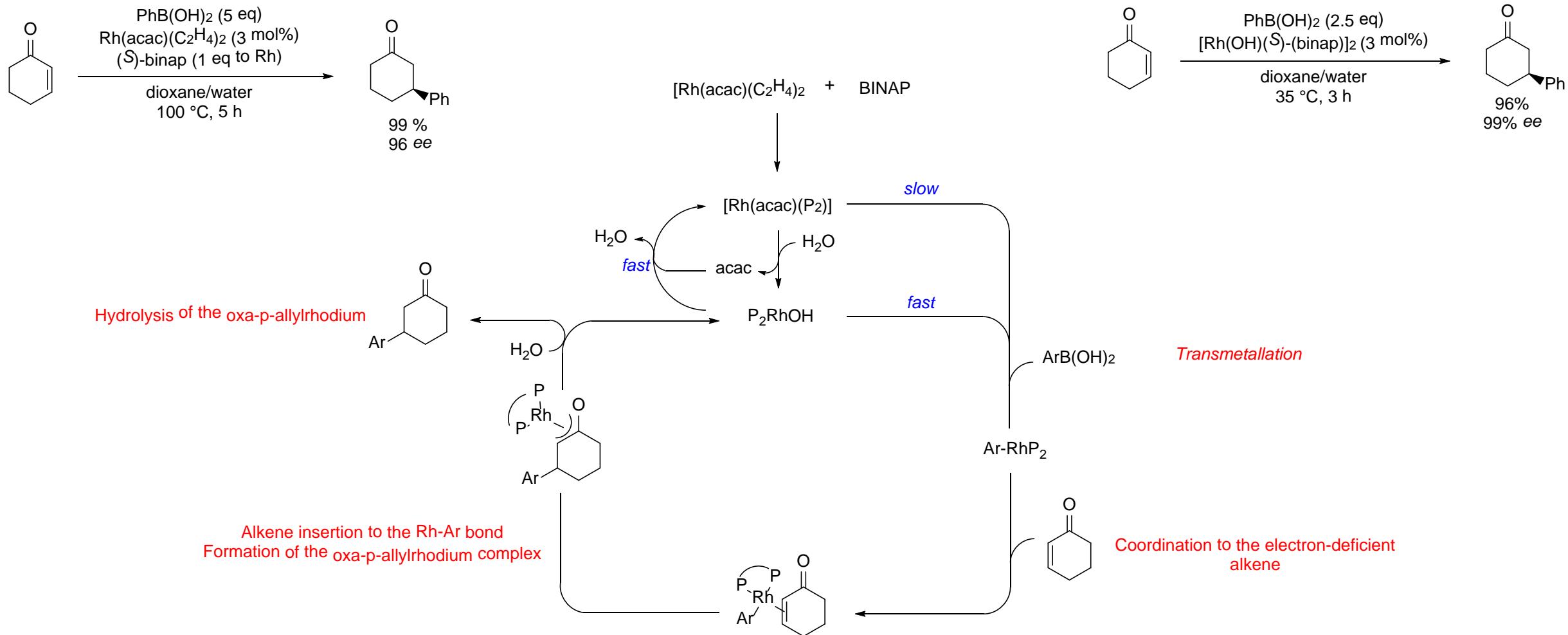
T. Hayashi, J. Am. Chem. Soc., 2002, 124, 5052

# Mechanistic studies



T. Hayashi, J. Am. Chem. Soc., 2002, 124, 5052

# Mechanistic studies

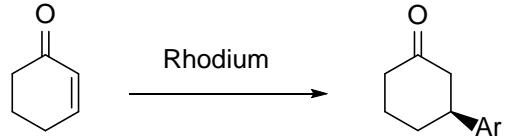


M. Lautens, Chem. Rev., 2003, 103, 169

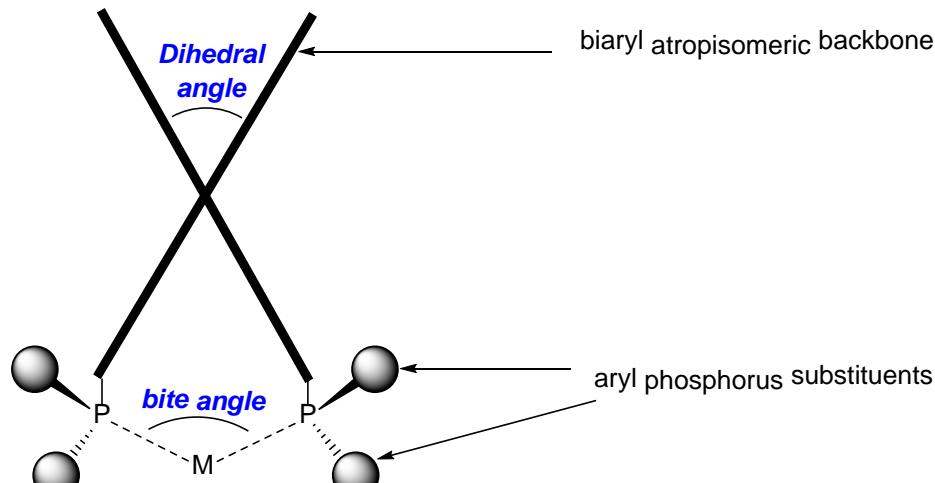
# Evolution of Chiral Ligands

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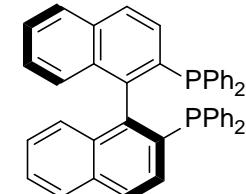
# Bisphosphine ligands



- Based on chiral BINOL, play with atropisomeric (such as BINAP) to get high *ee* in 1,4-addition reactions



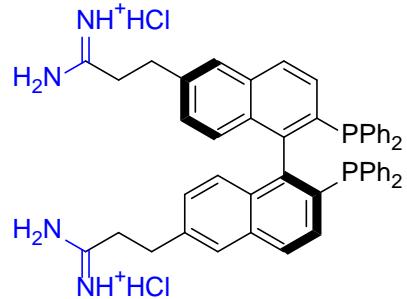
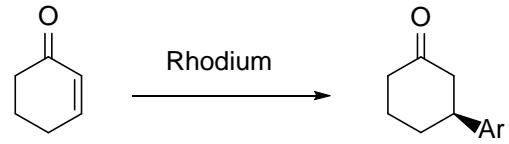
General stereoelectronic tunable features of a C<sub>2</sub>-symmetric atropisomeric diphosphane



(S)-BINAP  
96%  
99% ee

R. Noyori. *J. Am. Chem. Soc.*, **1980**, 27, 7932

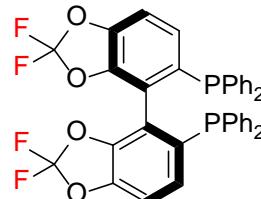
# Bisphosphine ligands



**digm-BINAP**  
94%  
98% ee

Designed for aqueous conjugated addition with two guanidine salt

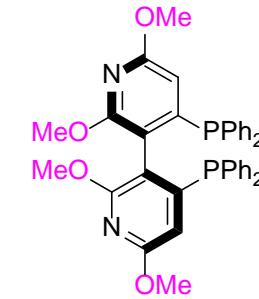
R. Amengual, V. Michelet, J.-P. Genet, *Synlett*, **2002**, 11, 1791



**DIFLUORPHOS**  
98%  
99% ee

bi(difluorobenzodioxole) motif afford narrow dihedral angle and unusual  $p$ -acidity

S. Jeulin, J.P. Genet, *Angew. Chem. Int. Ed.*, **2004**, 43, 320.

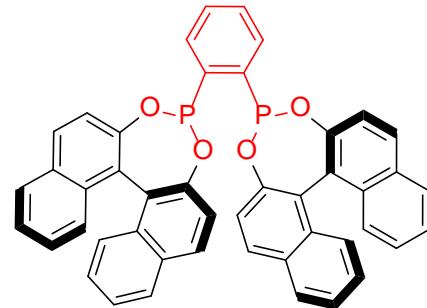
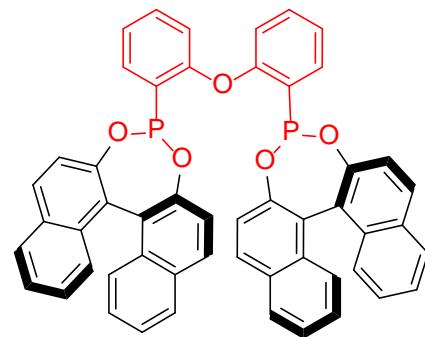
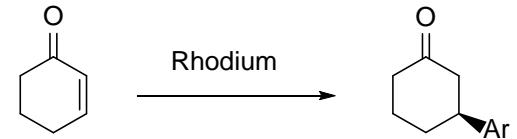


**(S)-P-Phos**  
99%  
95% ee

*ortho* group block the access of the nitrogen to the Rh

J. Wu, A. S. C. Chan, *Accounts of Chemical Research*, **2006**, 39, 711

# Bisphosphine ligands



**BINOL-based diphosphonites**

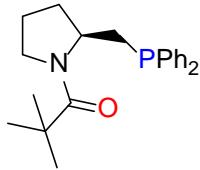
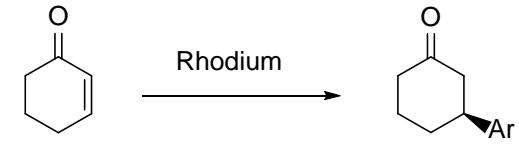
quant.  
96% ee

100  
99% ee

Achiral backbone determines the degree of enantioselectivity

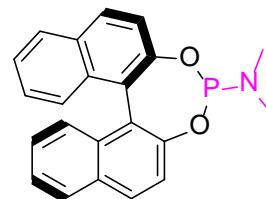
M.T. Reetz, *Organic Letters*, **2001**, 3, 4083

# Monodentate ligands



**Amidomonophosphine**  
99%  
96% ee

Phosphorus atom strongly bonds to rhodium and the amide carbonyl oxygen is coordinatively labile.

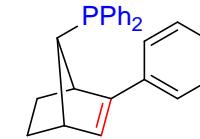


**$\text{H}_8\text{-MonoPhos}$**   
97%  
92% ee

Excellent ligands for conjugated- additions. Ease of preparation and structural flexibility by varying the amine.

K. Tomioka, *J. Am. Chem. Soc.*, **2002**, 124, 8932.

B. L. Feringa, *Organic Letters*, **2003**, 5, 681



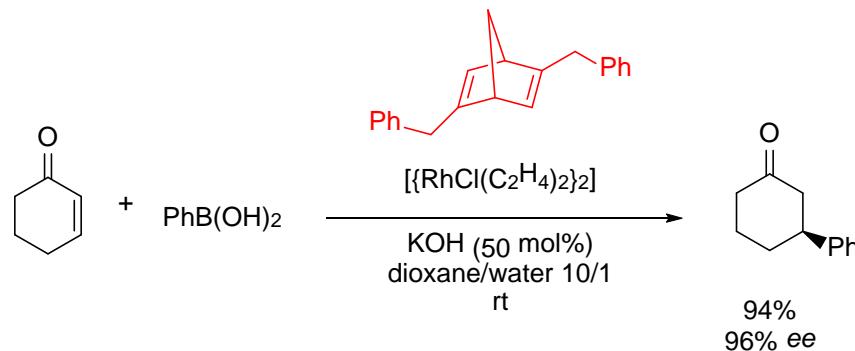
**Alkene-phosphine**  
94%  
93% ee

Combine advantages of phosphine: Good coordination  
Diene: Good rigidity; Ideal chiral environment around rhodium

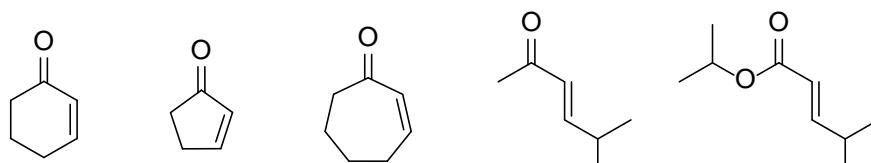
W. L. Duan, T. Hayashi, *J. Am. Chem. Soc.*, **2007**, 129, 2130

# Chiral diene ligands

- Second major class of metal complex for asymmetric conjugated addition.



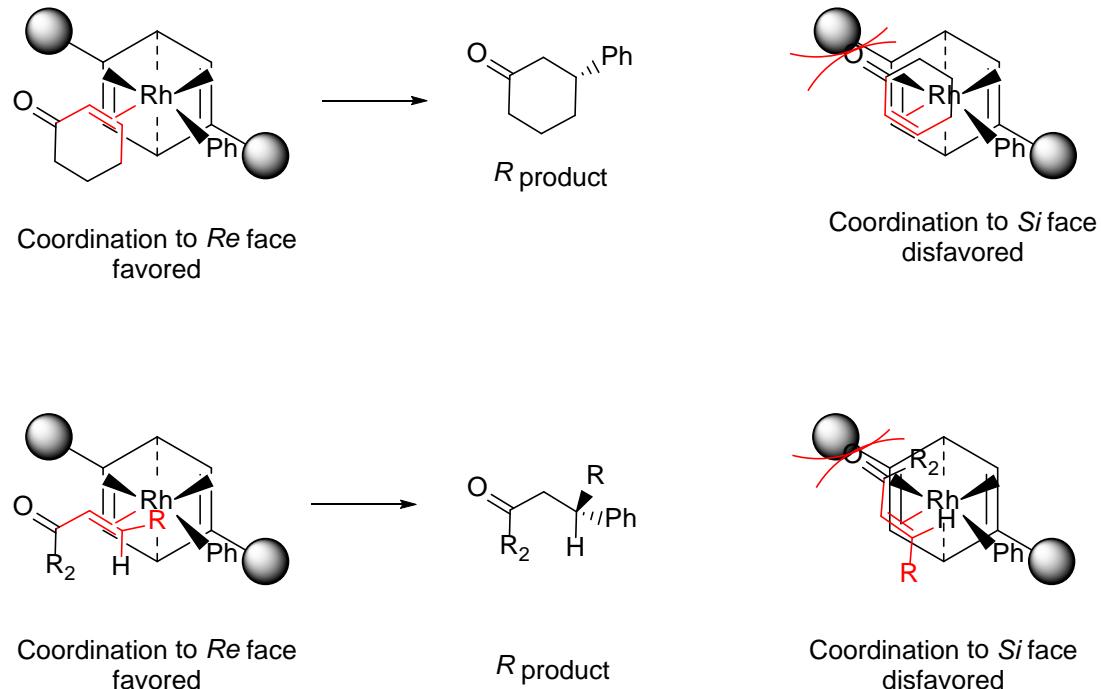
T. Hayashi, *J. Am. Chem. Soc.*, **2003**, 125, 11508



Excellent yield & ee

# Chiral diene ligands

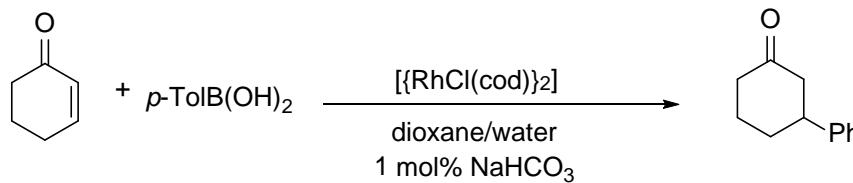
- Chirality is controlled by the size of the substituents attached to the double bond.



T. Hayashi, *J. Am. Chem. Soc.*, **2003**, 125, 11508

# Chiral diene ligands

- Importance of dienes as ligands.

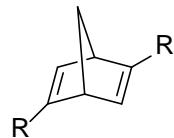


entry	Mol % catalyst	T [°C]	t [h]	Yield [%]	TON
1	0.01	90	16	98	9800
2	0.005	90	24	67	13400
3	0.005	90	36	97	18400
4	0.001	100	36	97	97000
5	0.0005	100	36	96	192000
6	0.0002	100	36	75	375000

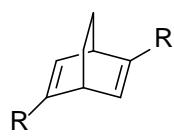
R. Itooka, N. Miyaura, *Chem. Lett.*, **2001**, 722

# Chiral diene ligands

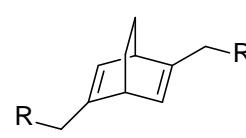
## ➤ Range of chiral diene ligands



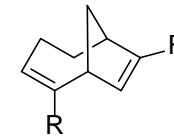
Hayashi



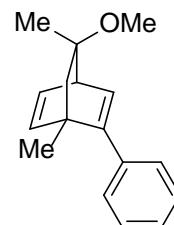
Hayashi



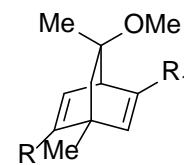
Hayashi



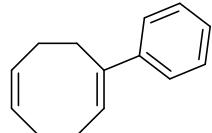
Hayashi



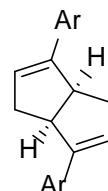
Carreira



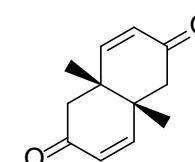
Carreira



Grützmacher



Xu, Lin, Laschat



Trauner

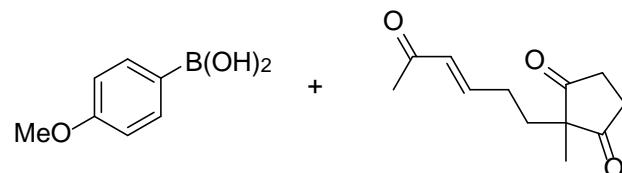
# Table of contents

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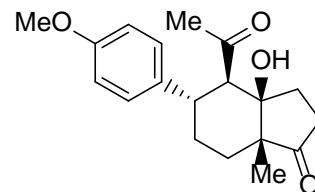
- Introduction
- First non-asymmetric & asymmetric 1,4 addition
- Mechanistic studies
- Evolution of chiral ligands
- Application in total synthesis
- Conclusion

# Application in total synthesis

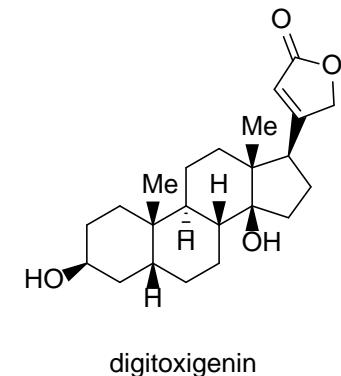
## ➤ Enantioselective approach



2.5 mol%  $[\text{Rh}(\text{cod})\text{OMe}]_2$   
7.5 mol % (*S*)-BINAP  
10 mol% KOH  
dioxane/H<sub>2</sub>O, 95 °C

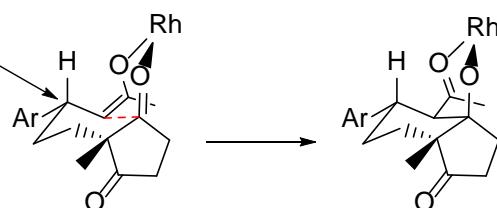


80% yield  
>99:1 de, 92% ee



M. J. Krishe, *J. Am. Chem. Soc.*, 2003, 125, 1110

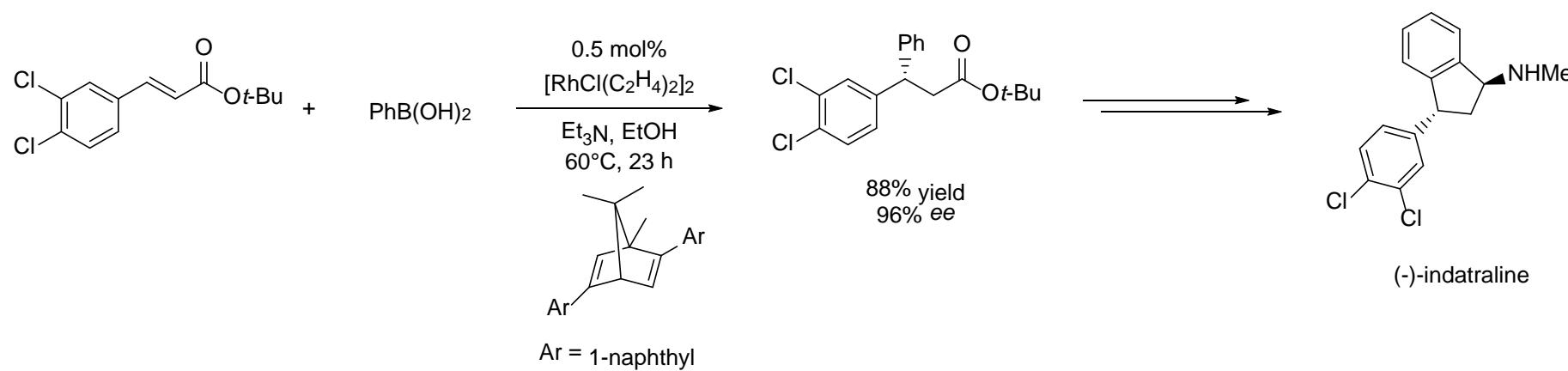
established by the  
1,4 addition



Control of 4 contiguous stereocenters

# Application in total synthesis

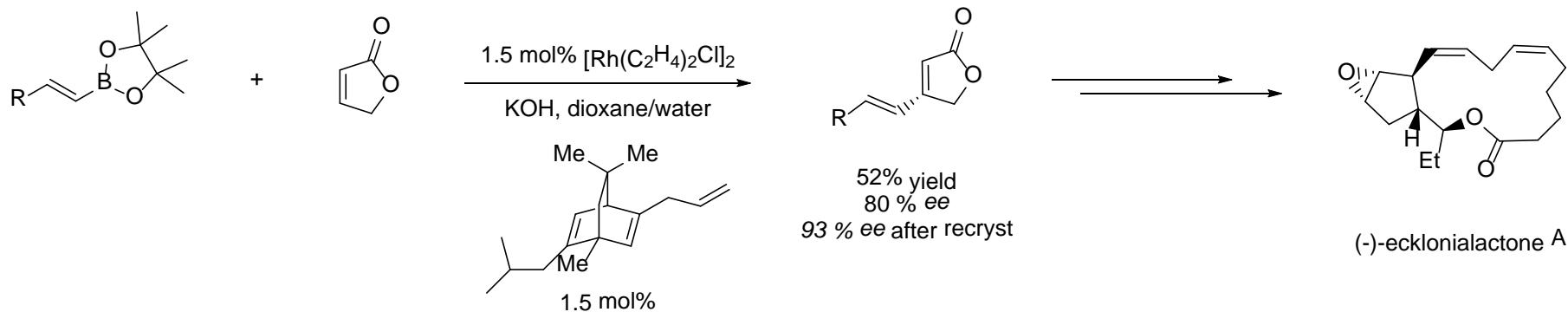
➤ Enantioselective approach for the synthesis of (-)-indatraline



H. L. Wu, *Chem. - Eur. J.*, **2011**, 17, 11405

# Application in total synthesis

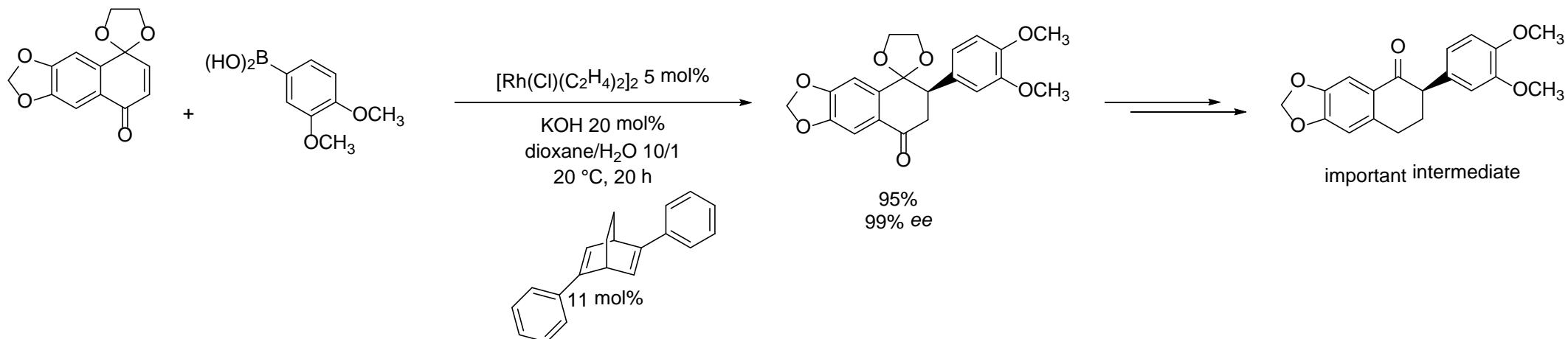
➤ Enantioselective approach for the synthesis of (-)-ecklonialactone A



A. Fürstner, *J. Am. Chem. Soc.* **2010**, 132, 11042

# Application in total synthesis

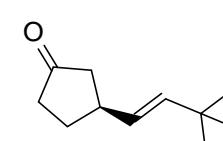
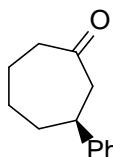
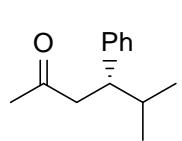
➤ Enantioselective approach for important intermediate in alkaloids



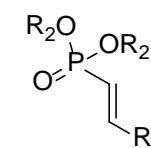
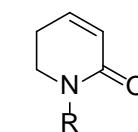
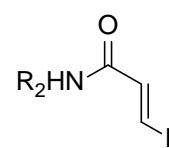
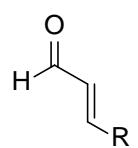
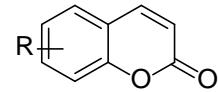
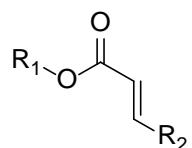
# Conclusion

## ➤ Developments

- Racemic, first asymmetric, pre-catalyst/active species, mechanism, evolution of ligands.
- Many advantages to create a new carbon-carbon bond with this reaction
  - Very high enantioselectivity
  - Very good yield
  - Carried out in aqueous solvent
  - Variety of sp<sup>2</sup> carbon group (aryl and alkenyl group) can be introduced



- Various type of olefins ( ketone, esters, amide etc.)

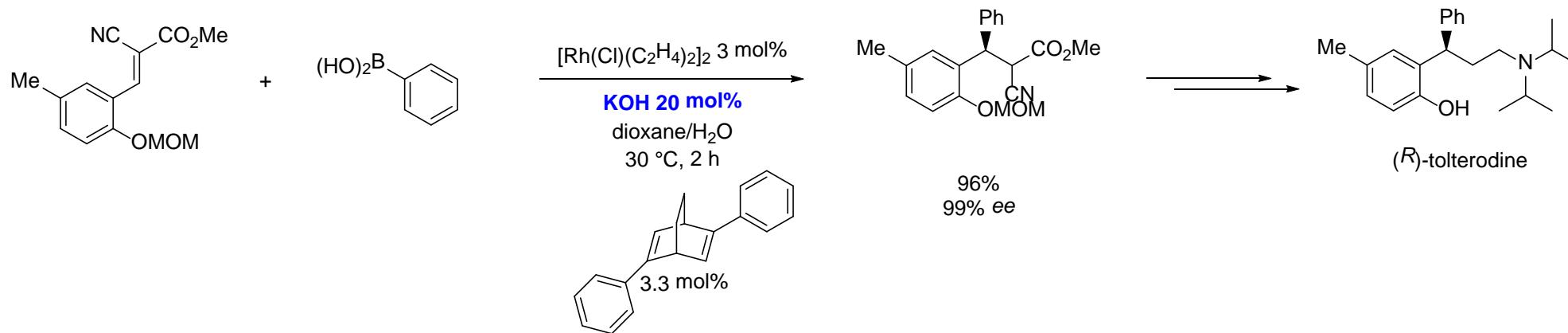


*Questions?*

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# Application in total synthesis

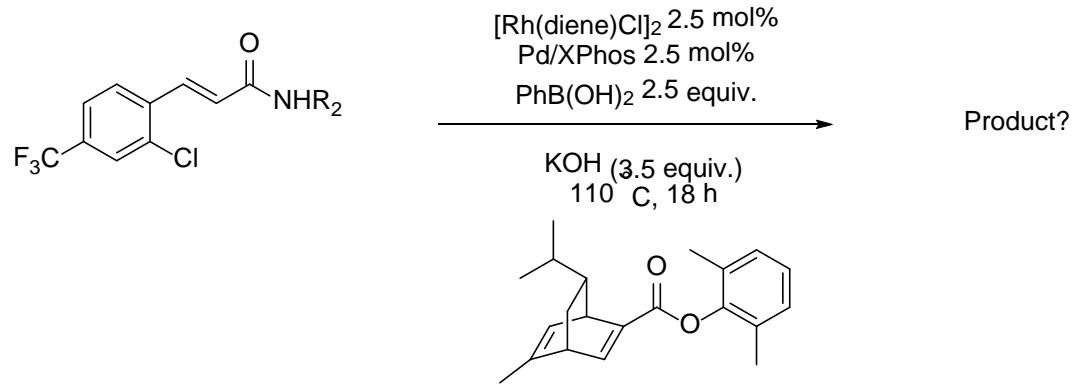
## ➤ Enantioselective approach of (*R*)-Tolterodine



T. Hayashi, *Org. Lett.*, **2008**, *10*, 589

# Application in domino process

- Enantioselective approach for synthesis of dihydroquinolinones



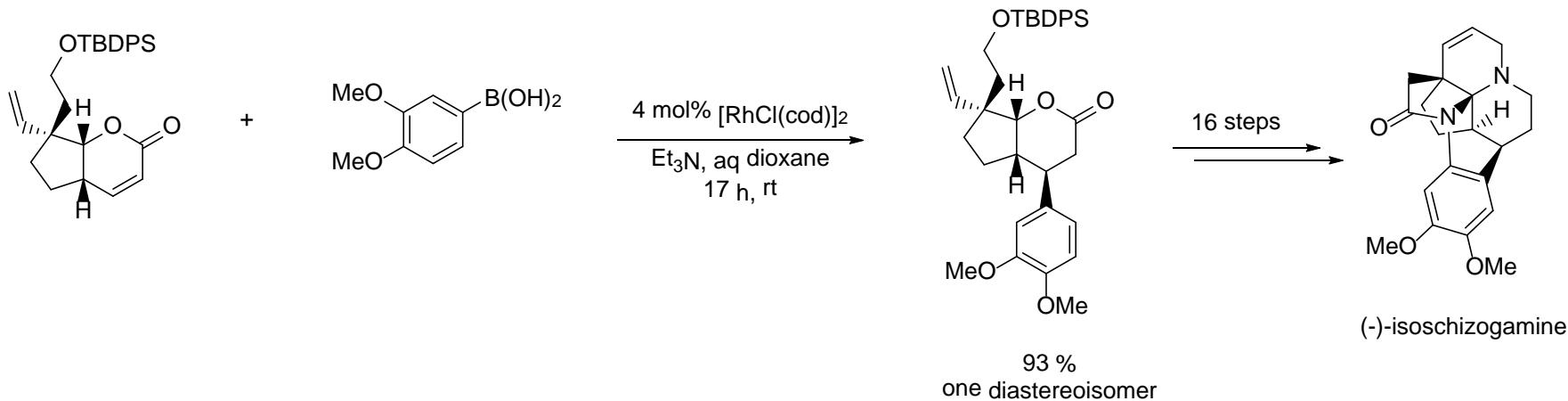
M. Lautens, *Angew. Chem. Int. Ed.*, **2014**, 53, 13850

*Thank you!*

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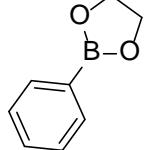
# Application in total synthesis

► Diastereoselective approach with the 1<sup>st</sup> total synthesis of (-)-Isoschizogamine

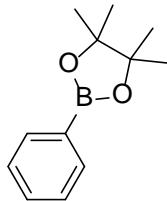


N. Hayashi, T. Fukuyama, *J. Am. Chem. Soc.*, **2012**, 134, 11995

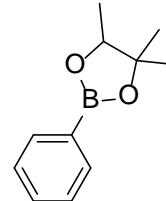
# Boron



Glycol

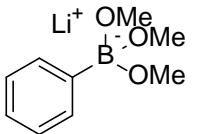


pinacol

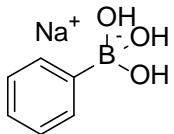


2-methyl-2,4-pentanediol

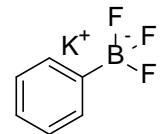
Not so efficient



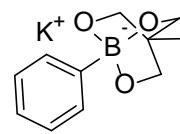
Hayashi



Cammidge



Vedjes

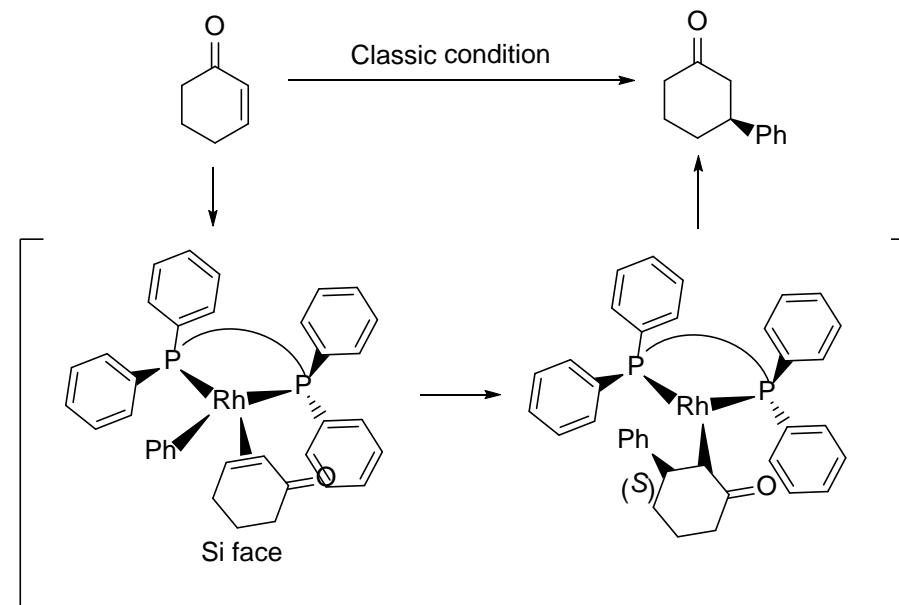


Miyaura

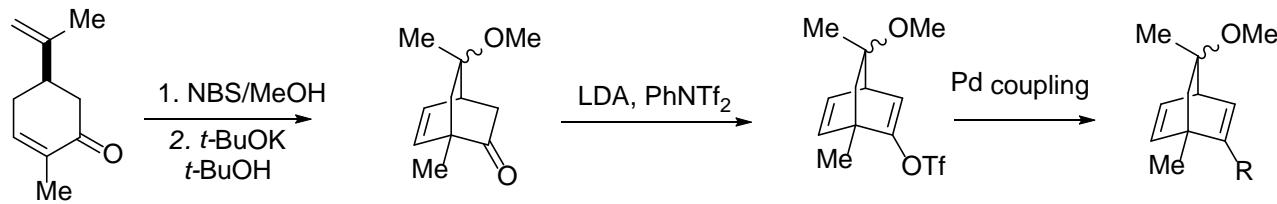
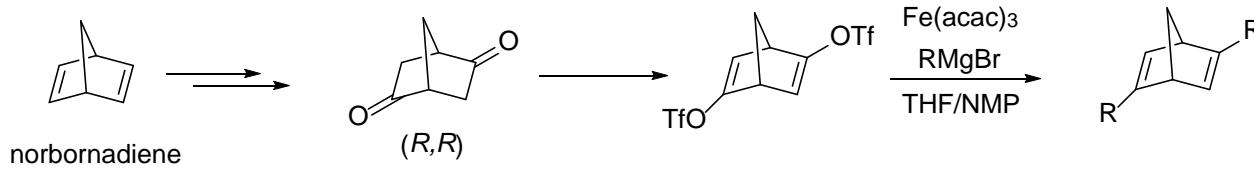
Very efficient

# Model with phosphine ligands

- Diene: steric difference, space discrimination around the central metal.
- Phosphine: Conformation differentiation.

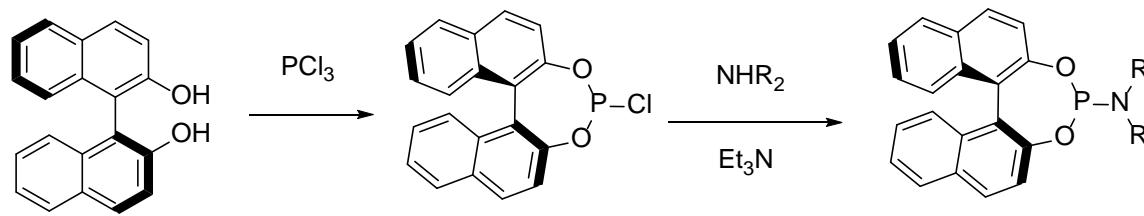


# Chiral diene

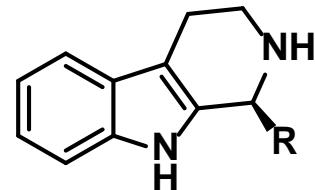


# Phosphoramidites

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# The Asymmetric Pictet-Spengler Reaction

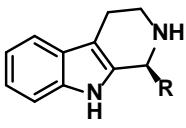


*Frontiers in Chemical Synthesis III: Stereochemistry*

Cyril Piemontesi – 14.04.2016

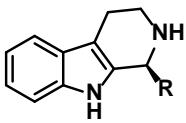


Laboratory of Synthesis and Natural Products (LSPN)



## Content

1. Introduction
2. Stoichiometric use of Lewis acid
3. Seminal works of Jacobsen and List
4. Indole as nucleophile
5. Phenyl as nucleophile
6. Pyrrole as nucleophile
7. Conclusion, questions and answers



## Content - Precisions

Only enantioselective transformations (no diastereoselective induction)

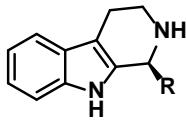
Only “chemical” reactions (no biocatalyzed reactions)

Only «aza» PS (no oxygen or other heteroatom-based PS)

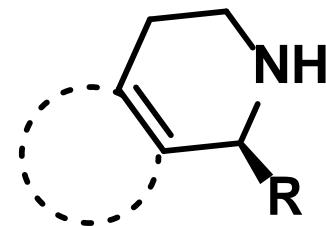
Not all the literature present, mainly based on seminal works (methodology)

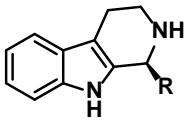
No application in total synthesis of natural products or drugs

Classified regarding the nature of the nucleophile and of the substituent of the amine



## Introduction





## Pictet-Spengler reaction - Discovery

Discovered in 1911 by Pictet (Swiss chemist!) and Spengler in Geneva<sup>1</sup>

Initially with phenylethylamine and an aldehyde (or an acetal)

Only 17 years later: PS with tryptamine (Tatsui)<sup>2</sup>

52'000 results in Google (391'000 for Wittig reaction) but as important



Pictet and Spengler - 1911

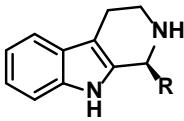


Tatsui - 1928

In nature: Pictet-Spenglersases (see slide “importance”)

1. Pictet, A.; Spengler, T. *Ber. dtsch. Chem. Ges.* **1911**, 44, 2030.

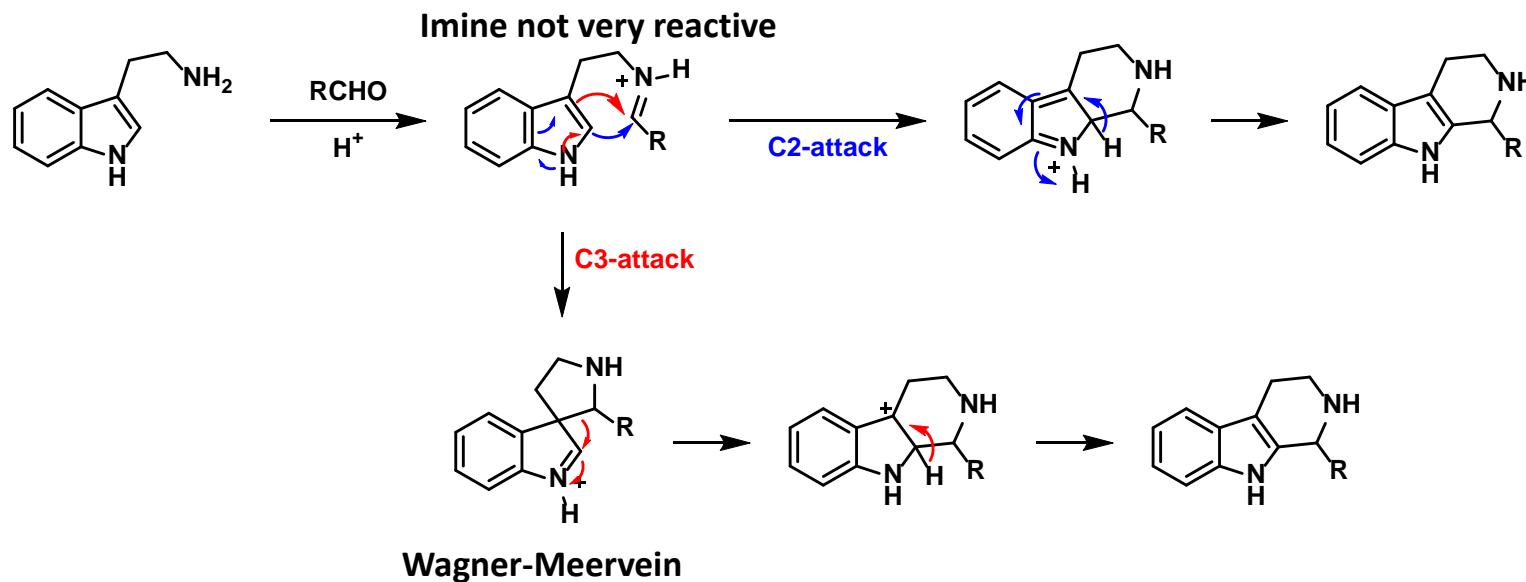
2. Tatsui, G. *J. Pharm. Soc. Jpn.* **1928**, 48, 92.



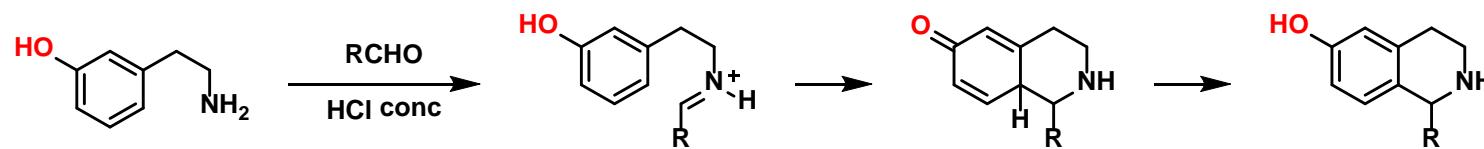
## Pictet-Spengler reaction - Mechanism

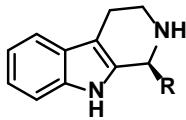
2 different mechanisms commonly accepted

Very hard to discriminate between the two (fast rearomatization)



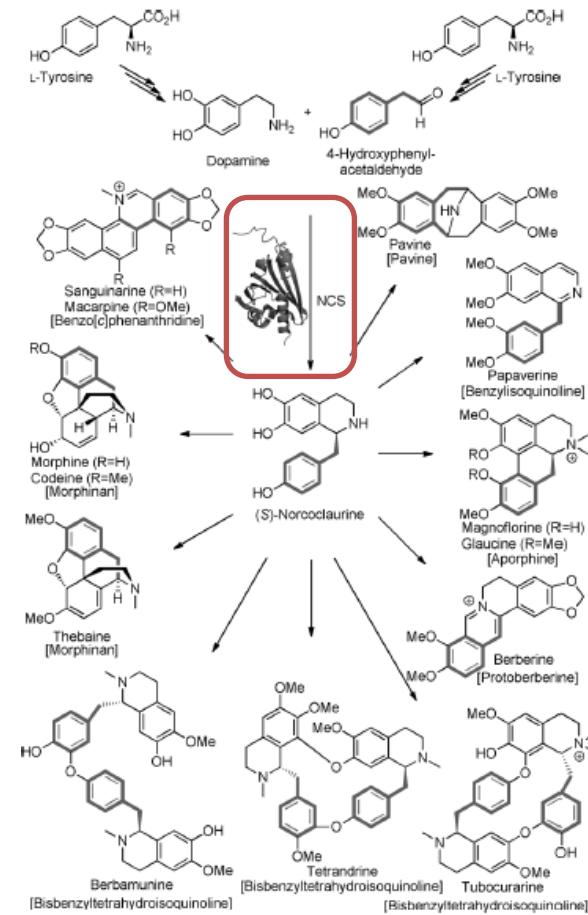
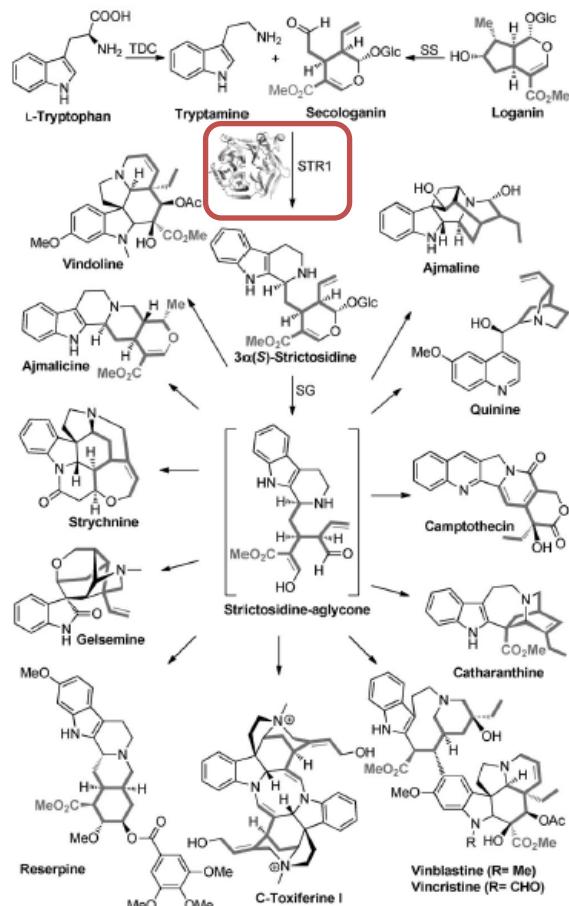
Electron-rich aromatics react faster (good for the yield, bad for the ee)



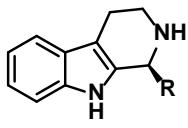


# Pictet-Spengler reaction - Importance

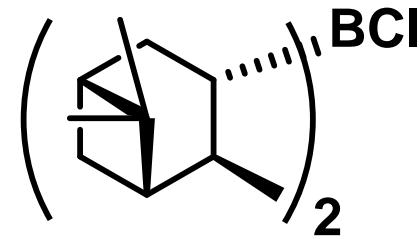
Use for the synthesis of 1,2,3,4-tetrahydro- $\beta$ -carboline and 1,2,3,4-tetrahydroisoquinoline

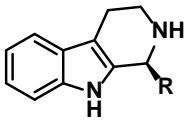


- Review of PS in nature: Stöckigt, J.; Antonchick, A. P.; Wu, F.; Waldmann, H. *Angew. Chem. Int. Ed.* **2011**, *50*, 8538.



## Stoichiometric Lewis Acid



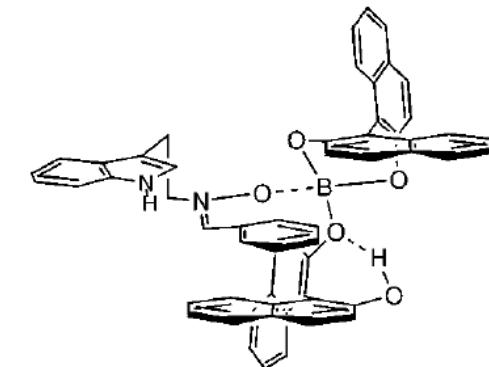
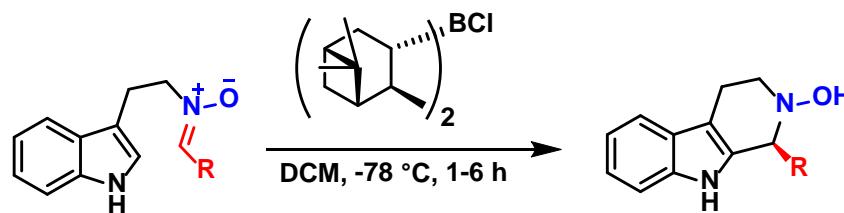


## Indole – C3 linker – Stoichiometric LA

First example of reagent controlled PS: using  $(\text{Ipc})_2\text{BCl}$  (1.9 equiv)<sup>1</sup> and nitrones

Working with aromatic (neutral or rich) R, generally 70-90% ee

Aliphatic aldehyde give poor ee (35%), electron poor aryl give no ee

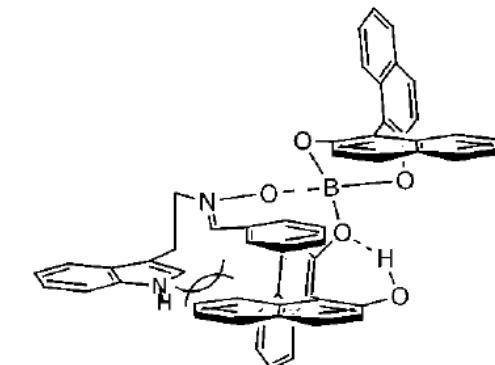
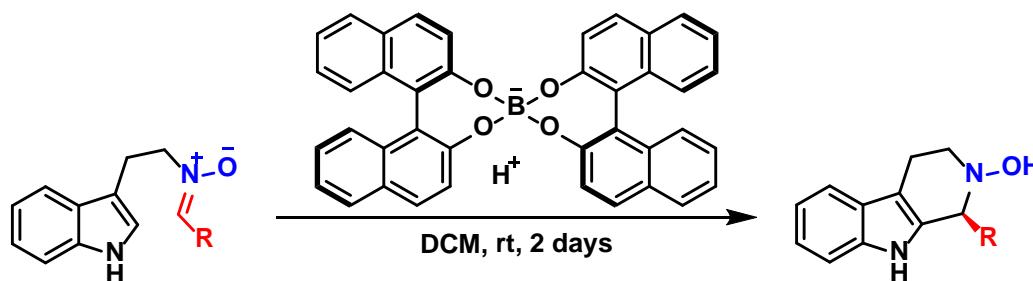


Second generation using BINOL ligand (2 equiv boron)<sup>2</sup>

Can now give better ee with electron poor aromatic aldehyde (75%)

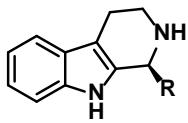
Catalytic gave good yield but very poor ee

Transition State-A: *re*-Face Attack



Transition State-B: *si*-Face Attack

- Kawate, T.; Yamada, H.; Soe, T.; Nakagawa, M. *Tetra. Asym.* **1996**, 7, 1249.
- Yamada, H.; Kawate, T.; Matsumizu, M.; Nishida, A.; Yamaguchi, K.; Nakagawa, M. *J. Org. Chem.* **1998**, 63, 6348.



## Indole – C3/C4/N1 linker – Stoichiometric LA

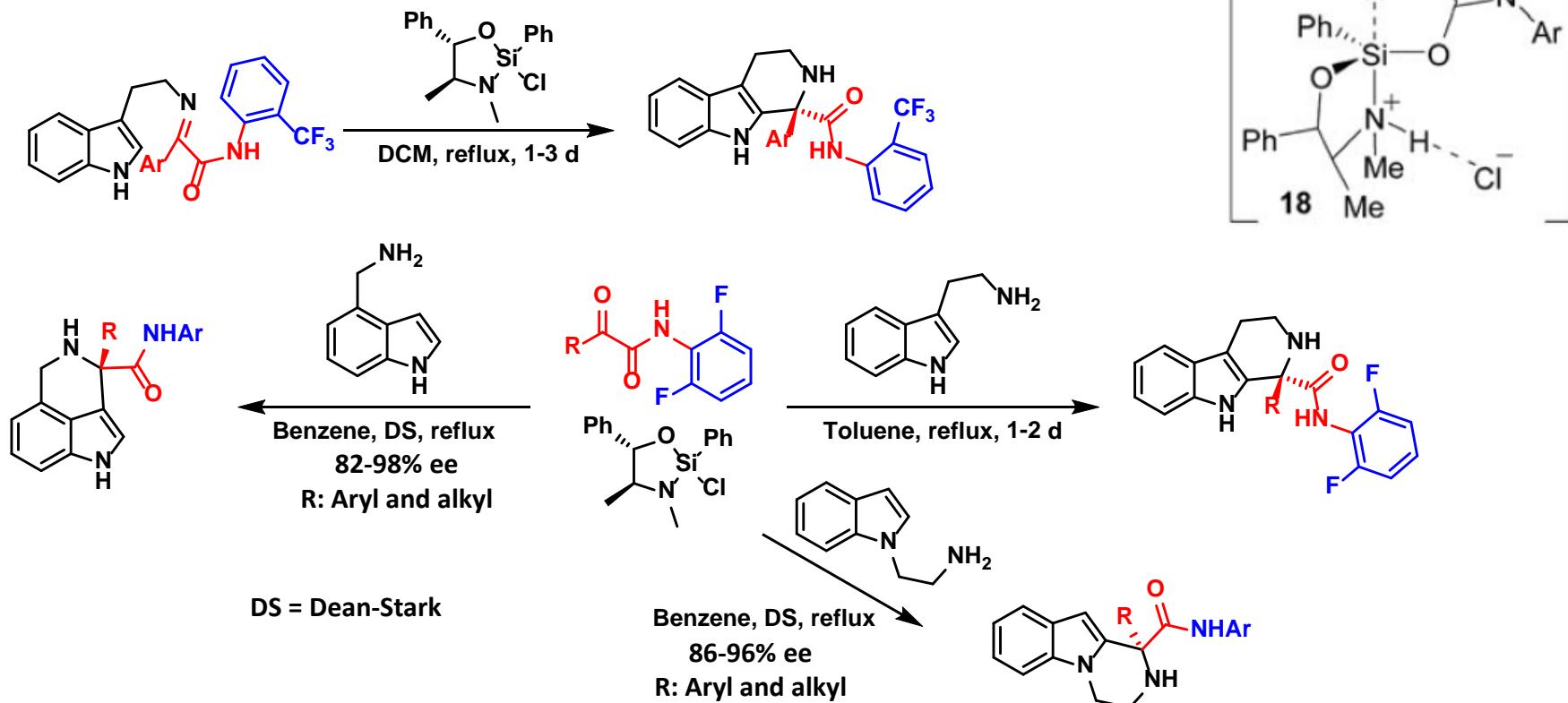
2<sup>nd</sup> example of reagent controlled PS (1.3 to 2 equiv silane)<sup>1,2</sup>

Aromatic on the amide crucial for the yield ( $C_6H_6=0\%$  vs 65%)

Also work with aliphatic ketone and can form the imine *in situ*

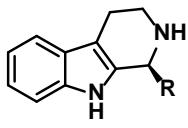
In general 80-95% ee

Iso-PS also possible with C4 and N1 linker

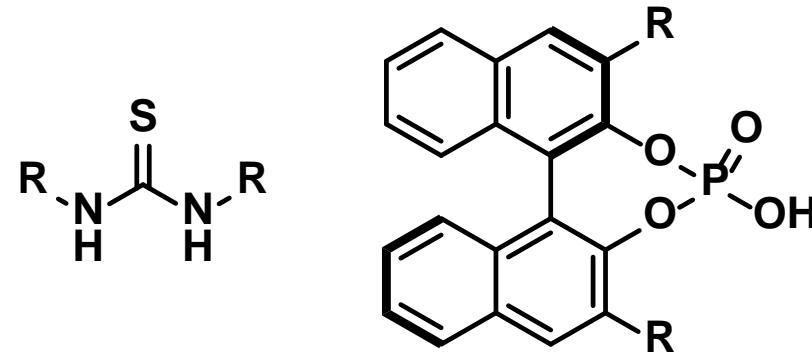


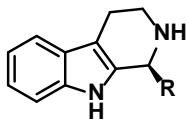
1. Bou-Hamdan, F. R.; Leighton, J. L. *Angew. Chem. Int. Ed.* **2009**, *48*, 2403.

2. Schönherr, H.; Leighton, J. L. *Org. Lett.* **2012**, *14*, 2610.



## Seminal works on catalytic enantioselective PS





## Indole – C3 linker – Catalytic BA

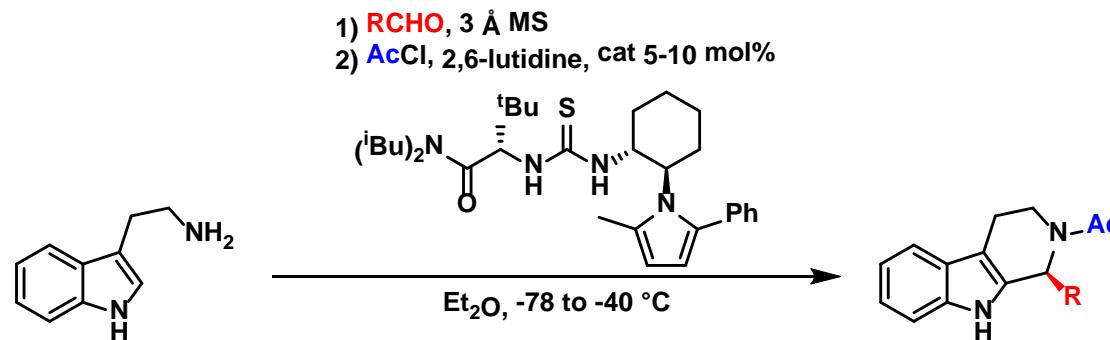
1st example of catalytic enantioselective PS<sup>1</sup>

*N*-acyl iminium very reactive compare to iminium

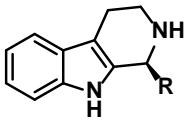
Thiourea catalyst gave 85-93% ee

Only aliphatic aldehydes + acetylated (AcCl crucial) tryptamine

Catalyst got *S*-acetylated at the required temperature for aromatic aldehyde (>-30 °C)



1. Taylor, M. S.; Jacobsen, E. N. *J. Am. Chem. Soc.* **2004**, 126, 10558.



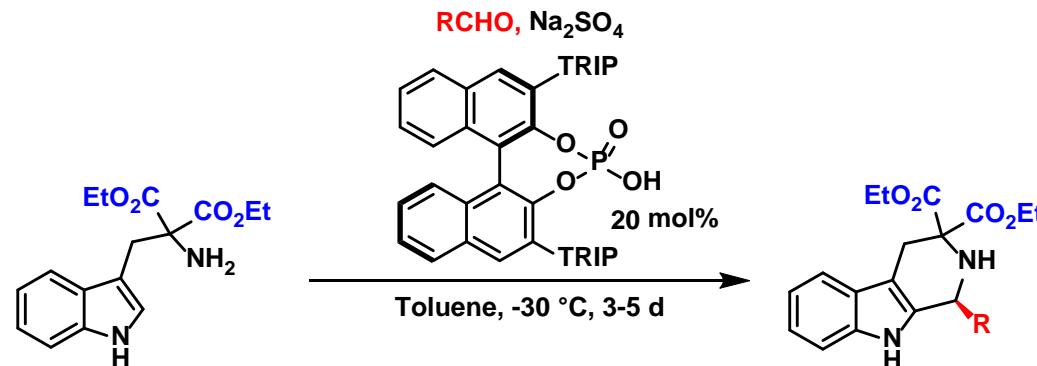
## Indole – C3 linker – Catalytic BA

1st example of catalytic enantioselective PS catalyzed by BPA<sup>1</sup>

BPA catalyst gave 62-96% ee

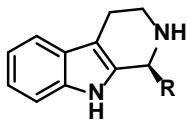
Only aliphatic and electron-poor aromatic aldehydes (vs Jacobsen)

*gem*-disubstituted tryptamine required

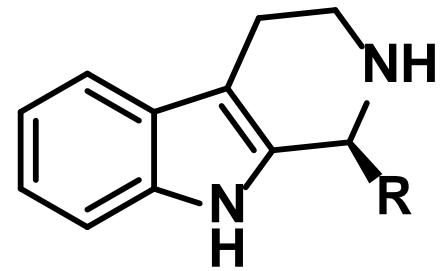


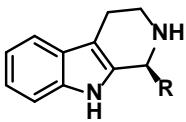
Why is the *gem*-disubstitution required and  
what would be the reaction outcome without it (with propanal)?

1. Seayad, J.; Seayad, A. M.; List, B. *J. Am. Chem. Soc.* **2006**, *128*, 1086.



## Indole as nucleophile





## Indole – C3 linker – N-S

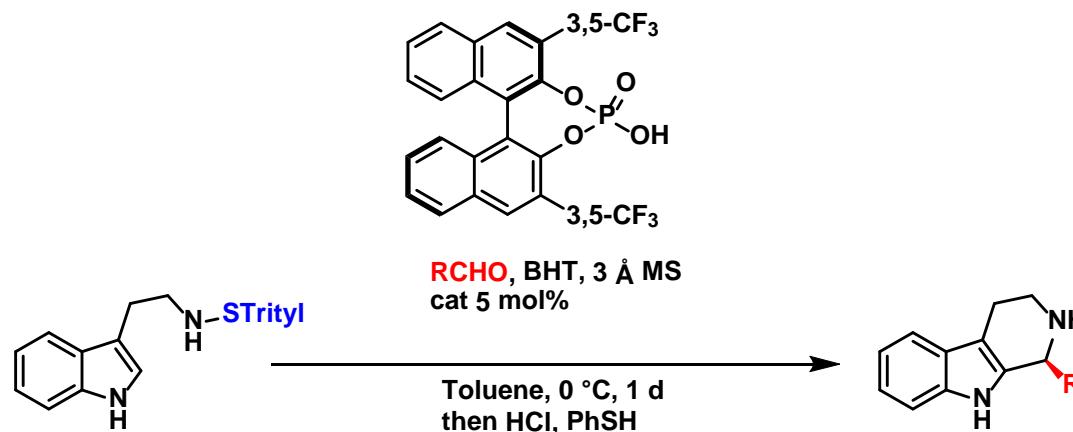
BPA in low catalyst loading (5 mol%)<sup>1</sup>

Sulphenyl stabilized the iminium (favor PS vs enamine formation)

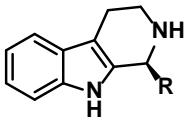
BHT as radical scavenger to avoid product decomposition (homolytic cleavage)

Aliphatic and aromatic aldehyde tolerated

72-87% ee except acetaldehyde (30%)



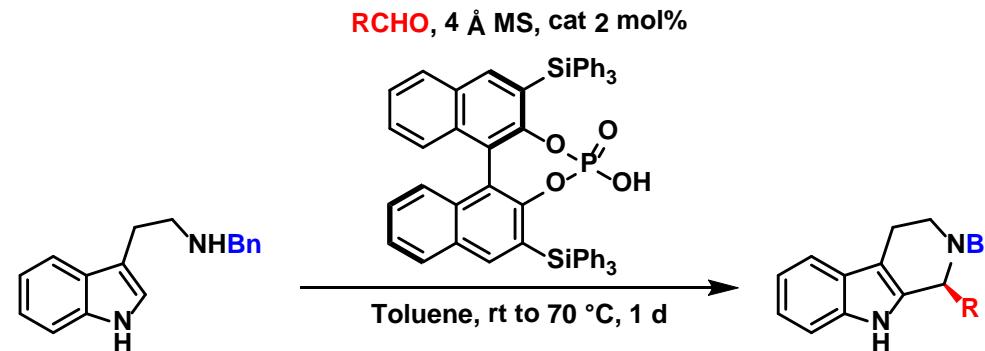
1. Wanner, M. J.; van der Haas, R. N. S.; de Cuba, K. R.; van Maarseveen, J. H.; Hiemstra, H. *Angew. Chem. Int. Ed.* **2007**, *46*, 7485.



## Indole – C3 linker – Nalkyl

BPA in low catalyst loading<sup>1</sup>

Aliphatic and aromatic aldehyde tolerated in 61-87% ee

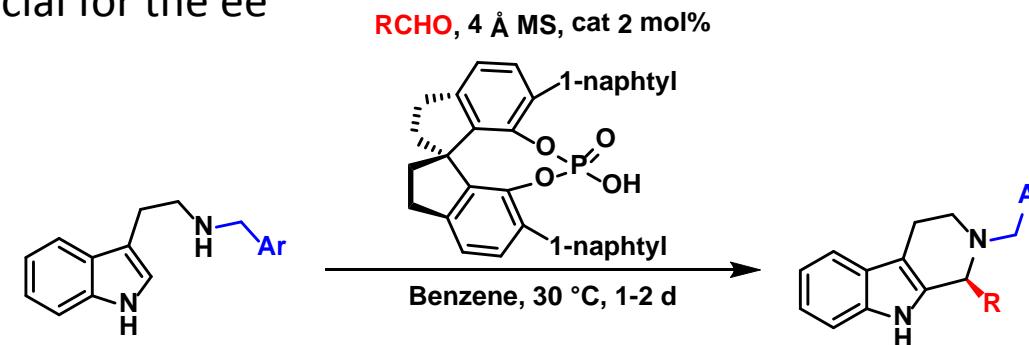


SPA in low catalyst loading (1st example with SPA). Much better than BPA (yield + ee)<sup>2</sup>

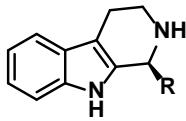
Various aryl group tolerated

Aliphatic and aromatic aldehyde tolerated with 90-98% ee

NH of the indole crucial for the ee



1. Sewgobind, N. V.; Wanner, M. J.; Ingemann, S.; de Gelder, R.; van Maarseveen, J. H.; Hiemstra, H. *J. Org. Chem.* **2008**, *73*, 6405.
2. Huang, D.; Xu, F.; Lin, X.; Wang, Y. *Chem. Eur. J.* **2012**, *18*, 3148.

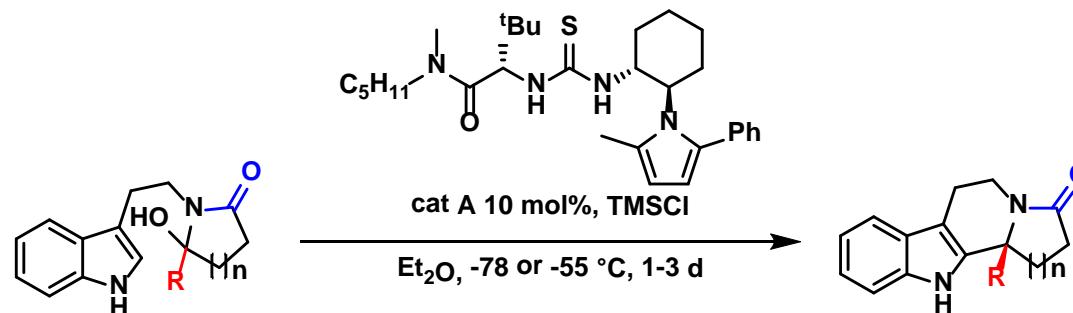


## Indole – C3 linker – NAcyl

From hydroxylactame with thiourea catalysis

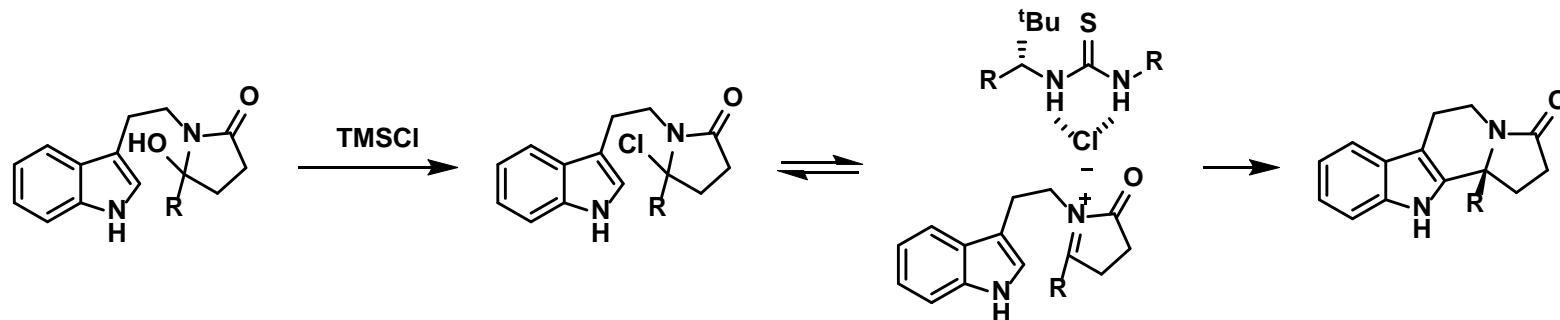
TMSCl for the formation of the iminium

R = alkyl or aryl / n = 1 or 2 with 81-99% ee

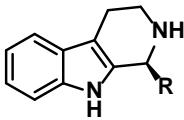


$S_N1 + \text{Asymmetric Counteranion Directed Catalysis}$  mechanism

ee depends on the size of the counter anion (Cl: 97, Br: 68 and I: <5% ee)



1. Raheem, I. T.; Thiara, P. S.; Peterson, E. A.; Jacobsen, E. N. *J. Am. Chem. Soc.* **2007**, *129*, 13404.



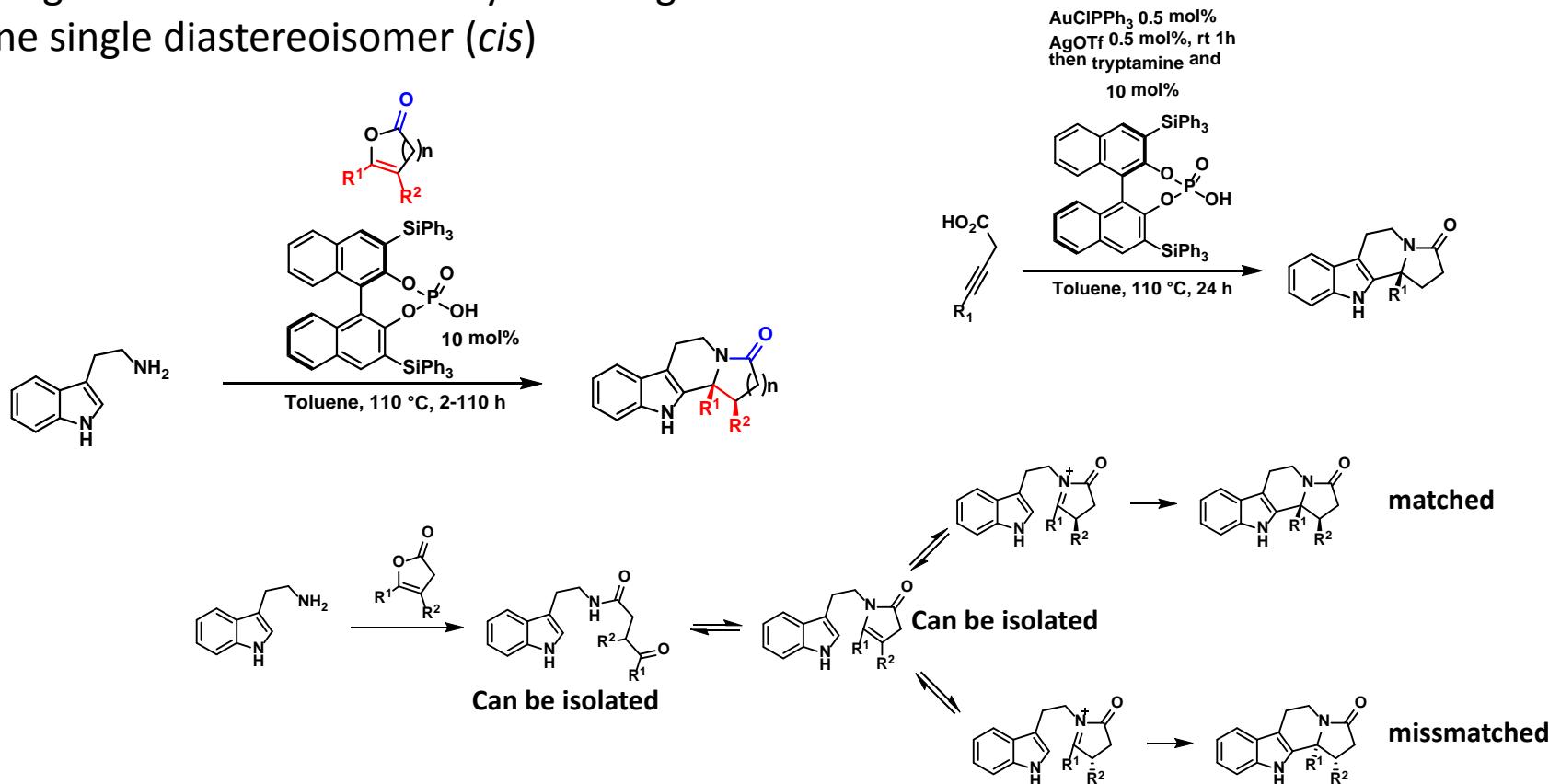
## Indole – C3 linker – NAcyl

R<sup>1</sup> = alkyl or aryl / R<sup>2</sup> = H or EWG / n = 1 or 2 with 72-99% ee<sup>1</sup>

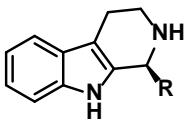
Compatible with the enol lactone-forming gold(I)-catalyzed cycloisomerization of alkynoic acids

Can go down to 1 mol% catalyst loading

One single diastereoisomer (*cis*)



1. Muratore, M. E.; Holloway, C. A.; Pilling, A. W.; Storer, R. I.; Trevitt, G.; Dixon D. J. *J. Am. Chem. Soc.* **2009**, 131, 10796.



## Indole – C3 linker – NAcyl

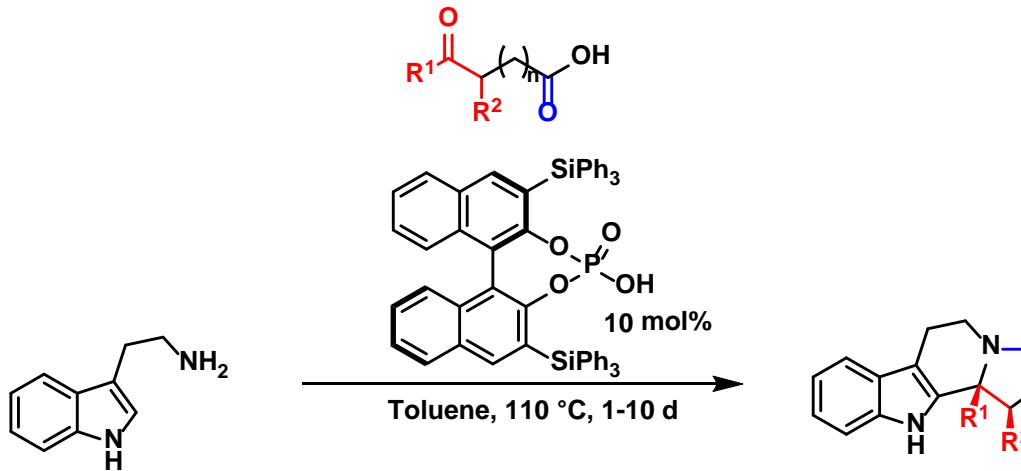
From the open form of the lactone before<sup>1</sup>

R<sup>1</sup> = alkyl or aryl / R<sup>2</sup> = alkyl or EWG / n = 1 or 2 with 68-98% ee

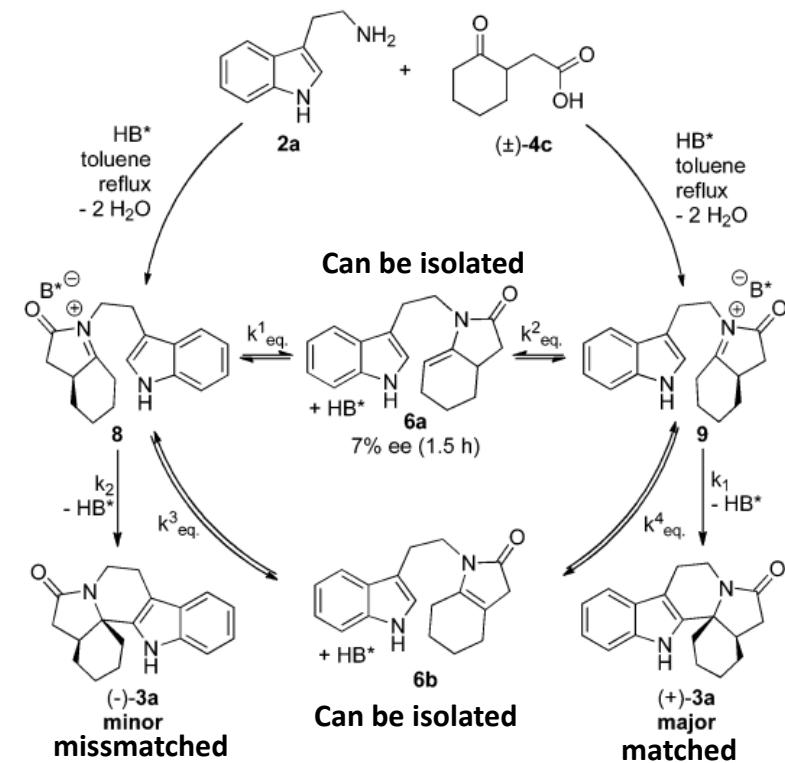
One single diastereoisomer (*cis*)

Dynamic kinetic asymmetric cyclization

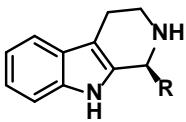
$$k_{\text{eq}}^1, k_{\text{eq}}^2, k_{\text{eq}}^3, k_{\text{eq}}^4 \gg k_1 > k_2$$



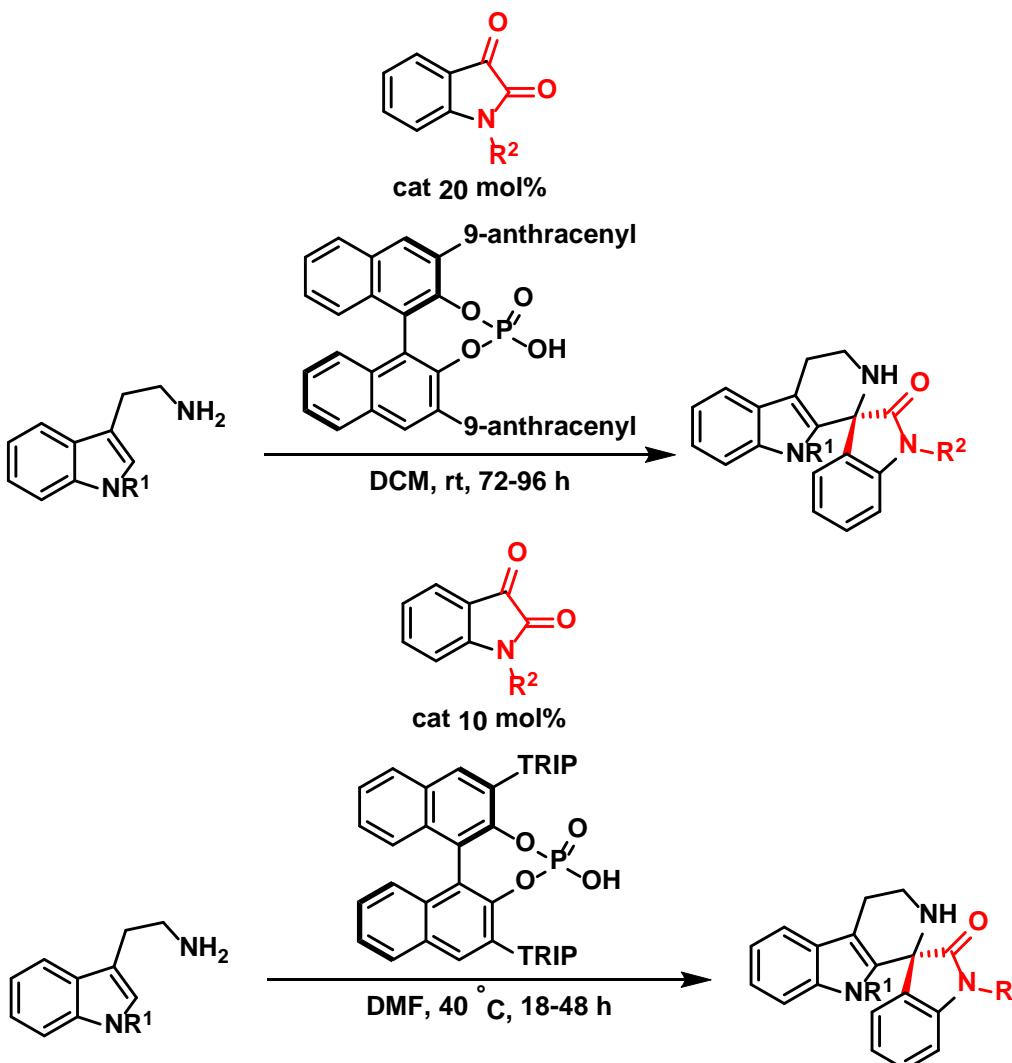
**Scheme 6.** Postulated Origins of Stereocontrol in the Reaction Cascade via a Dynamic Kinetic Asymmetric Cyclization



1. Holloway, C. A.; Muratore, M. E.; Storer, R. I.; Dixon, D. J. *J. Org. Lett.* **2010**, *12*, 4720.



## Indole – C3 linker – NH<sub>2</sub>



With isatine (reactive ketone)

R<sup>1</sup> = H and R<sup>2</sup> = H, alkyl, aryl

Very sensitive to the substituent on the tryptamine

0-94% ee<sup>1</sup>

Sn and Sc Box and Pybox gave poor ee

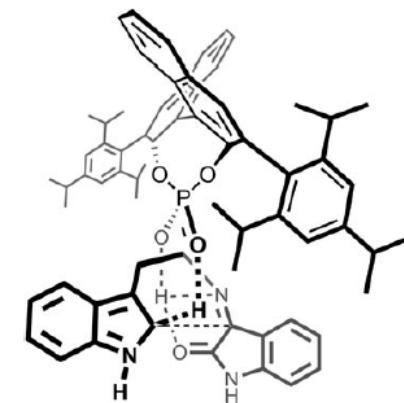
With isatine (reactive ketone)

R<sup>1</sup> = H, Me (!!) and R<sup>2</sup> = H, alkyl

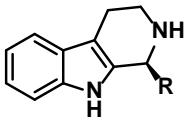
Not sensitive at all to water

DMF as solvent

71-95% ee<sup>2</sup>



- Badillo, J. J.; Silva-García, A.; Shupe, B. H.; Fettinger, J. C.; Franz, A. K. *Tetrahedron Lett.* **2011**, 52, 5550.
- Duce, S.; Pesciaioli, F.; Gramigna, L.; Bernardi, L.; Mazzanti, A.; Ricci, A.; Bartoli, G.; Bencivenna, G. *Adv. Synth. Catal.* **2011**, 353, 860.



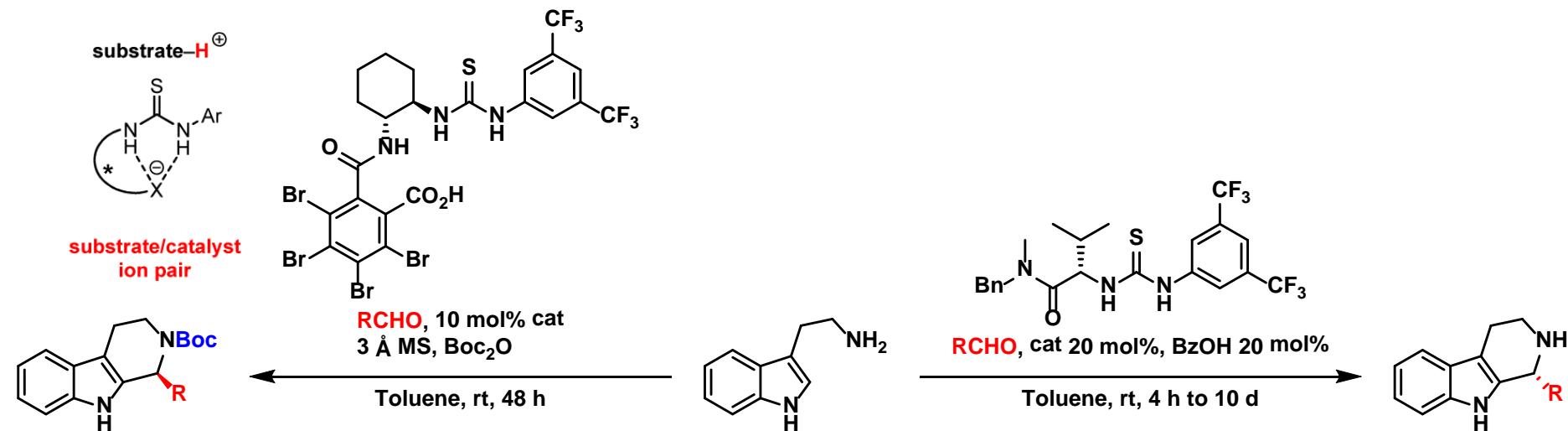
## Indole – C3 linker – NH<sub>2</sub>

With thiourea:

R = alkyl and aryl with 86-99% ee<sup>1</sup>

Acid not required for aliphatic aldehyde if tryptamine rich enough (slower but higher ee)

Very slow if not electron rich tryptamine

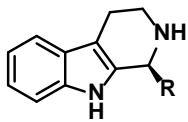


With thiourea-acid catalyst:

R = aryl only with 32-92% ee<sup>2</sup> and much faster than Jacobsen

*In situ* Boc protection of the product to avoid product inhibition (otherwise add malonic acid)

1. Klausen, R. S.; Jacobsen, E. N. *Org. Lett.* **2009**, *11*, 887.
2. Mittal, N.; Sun, D. X.; Seidel, D. *Adv. Org. Lett.* **2014**, *16*, 1012.

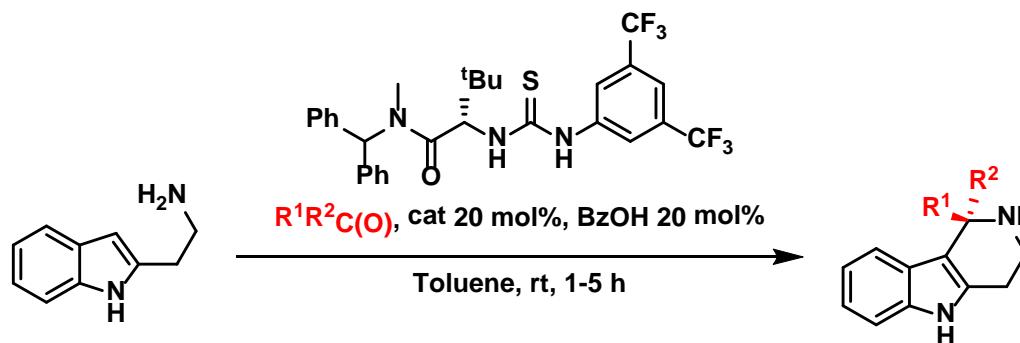


## Indole – C2, C4, N1 linker

Synthesis of tetrahydro- $\gamma$ -carboline (drug discovery)<sup>1</sup>

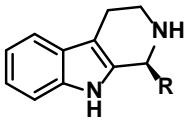
Work with aliphatic and aromatic aldehyde with 79-95% ee

1 example with ketone



Direct *in situ* Boc protection + trituration afforded all examples in >99% ee without column

1. Lee, Y.; Klausen, R. S.; Jacobsen, E. N. *Org. Lett.* **2011**, *13*, 5564.



## Indole – C2, C4, N1 linker

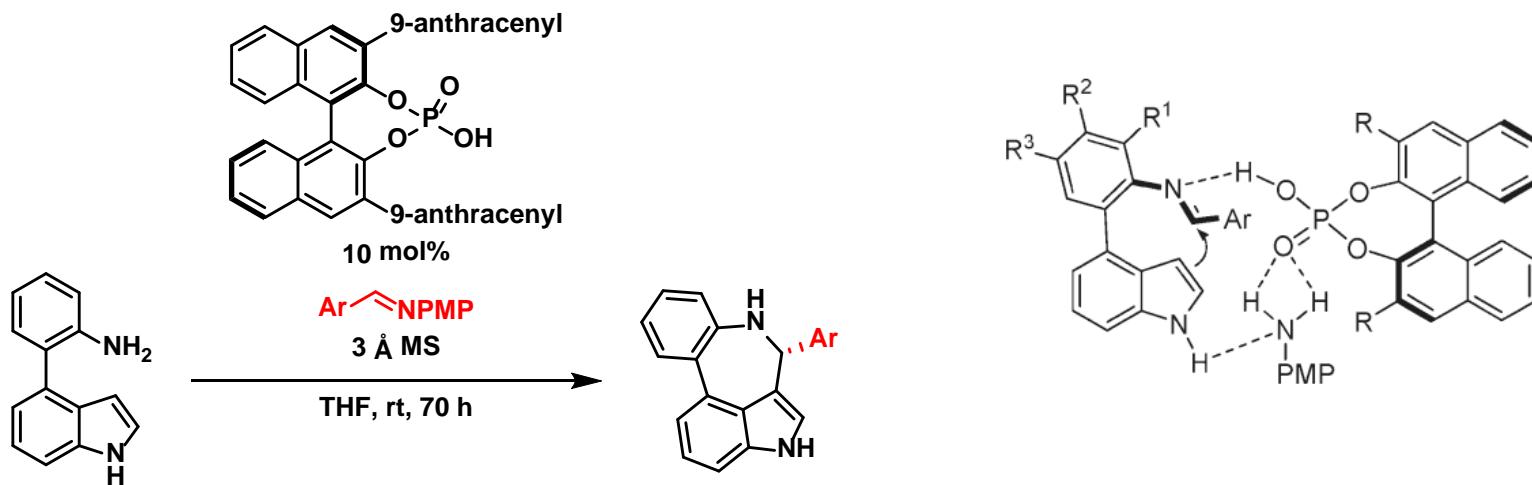
1st example of 7-membered ring formation via PS<sup>1</sup>

Work with electron poor and rich aromatic imine in 84-91% ee

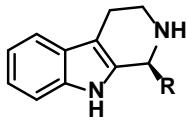
For the same example imine vs aldehyde: 90 vs 83% ee

1 example with 7-aza-indole but work only with aldehyde (0% vs 77% yield, 90% ee)

NH of the indole required for the ee (not for the yield)

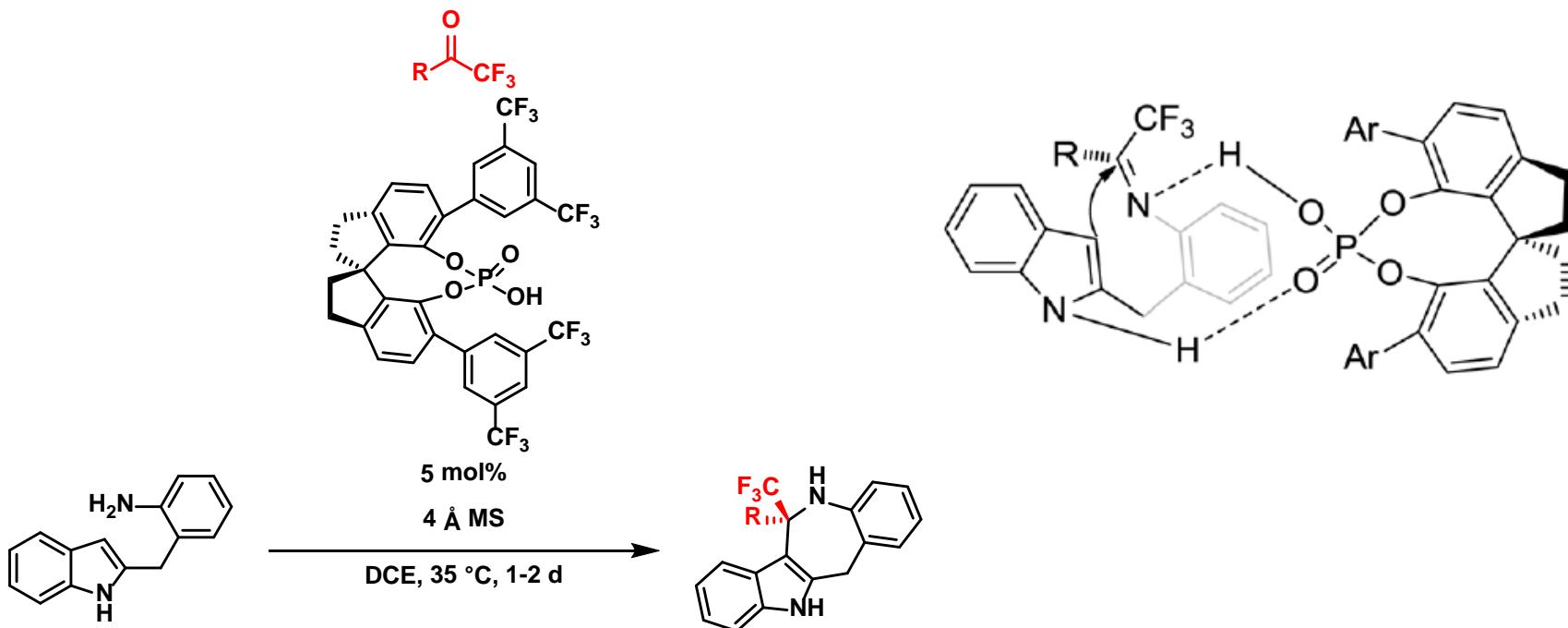


1. Cheng, D.-J.; Wu, H.-B.; Tian, S.-K. *Org. Lett.* **2011**, *13*, 5636.

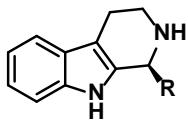


## Indole – C2, C4, N1 linker

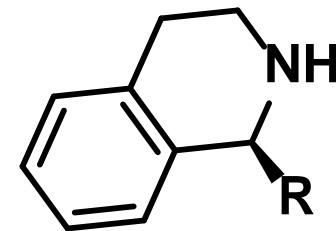
Synthesis of 7-membered ring with quaternary stereocenters bearing a  $\text{CF}_3$  moiety<sup>1</sup>  
Work with electron poor and rich aromatic ketone with 87-99% ee  
NH of the indole required for the ee

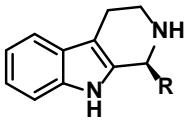


1. Li, X.; Chen, D.; Gu, H.; Lin, X. *Chem. Commun.* **2014**, *50*, 7538.



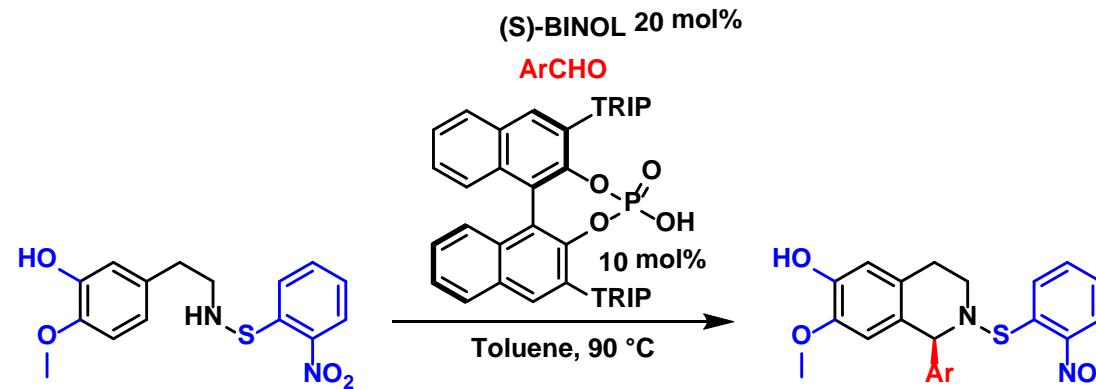
## Phenyl as nucleophile





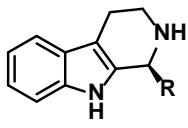
## Phenyl

BPA in combination with BINOL ligand for the synthesis of tetrahydroisoquinolines  
Nps give a good para/ortho ratio compare to H, Me, Bn + 24-86% ee  
Work with electron poor and rich aromatic aldehyde + aliphatic one  
One hydroxyl group on the aryl is not enough

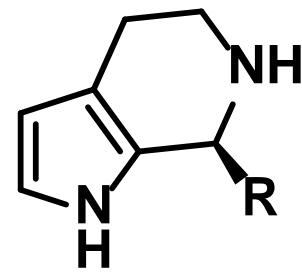


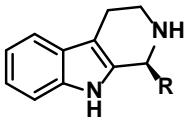
Why is enantioselective reaction harder to develop with phenylethylamine compared to tryptamine?

1. Mons, E.; Wanner, M. J.; Ingemann, S.; van Maarseveen, J. H.; Hiemstra, H. *J. Org. Chem.* **2014**, *79*, 7380.



## Pyrrole as nucleophile





## Pyrrole

C2 or C4 attack depending on the substitution of the pyrrole and on the LA used<sup>1</sup>

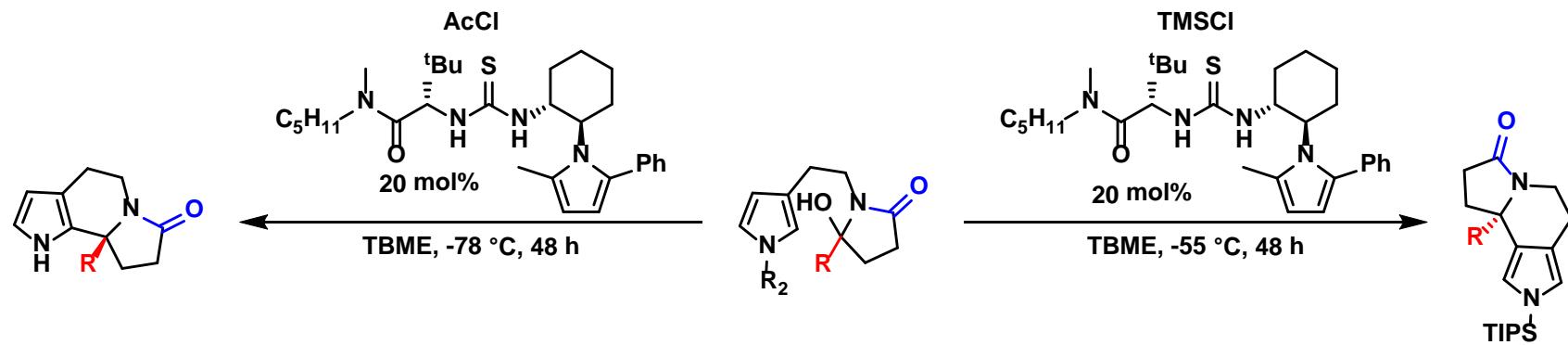
For C4 attack: 70-97% ee.

Use TIPS as steric bulk to prevent C2 attack

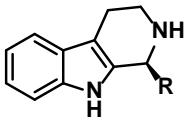
Catalyst influence the regioselectivity too. 3:1 vs >50:1 C4/C2 with catalyst

For C2 attack: 52-93% ee

For both R = aliphatic, aromatic or H



1. Raheem, I. T.; Thiara, P. S.; Jacobsen, E. N. *Org. Lett.* **2008**, *10*, 1577.

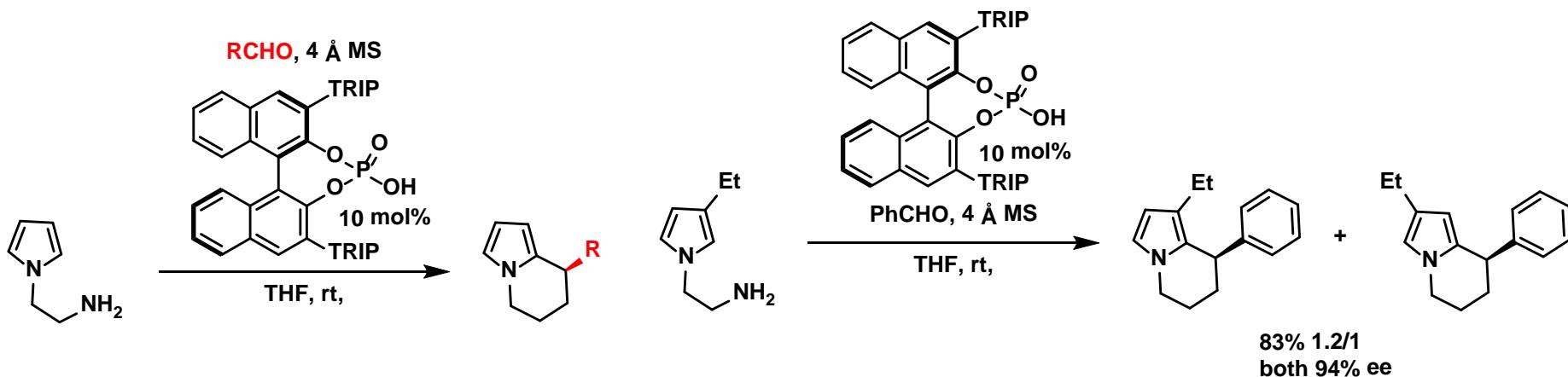


## Pyrrole

Synthesis of 1,2,3,4-Tetrahydropyrrolo[1,2-a]pyrazines<sup>1</sup>

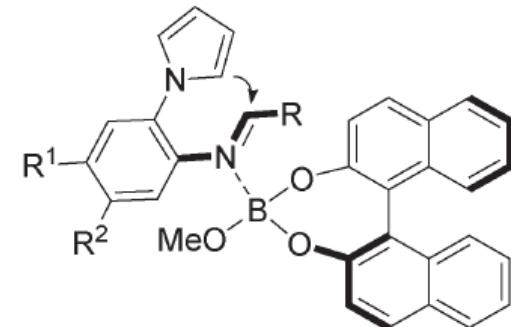
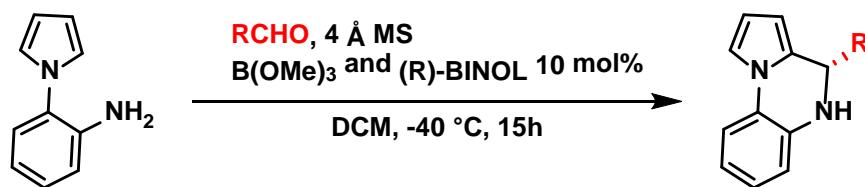
Branched aliphatic and aromatic aldehyde tolerated in 65-94% ee

BPA also influence the regioselectivity (10:1 C2/C5 without catalyst)



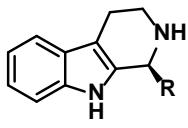
Boron-BINOL complex better than BPA<sup>2</sup>

Aliphatic and aromatic aldehyde in 72-95% ee

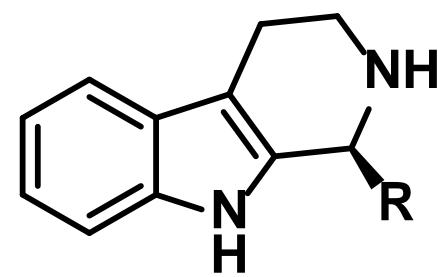


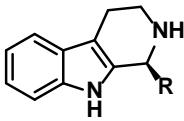
1. He, Y.; Lin, M.; Li, Z.; Liang, X.; Li, G.; Antilla, J. C. *Org. Lett.* **2011**, *13*, 4490.

2. Li, Y.; Su, Y.-H.; Dong, D.-J.; Wu, Z.; Tian, S.-K. *RSC Adv.* **2013**, *3*, 18275.



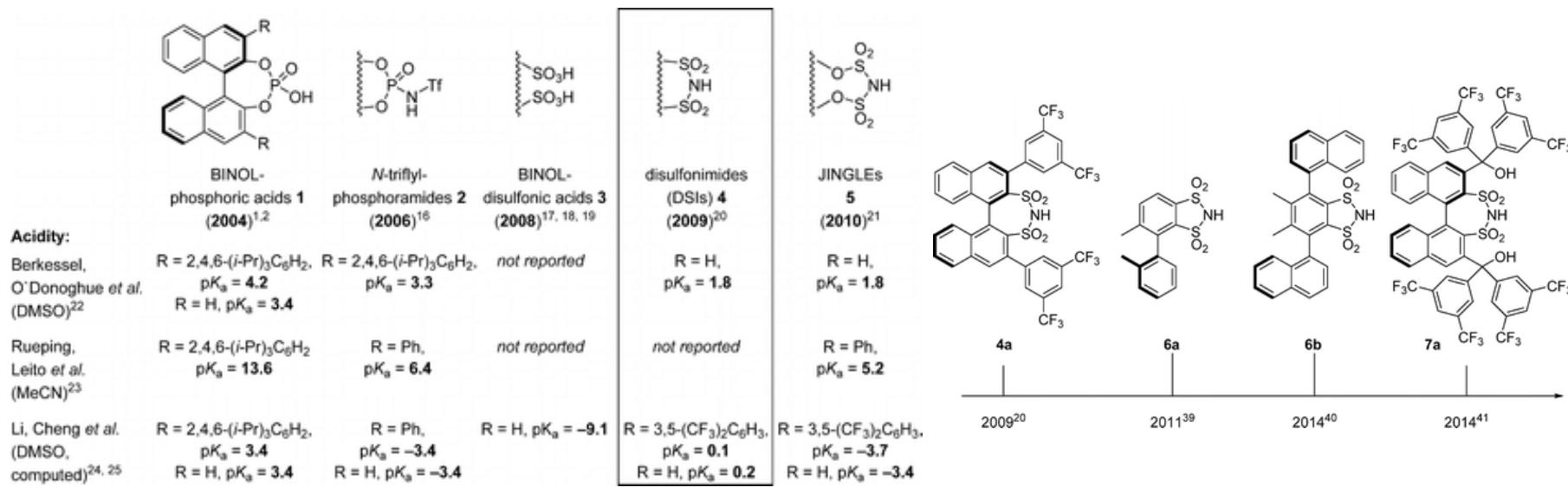
## Conclusion



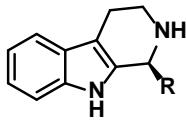


## Conclusion and outlook

- PS very useful reaction and tolerant to many variations
- Missing method for the use of NH<sub>2</sub>-tryptamine and for phenylethylamine
- Ketone not well tolerated in the scope for the electrophiles except when “cheating”
- Be able to lower the catalyst loading as well as the time of the reaction
- Use of catalytic Lewis acid or of stronger chiral acid

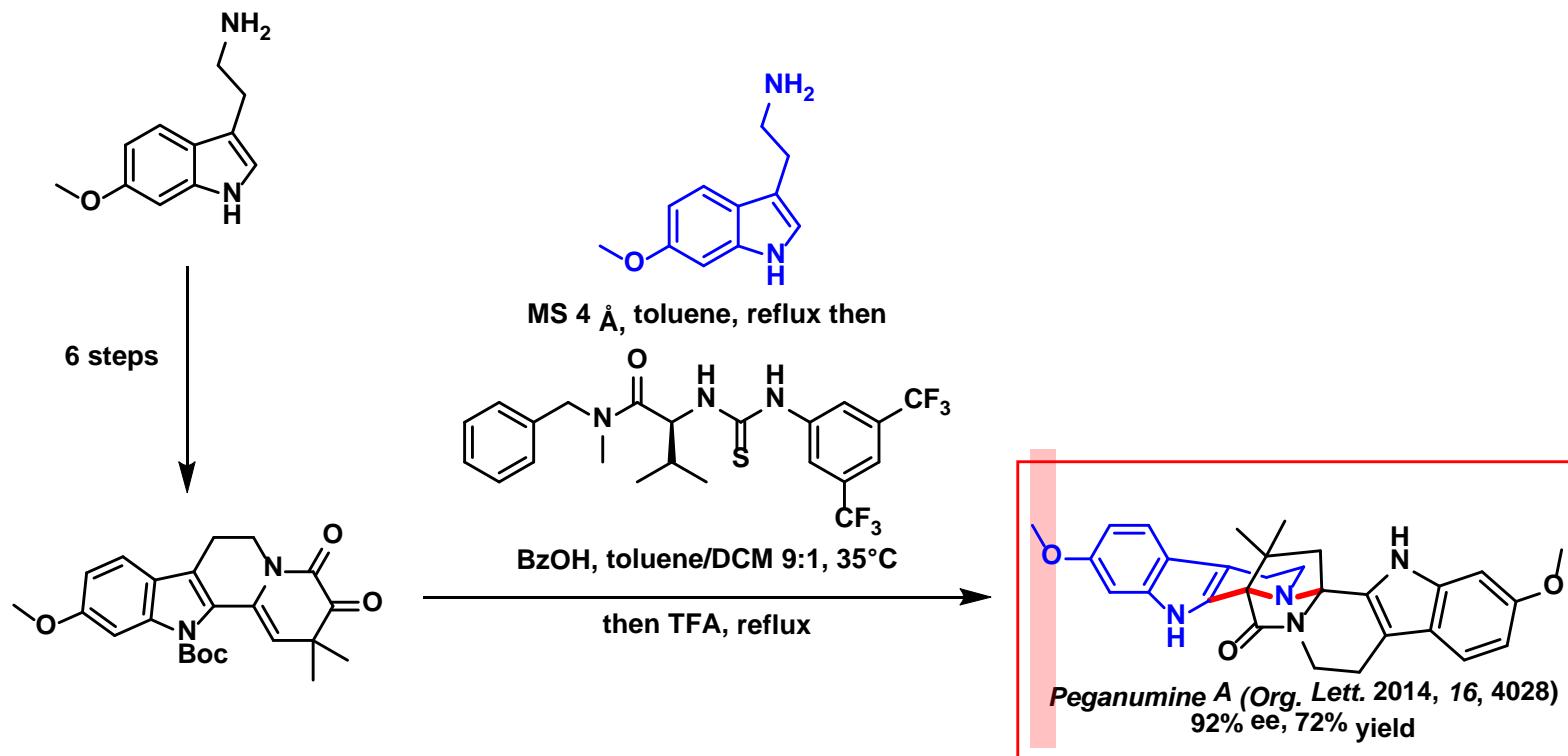


1. James, T.; van Gemmeren, M.; List, B. *Chem. Rev.* **2015**, *115*, 9388.

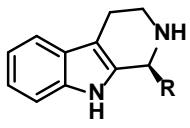


## Conclusion and outlook

But nevertheless a useful method... :)

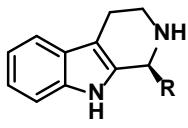


1. Piemontesi, C.; Wang, Q.; Zhu, J. *Unpublished results*



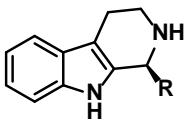
## Conclusion and outlook





## Answers



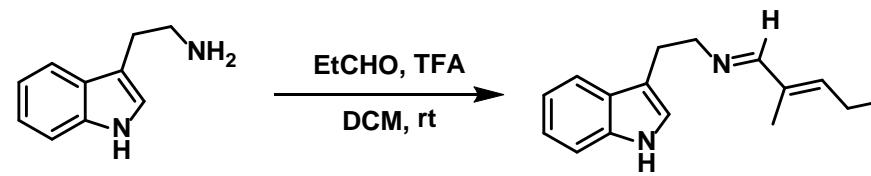


## Answers

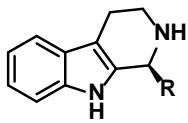


Why is the *gem*-disubstitution required and what would be the reaction outcome without it?

*gem*-disubstituted tryptamine required for the Thorpe-Ingold effect + for steric reason



Side-reaction

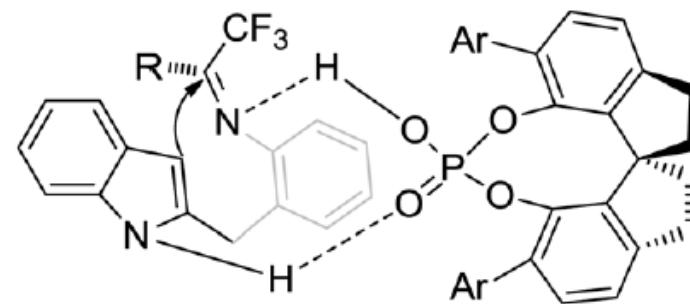


## Answers



Why is enantioselective reaction harder to develop with phenylethylamine compared to tryptamine?

No “binding point” compare to tryptamine

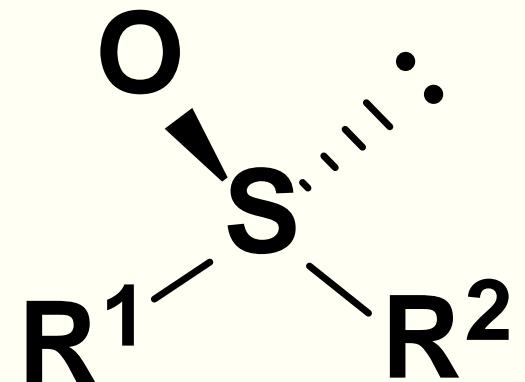




Frontier in Organic Chemistry

# CHIRAL SULFOXIDES AS LIGAND IN ASYMMETRIC CATALYSIS

by Nicolas Gaeng



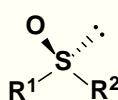
Lausanne, April 15<sup>th</sup> 2016

# Outline

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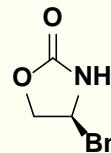
- Introduction
  - Structure and bonding of chiral sulfoxides
  - History, pioneer work
- Preparation of chiral sulfoxides
- Chiral sulfoxides as ligands
  - S/N ligands
  - S/P ligands
  - S/S ligands
  - S/Olefin ligands
  - S/Cp ligands
- Conclusion and outlook



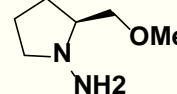
# Introduction – Asymmetric Synthesis

- Chiral auxiliaries

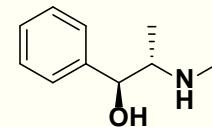
- Evans' oxazolidones



- Enders' hydrazines

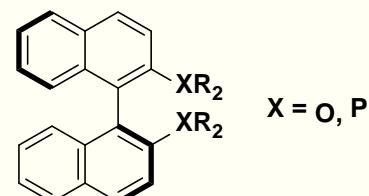


- Pseudoephedrine

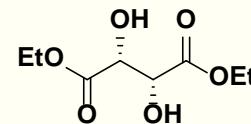


- Asymmetric catalysis

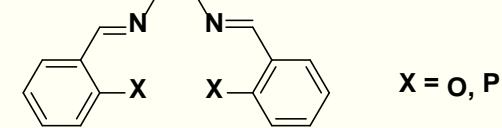
- BINAP / BINOL



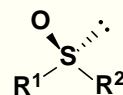
- Diethyl tartrate



- Salen-derived

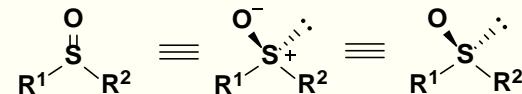


Step and atom economic



# Introduction – Structure and Bonding of Chiral Sulfoxides

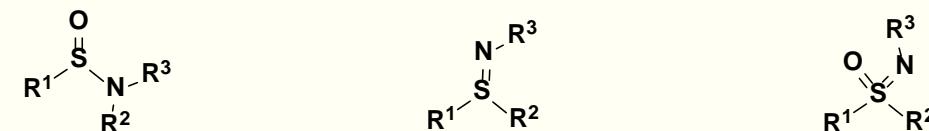
- Chiral information is at the sulfur atom
    - Enantiodiscrimination is close to the metal center



- Sulfoxides can bind through «soft» sulfur or «hard» oxygen atoms

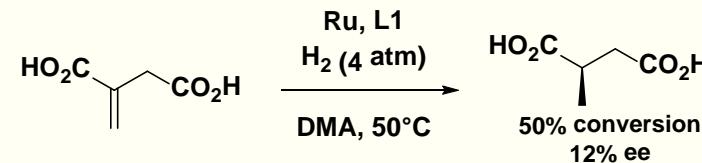


- Sulfoxides can be derivatized into sulfinamides, sulfilimines and sulfoximines

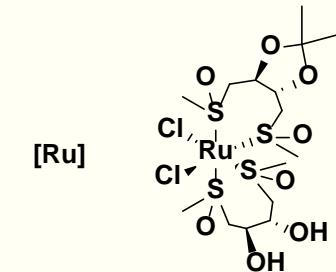
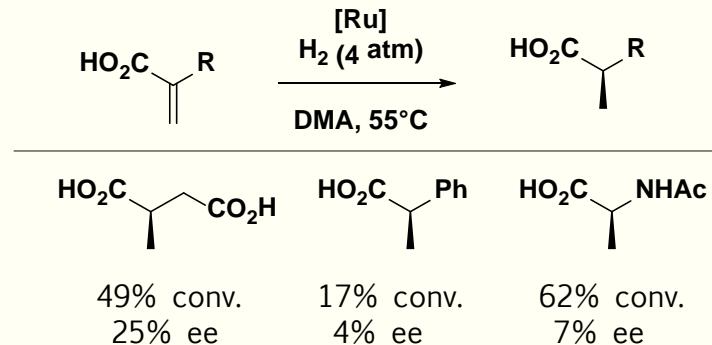


# Introduction – History

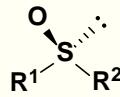
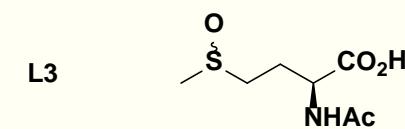
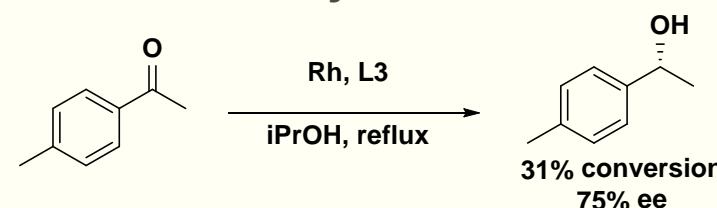
- First report on chiral sulfoxides by James in 1976



- Second generation, in 1977, with tartrate-derived backbone



- Third generation, in 1986, with free carboxylate and EW-nitrogen protected group



- B. R. James, R. S. McMillan, K. J. Reimer, *J. Mol. Catal. A-Chemical* **1976**, *1*, 439 – 441.  
 B. R. James, R. S. McMillan, *Can. J. Chem.* **1977**, *55*, 3927 – 3932.  
 P. Kvintovics, B. R. James, B. Heil, *J. Chem. Soc. Chem. Commun.* **1986**, 1810 – 1811.

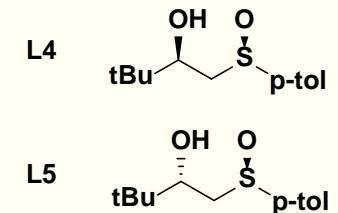
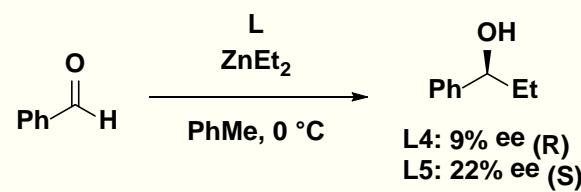
# Introduction – History

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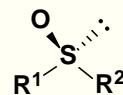
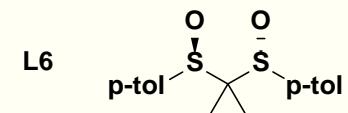
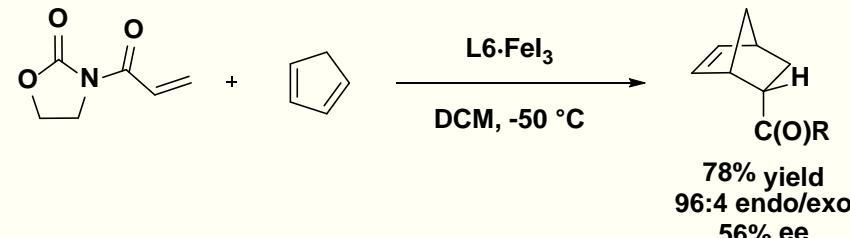
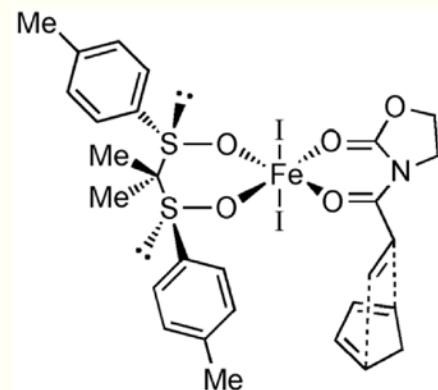


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- First single sulfur epimers ligands were developed by Carreño, in 1993



- First chiral sulfoxide ligand with a chirality on the sulfur atom only, by Khiar in 1993



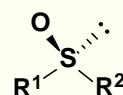
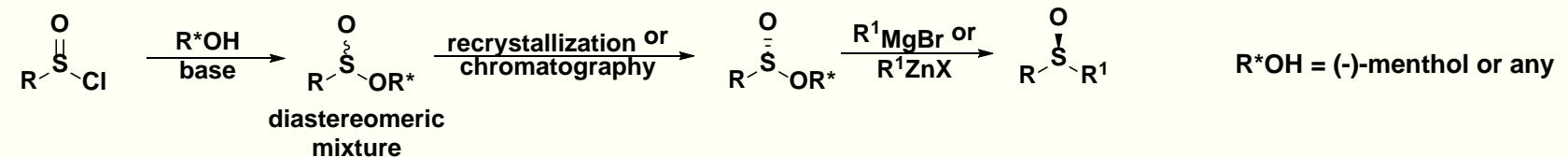
# Preparation of Chiral Sulfoxides

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- Synthesis of chiral sulfoxides is still relevant as they show strong biological activity
- Many different variants for the synthesis but two main strategies are mostly used
- Chiral oxysulfinyl intermediate followed by an addition of an organometallic reagent
  - By Andersen in 1962



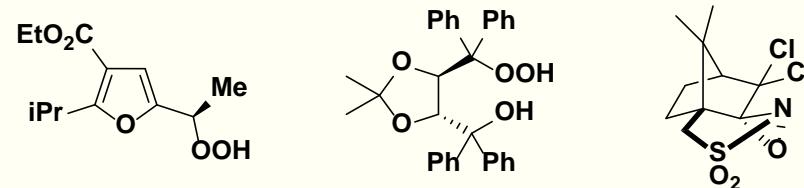
# Preparation of Chiral Sulfoxides

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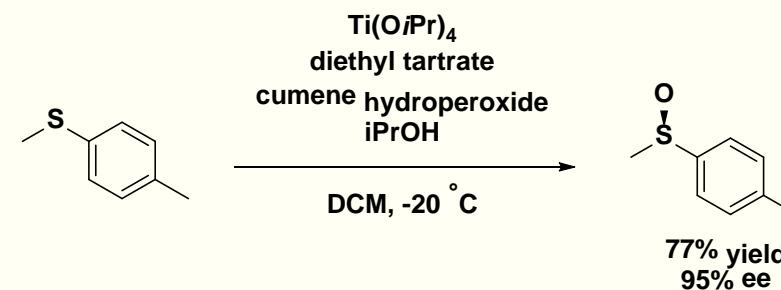


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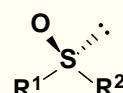
- Enantioselective oxidation of prochiral sulfides using chiral oxidants
  - By Takata in 1986



- First report of a transition-metal-catalyzed enantioselective sulfide oxidation
  - By Kagan in 1996

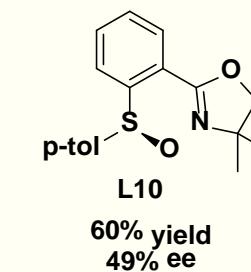
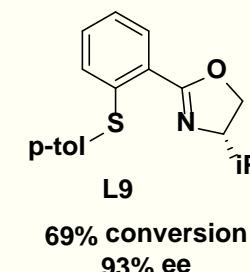
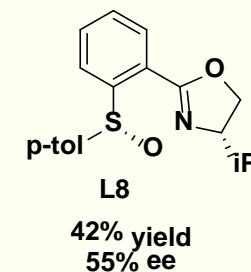
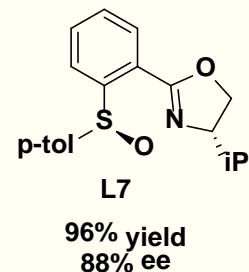
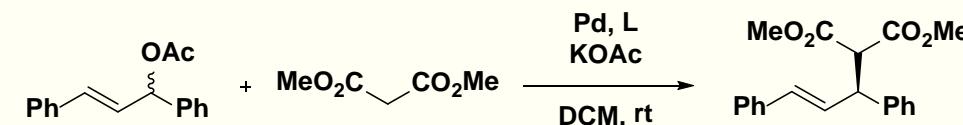


- Disadvantage of the oxidation is its limited scope

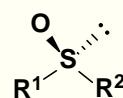


# Chiral Sulfoxides as Ligand – S/N Ligands

- First ligands investigated by Williams in 1994, containing a sulfoxide-oxazolidine
  - Palladium-Catalyzed Asymmetric Allylic Alkylation (AAA)

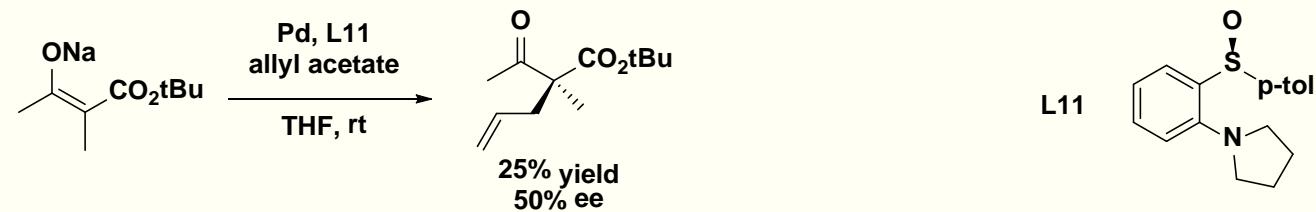


- Clear matched/mismatched effect between L7 and L8
- Sulfoxide not necessary for the enantioselectivity (L9)
- Removing the chirality on the backbone (L10) lowers the reactivity and enantioselectivity

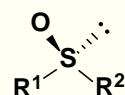


# Chiral Sulfoxides as Ligand – S/N Ligands

- First chiral ligand at the sulfur atom only in the Pd-catalyzed AAA by Hiroi in 1997

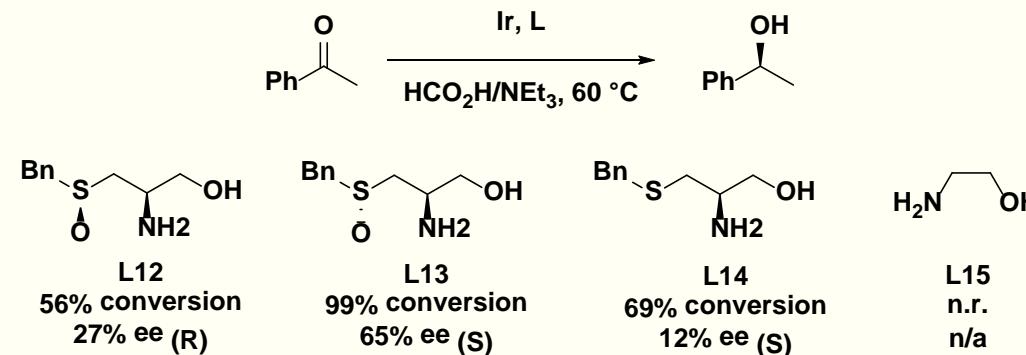


- First and only example of S/N ligand with prochiral nucleophile
- Switching solvent from THF to 1,2-dimethoxyethane reversed the absolute configuration
  - Can be a powerful trick



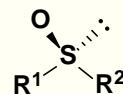
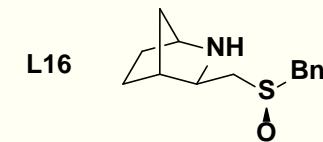
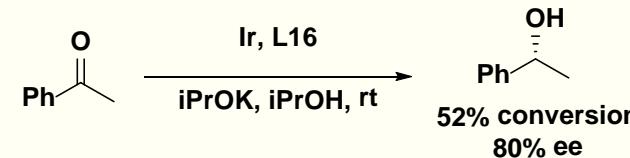
# Chiral Sulfoxides as Ligand – S/N Ligands

- First report of chiral sulfoxide used in an asymmetric carbonyl addition
  - Ir-catalyzed transfer hydrogenation by Leeuwen in 2000



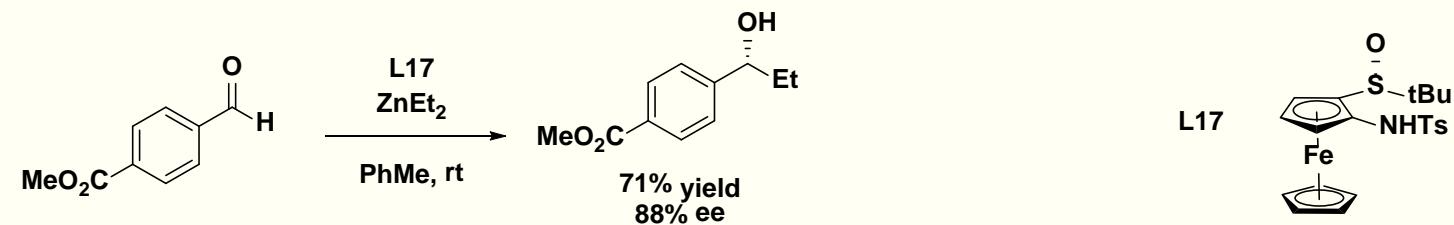
- Matched/mismatched effect between L12 and L13
- Sulfide diminishes the enantioselectivity. Must bind to Ir through the sulfur atom

- Andersson in 2003

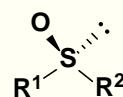


# Chiral Sulfoxides as Ligand – S/N Ligands

- Ferrocene-based S/N ligands were developed by Carretero in 2001
  - Asymmetric addition onto benzaldehyde derivatives

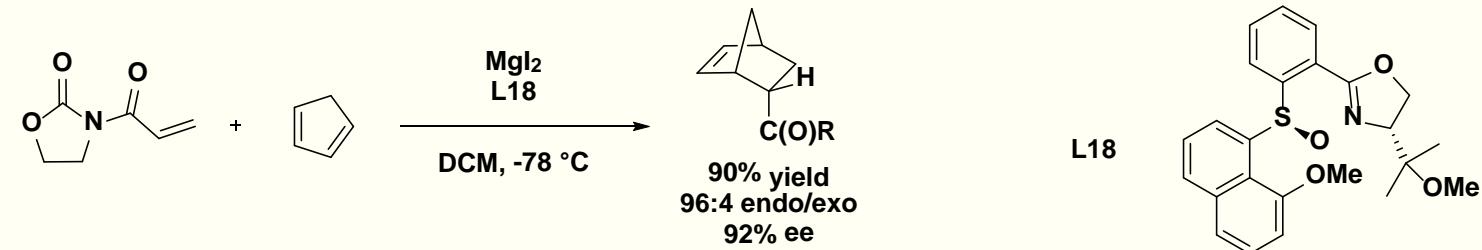


- Chirality elements on the ferrocene unit and the sulfoxide
- Sulfide or sulfone gave similar result for the enantioselectivity
- Sulfone slow down the reaction → sulfur must bind to the metal center



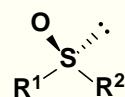
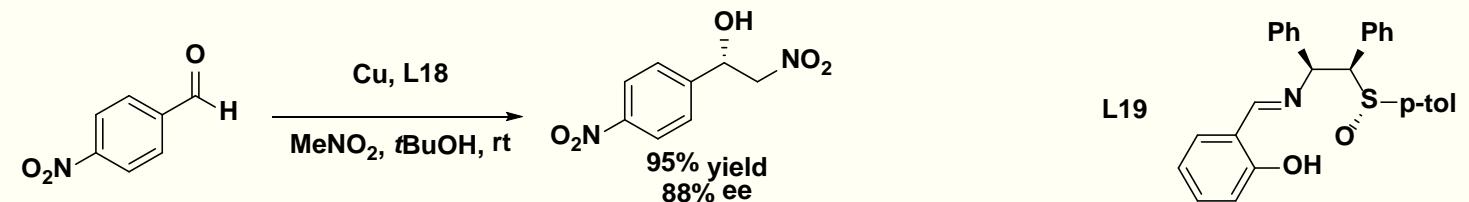
# Chiral Sulfoxides as Ligand – S/N Ligands

- Following the work of Khiar, Hiroi reported, in 2001, a new oxazolidine-sulfoxide ligand
  - Mg-catalyzed asymmetric Diels-Alder reaction



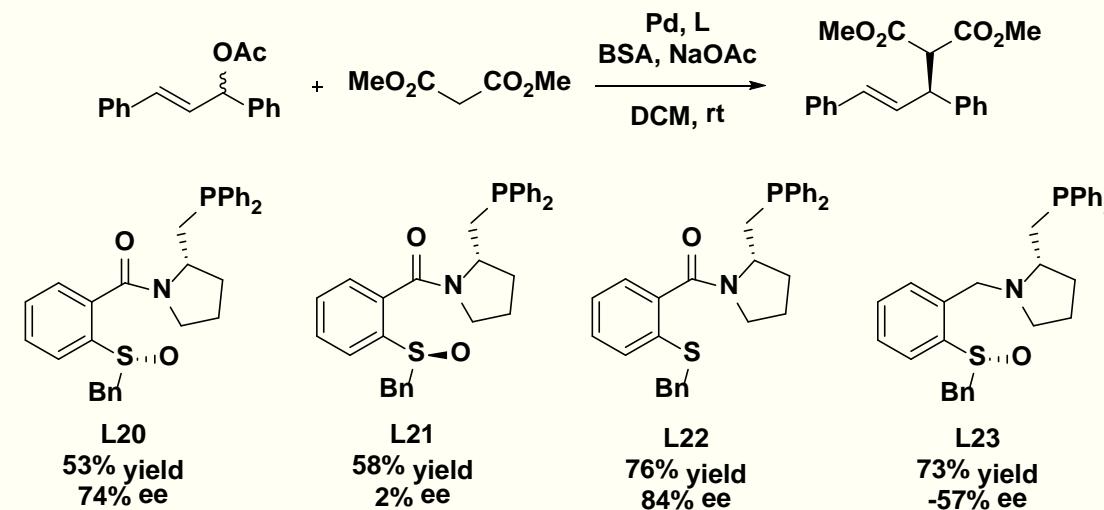
- Both chirality elements must be present

- In 2012, Xiao studied a new class of ligand, based on a salen-derived imine-sulfoxide
  - Cu-catalyzed asymmetric Henry reaction

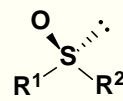


# Chiral Sulfoxides as Ligand – S/P Ligands

- Hiroi also worked on the development of S/P sulfoxide ligands in the AAA reaction
  - First report was in 1999 based on proline

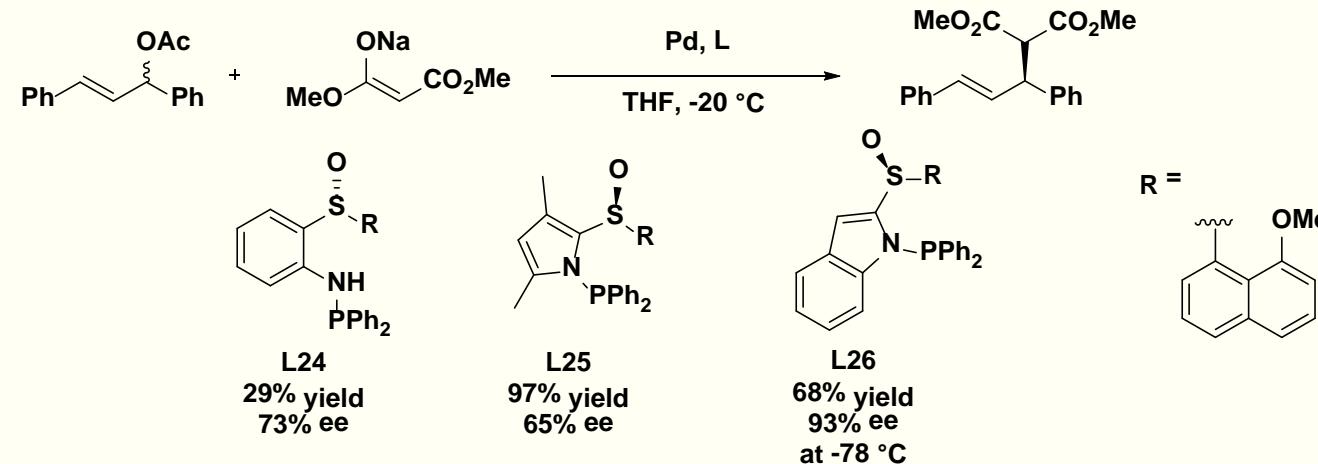


- Matched/mismatched effect between L20 and L21
- Sulfide gave a more efficient reaction reactivity and selectivity → diastereoselective binding
- Amine reversed selectivity → nitrogen is basic enough to coordinate the metal

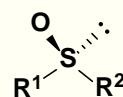
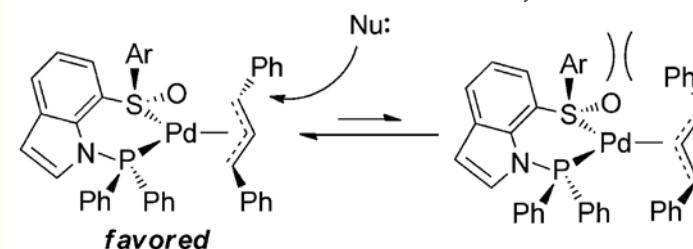


# Chiral Sulfoxides as Ligand – S/P Ligands

- Hiroi's second-generation aminophosphine-sulfoxide ligands with only sulfur chirality

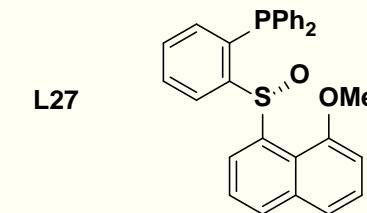


- Including the nitrogen in a heteroaromatic increases the reactivity → better  $\pi$ -backbonding
- More active ligand allowed to cool down the reaction, increasing the enantioselectivity

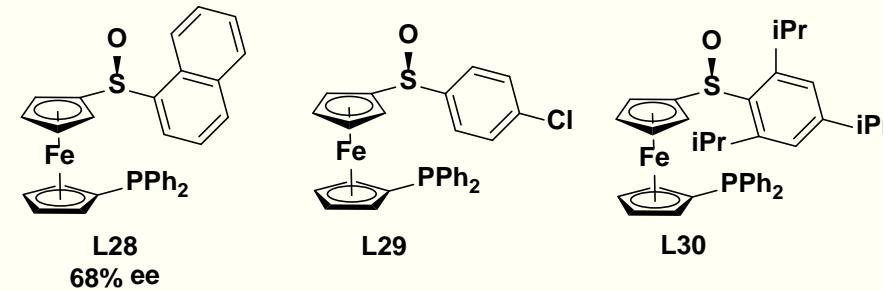


# Chiral Sulfoxides as Ligand – S/P Ligands

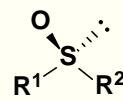
- Hiroi's third-generation ligands lack the nitrogen linker, increasing their potency



- Toru developed non-planar ferrocene S/P ligands for Pd-AAA



- L28 gave lower ee than L27. EWG (L29) slower the reactivity and L30 shut it down, but...



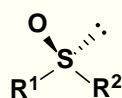
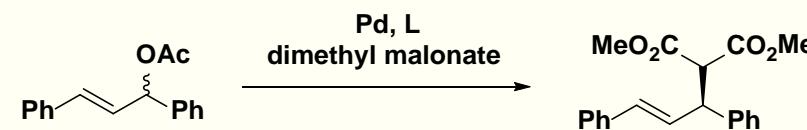
# Chiral Sulfoxides as Ligand – S/P Ligands

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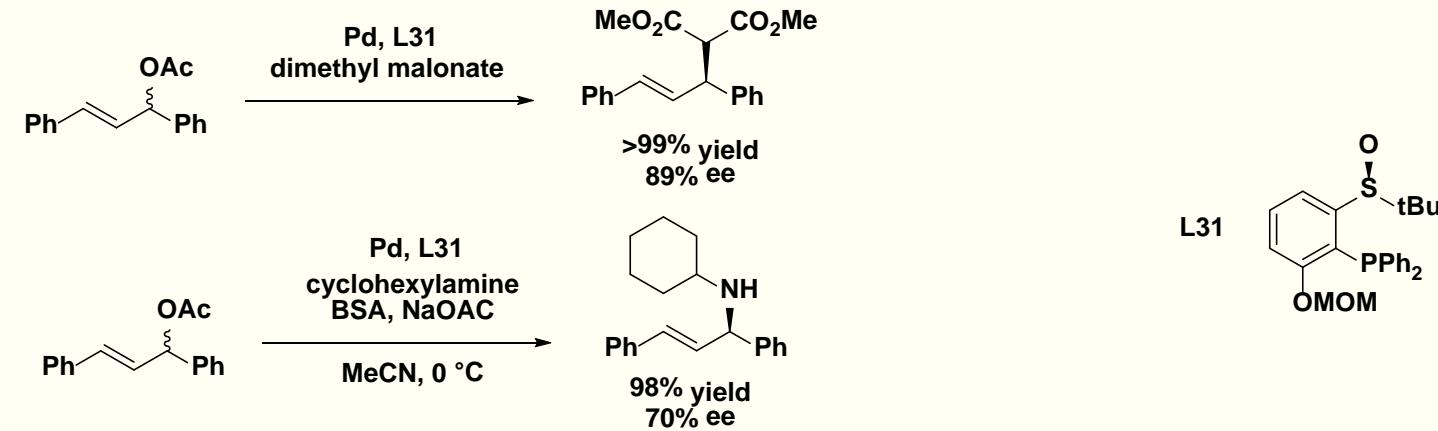
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- Comparison between Hiroi's and Toru's ligands

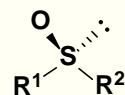


# Chiral Sulfoxides as Ligand – S/P Ligands

- Most effective S/P ligands for the AAA were developed by Liao in 2009
  - Based on the Hiroi scaffold

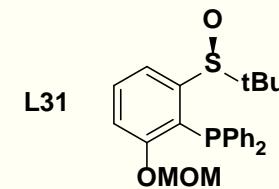
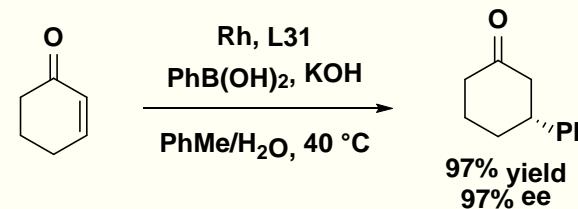


- The bulky alkyl sulfoxide substituent as well as the EDG-substituent on the aromatic ring creates a positive effect on the reactivity and enantioselectivity

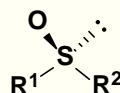
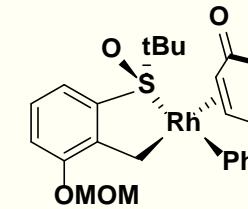
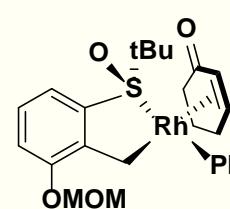


# Chiral Sulfoxides as Ligand – S/P Ligands

- Liao's tert-butylsulfinyl-phosphine ligand was used in conjugated addition in 2010

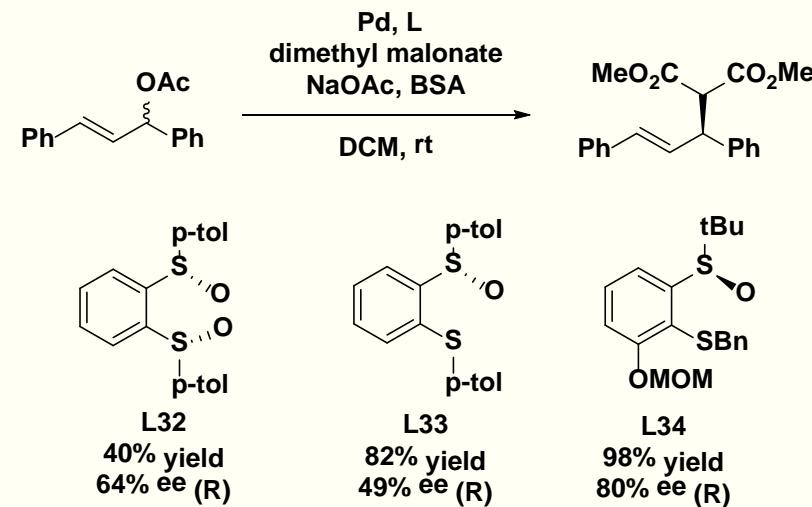


- Similar or best results when using 2-nitrostyrenes
- Importance of the donating group on the aryl
- BINAP gave low enantioselectivity (10% ee)
- re* face is favored → steric hinderance

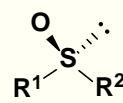


# Chiral Sulfoxides as Ligand – S/S Ligands

- In 1995, Shibasaki was the first to report a S/S chiral sulfoxide ligand only at the sulfur atom

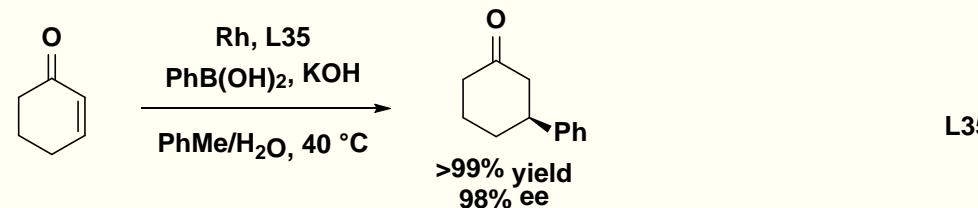


- Sulfoxide-sulfide ligand is more reactive but less selective
- In 2011, Liao reported the use of L34 as a powerful ligand for this transformation
- Both epimers on the sulfoxide give the same product → no explanation

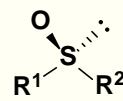
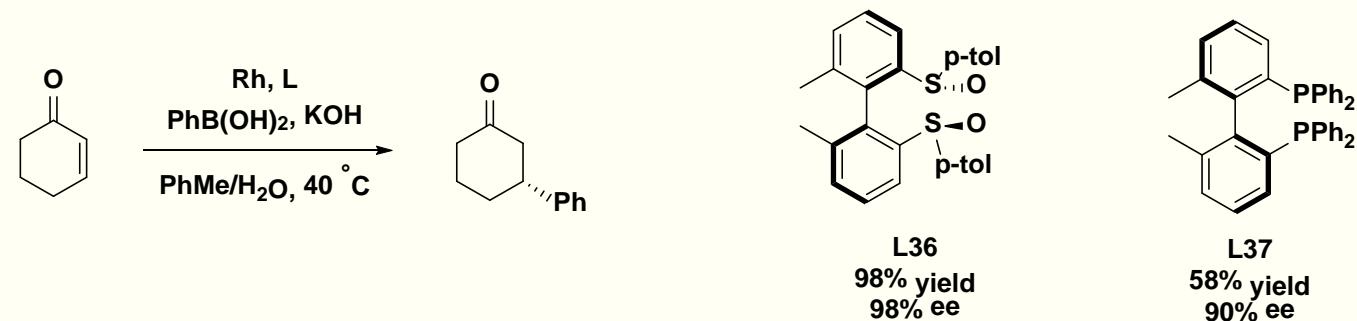


# Chiral Sulfoxides as Ligand – S/S Ligands

- Major breakthrough was made by Dorta in 2008 for the Hayashi reaction
  - First example of exceptional reactivity and enantioselectivity using chiral sulfoxides as ligand



- Reaction tolerates variety of nucleophiles, but the enones were limited
- 2010, new discovery of a more reactive ligand (L36), more σ-donating than (S)-biphemp

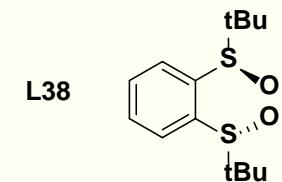
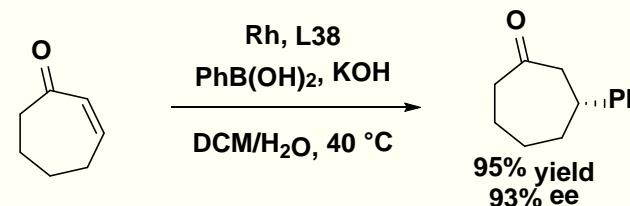


R. Mariz, X. Luan, M. Gatti, A. Linden, R. Dorta, *J. Am. Chem. Soc.* 2008, 130, 2172 – 2173.

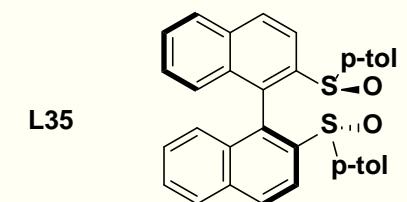
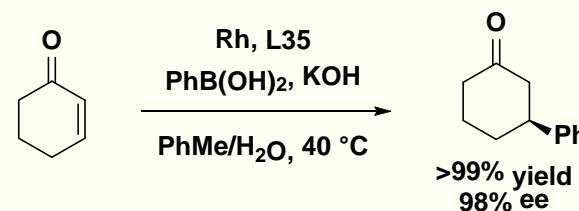
R. Mariz, A. Poater, M. Gatti, E. Drinkel, J. J. Brigi, X. Luan, S. Blumentritt, A. Linden, L. Cavallo, R. Dorta, *Chem. Eur. J.* 2010, 16, 14335 – 14347

# Chiral Sulfoxides as Ligand – S/S Ligands

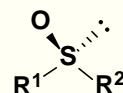
- Great discovery by Liao in 2010 regarding the understanding of sulfoxides' chirality



- Tolerant to a broad scope of nucleophiles and (acyclic) acceptors



- Same chirality at the sulfoxides gives different enantiomer  
 → axial chirality of the linker is dominant over the chiral sulfoxides

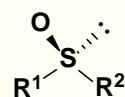


# Chiral Sulfoxides as Ligand – S/S Ligands

- Following Liao's work, Dong, in 2011, reported a new type of chiral bis(sulfoxide) ligand



- When using L40, the opposite enantiomer was found and the selectivity was low



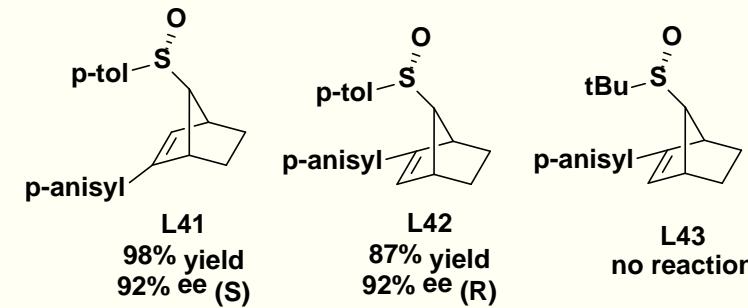
# Chiral Sulfoxides as Ligand – S/Olefin Ligands

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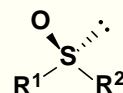


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- Most recent discoveries includ an olefin as second coordinating group
- In 2011, four groups reported independently a new class of chiral sulfoxides used in a Hayashi reaction
- The first one, Knochel, based his design on a norbornene scaffold



- Two diastereoisomers L41 and L42 gave two different enantiomer products
- Increasing the size of the sulfoxide substituent shut down the reactivity → importance of sulfoxide binding



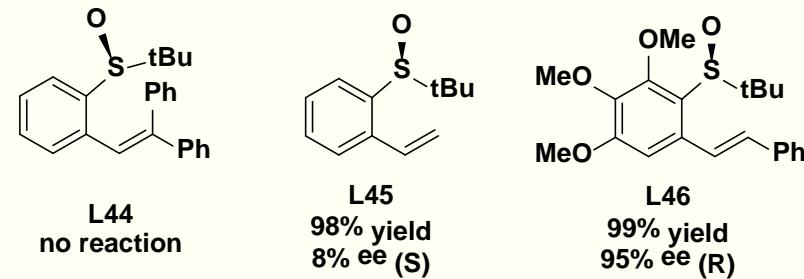
# Chiral Sulfoxides as Ligand – S/Olefin Ligands

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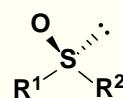


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- Xu's ligands, based on Liao's work, contain the chiral information at the sulfur atom only
  - tert*-butyl substituent of the sulfoxide was tolerated as the steric bulk of the ligand is smaller than norbornene



- Trisubstituted olefin ligand led to no reaction as the hindrance prevent the metal to coordinate the alkene
- L45 gave a good reactivity, however, no concrete enantioselectivity was observed
- Increasing the steric bulk to a disubstituted olefin provided excellent results



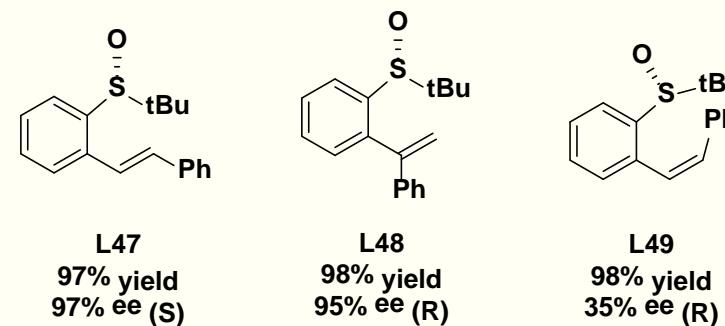
# Chiral Sulfoxides as Ligand – S/Olefin Ligands

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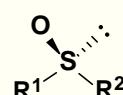


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- Finally, Liao published his own *tert*-butyl sulfoxide-olefin ligands

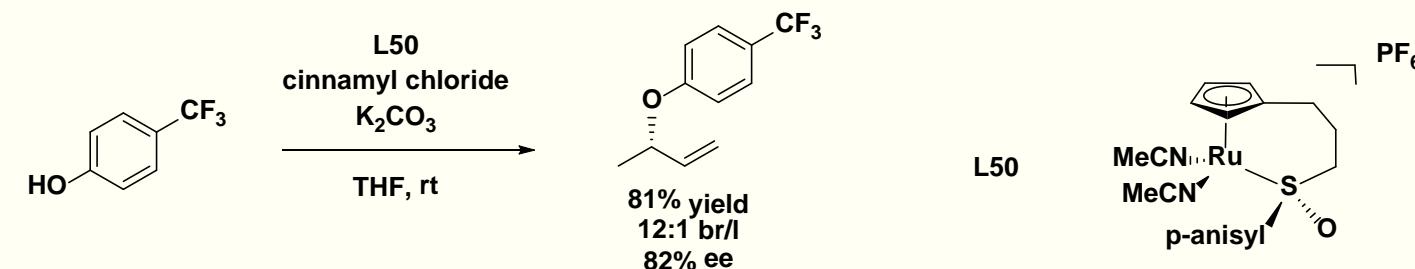


- L47 gave excellent results and yielded to the (S)-product
- Surprisingly, switching the substituent to a 1,1-disubstituted olefin reversed the enantioselectivity
- The (Z)-isomer (L49) gave also the reversed, however lower, selectivity → steric hindrance

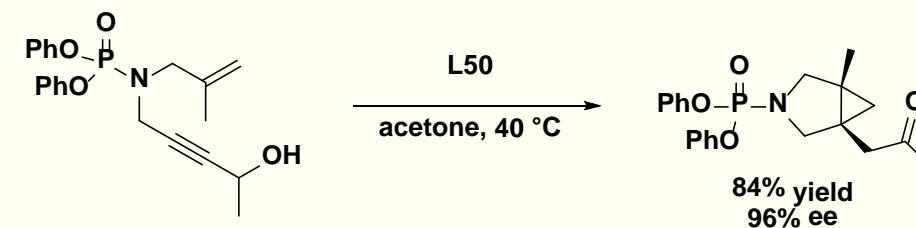


# Chiral Sulfoxides as Ligand – S/Cp Ligands

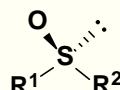
- Trost recently reported, in 2013, a novel class of Cp-sulfoxide ligands
  - Ru-catalyzed AAA



- First enantioselective Ru-catalyzed enyne cycloisomerization

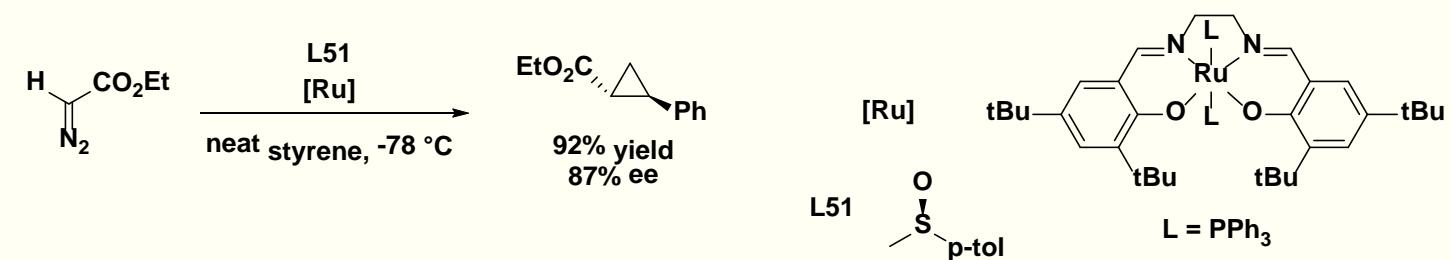


- BINAP and Feringa's ligands led to no reaction

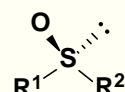


# Chiral Sulfoxides as Ligand – Monodentate Ligand

- Since the original ligand by James, in 1976, most of the new class are bidentate
- In 2005, Nguyen used for the first time a monodentate chiral sulfoxide for asymmetric catalysis



- «Chiral amplification»: chiral sulfoxides with achiral salen ruthenium catalyst allow an asymmetry
- Chiral additive induce a conformational change in the structure of the salen moiety → asymmetry

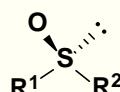


# Conclusion and Outlook

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- In the last 40 years, the field has grown considerably
- Subtle but powerful ligands
- Sulfoxide-olefin ligands can reverse the enantioselectivity using different substituents
  
- Scope of the reactions is limited
  
- However, recent reports show promising results for new cycloaddition (ACIE, ASAP)



# Thank you for your attention

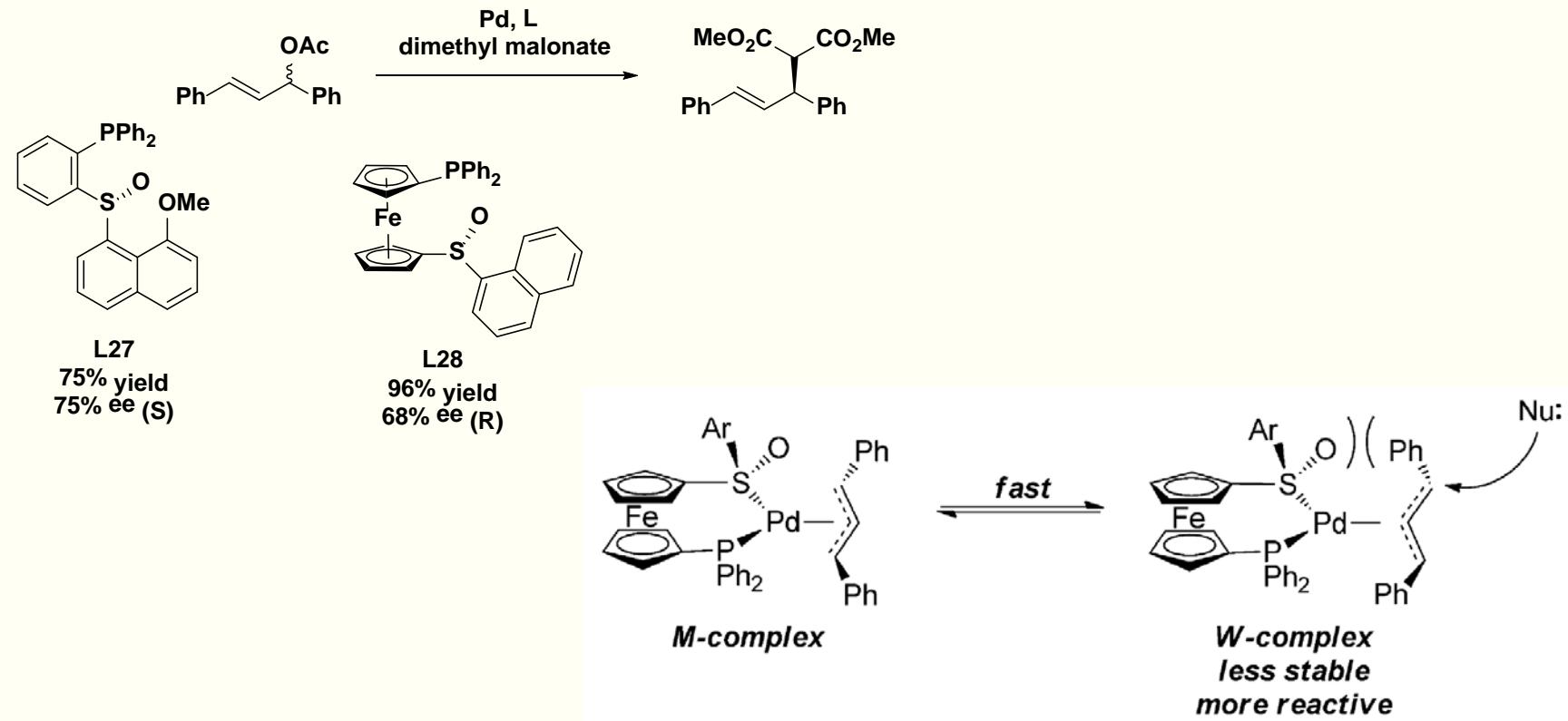
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# Chiral Sulfoxides as Ligand – S/P Ligands

- Comparison between Hiroi's and Toru's ligands

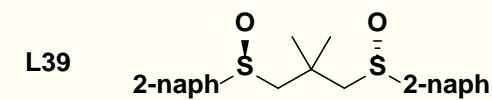
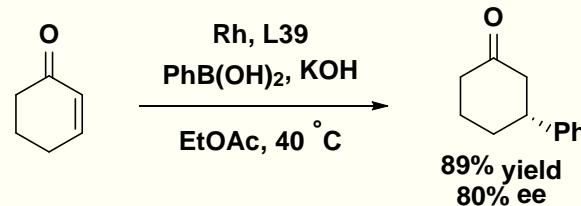


- Curtin-Hammett

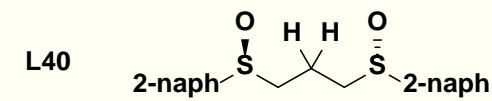
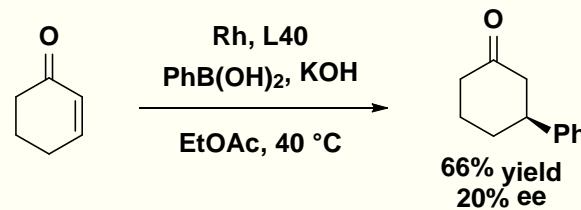


# Chiral Sulfoxides as Ligand – S/S Ligands

- Following Liao's work, Dong, in 2011, reported a new type of chiral bis(sulfoxide) ligand



- When using L40, the opposite enantiomer was found and the selectivity was low



- Thorpe-Ingold effect
  - 6-membered-ring Rh-sulfoxide chelates are not optimal



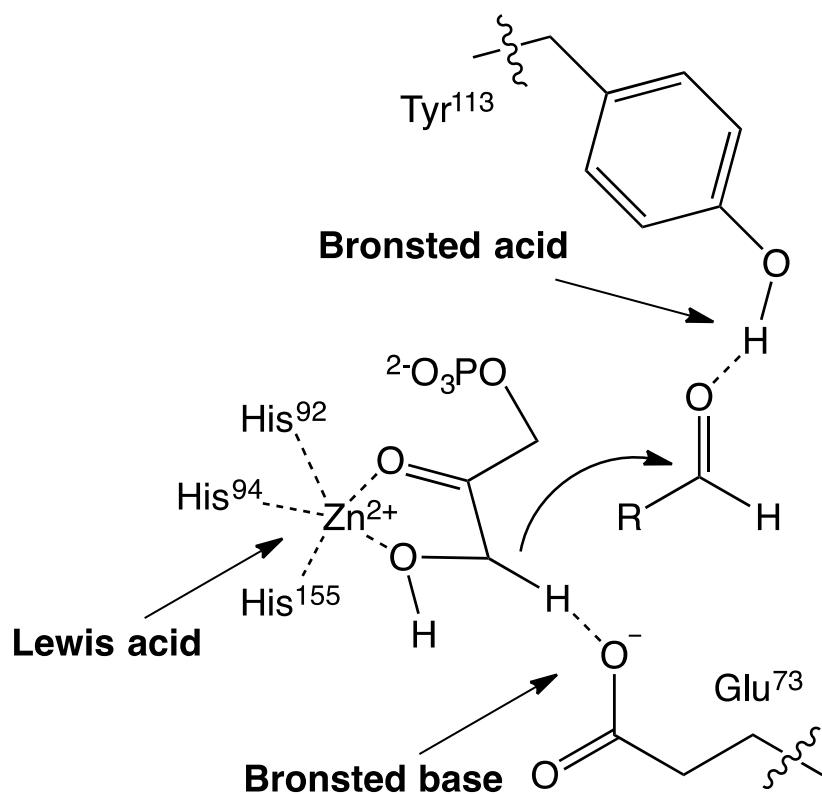
# Chiral Heterobimetallic Lanthanoid Complexes: Highly Efficient Multifunctional Catalysts

Marta Falcone

14/04/2016

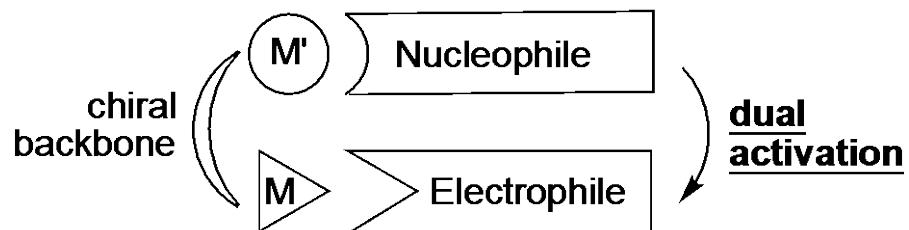
# Cooperative catalysis for atom economy

Postulated acid and base cooperative mechanism of class II aldolase.



## Chiral Heterobimetallic Catalysts

**Bronsted base**  
for nucleophile activation

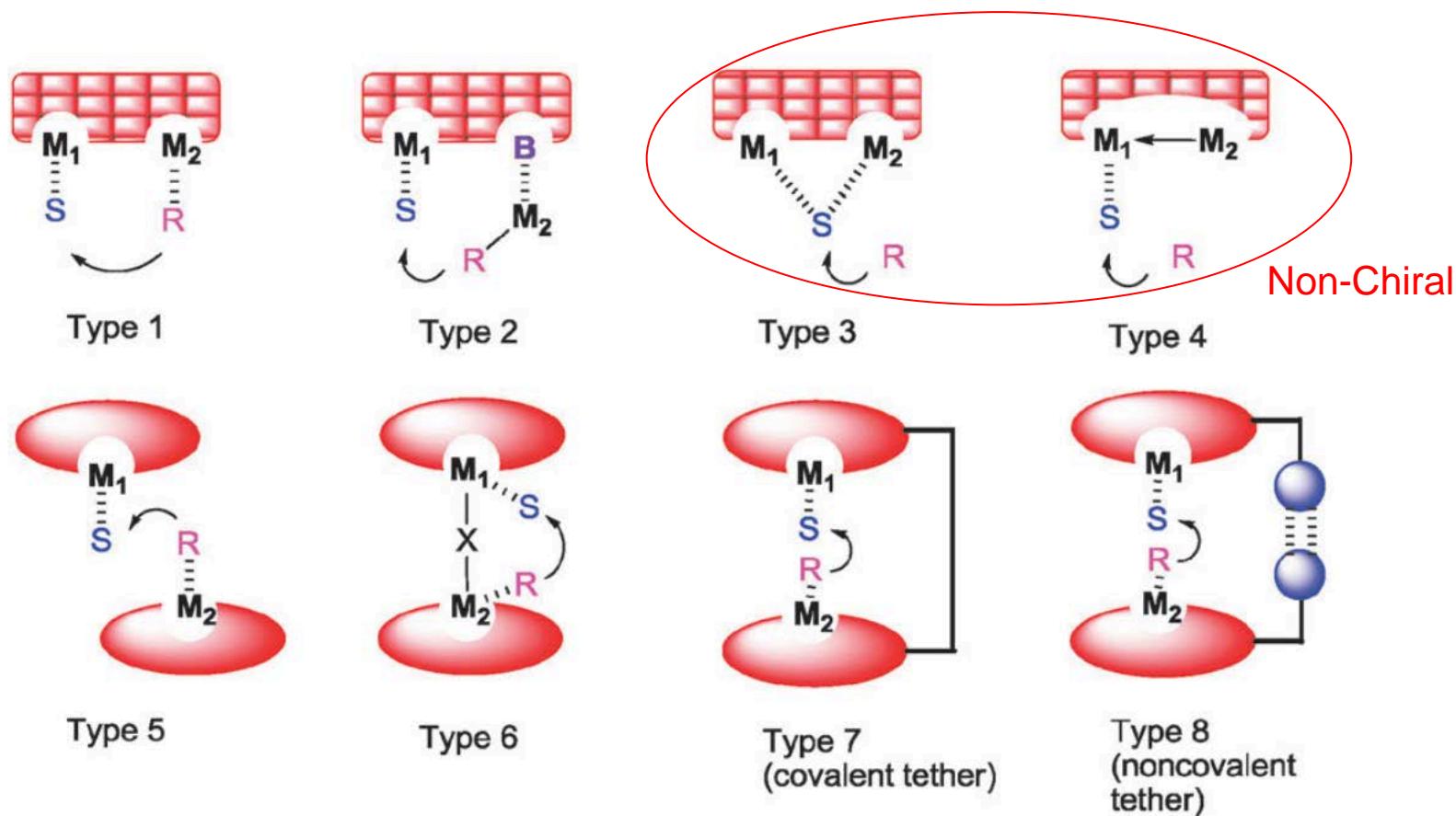


**Lewis acid**  
for electrophile activation

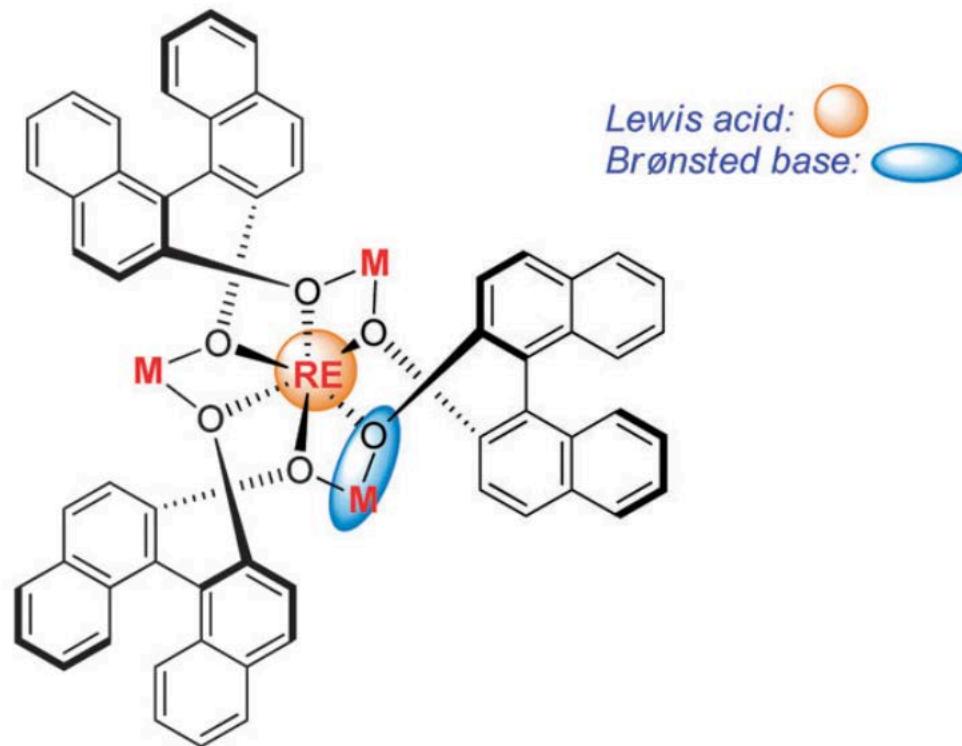
Enzyme Catalysis in Organic Synthesis, ed. P. T. Anastas and R. H. Crabtree, Wiley-VCH, Weinheim, 2009

# Bimetallic cooperative catalysts

- Alkali metals
- Transition metals
- Lanthanide metals



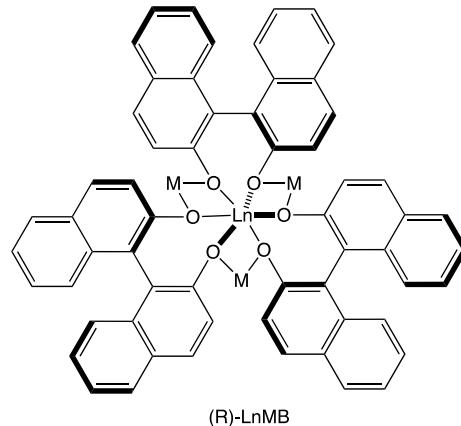
## BINOL-based heterobimetallic catalysts



RE = La, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Yb, Y

M = Li, Na, K

# Applications of heterobimetallic lanthanoid complexes in asymmetric catalysis

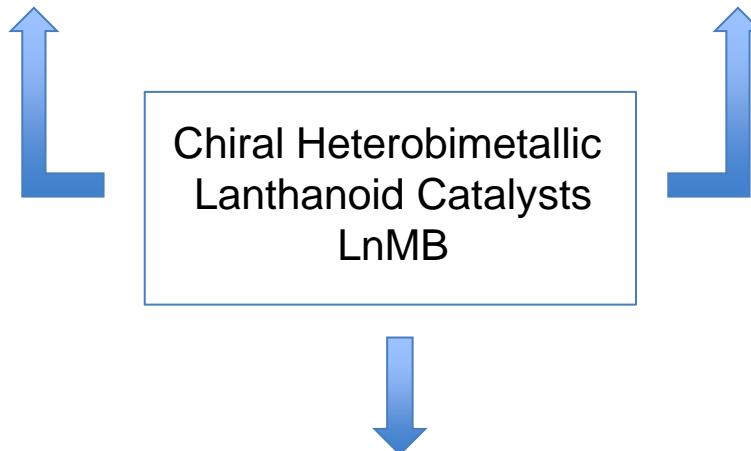


## Asymmetric C-O bond formation:

- Epoxidation of enones

## Asymmetric C-C bond formation:

- Nitroaldol reaction
- Aldol reaction
- Michael addition reaction
- Diels-Alder reaction

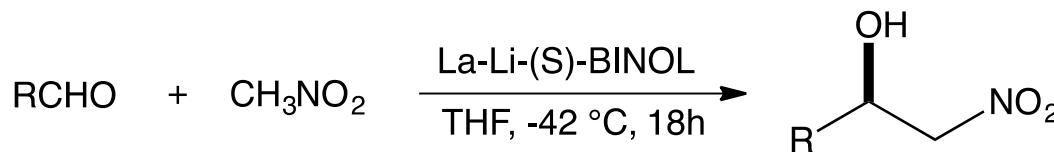


## Asymmetric C-P bond formation:

- Hydrophosphonylation of aldehydes
- Hydrophosphonylation of imines

# C-C Bond Formation using LnMB

## Nitroaldol Reaction

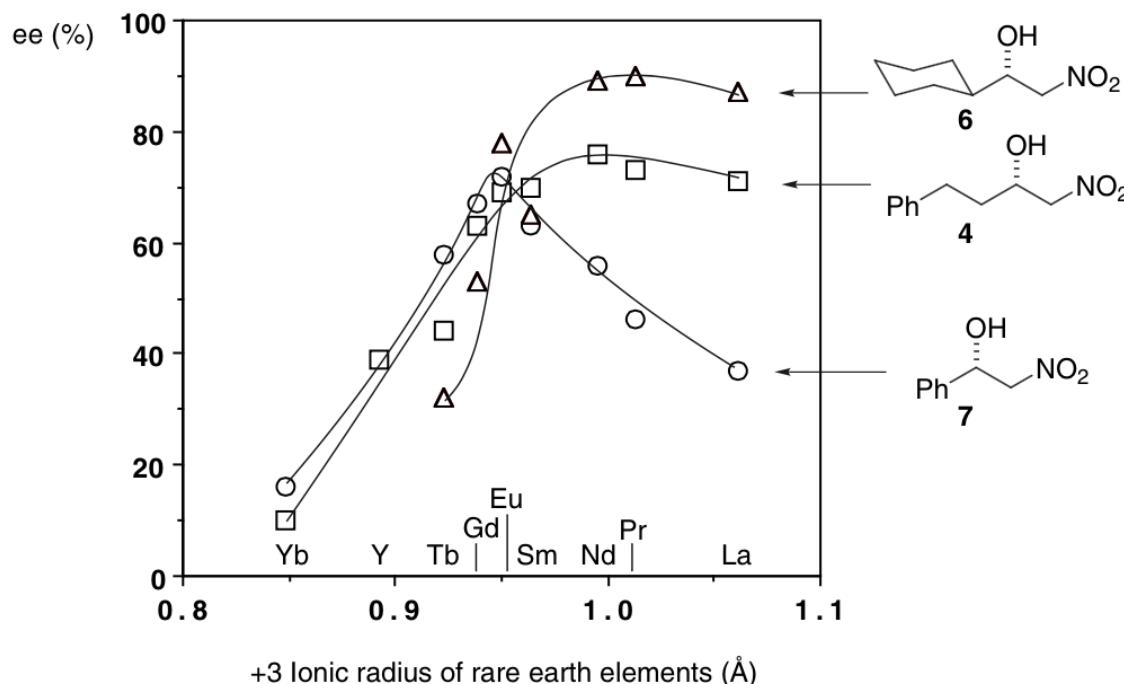


$\text{R}_1 = \text{PhCH}_2\text{CH}_2$  79% (73% ee)

$\text{R}_2 = i\text{Pr}$  80% (85% ee)

$\text{R}_3 = \text{cyclohexyl}$  91% (90% ee)

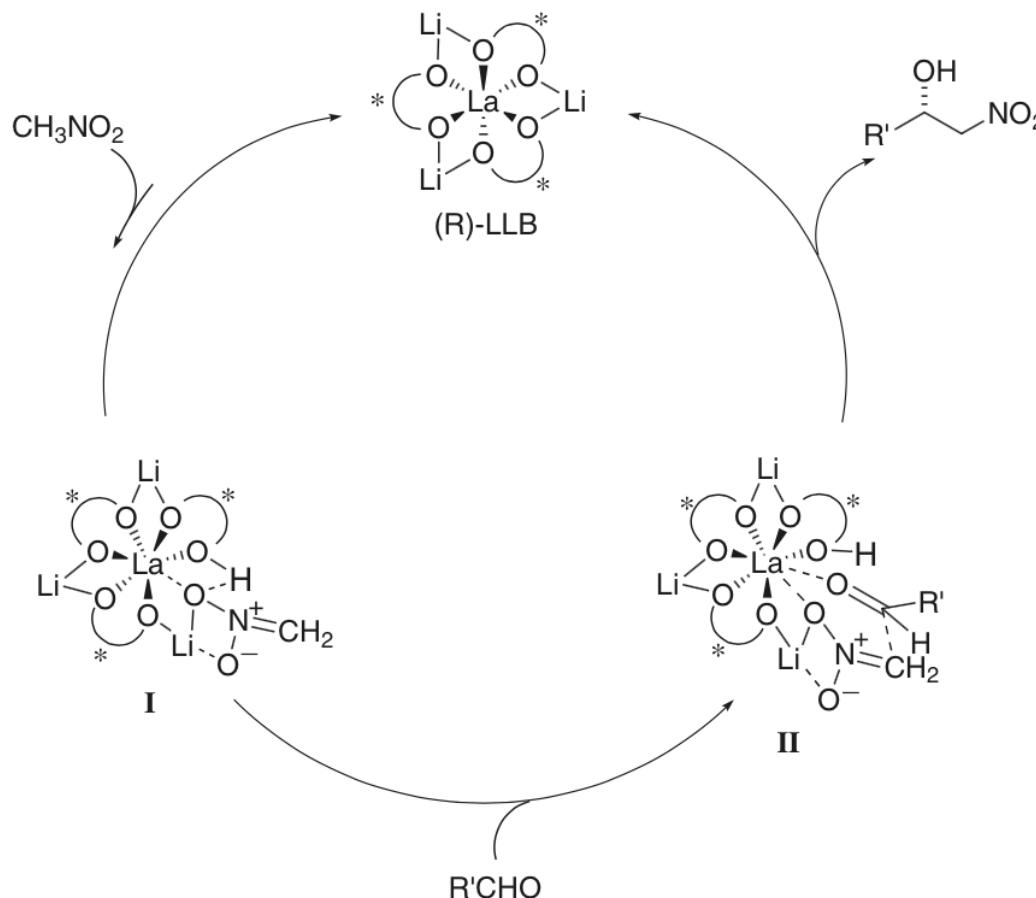
### Effect of the ionic radii of rare earth elements on the enantioselectivity



# C-C Bond Formation using LnMB

## Nitroaldol Reaction

Proposed mechanism for catalytic nitroaldol reactions with nitromethane

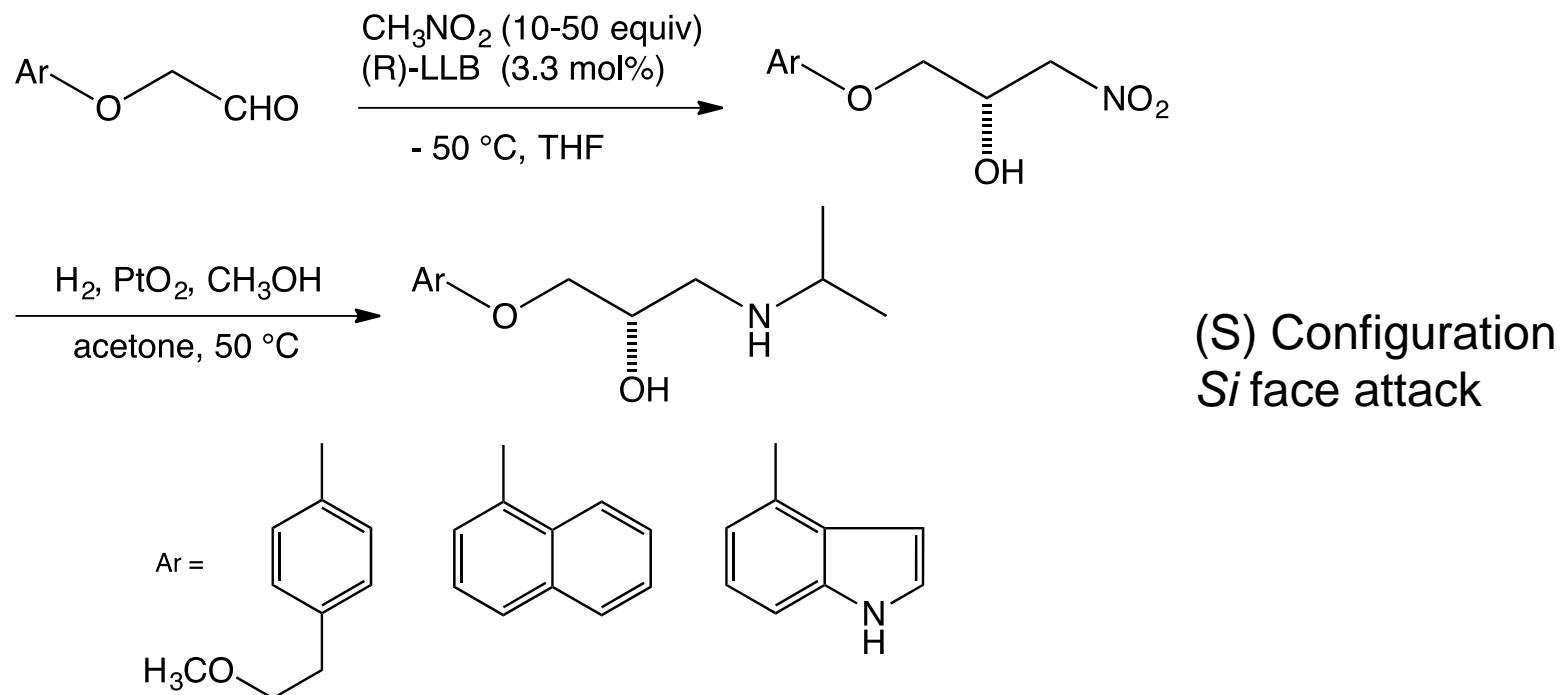


# C-C Bond Formation using LnMB

## Nitroaldol Reaction

### Enantioselective catalytic nitroaldol reaction

Synthesis of betablockers



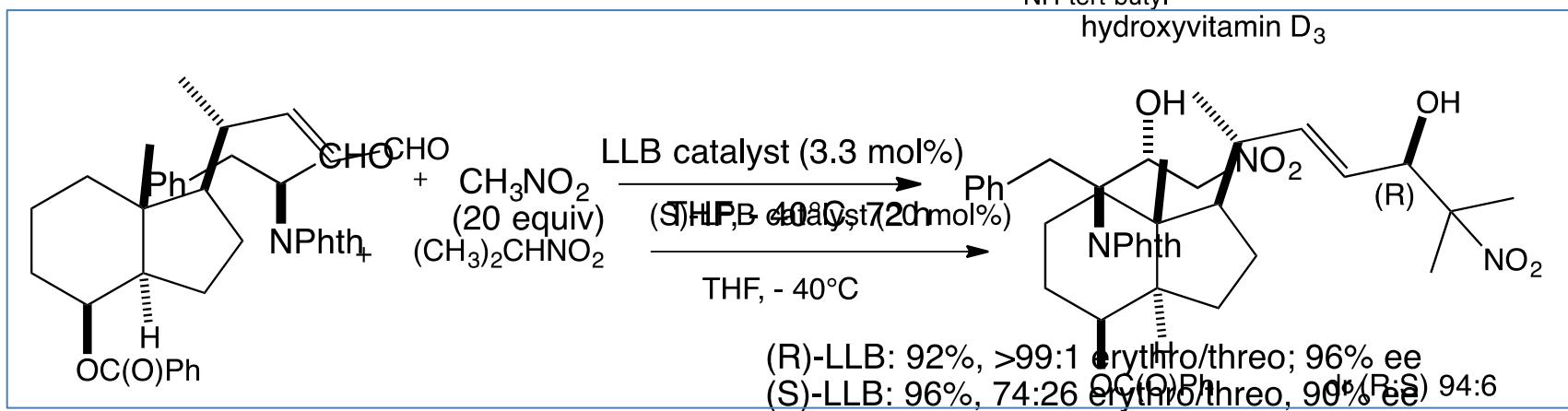
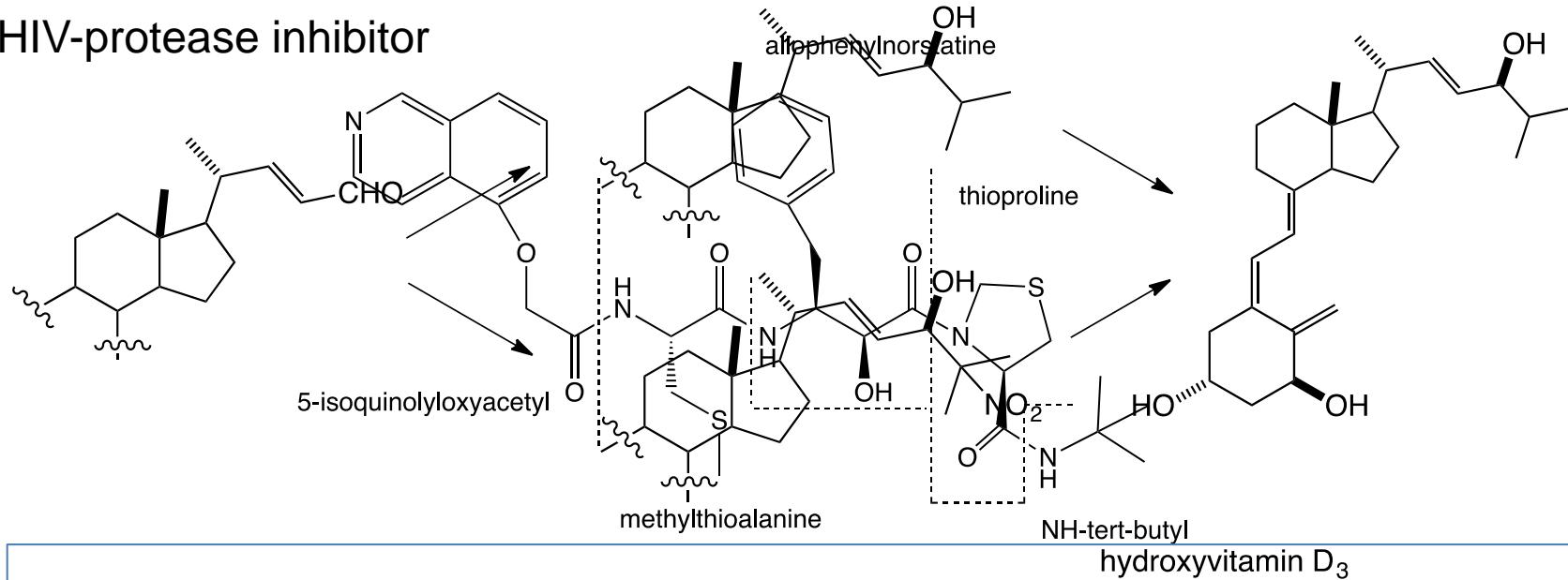
Sasai H, Suzuki T, Itoh N, Arai S, Shibasaki M (1993) Tetrahedron Lett 34:2657

# C-C Bond Formation using LnMB

## Nitroaldol Reaction

### Diastereoselective catalytic nitroaldol reaction

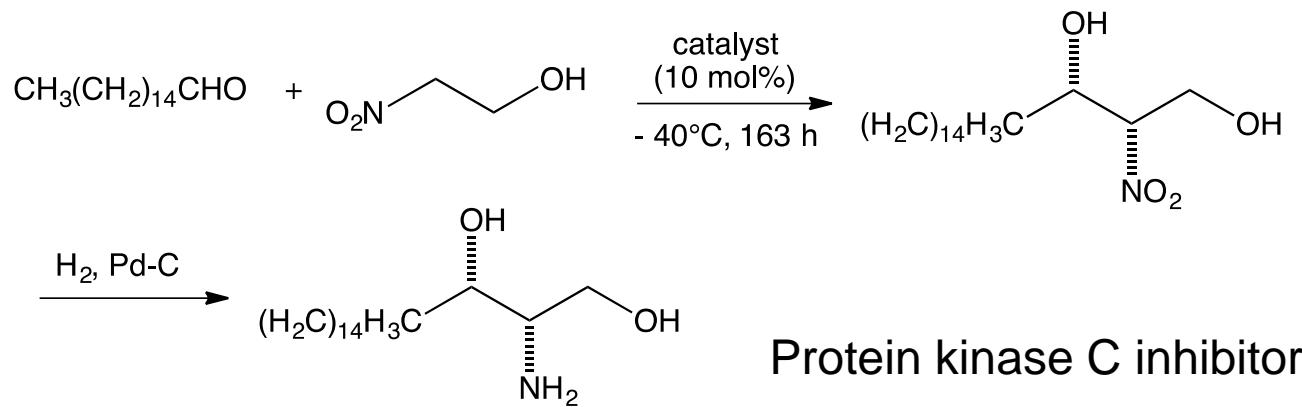
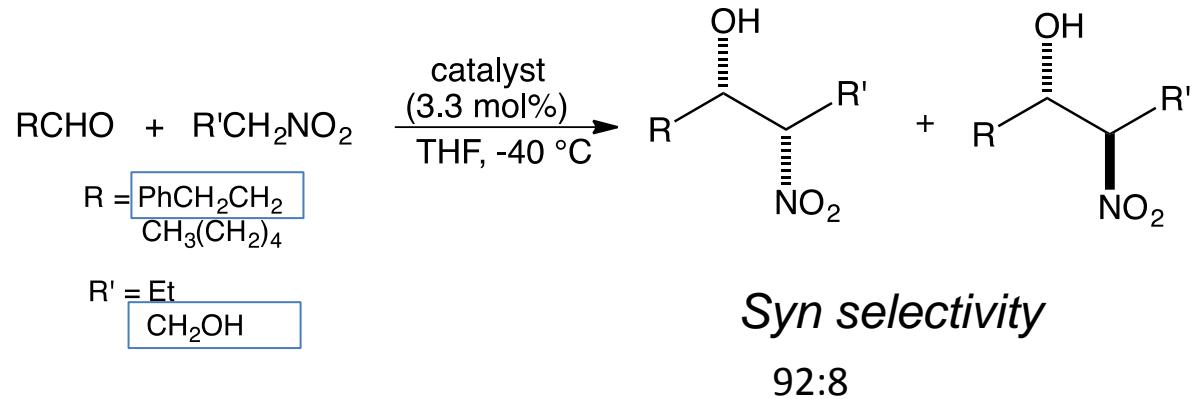
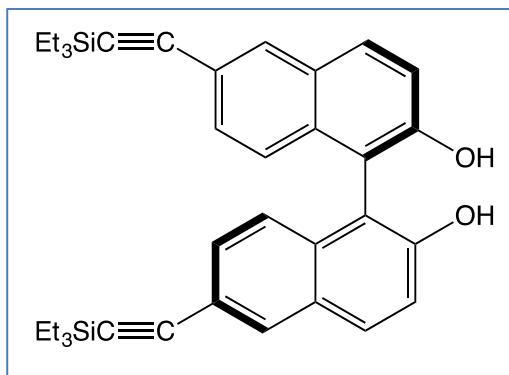
HIV-protease inhibitor



# C-C Bond Formation using LnMB

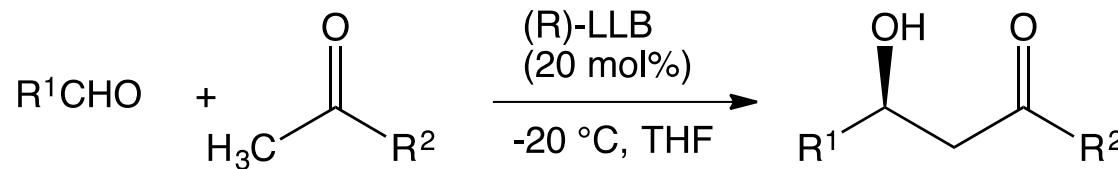
## Nitroaldol Reaction

Diastereoselective nitroaldol reaction from prochiral materials

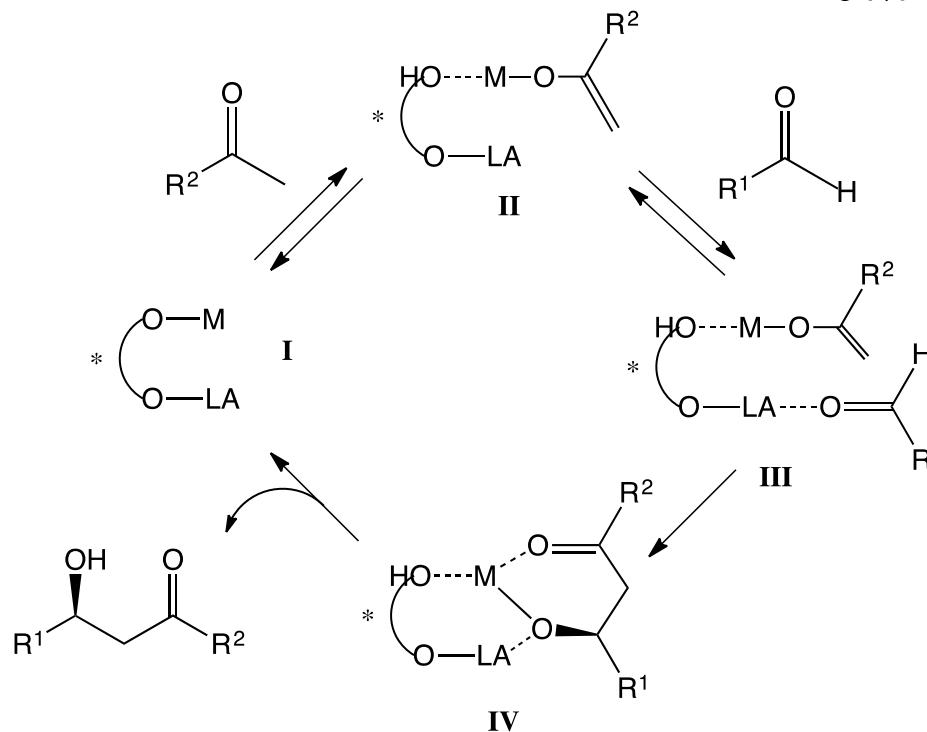


# C-C Bond Formation using LnMB

## Direct aldol Reaction



94% ee



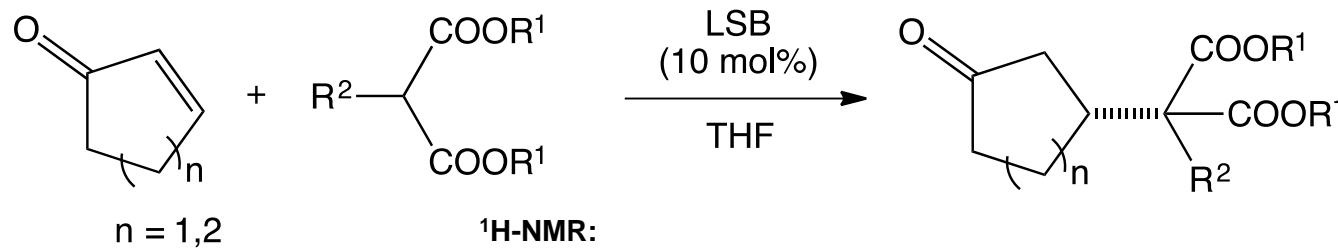
LA = Lewis Acid

M = Metal of Bronsted base

Yamada YMA, Yoshikawa N, Sasai H, Shibasaki M (1997) Angew Chem 109:1942; Angew Chem Int Ed Engl 36:1871

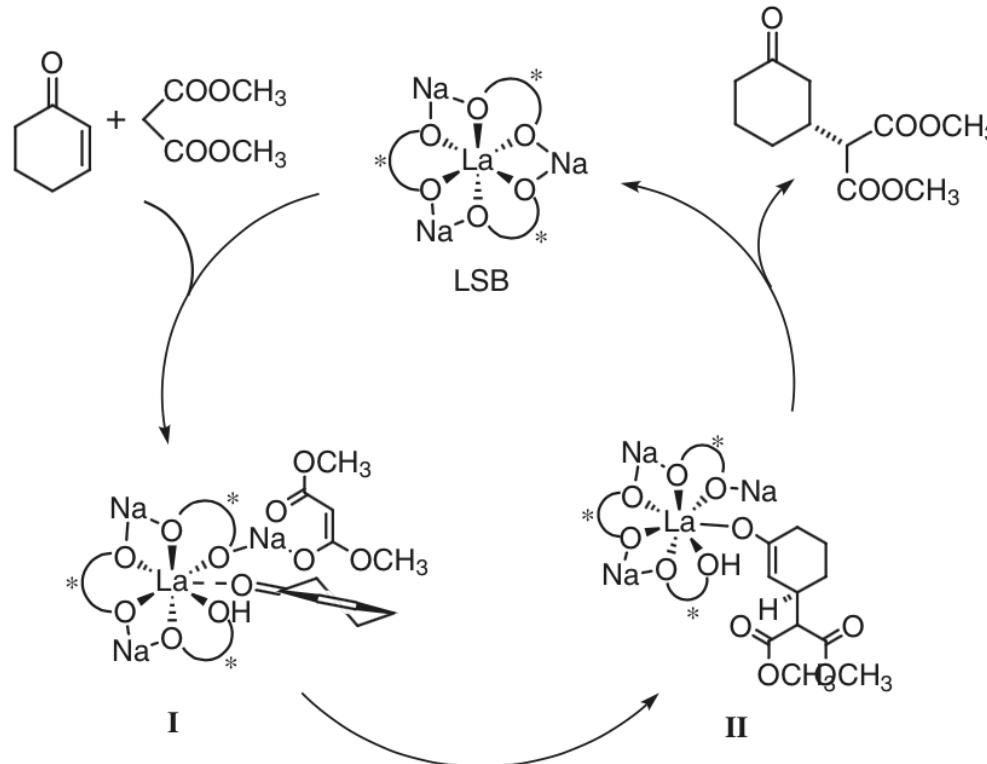
# C-C Bond Formation using LnMB

## LnSB-catalyzed asymmetric Michael addition



<sup>1</sup>H-NMR:

PrSB large shift of alfa proton of cyclohexenone  
EuSB no changes

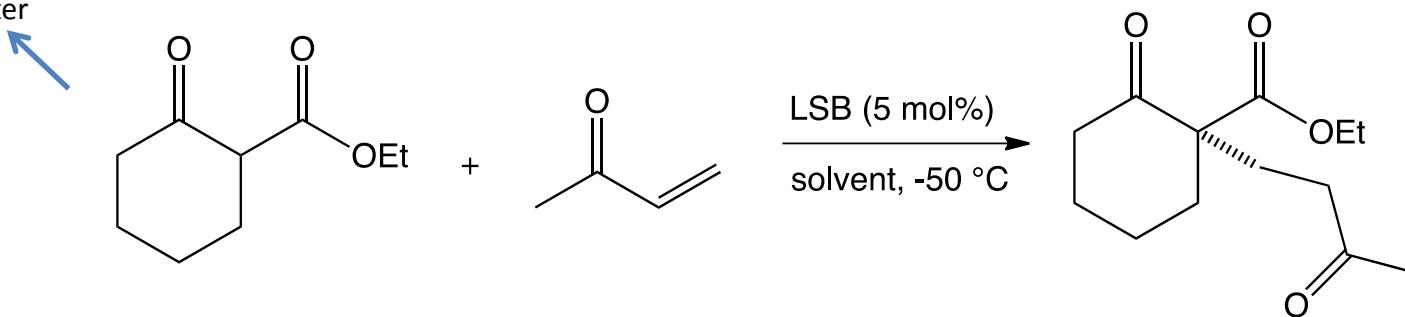


# C-C Bond Formation using LnMB

## LnSB-catalyzed asymmetric Michael addition

### Importance of solvent with beta-keto ester

Malonates vs  
beta-keto ester

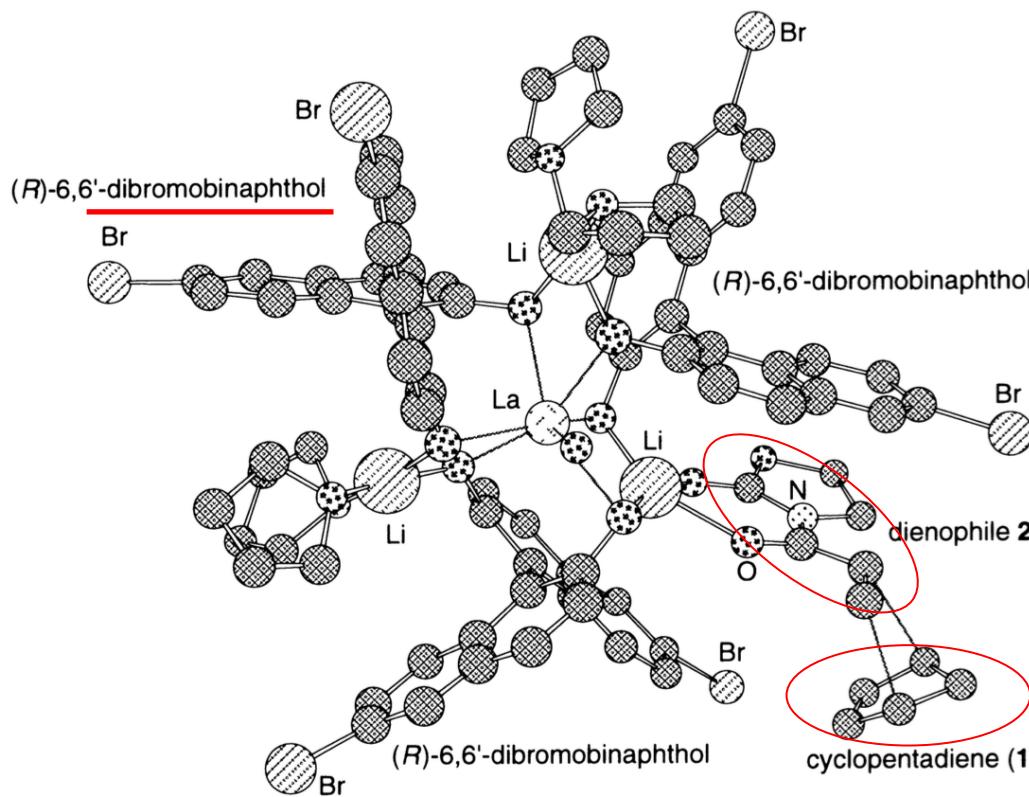
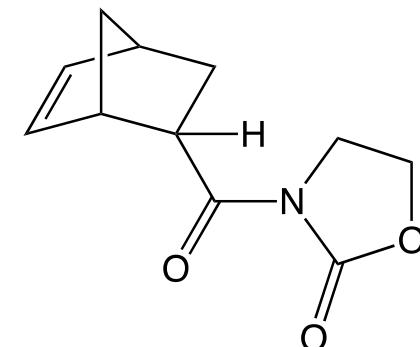
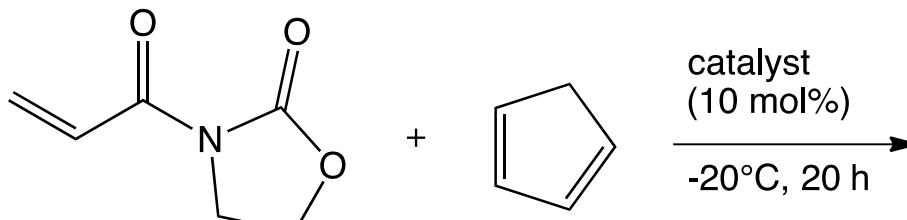


THF → very low ee

solvent	yield	ee
toluene	83%	25%
toluene (slow addition of Nu)	76%	89%
CH <sub>2</sub> Cl <sub>2</sub>	89%	91%

# C-C Bond Formation using LnMB

## Diels-Alder Reaction

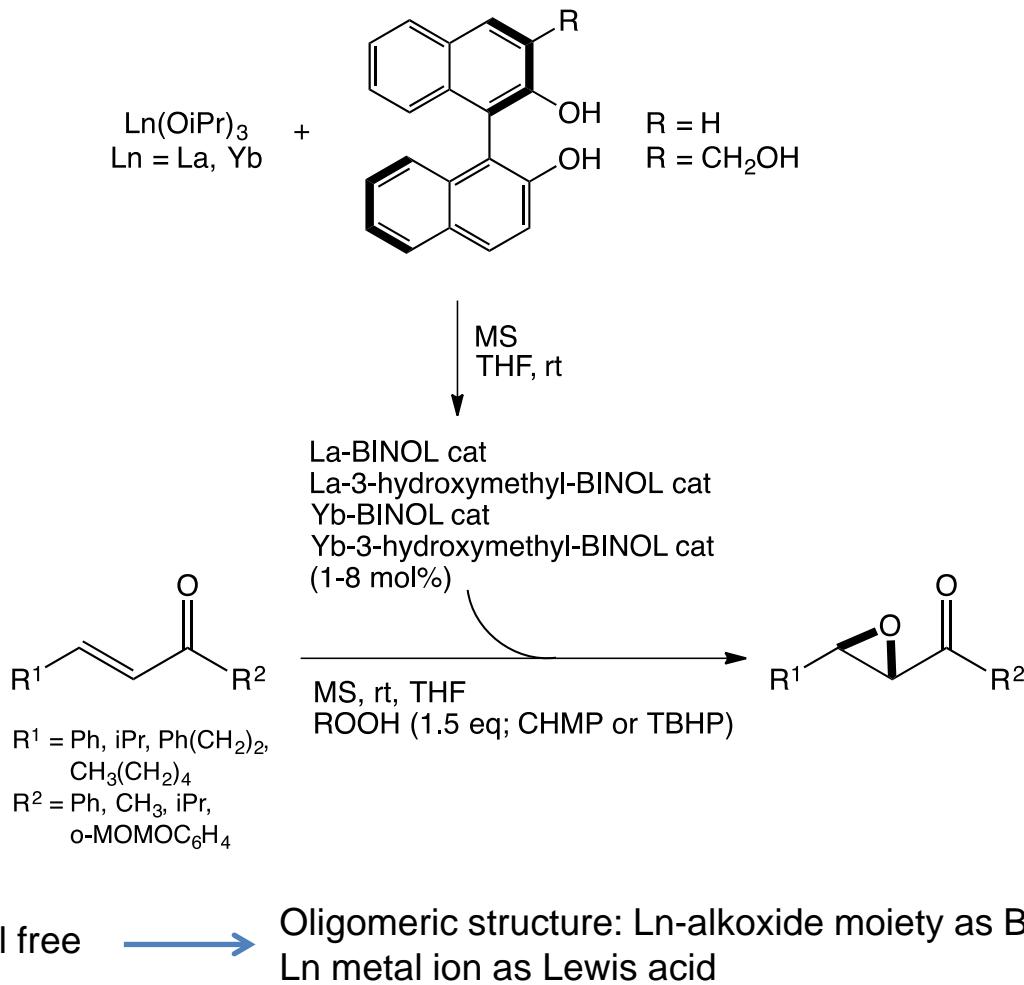


### Mechanism for enantioselection:

LLB as Lewis acidic catalyst  
Through Lithium

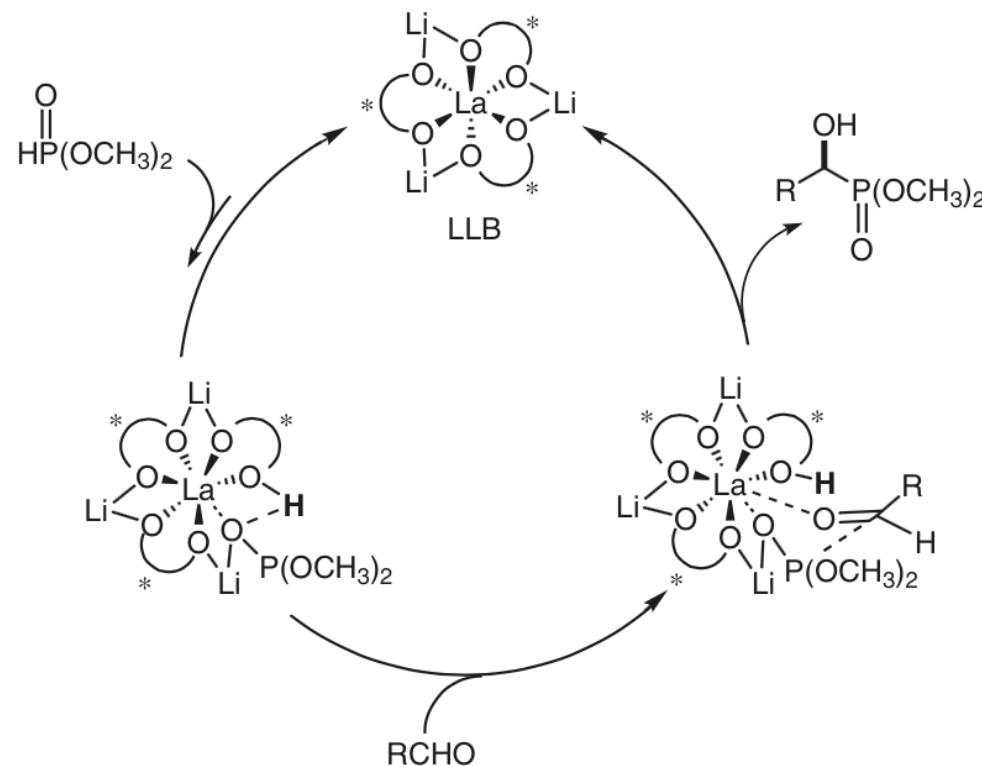
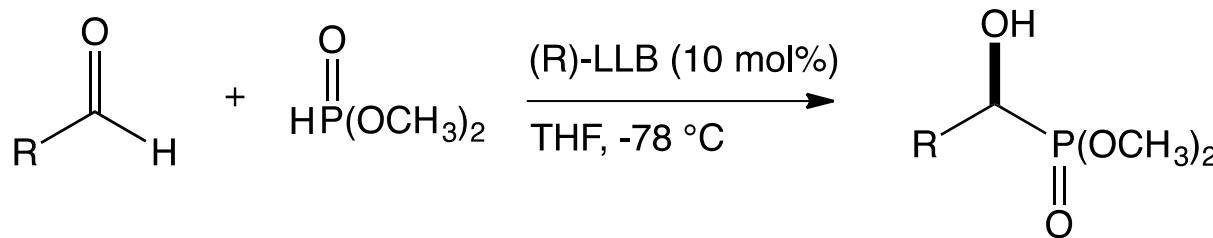
# C-O Bond Formation

## Epoxidation of enones



# C-P Bond Formation using LnMB

## Hydrophosphonylation of aldehydes

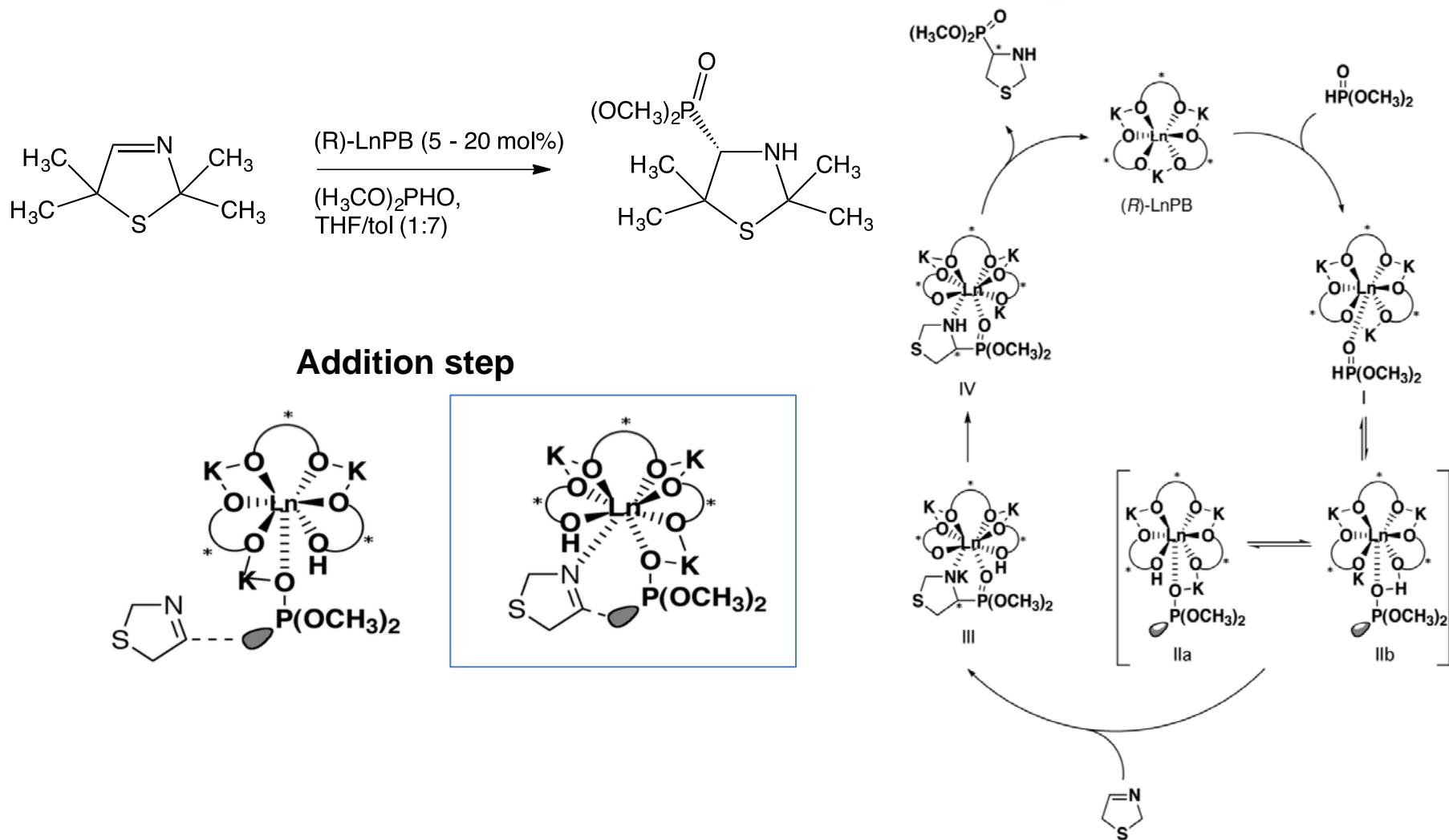


Good results with p-methoxybenzaldehyde

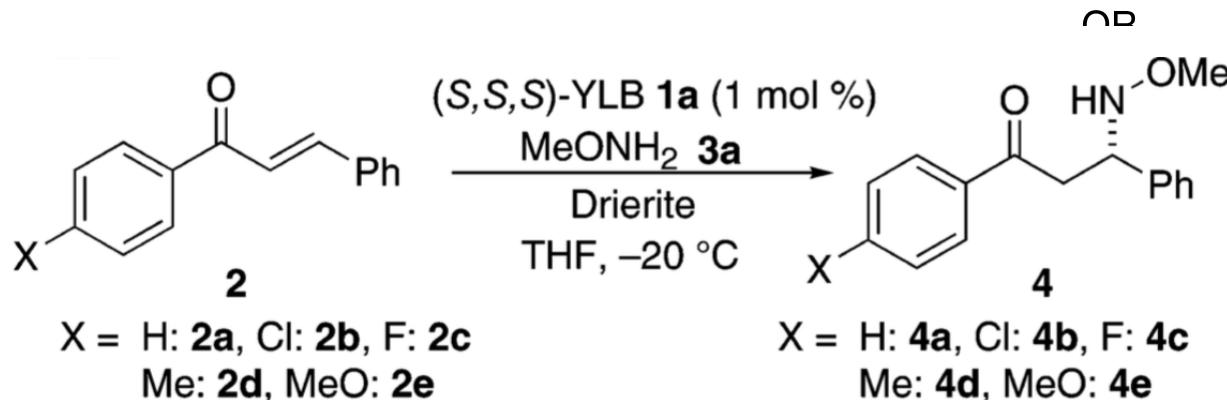
Slow addition of reactive aldehydes

# C-P Bond Formation using LnMB

## Hydrophosphonylation of imines

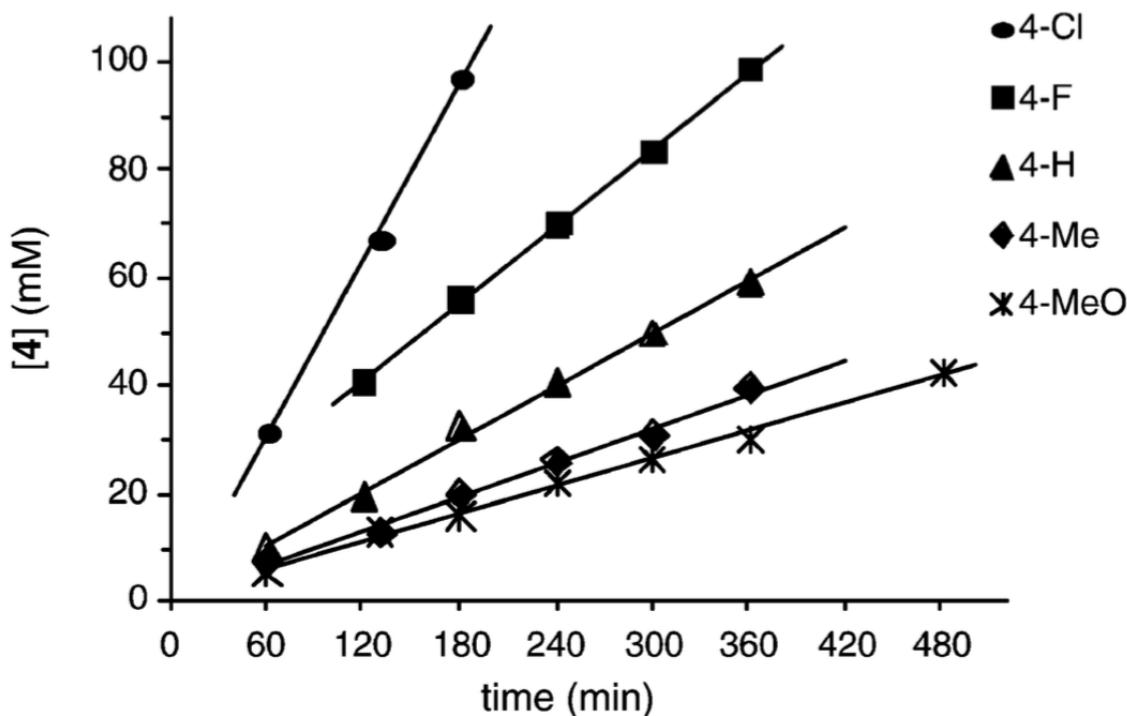


# Lewis acid - Lewis acid cooperative catalysis

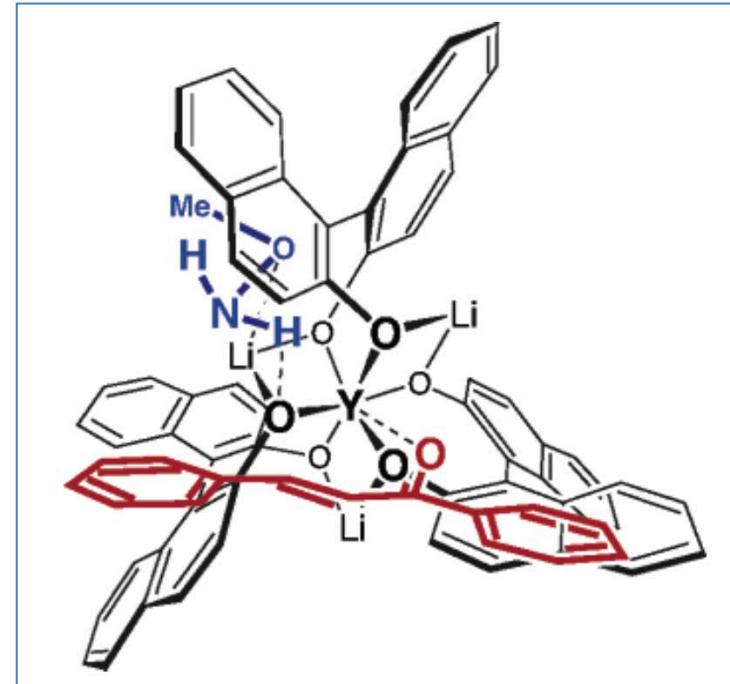
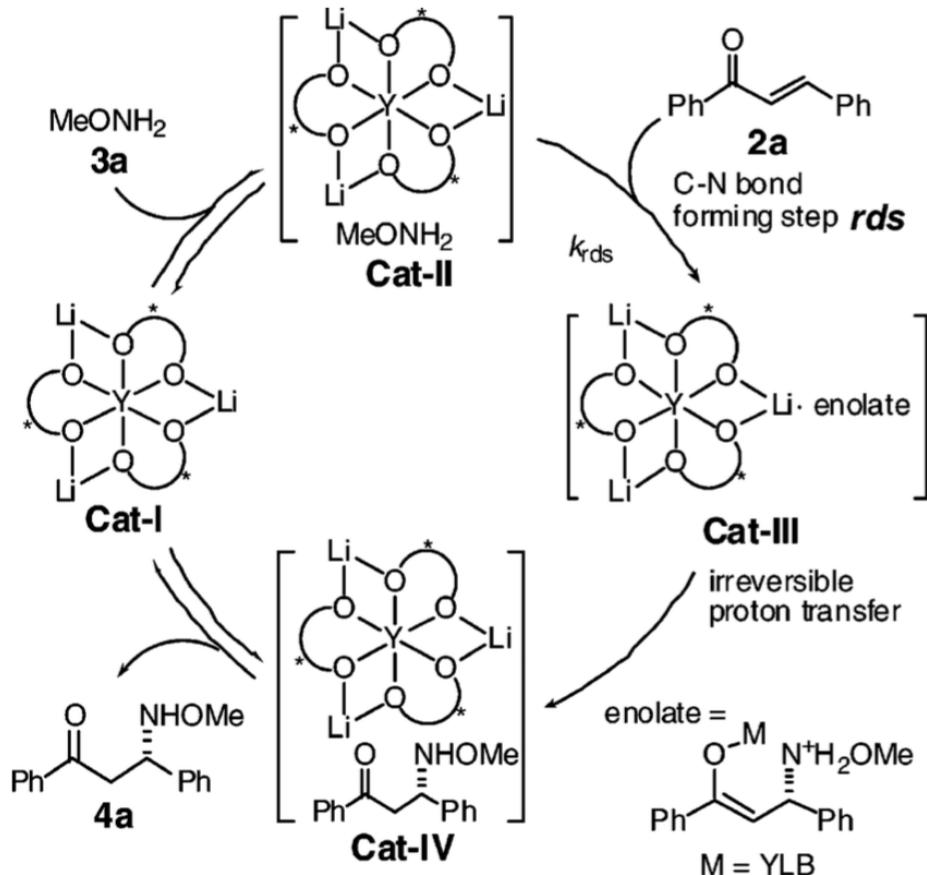


X = H: **2a**, Cl: **2b**, F: **2c**  
Me: **2d**, MeO: **2e**

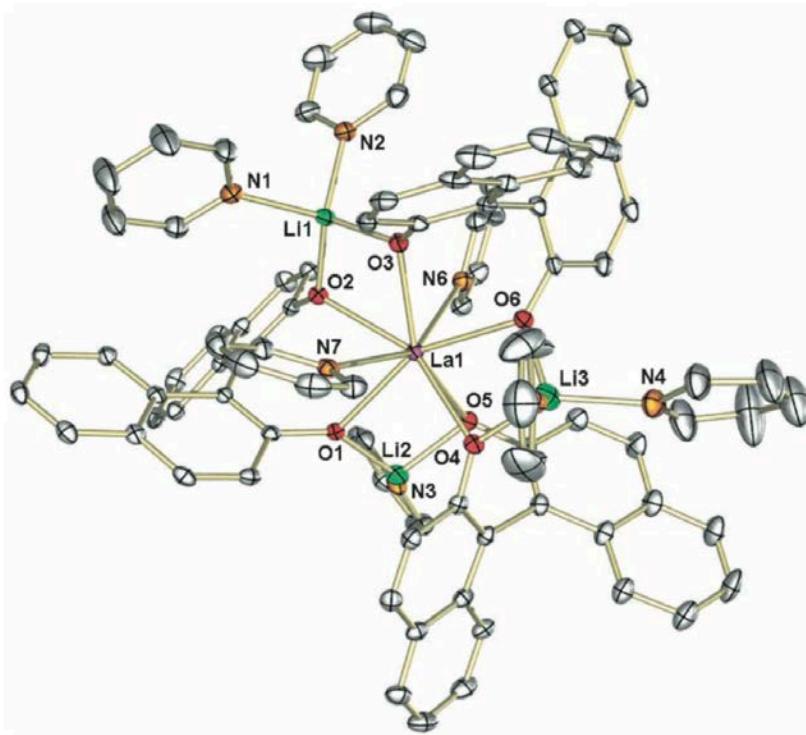
X = H: **4a**, Cl: **4b**, F: **4c**  
Me: **4d**, MeO: **4e**



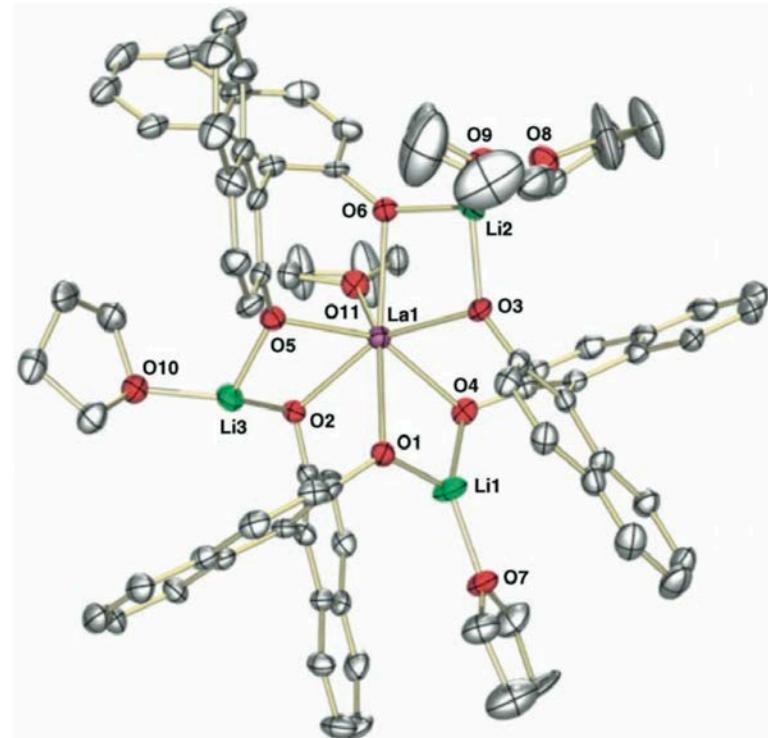
# Lewis acid - Lewis acid cooperative catalysis



# Lewis acid - Lewis acid cooperative catalysis



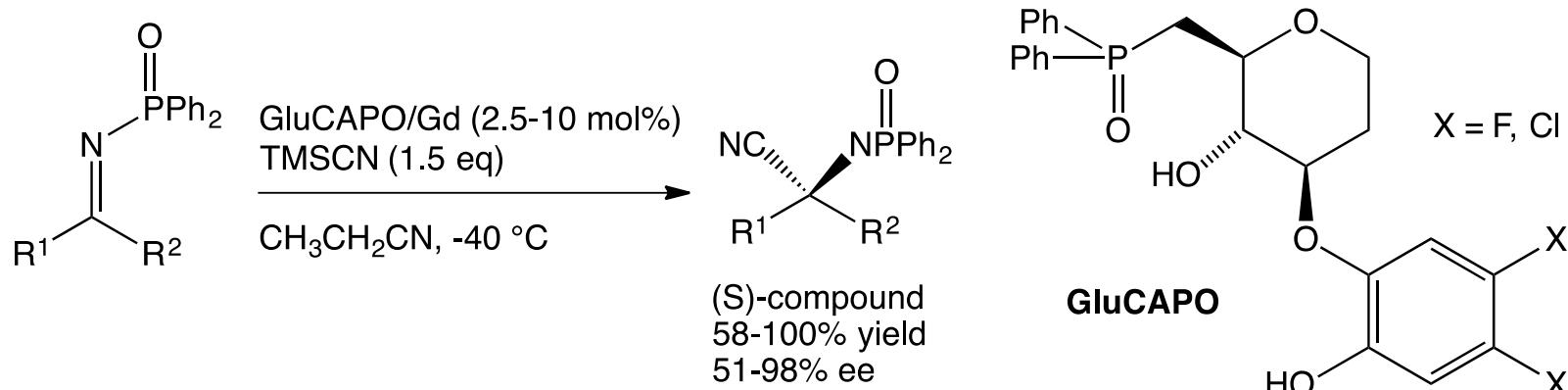
$[\text{Li}_3(\text{py})_5(\text{binolate})_3\text{La}(\text{py})_2]$



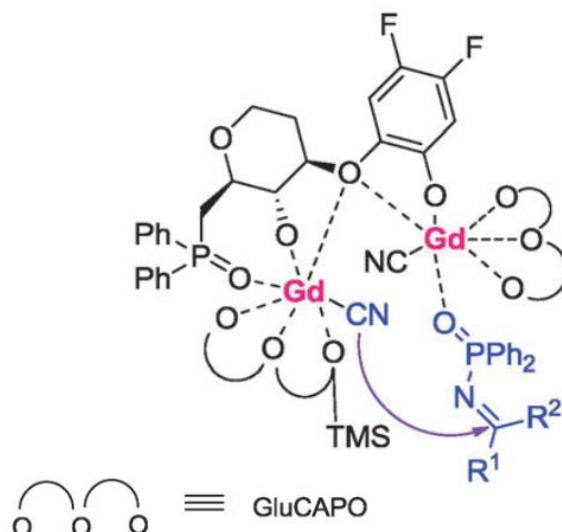
Structure of  $[\text{Li}_3(\text{thf})_4(\text{binolate})_3\text{La}(\text{thf})]$

# Bimetallic catalyst Ln-Ln

## Catalytic Enantioselective Strecker Reaction of Ketoimines

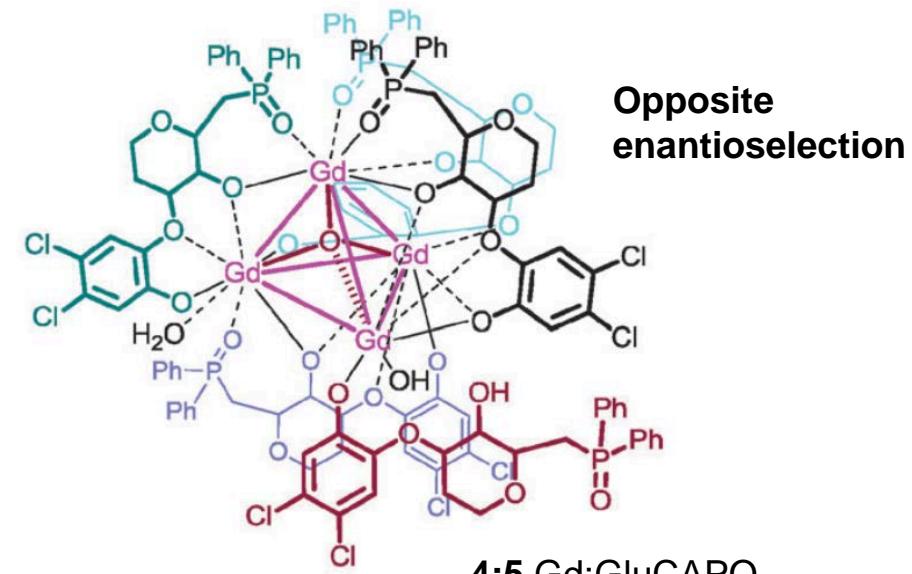


### Bimetallic activation proposed



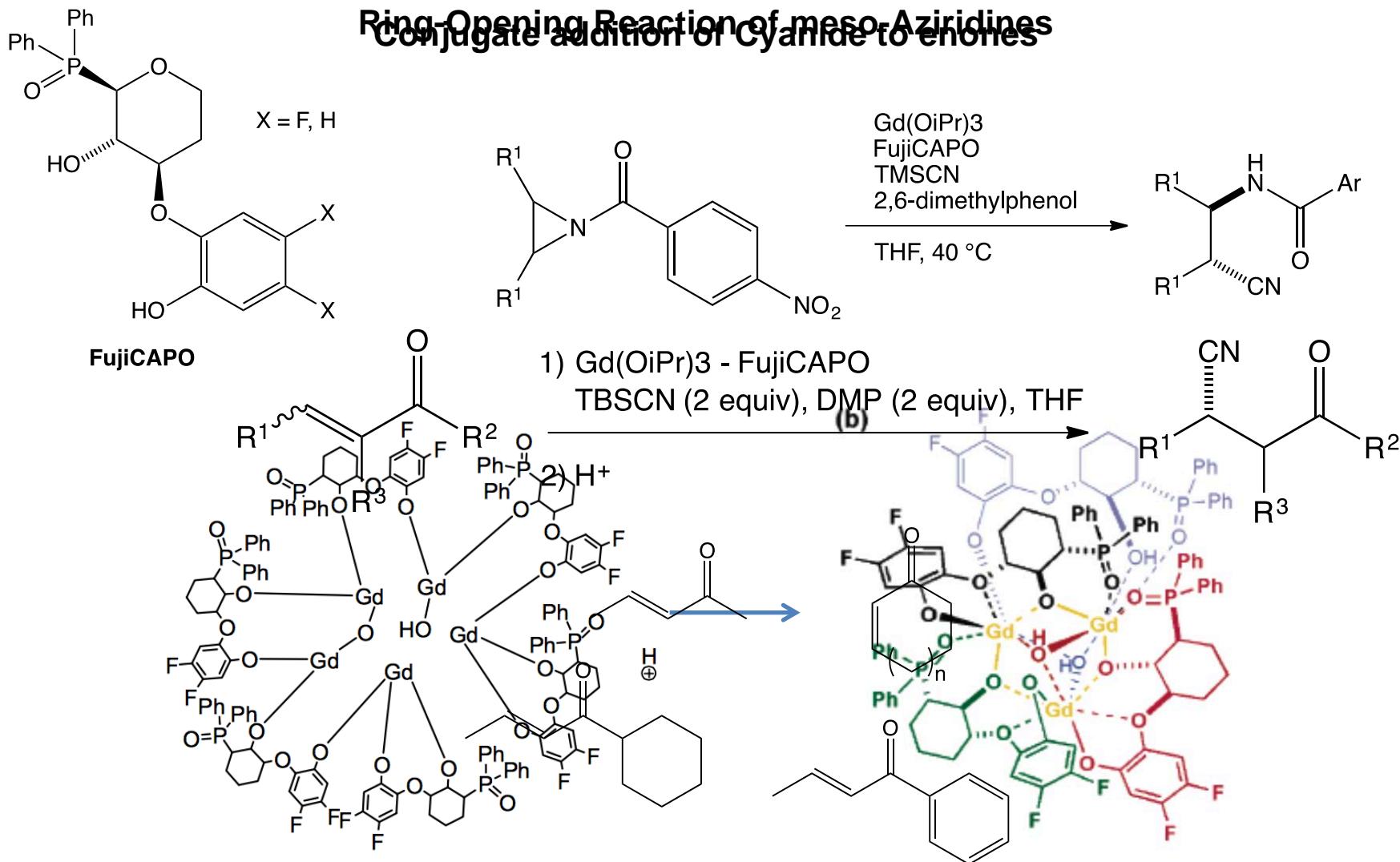
**2:3 Gd:GluCAPO**

### Crystalized species



# Bimetallic catalyst Ln-Ln

## Ring-Opening Reaction of meso-Aziridines Conjugate addition of Cyano to enones



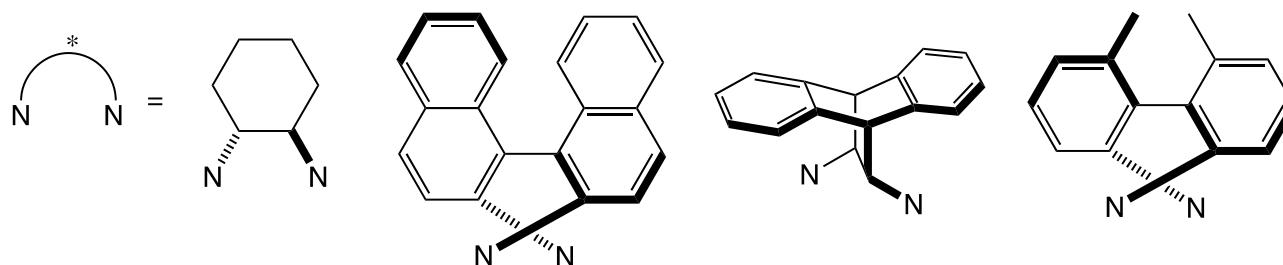
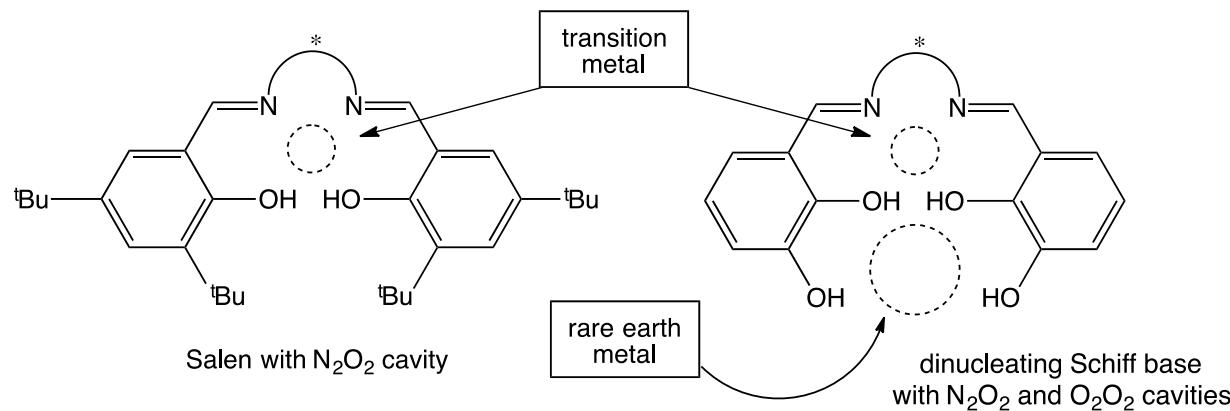
Reverse enantioselectivity respect to GluCAPO

## Bimetallic catalyst with Schiff base ligands

**BINOL-based ligands:** alkali metals, rare earth metals, group 13 metals, Zn

↳ Mn, Co, Ni, Cu not suitable

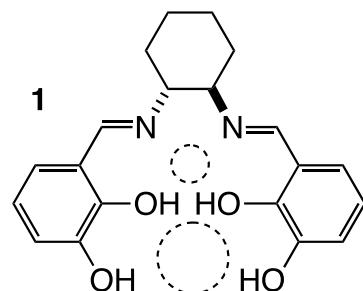
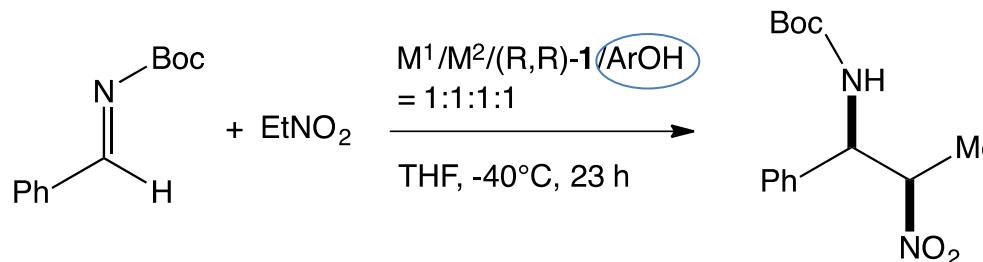
### Salen complexes



The positions of the two metals affect the reactivity and stereoselectivity

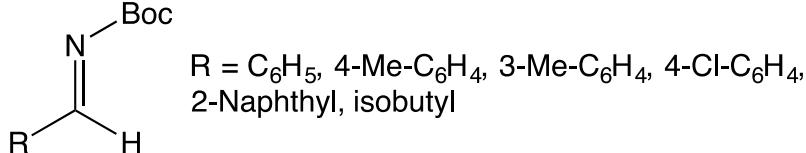
# Bimetallic catalyst with Schiff base ligands

## aza-Henry reaction

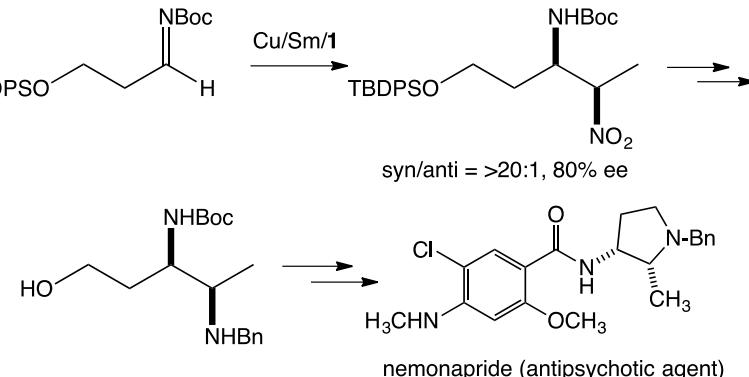


$\text{M}^1 = \boxed{\text{Cu(OAc)}_2, \text{Zn(OAc)}_2, \text{Mg(OAc)}_2, \text{Ni(OAc)}_2}$   
 $\text{M}^2 = \text{La, Pr, Sm, Eu, Dy(O-iPr)}_3$   
 >20:1 *syn*-selectivity, 80% ee

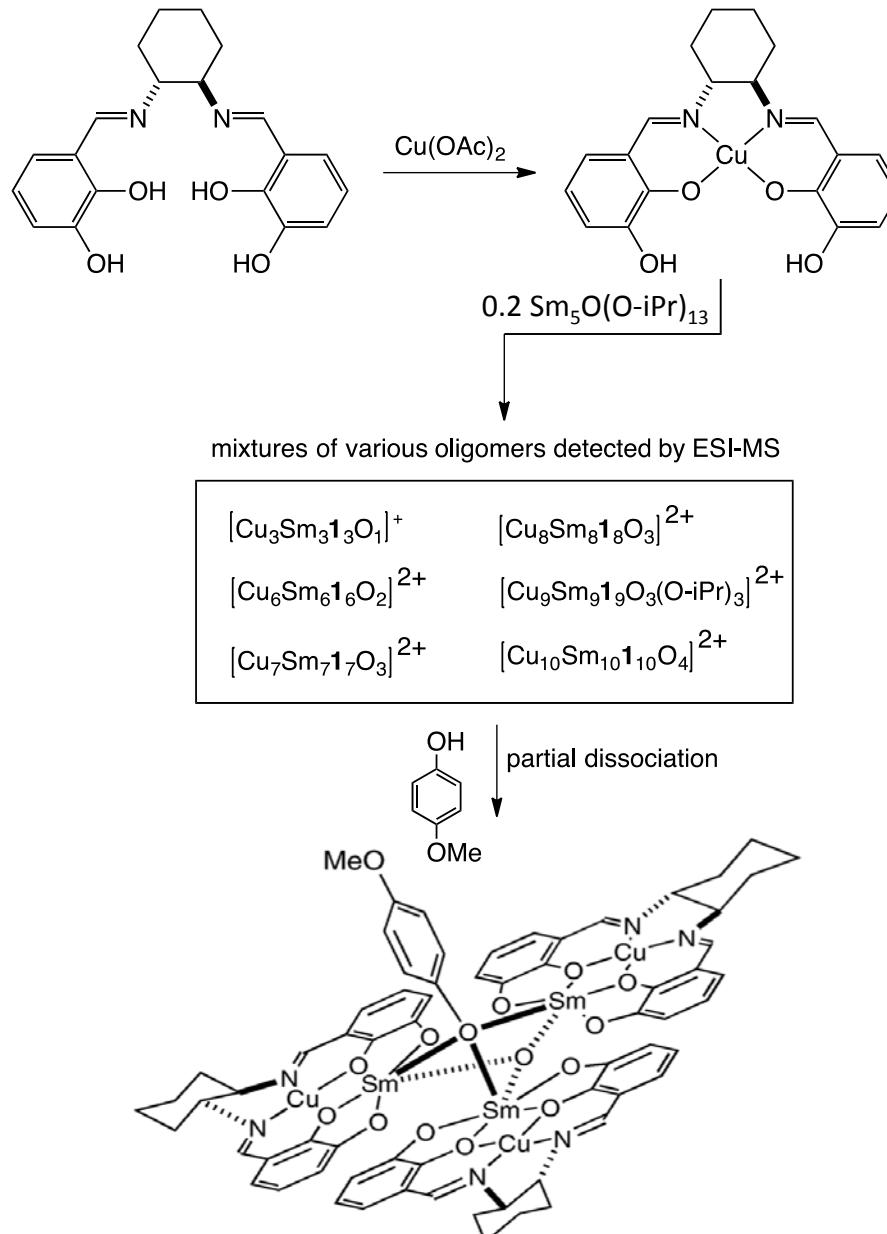
## Substrate



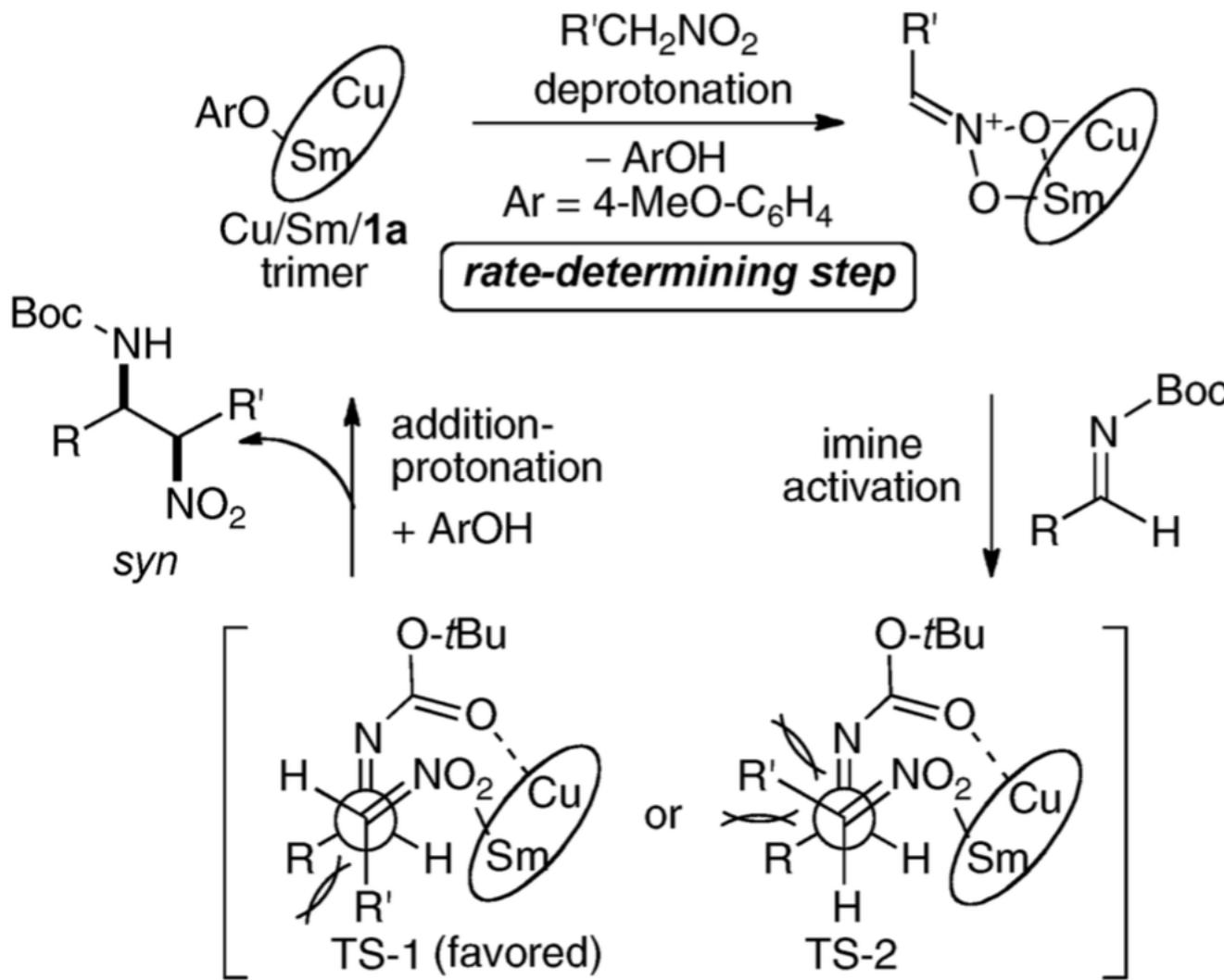
## beta-silyloxy-substituted aliphatic imine



## Bimetallic catalyst with Schiff base ligands



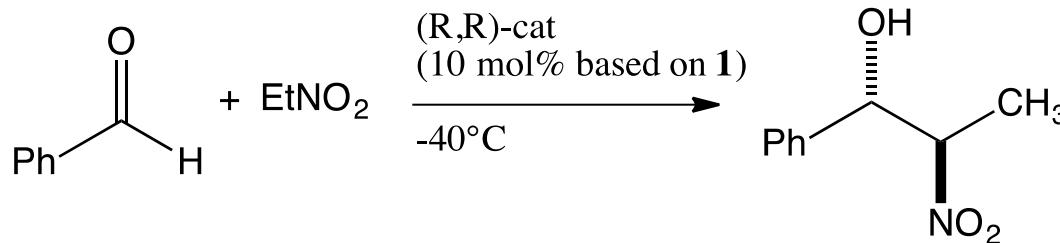
## Bimetallic catalyst with Schiff base ligands



## Changing metals and phenol



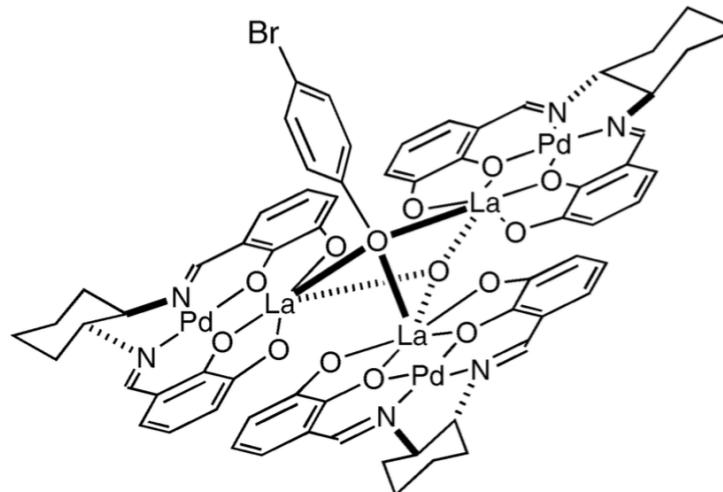
Catalyst tuning for anti-selective Henry reaction



Cu/Sm/**1**/4-*tert*-Bu-phenol: 33% yield, 1% ee, anti/syn = 2.3:1

VS

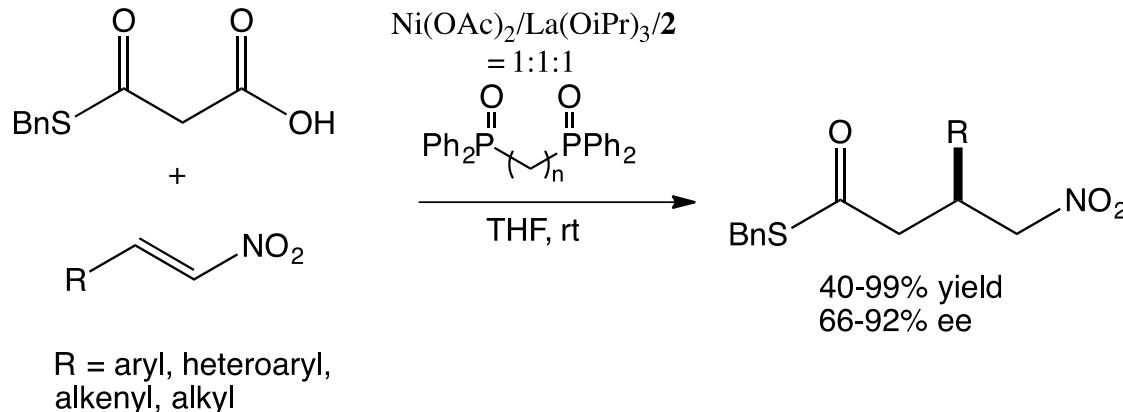
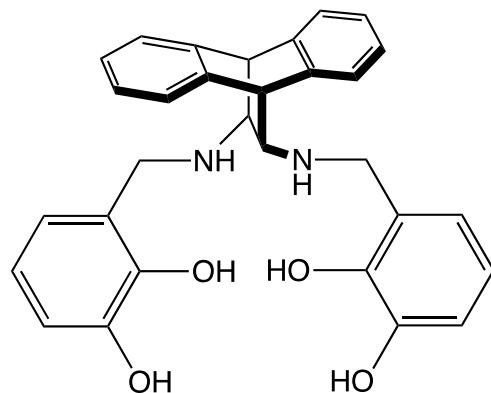
Pd/La/**1**/4-Br-phenol: 92% yield, 84% ee, anti/syn = 19:1



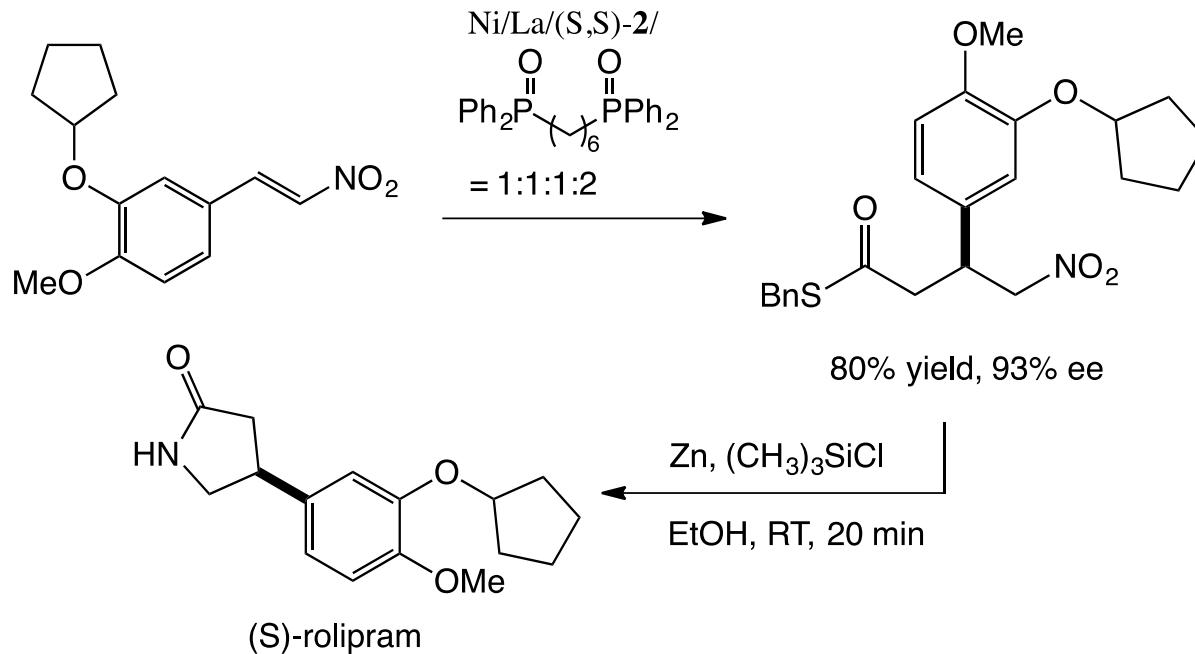
## Bimetallic catalyst with Schiff base ligands

1,4 addition followed by decarboxylation

2



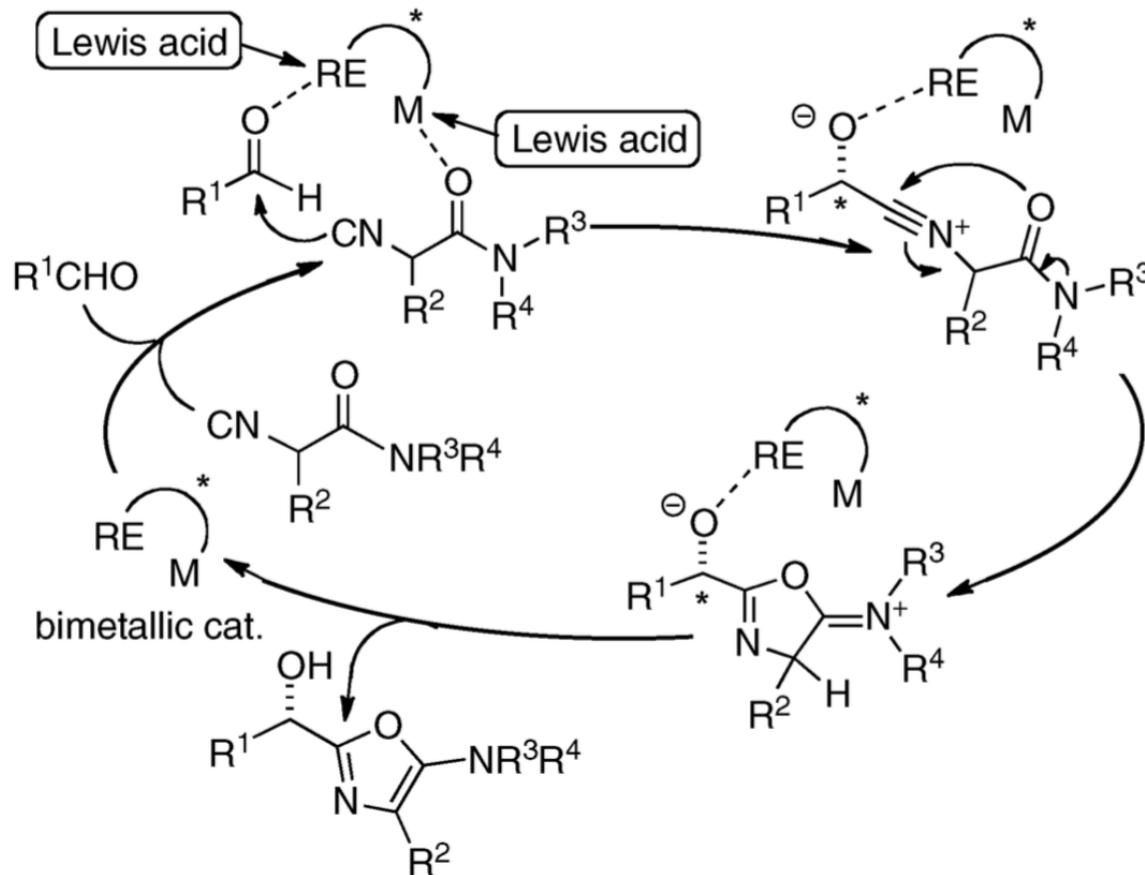
Application to catalytic asymmetric synthesis of rolipram



## Bimetallic catalyst with Schiff base ligands

Heterobimetallic catalysts containing **cationic rare earth metal** as a Lewis acid unit

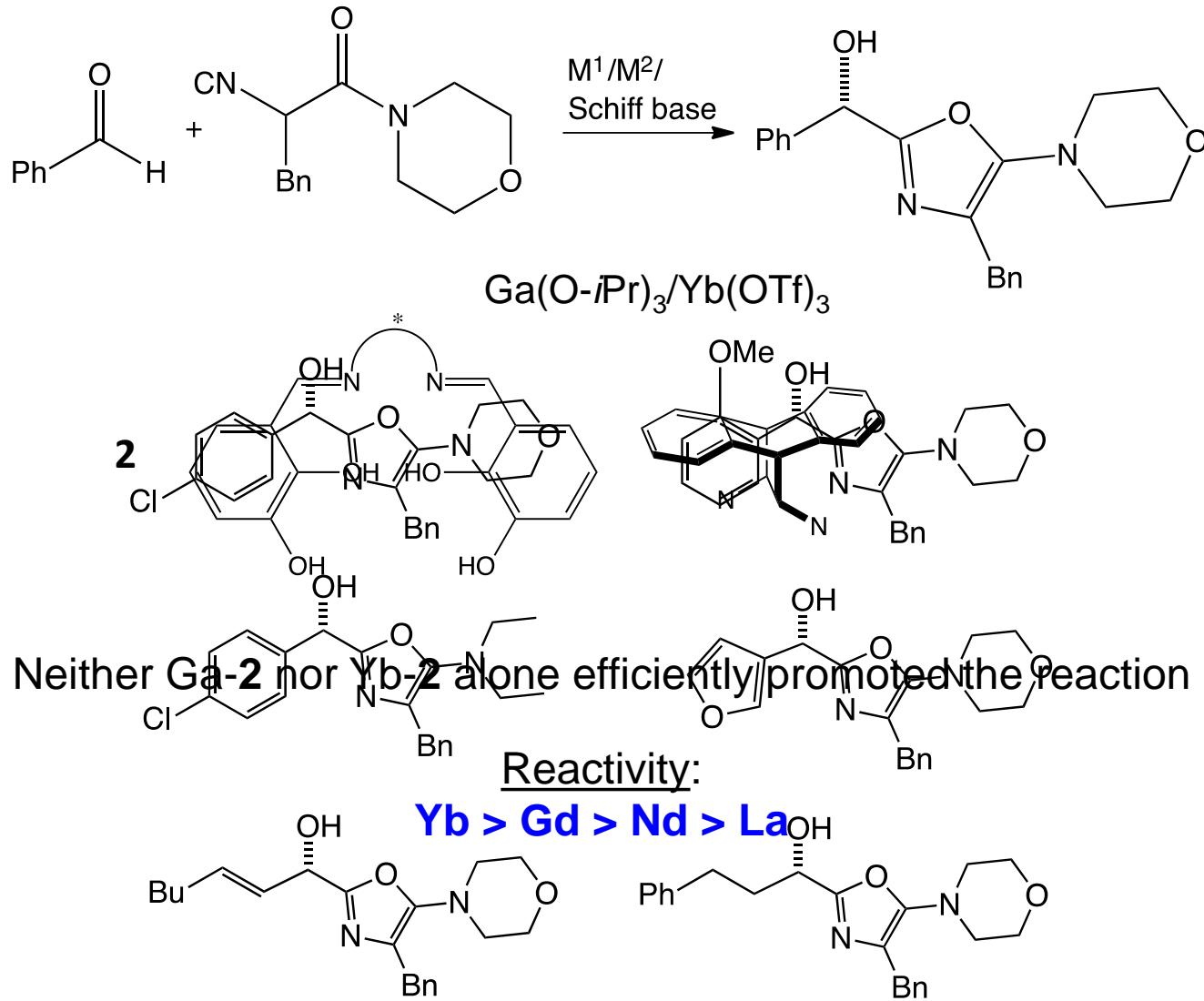
alfa-additions of alfa-isocyanoacetamides



S.-X. Wang, M.-X. Wang, D.-X. Wang and J. Zhu, Org. Lett., 2007, 9, 3615; (b) S.-X. Wang, M.-X. Wang, D.-X. Wang and J. Zhu, Eur. J. Org. Chem., 2007, 4076.

27 A review on the utility of isocyanide in organic synthesis: A. Do Ìmpling, Chem. Rev., 2006, 106, 17 29

## Bimetallic catalyst with Schiff base ligands



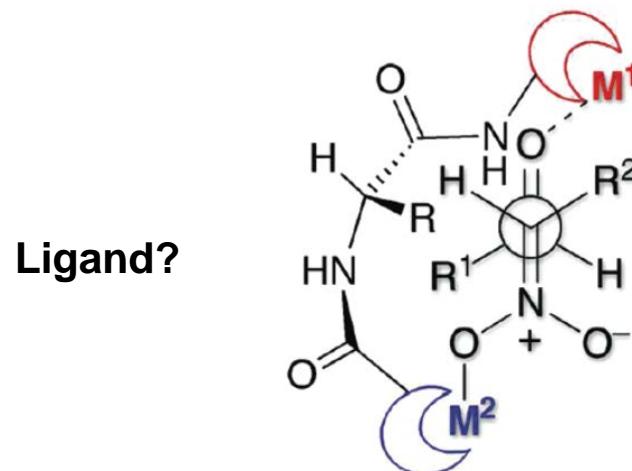
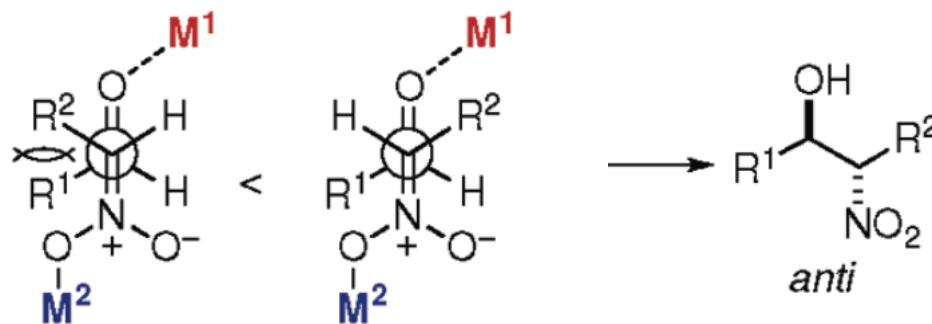
H. Tsuruta, K. Yamaguchi and T. Imamoto, Chem. Commun., **1999**, 1703

H. Mihara, Y. Xu, N. E. Shepherd, S. Matsunaga and M. Shibasaki, J. Am. Chem. Soc., **2009**, 131, 8384 30

# Amide-Based Ligand for a Heterobimetallic System

## *anti*-Selective Nitroaldol Reaction

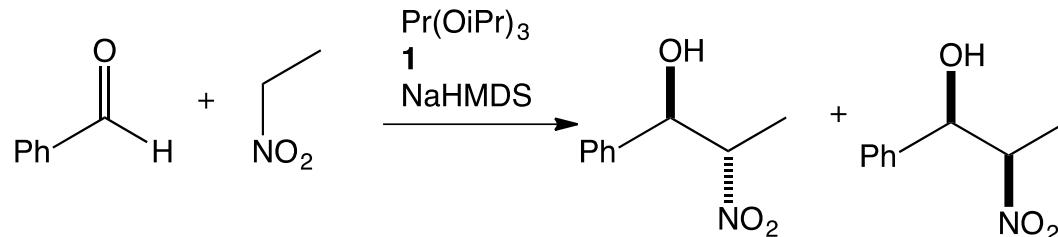
Aniparallel transition state



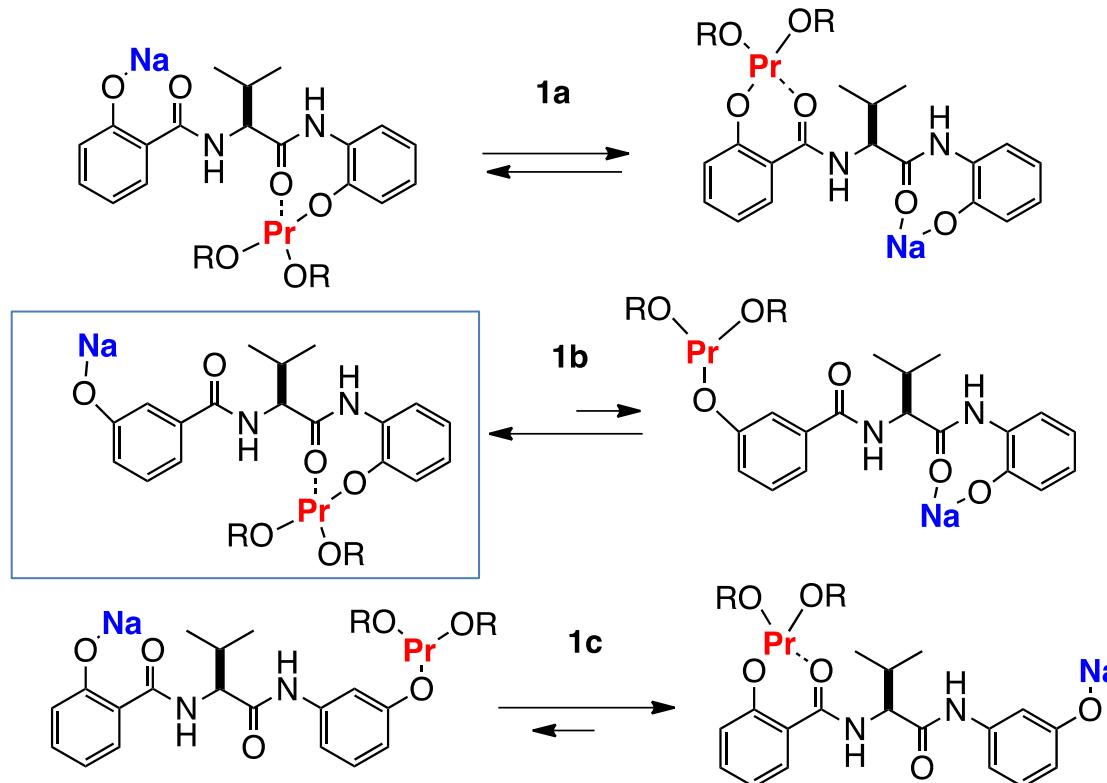
Ligand?

# Amide-Based Ligand for a Heterobimetallic System

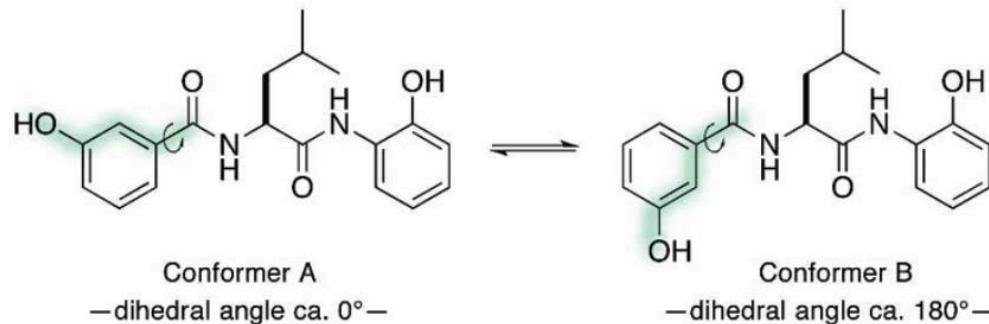
## *anti*-Selective Nitroaldol Reaction



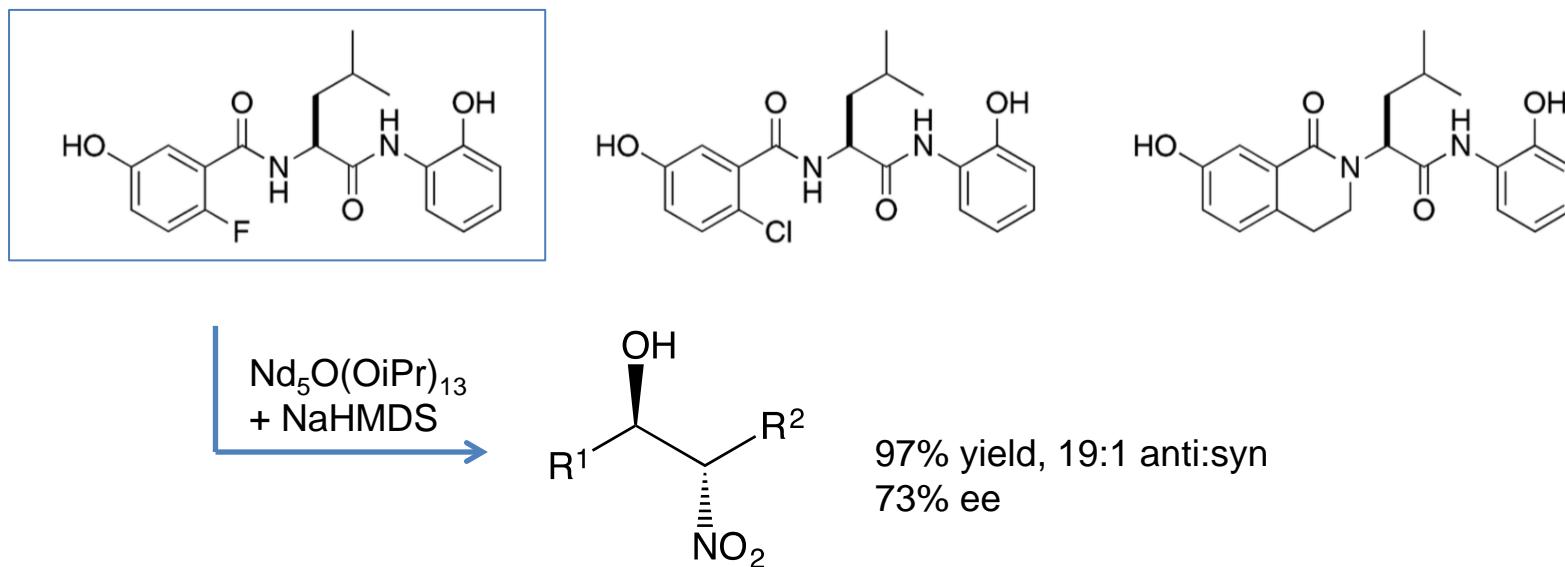
entry	ligand <b>1</b>	NaHMDS (x mol %)	Pr/ <b>1</b> /Na	yield <sup>b</sup> (%)	anti/syn <sup>c</sup>	ee ( <i>anti</i> ) (%)
1	<b>1a</b>	9	1/2/1	1.5	2/1 <sup>d</sup>	ND
2	<b>1b</b>	9	1/2/1	2.2	2/1 <sup>d</sup>	ND
3	<b>1c</b>	9	1/2/1	2.0	2/1 <sup>d</sup>	ND
4	<b>1a</b>	18	1/2/2	24	2.3/1	10
5	<b>1b</b>	18	1/2/2	76	8.4/1	38
6	<b>1c</b>	18	1/2/2	16	2.0/1	0



# Amide-Based Ligand for a Heterobimetallic System

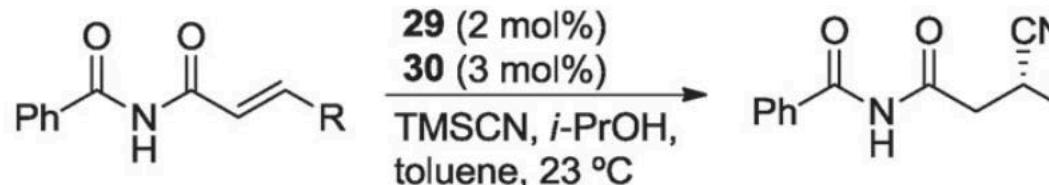


## Restriction of bond rotation



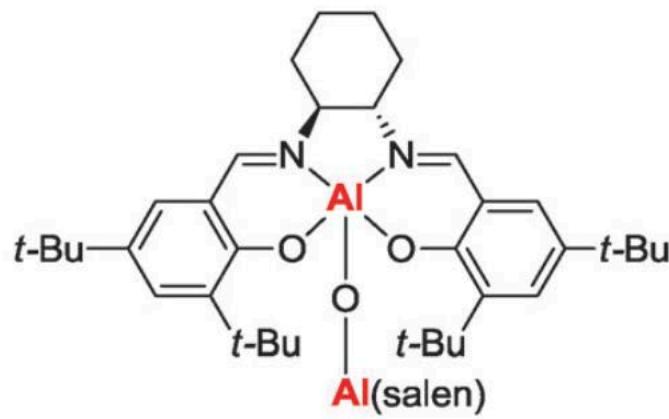
## **Separate bimetallic systems (Type 5)**

## Cooperative Dual metal catalysis

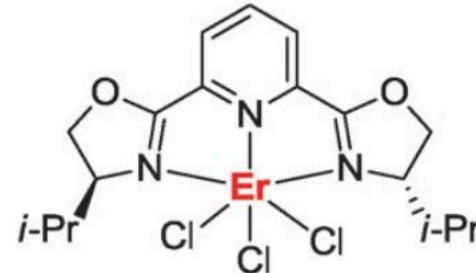


R = alkyl

80-94% yield  
93-97% ee



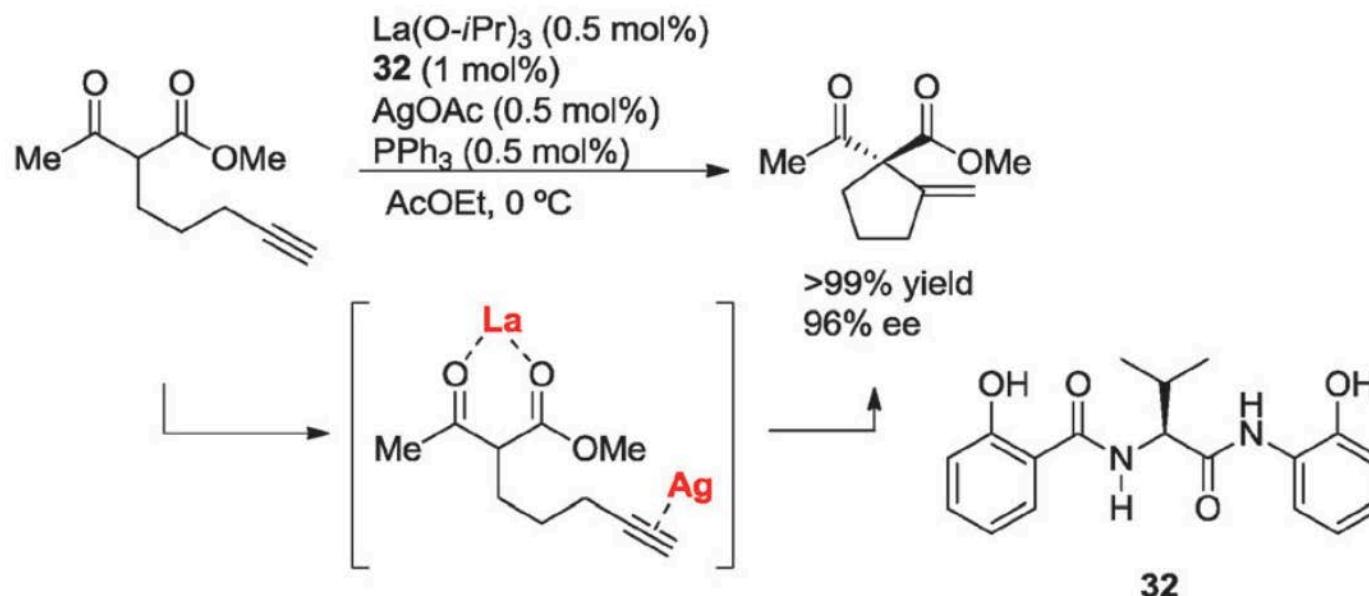
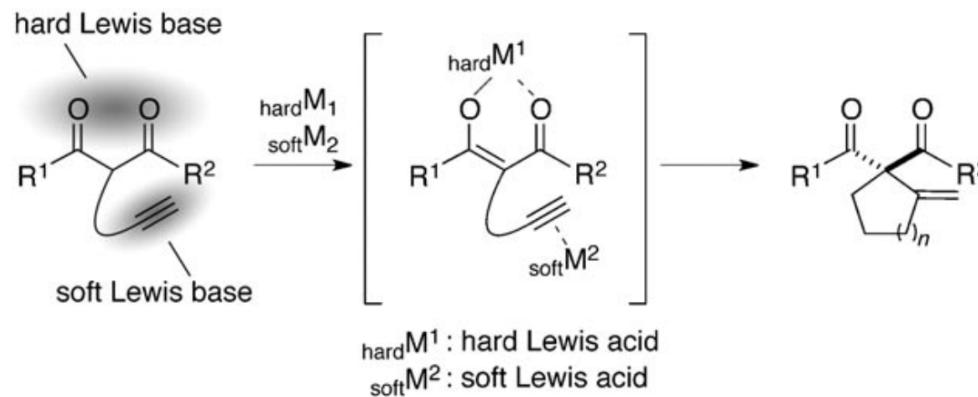
29



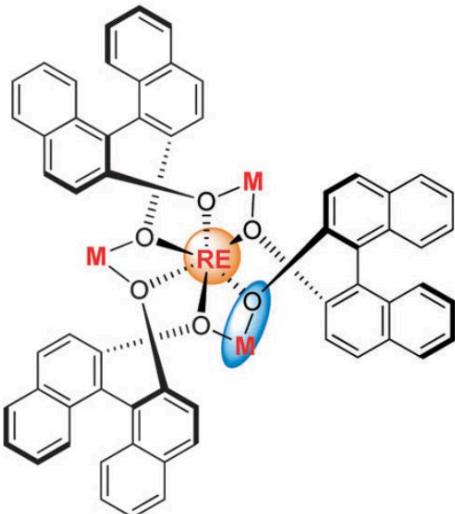
30

## Separate bimetallic systems (Type 5)

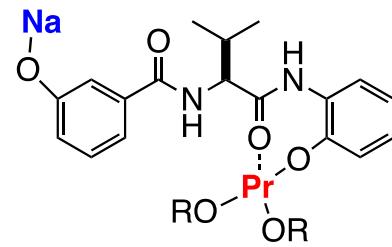
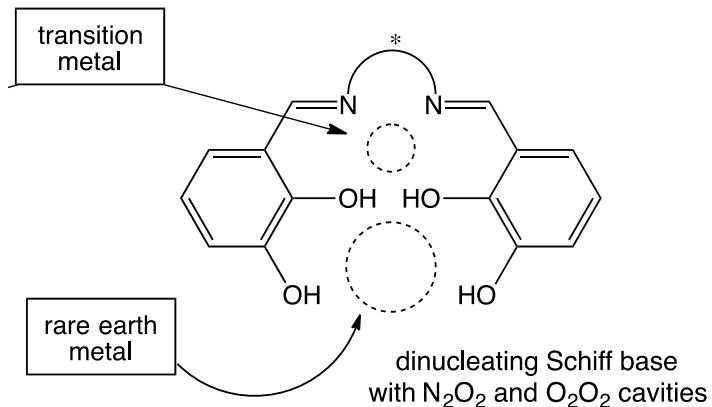
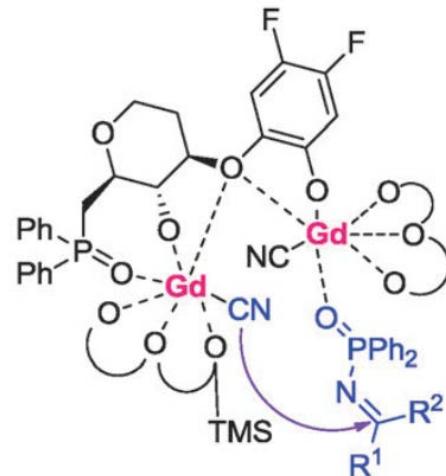
### Cooperative Dual metal catalysis: Conia-Ene Reaction



# CONCLUSION



RE = La, Pr, Nd, Sm, Eu, Gd, Tb, Dy, Yb, Y  
M = Li, Na, K

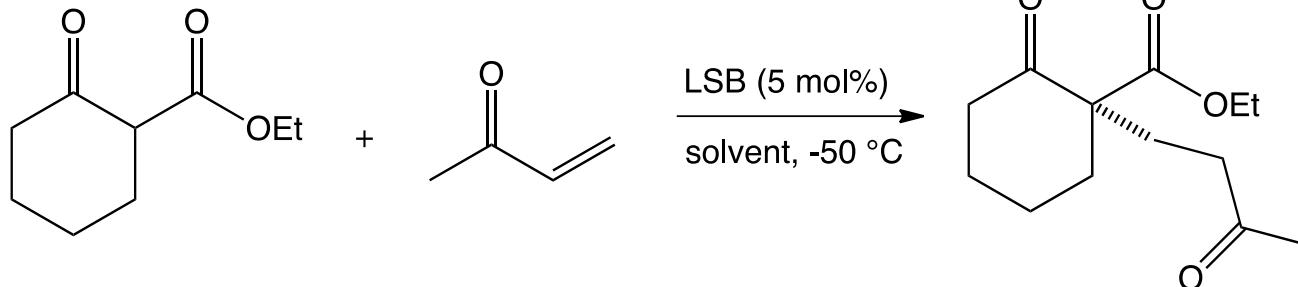


**Thank you for your kind attention!!**

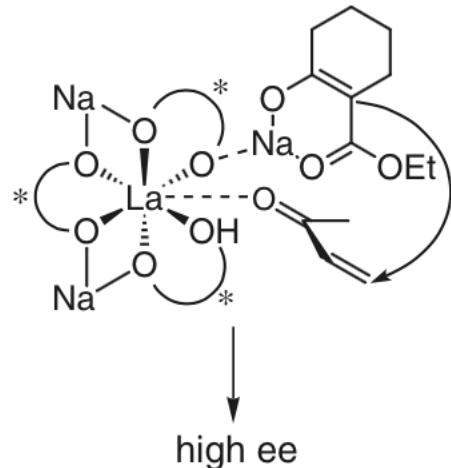
# Question 1

Why with malonate THF can be used as solvent and with beta-keto ester  $\text{CH}_2\text{Cl}_2$  is chosen?

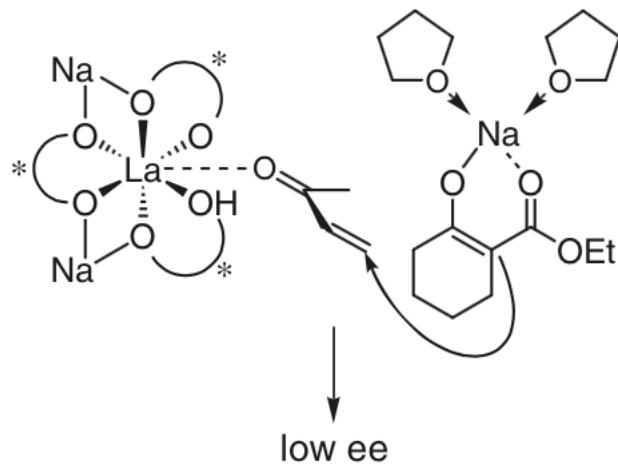
Malonates vs  
beta-keto ester



in  $\text{CH}_2\text{Cl}_2$



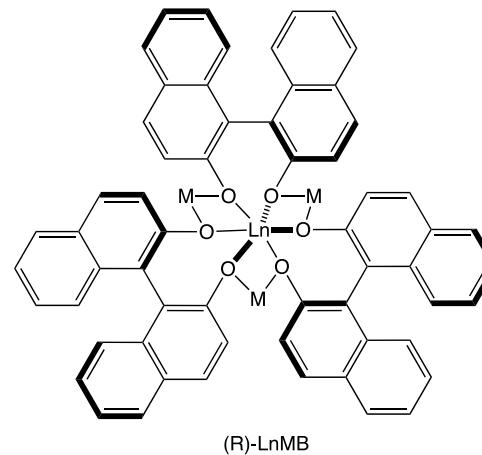
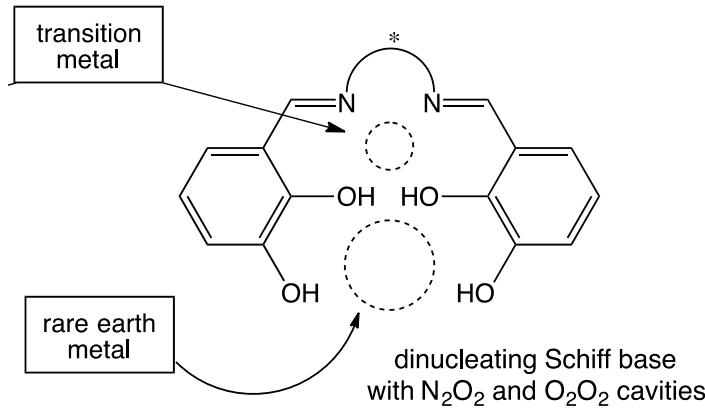
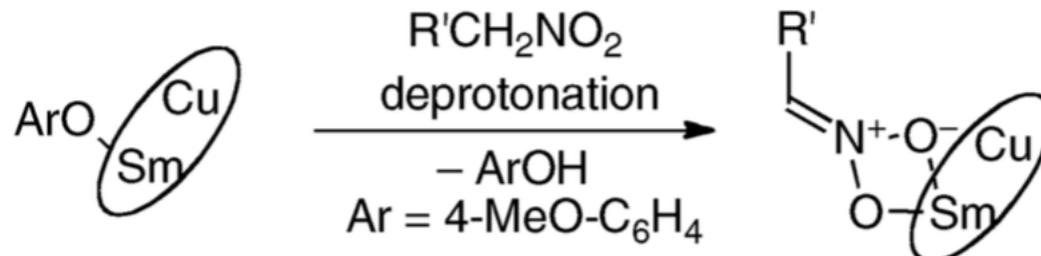
in THF



solvent	yield	ee
toluene	83%	25%
toluene (slow addition of Nu)	76%	89%
$\text{CH}_2\text{Cl}_2$	89%	91%

# Question 1

Why Sm-OAr can act as a Bronsted base with nitroalkane?

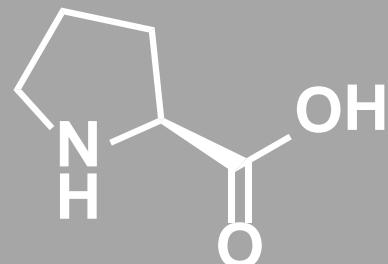


# Frontiers in Organic Synthesis

## Stereochemistry

14.04.2016

Catalyst design: From proline to more efficient and enantioselective derivatives



# Plan of the presentation

## Dual activation

- Context
- Most common proline-catalysed reactions
- Catalyst modifications
  - Acid modifications
  - Core modifications
  - Industrial applications
- Conclusions
- Questions

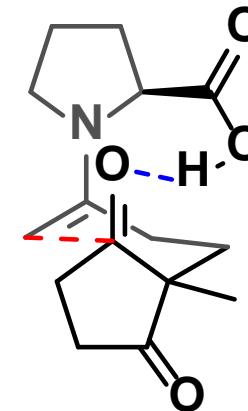
- Why free alcohol on prolinol catalyst decrease generally the reactivity?
- Why Nitro-Michael reactions are generally highly selective?
- What is important in order to have a good enantioselectivity? (catalytic cycle)



# Why Proline is attractive as catalyst

## Organocatalysis

- Cheap
- Available from nature in two enantiomers
- Small molecule – water soluble
- Non-Metallic + non toxic
- No need of inert conditions
- Amino-acid but:
  - Rigid five members ring
  - Secondary amine
  - Isoelectric point at pH = 6.30
- Dual activation modes
  - **HOMO**-raising by enamine formation
  - **LUMO**-lowering with the hydrogen bonding
  - Directing group
- Drawback
  - Solubility
  - High catalyst loading

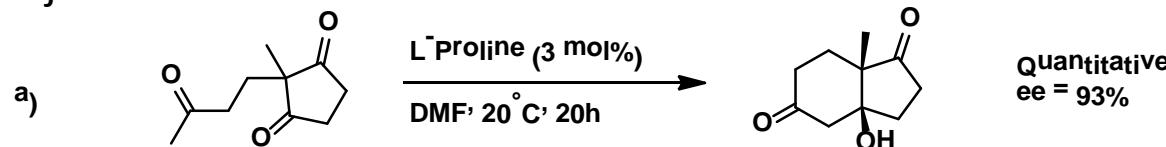


*Hajos-Parrish-Eder-Sauer-Wiechert reaction transition state  
List-Houk Mechanism*

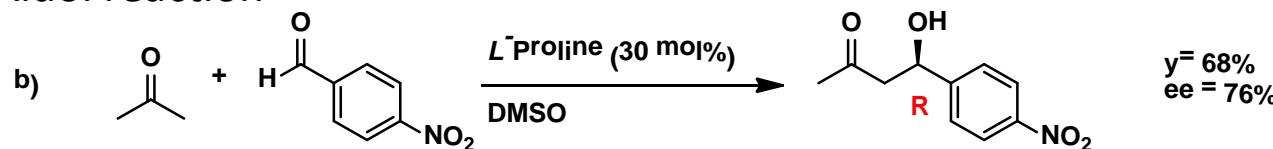
# Proline-catalyzed Reactions

ESWHP reaction – Aldol reaction – Mannich reaction

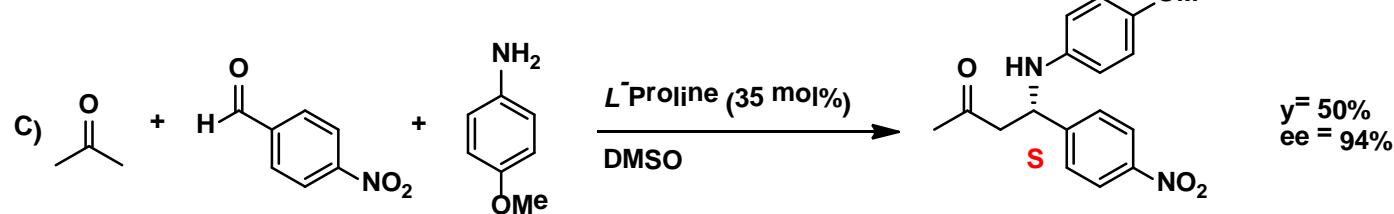
## Hajos-Parrish-Eder-Sauer-Wiechert reaction



## Aldol reaction



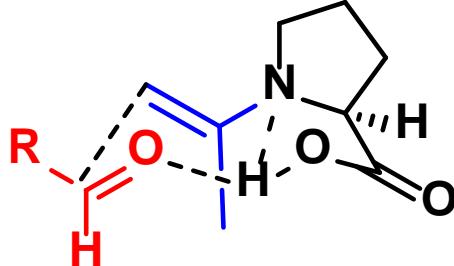
## Mannich reaction



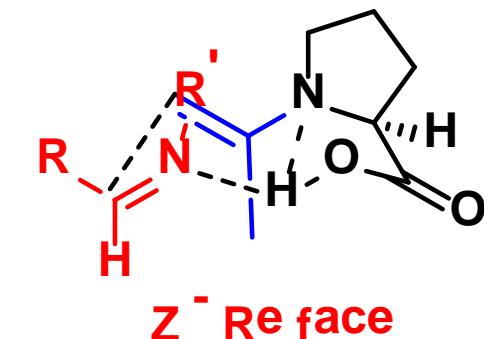
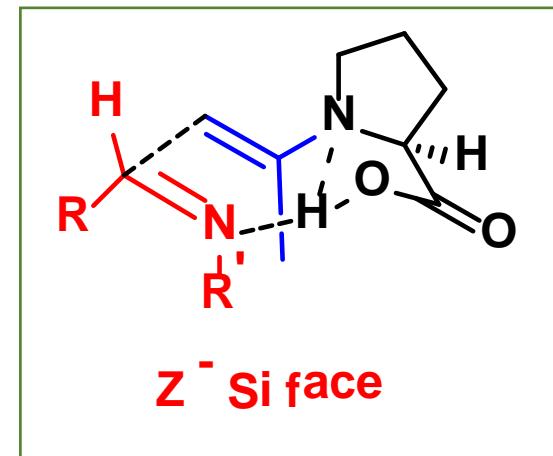
- a) U. Eder, G. Sauer, R. Wiechert, *Angew. Chem. Int. Ed.* **1971**, *10*, 496 / Z. G. Hajos, D. R. Parrish, *J. Org. Chem.* **1974**, *39*, 1615
- b) C. F. Barbas, R. A. Lerner, B. List, *J. Am. Chem. Soc.* **2000**, *122*, 2395
- c) B. List, *J. Am. Chem. Soc.* **2000**, *122*, 9336

# Aldol reaction – Mannich reaction Selectivity

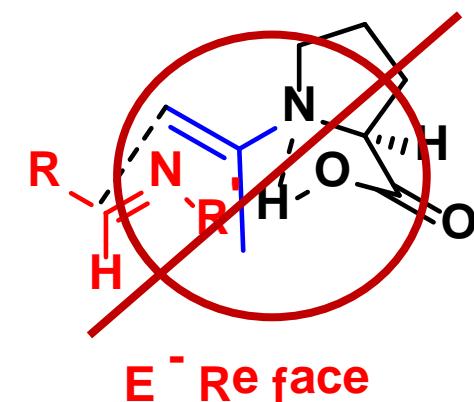
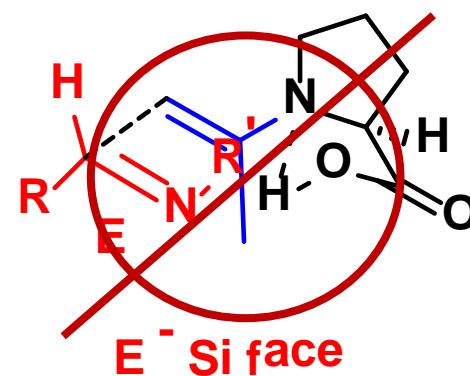
Aldol reaction intermediate



Mannich reaction intermediate



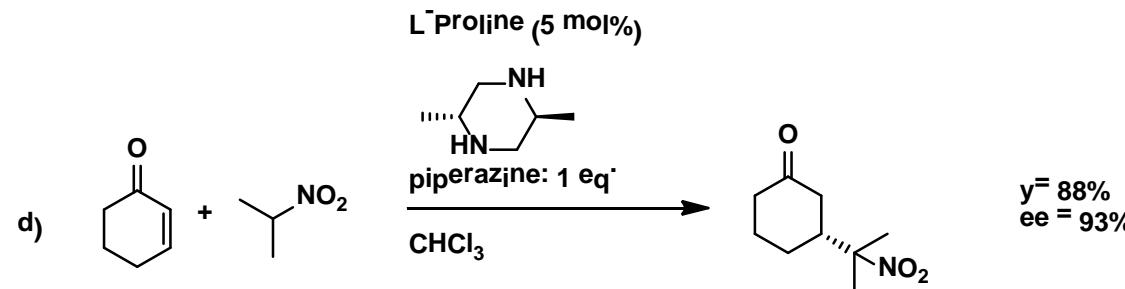
- R group in equatorial
- In case of Mannich:
  - Avoid interaction between R' and proline
  - E imine don't allow hydrogen bonding



# Proline-catalyzed Reactions

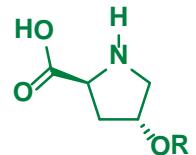
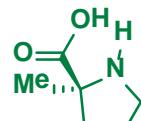
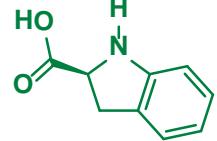
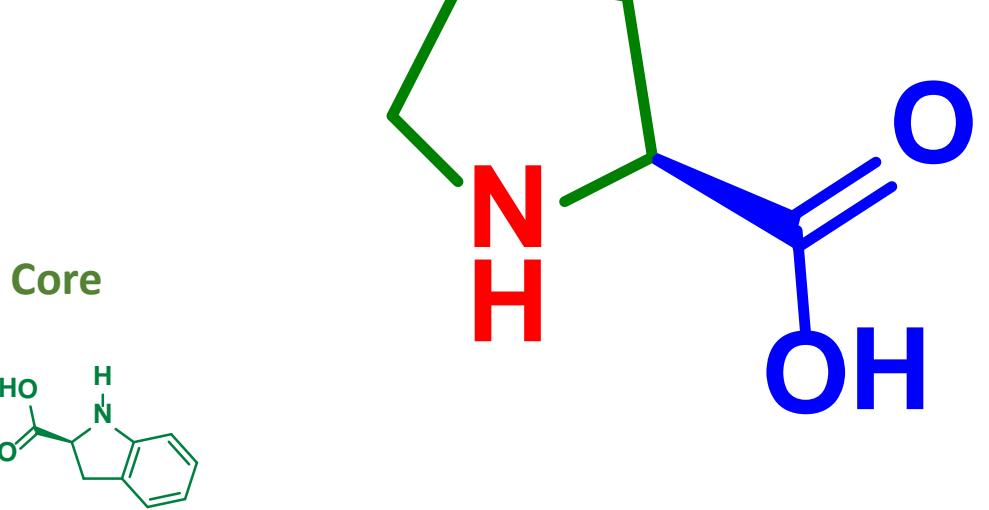
## Michael Addition

Nitro - Michael addition reaction

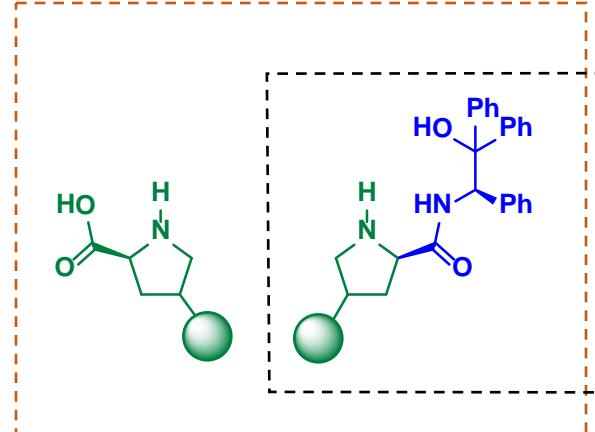


# Proline-Derivatives

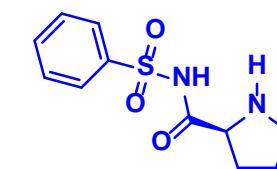
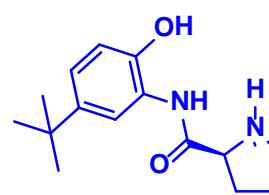
Exemple of modifications



Immobilized

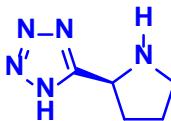
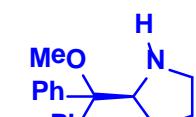
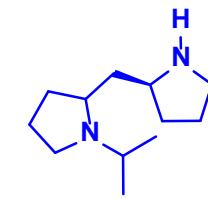
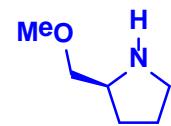


H Bonding



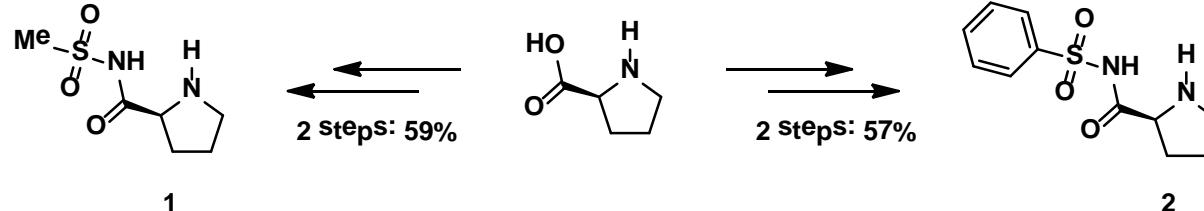
Acid derivative

Protected «acid» group

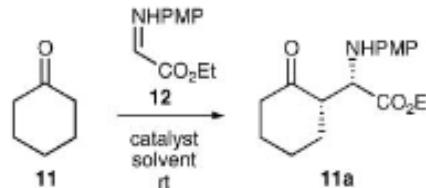


# Acid group substitution: Acyl sulfonamide

## Acid group modification



- Goal of modifications:
- Overcome solubility problems of proline (DMSO, MeOH and H<sub>2</sub>O only)
- Stay in pK<sub>a</sub> range of acid
- Lower the catalyst loading



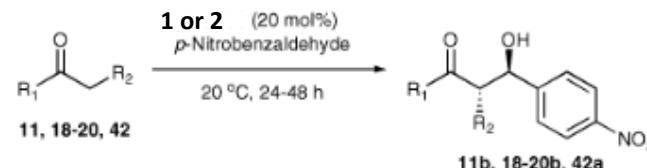
Entry	Cat (mol%)	Solvent	T (h)	Yield (%) <sup>a</sup>	Dr syn : anti <sup>b</sup>	Ee (%) <sup>c</sup>
6	1 (20)	MeOH	24	74	>19 : 1	95
7	1 (20)	CH <sub>2</sub> Cl <sub>2</sub>	24	82	>19 : 1	96
8	1 (5)	CH <sub>2</sub> Cl <sub>2</sub>	24	65	>19 : 1	83
9	1 (1)	CH <sub>2</sub> Cl <sub>2</sub>	24	53	>19 : 1	40
10	2 (20)	DMSO	24	87	>19 : 1	>99
11	2 (20)	THF	24	87	>19 : 1	>99
12	2 (20)	MeOH	24	69	>19 : 1	95
13	2 (20)	CH <sub>2</sub> Cl <sub>2</sub>	24	75	>19 : 1	>99

<sup>a</sup> Based on isolated product. <sup>b</sup> Determined by <sup>1</sup>H NMR spectroscopy. <sup>c</sup> Determined by chiral HPLC.

# Acid group substitution: Acyl sulfonamide

## Acid group modification

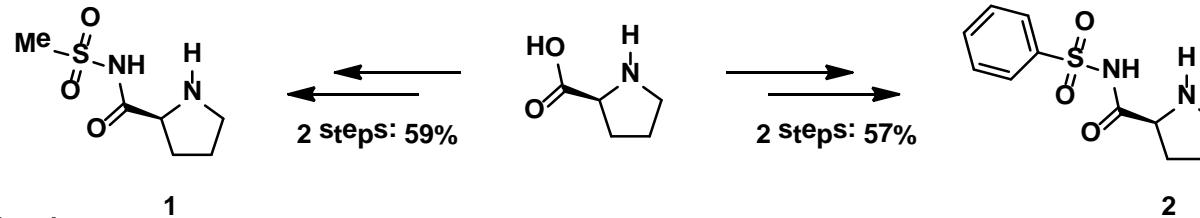
**Table 8** Scope of the asymmetric aldol reaction using sulfonamide organocatalysts



Entry	Product	Cat.	Solvent	Yield (%) <sup>a</sup>	<i>syn</i> ( <i>anti</i> )	Ee (%) <sup>c</sup>
1		1	DMSO	52		87
2		1	CH <sub>2</sub> Cl <sub>2</sub>	78		79
3		1	MeOH	49		49
4		1	CHCl <sub>3</sub>	67		78
5		1	IPA-EtOH	57		44
6		2	CH <sub>2</sub> Cl <sub>2</sub>	49		84
7		2	MeOH	42		61
8		2	CHCl <sub>3</sub>	62		65
9		2	IPA-EtOH	39		75
10		2	Acetone	100		92
11		1	CH <sub>2</sub> Cl <sub>2</sub>	42 <sup>c</sup>		76
12		2	CH <sub>2</sub> Cl <sub>2</sub>	48 <sup>c</sup>		77
13		1				
14		2	CH <sub>2</sub> Cl <sub>2</sub>	24 (46)		78 (84)
15		1				
16		2	CH <sub>2</sub> Cl <sub>2</sub>	21 (43)		86 (94)
17		1				
18		2	CH <sub>2</sub> Cl <sub>2</sub>	30 (55)		41 (36)
			CH <sub>2</sub> Cl <sub>2</sub>	30 (54)		33 (23)
17		1				
18		2	CH <sub>2</sub> Cl <sub>2</sub>	29 (51)		74 (78)
			CH <sub>2</sub> Cl <sub>2</sub>	35 (53)		63 (90)

# Acid group substitution: Acyl sulfonamide

## Acid group modification

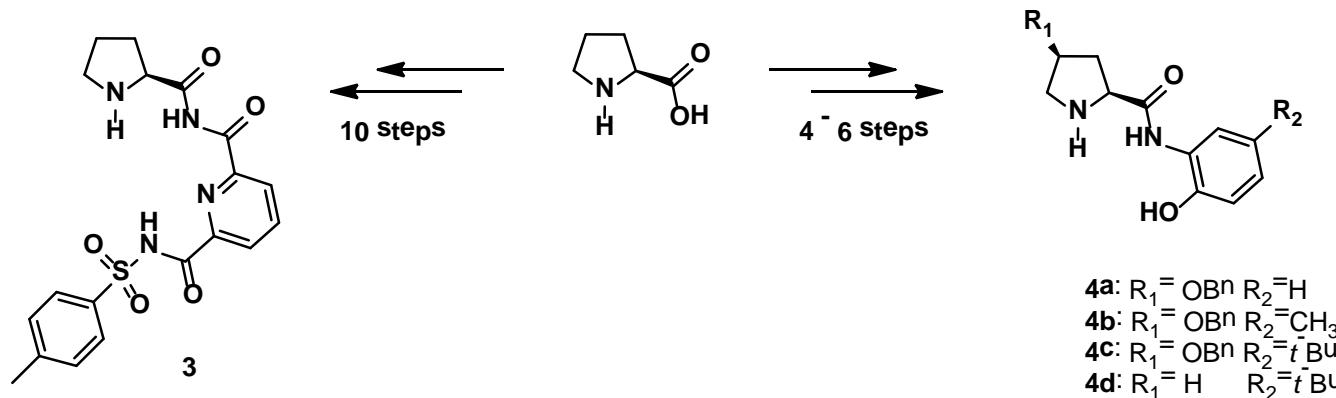


- Conclusions:
  - Allow the use of less polar solvents / no reaction with *L*-proline
  - No improvement of the reaction time / same range as proline
  - ee drops when the catalyst loading is decreased
  - No nitro-Michael reaction possible with **1** and **2**

Reaction	catalyst	Solvent	Yield range	ee range
Mannich	1	MeOH, DCM	74-82	95-96
	2	DMSO, THF, MeOH, DCM	69-87	95-99
Aldol reaction	1	DMSO, DCM, MeOH, Chloroform, IPA-EtOH	52-78	44-87
	2	DCM, MeOH, Chloroform, IPA-EtOH, Acetone	39-100	61-92

In green: Best solvent for this reaction system

# Acid group substitution: multidentate chelate Enzyme mimeting



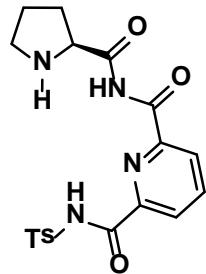
- Goal of modifications:
  - Mimic the catalytic manner of enzyme
  - Enhance solubility:
    - 3) organic solvents
    - 4a-d) Pure water as solvent without surfactant
  - Lower the catalyst loading
  - 4a-d) Use of small ketone excess

# Acid group substitution: multidentate chelate

## 3 Dentate catalyst

### Aldol reaction

**Table 1.** Primary selection of solvent employed in aldol reaction.<sup>a</sup>



Entry	Solvent	Time (h)	Temperature (°C)	Yield <sup>b</sup> (%)	D <sup>c</sup> (anti/syn)	Ee <sup>c</sup> (%) (anti)
1	MeOH	72	20	22	62:38	21(84) <sup>d</sup>
2	DCM	72	20	76	72:28	85
3	CHCl <sub>3</sub>	72	20	26	74:26	48
4	DMF	72	20	19	68:32	28
5	DCE	72	20	54	87:13	68
6	Water	72	20	30	54:46	13(62) <sup>d</sup>
7	Neat	72	20	84	63:37	76
8	DMSO	72	20	43	49:51	47
9	n-hexane	72	20	Trace	—	—
10	DCM	120	0	57	69:31	80
11	DCE	120	0	44	66:34	75

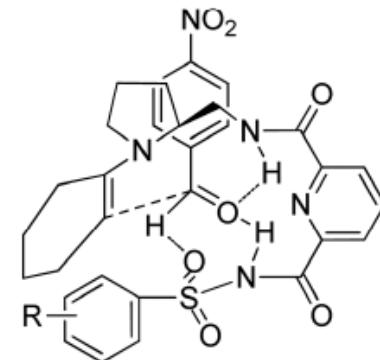
<sup>a</sup>All the reactions were performed with 1.0 mmol of *p*-nitrobenzaldehyde, 1.0 mL of cyclohexanone, 10 mol.% catalyst **12a**, at 0 °C or 20 °C.

<sup>b</sup>Isolated yield.

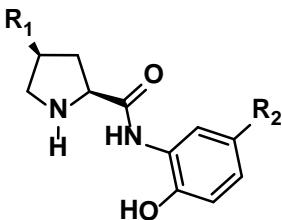
<sup>c</sup>Determined by chiral HPLC for *anti*-diastereomer.

<sup>d</sup>Enantiomeric excess determined by chiral HPLC for *syn*-diastereomer.

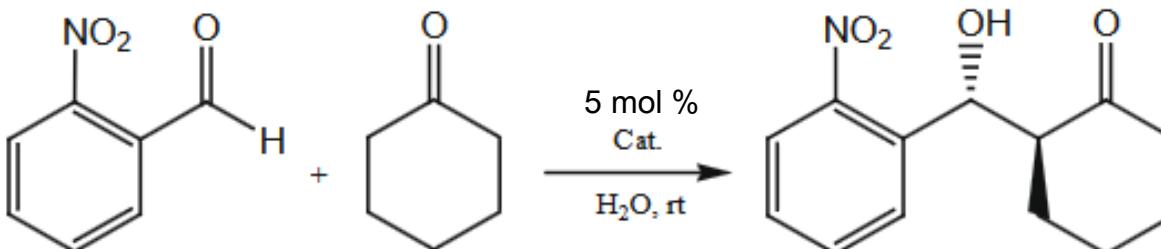
- Try to use additive:
  - Increase of yield with Benzyl acid and ZnCl<sub>2</sub>
  - Drop of the ee
- 10 mol% catalyst only
- Ketone / aldehyde ratio 1:1



# Acid group substitution: multidentate chelate 2 dentate catalyst



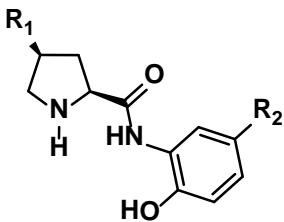
- 4a:** R<sub>1</sub> = OBn R<sub>2</sub> = H  
**4b:** R<sub>1</sub> = OBn R<sub>2</sub> = CH<sub>3</sub>  
**4c:** R<sub>1</sub> = OBn R<sub>2</sub> = *t*Bu  
**4d:** R<sub>1</sub> = H R<sub>2</sub> = *t*Bu



Entry	Catalyst	Water (μL)	Additives	Time (h)	Yield <sup>b</sup> (%)	anti:syn <sup>c</sup>	ee <sup>d</sup> (%)
1	<b>4a</b>	400	TFA	72	94	90:10	96
2	<b>4b</b>	400	TFA	36	96	96:4	97
3	<b>4c</b>	400	TFA	24	99	99:1	97
4	<b>4d</b>	400	TFA	72	80	85:15	95
5	<b>4c</b>	400	None	24	42	80:20	51
6 <sup>e</sup>	<b>4c</b>	400	TFA	24	30	86:14	83
7	<b>4c</b>	400	CH <sub>3</sub> COOH	24	95	90:10	87
8 <sup>f</sup>	<b>4c</b>	400	TFA	48	98	95:5	96
9	<b>4c</b>	500	TFA	24	96	97:3	95
10	<b>4c</b>	300	TFA	24	98	96:4	95
11	<b>4c</b>	150	TFA	24	97	95:5	96
12	<b>4c</b>	50	TFA	24	99	94:6	95
13 <sup>g</sup>	<b>4c</b>	None	TFA	24	80	94:6	89

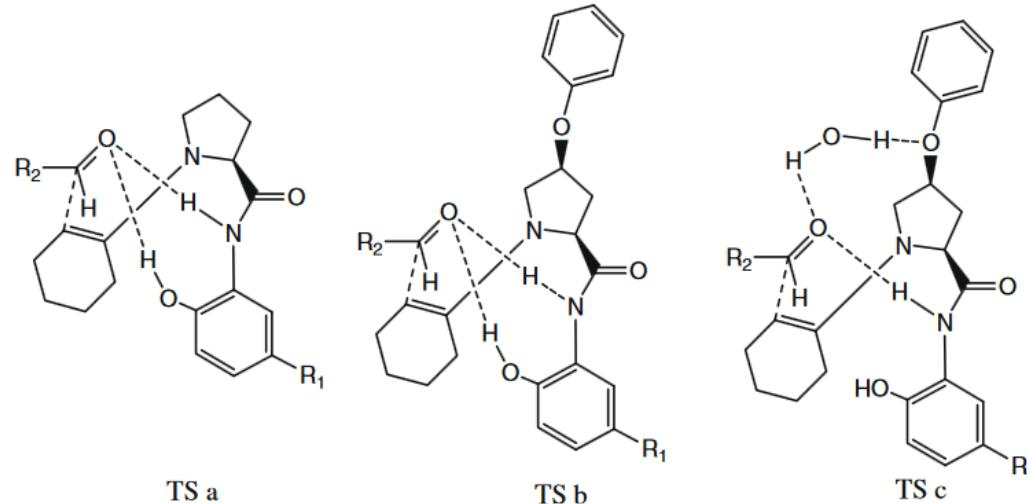
<sup>a</sup> The reactions were conducted with *o*-nitrobenzaldehyde (0.2 mmol), cyclohexanone (0.4 mmol) catalyst (0.02 mmol), TFA (0.02 mmol) and water.

# Acid group substitution: multidentate chelate Enzyme mimeting



- 4a:  $R_1 = O\text{Bn}$   $R_2 = H$
- 4b:  $R_1 = O\text{Bn}$   $R_2 = \text{CH}_3$
- 4c:  $R_1 = O\text{Bn}$   $R_2 = t\text{Bu}$
- 4d:  $R_1 = H$   $R_2 = t\text{Bu}$

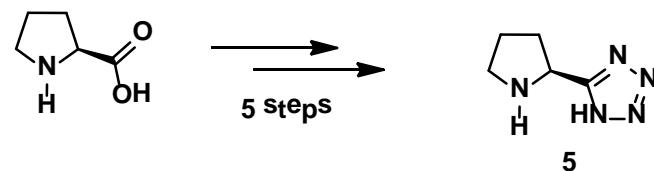
- Large scope with excellent reactivity and selectivity
- Cat. TFA (5 mol%) as additive increase yield and ee
- 5 mol % catalyst
- Work for pure water / even concentrated or neat
- 2 equivalent of ketone
- Water molecule seems to add a chelating point
- Still slow reactions (24h) even if rate increased with  $R_1 = O\text{Bn}$



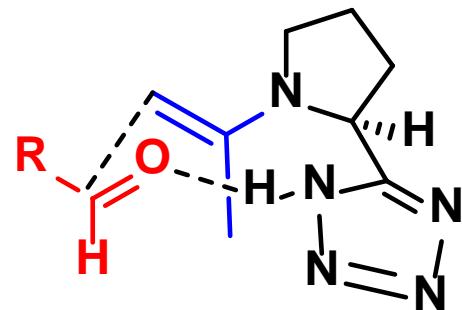
**Scheme 3.** Proposed transition structures.

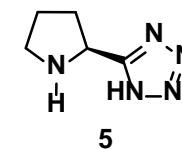
# Acid group substitution: Tetrazole

Used as bioisosteres for carboxylic acid in med. Chem.

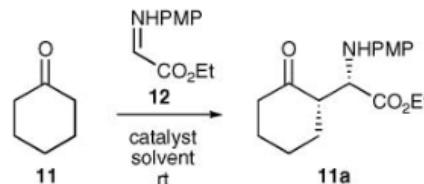


- Goal of modifications:
- Great solubility
- Tetrazole often used as carboxylic acid substitute in med. chem
- Lower the catalyst loading





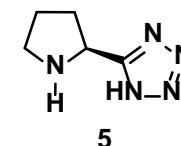
**Table 1** Catalyst and solvent screen for the asymmetric Mannich-type reaction



Entry	Cat (mol%)	Solvent	T (h)	Yield (%) <sup>a</sup>	Dr <i>syn</i> : <i>anti</i> <sup>b</sup>	Ee (%) <sup>c</sup>
1	<b>5 (5)</b>	CH <sub>2</sub> Cl <sub>2</sub>	2	65	>19 : 1	>99
2	L-Pro (5)	CH <sub>2</sub> Cl <sub>2</sub>	2	0	—	—
3	<b>5 (5)</b>	Wet MeCN	2	49	>19 : 1	>99
4	<b>5 (5)</b>	Wet THF	2	37	>19 : 1	>99
5	<b>5 (1)</b>	CH <sub>2</sub> Cl <sub>2</sub>	16	70	>19 : 1	>99

- Mannich reaction
- Low loading -> excellent ee
- Solubility in MeCN, THF, DCM
- Short reaction time with 5 mol%

# Acid group substitution: Tetrazole Nitro-Michael



**Table 3** Catalyst and solvent screen for the asymmetric nitro-Michael reaction

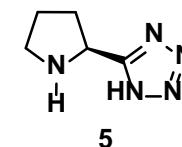
Entry	Cat.	Solvent	T (°C)	Yield (%) <sup>a</sup>	Dr <i>syn</i> : <i>anti</i> <sup>b</sup>	
					Ee (%) <sup>c</sup>	Dr <i>syn</i> : <i>anti</i> <sup>b</sup>
1	<b>5</b>	DMSO	20	97	>15 : 1	35
2	L-Pro	DMSO	20	93	>15 : 1	35
3	<b>5</b>	MeOH	20	61	>15 : 1	53
4	L-Pro	MeOH	20	37	>15 : 1	57
5	<b>5</b>	MeOH	50	42	>15 : 1	53
6	<b>5</b>	CH <sub>2</sub> Cl <sub>2</sub>	20	20	>15 : 1	40
7	L-Pro	CH <sub>2</sub> Cl <sub>2</sub>	20	0	—	—
8	<b>5</b>	CH <sub>2</sub> Cl <sub>2</sub>	40	98	>15 : 1	37
9	L-Pro	CH <sub>2</sub> Cl <sub>2</sub>	40	0	—	—
10	<b>5</b>	THF	20	33	>15 : 1	25

**Table 4** Further optimisation studies for the conjugate addition of cyclohexanone (20 vol%) into  $\beta$ -nitrostyrene using 15 mol% of organocatalyst **5**. All reactions conducted for 24 h

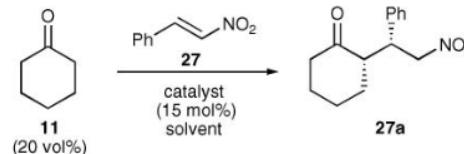
Entry	Cat.	Solvent	Cyclohexanone (eq.)	Yield (%) <sup>a,b</sup>	Ee (%) <sup>c</sup>
1	<b>5</b>	MeOH	20	61	53
2	<b>5</b>	MeOH-IPA (2 : 1)	20	56	53
3	<b>5</b>	MeOH-IPA (1 : 1)	20	65	61
4	<b>5</b>	MeOH-IPA (1 : 2)	20	76	58
5	<b>5</b>	EtOH	20	65	65
6	<b>5</b>	EtOH-IPA (2 : 1)	20	80	59
7	L-Pro	EtOH-IPA (1 : 1)	20	78	47
8	<b>5</b>	EtOH-IPA (1 : 1)	20	96	62
9	<b>5</b>	EtOH-IPA (1 : 2)	20	100	56
10	<b>5</b>	IPA	20	80	40
11	L-Pro	EtOH-IPA (1 : 1)	1.5	52	51
12	<b>5</b>	EtOH-IPA (1 : 1)	1.5	80	62

<sup>a</sup> Based on isolated product. <sup>b</sup> All drs were >15 : 1 by <sup>1</sup>H NMR spectroscopy. <sup>c</sup> Determined by chiral HPLC (Daicel Chiraldak AD-H column).

# Acid group substitution: Tetrazole Nitro-Michael



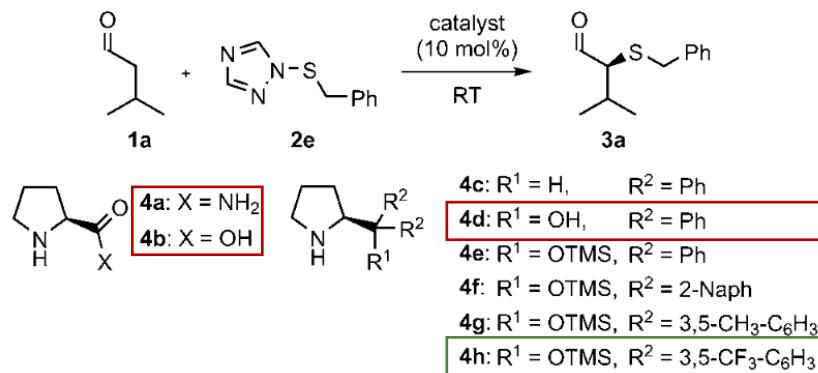
**Table 3** Catalyst and solvent screen for the asymmetric nitro-Michael reaction



- Nitro-Michael
- Loading 15-25 mol%
- Moderate ee
- Proline give better results in polar solvent
- Impossible reaction with other catalyst in organic solvent
- Use of DCM, IPA, THF
- Work also with less ketone equivalent

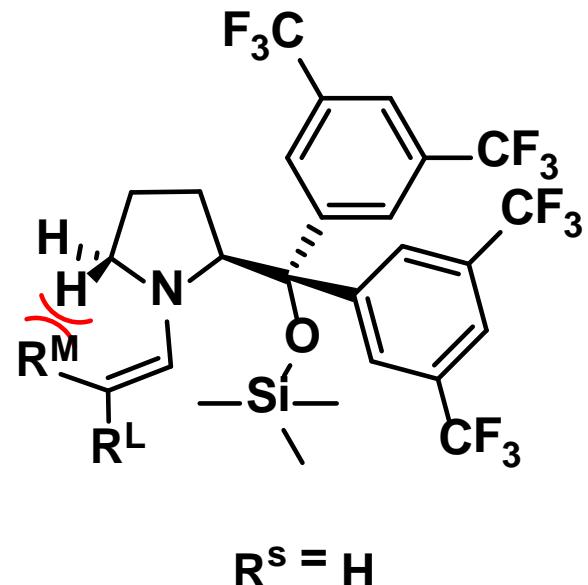
# Proline Modification

## Prolinol derivative



Entry	4	Solvent	Yield [%] <sup>[b]</sup>	ee [%] <sup>[c]</sup>
1	a	DMSO	— <sup>[d,e]</sup>	—
2	a	Et <sub>2</sub> O	5	18
3	a	CH <sub>2</sub> Cl <sub>2</sub>	7	22
4	a	toluene	30	25
5	b	toluene	16	0
6	c	toluene	56 <sup>[e]</sup>	52 <sup>[f]</sup>
7	d	toluene	— <sup>[d]</sup>	—
8	e	toluene	90	77
9	f	toluene	75 <sup>[e]</sup>	84
10	g	toluene	73 <sup>[e]</sup>	90
11	h	toluene	90	98
12 <sup>[g]</sup>	h	toluene	90	96
13 <sup>[h]</sup>	h	toluene	90	90

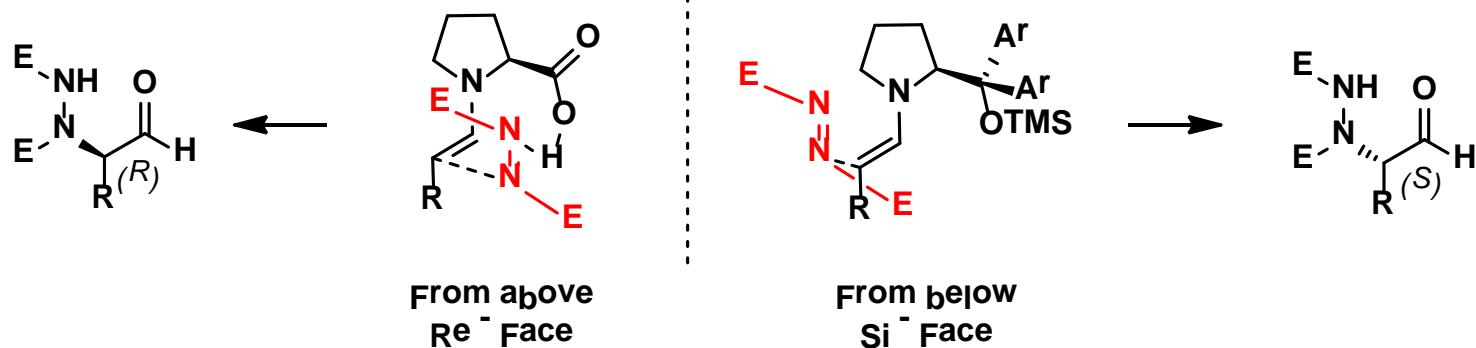
- No more hydrogen bonding possible
  - Loss of the hydrogen bond
  - No more activation of electrophile
  - Controlled by steric shielding



# Prolinol

## Comparution with proline

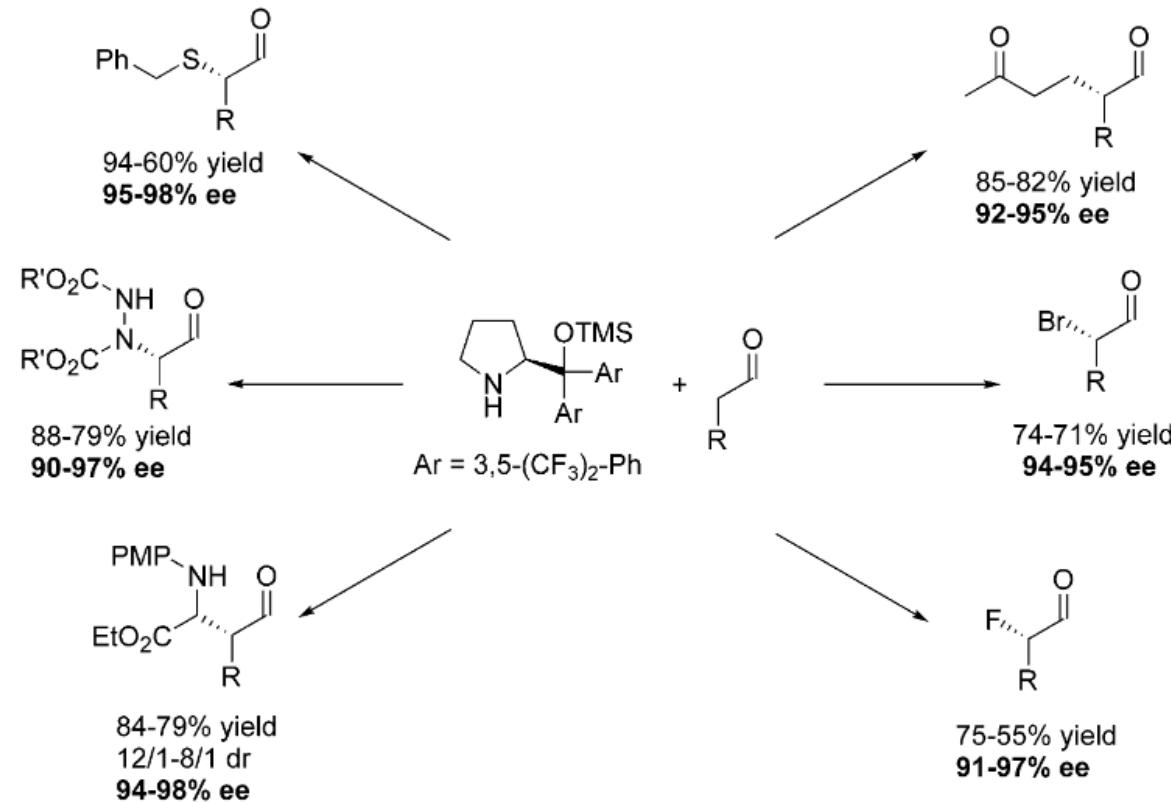
- Proline:
  - H bonding / low steric hindrance:
    - Good for hydrogen-bond acceptor substrates
    - Bad for poor H-bond acceptors
- Hindered-Prolinol
  - No H bonding\* / High steric hindrance
    - Good for steric controlled reactions
    - Bad for H-bond acceptors substrates
- \* Free alcohol: Could give good stereocontrol some times, but generally very low turnover!



# Prolinol

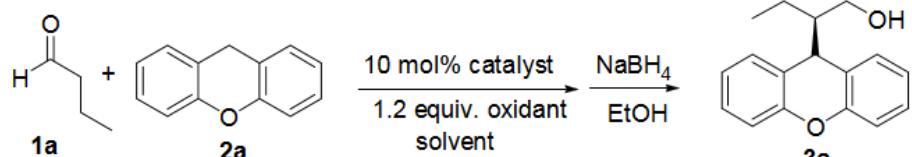
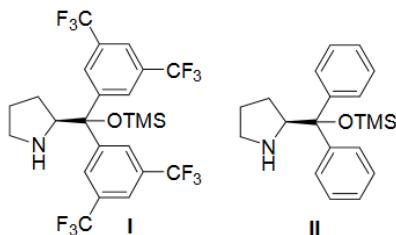
## Reactivity and selectivity examples

**Scheme 1.** Highly Enantioselective  $\alpha$ -Functionalizations of Aldehydes Catalyzed by the  $\alpha,\alpha$ -Diarylprolinol Silyl Ether



# Prolinol

## Dehydrogenative alpha-alkylation



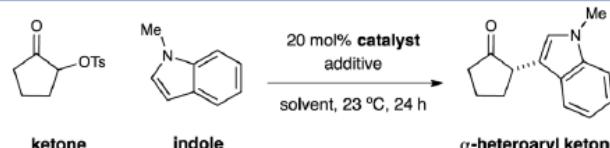
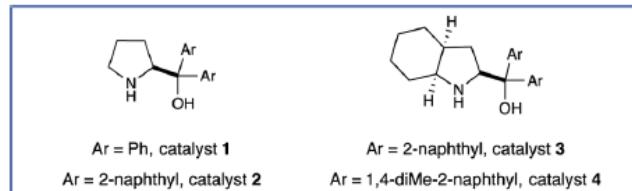
Entry <sup>a</sup>	Oxidant	Solvent	Catalyst	Yield <sup>b</sup> /%	ee <sup>c</sup> /%
1	CAN	CH <sub>2</sub> Cl <sub>2</sub>	<b>I</b>	Trace	—
2	FeCl <sub>3</sub>	CH <sub>2</sub> Cl <sub>2</sub>	<b>I</b>	Trace	—
3	Cu(OAc) <sub>2</sub>	CH <sub>2</sub> Cl <sub>2</sub>	<b>I</b>	Trace	—
4	IBX	CH <sub>2</sub> Cl <sub>2</sub>	<b>I</b>	Trace	—
5 <sup>d</sup>	O <sub>2</sub>	CH <sub>2</sub> Cl <sub>2</sub>	<b>I</b>	Trace	—
6 <sup>d</sup>	O <sub>2</sub>	CH <sub>2</sub> Cl <sub>2</sub>	<b>II</b>	58	0
7	DDQ	CH <sub>2</sub> Cl <sub>2</sub>	<b>I</b>	50	72
8	DDQ	MeNO <sub>2</sub>	<b>I</b>	42	94
9	DDQ	CHCl <sub>3</sub>	<b>I</b>	62	92
10	DDQ	Toluene	<b>I</b>	18	—
11	DDQ	THF	<b>I</b>	17	—
12 <sup>e</sup>	DDQ	CHCl <sub>3</sub>	<b>I</b>	51	—
13 <sup>f</sup>	DDQ	CHCl <sub>3</sub>	<b>I</b>	29	—
14 <sup>g</sup>	DDQ	CHCl <sub>3</sub>	<b>I</b>	23	—
15	DDQ	CHCl <sub>3</sub>	<b>II</b>	27	57

<sup>a</sup> Reactions were performed with **1a** (0.8 mmol), **2a** (0.2 mmol) and **I** (0.02 mmol) in 2 mL solvent at room temperature. <sup>b</sup> Isolated yield by column chromatography. <sup>c</sup> ee was determined by chiral HPLC on a chiral stationary phase. <sup>d</sup> 20 mol% catalyst and 20 mol% TfOH was used. <sup>e</sup> 1.5 equiv. DDQ was used. <sup>f</sup> 0 °C for 12 h. <sup>g</sup> 10 mol% 4-NO<sub>2</sub>C<sub>6</sub>H<sub>5</sub>CO<sub>2</sub>H was added.

# Prolinol

## Oxy-allyl cation catalysis

**Table 1.** Initial Studies and Reaction Optimization

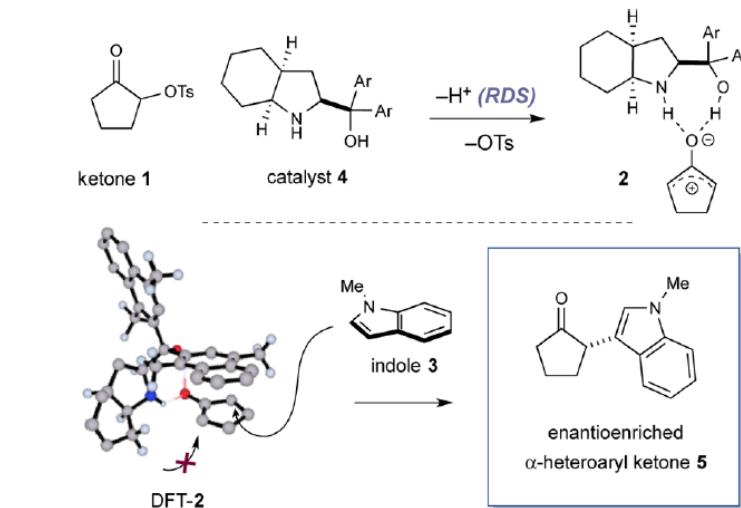


entry	catalyst	solvent	additive	yield <sup>a</sup>	ee <sup>b</sup>
1	1	C <sub>6</sub> H <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub>	58%	37%
2	2	C <sub>6</sub> H <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub>	50%	55%
3	3	C <sub>6</sub> H <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub>	54%	73%
4	3	1:1 C <sub>6</sub> H <sub>6</sub> /C <sub>6</sub> F <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub>	55%	79%
5	3	C <sub>6</sub> F <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub>	33%	81%
<b>6<sup>c</sup></b>	<b>4</b>	C <sub>6</sub> F <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub> , H <sub>2</sub> O <sup>d</sup>	<b>91%</b>	<b>92%</b>
7	none	C <sub>6</sub> F <sub>6</sub>	K <sub>2</sub> HPO <sub>4</sub> , H <sub>2</sub> O <sup>d</sup>	0%	—
8	4	C <sub>6</sub> F <sub>6</sub>	H <sub>2</sub> O	4%	—

<sup>a</sup>Yield determined by <sup>1</sup>H NMR. <sup>b</sup>Enantioselectivities determined by chiral HPLC. <sup>c</sup>Reaction time of 48 h. <sup>d</sup>1 equiv of H<sub>2</sub>O.

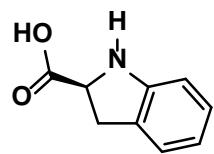
- Unprotected prolinol
    - Base needed
  - Not via iminium / enamine formation
  - Stabilisation of the cation by  $\pi$  interaction with an aromatic ring of catalyst

**Scheme 3.** Proposed Mechanism of the Substitution Reaction

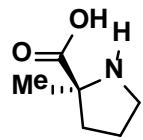
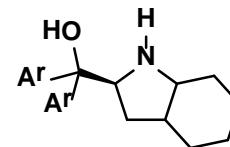


# Core modifications

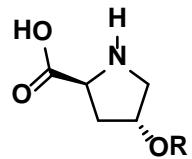
## Exemple of modifications



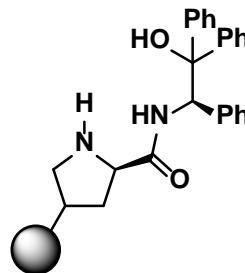
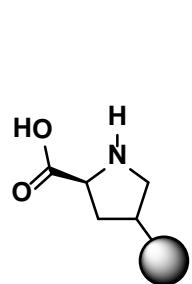
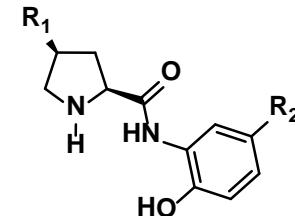
- Rigidity / Bulkiness



- Alpha-position from the acid



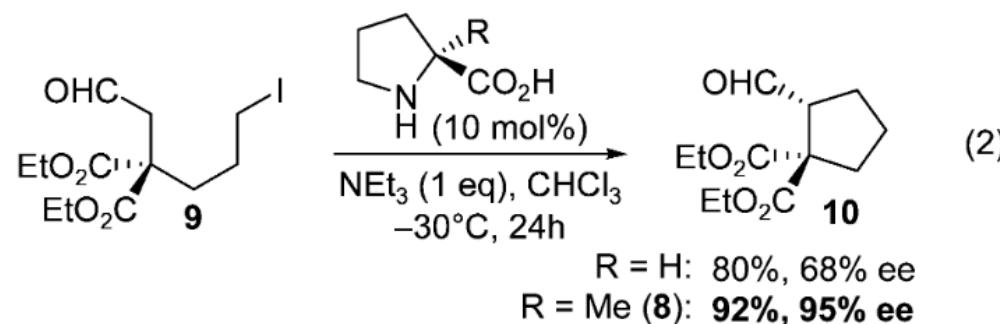
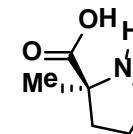
- Solubility / interaction



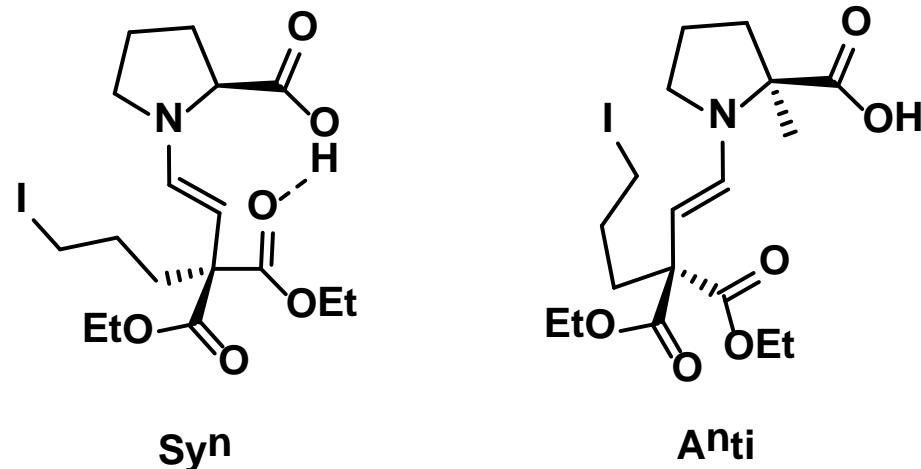
- Immobilized catalyst

# Core modification

## Alpha-position from the acid

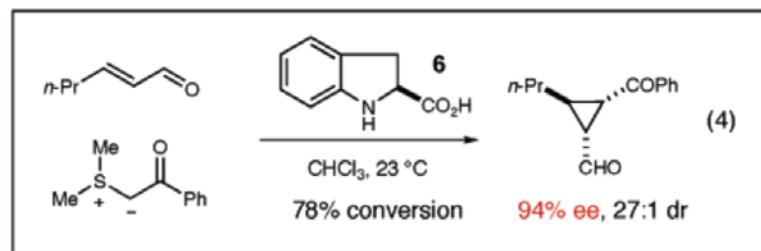
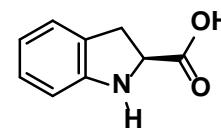


- Help to shift the *anti*-enamine formation

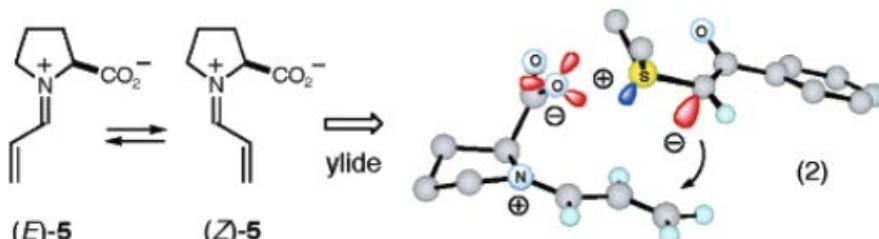


# Core modification

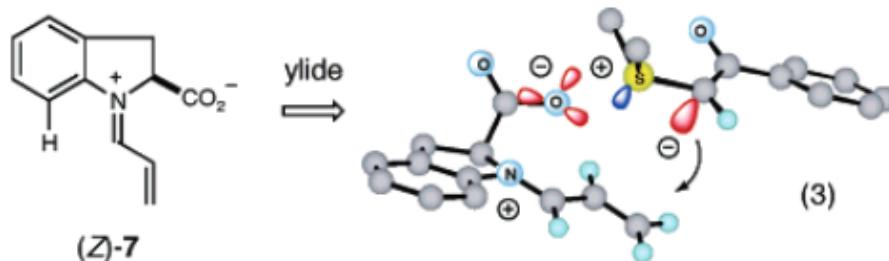
## Fused ring catalyst for cyclopropanation



With proline: Conversion = 72% / ee = 46%



- With proline: Directed by carboxylate
- No E / Z selectivity
- Poor ee

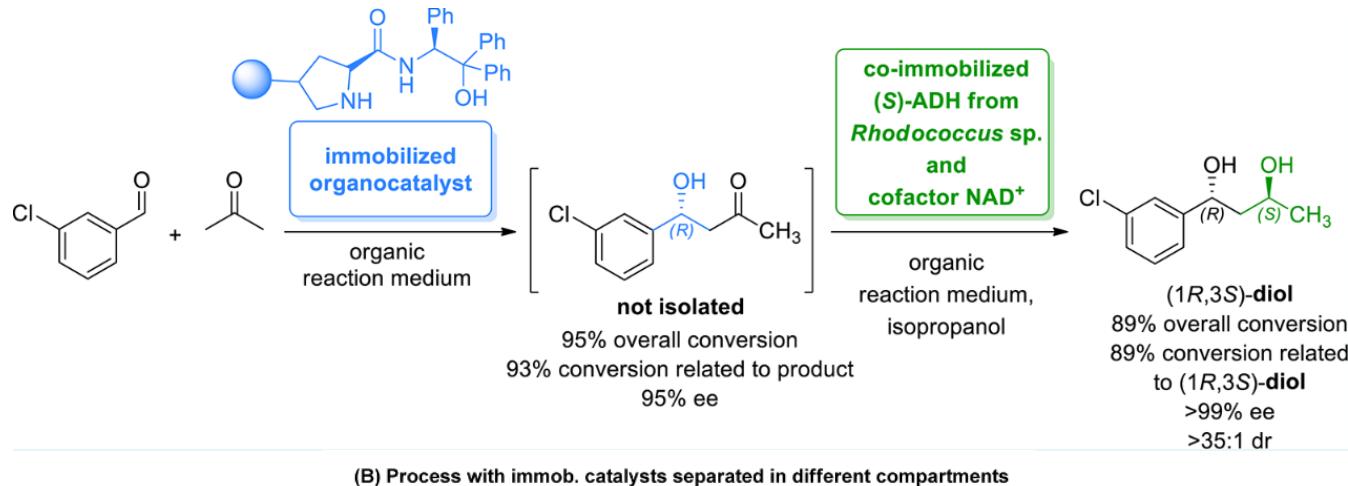


- Aromatic ring favors the *Z* iminium
- Minimize Van der Waals interaction between aryl hydrogen and olefin

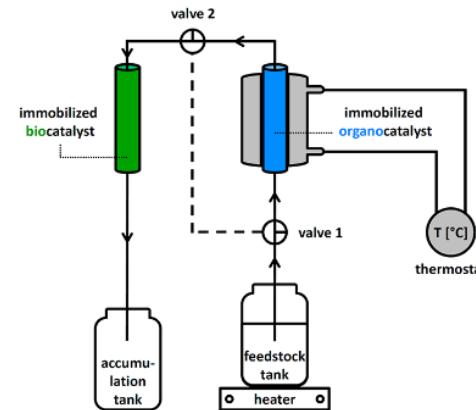
# Core modification

## Immobilized catalyst

- Reuse catalyst → high loading of proline? No problem!
- Green chemistry : industrial applications
- Design installation / applications
- Add novel proprieties

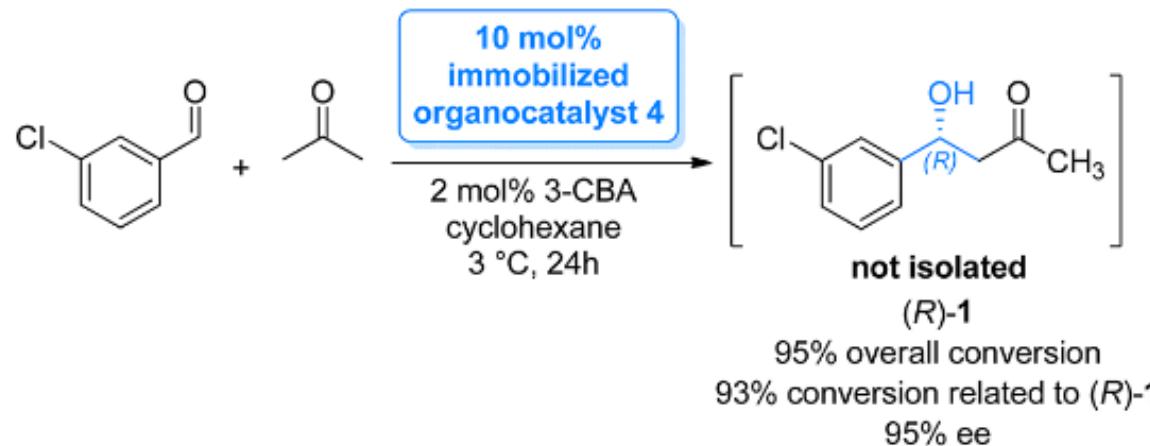
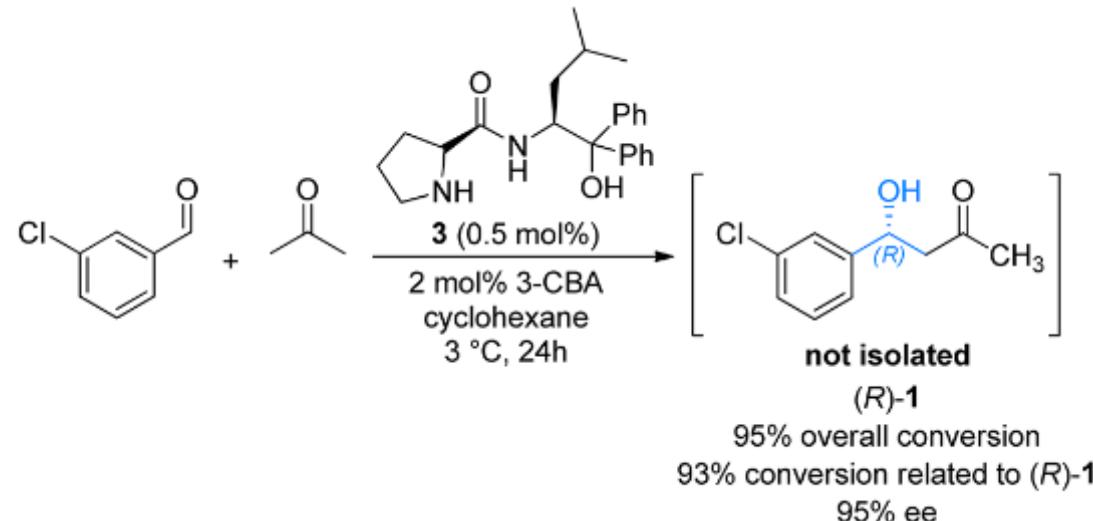


(B) Process with immob. catalysts separated in different compartments

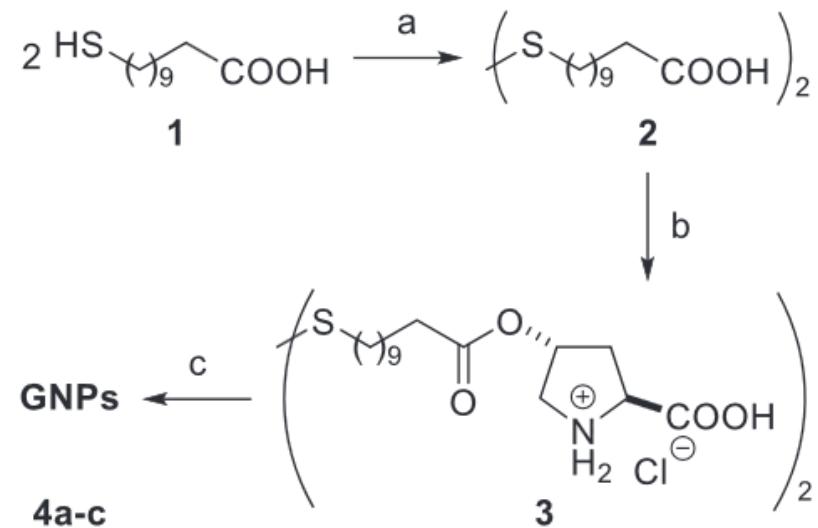
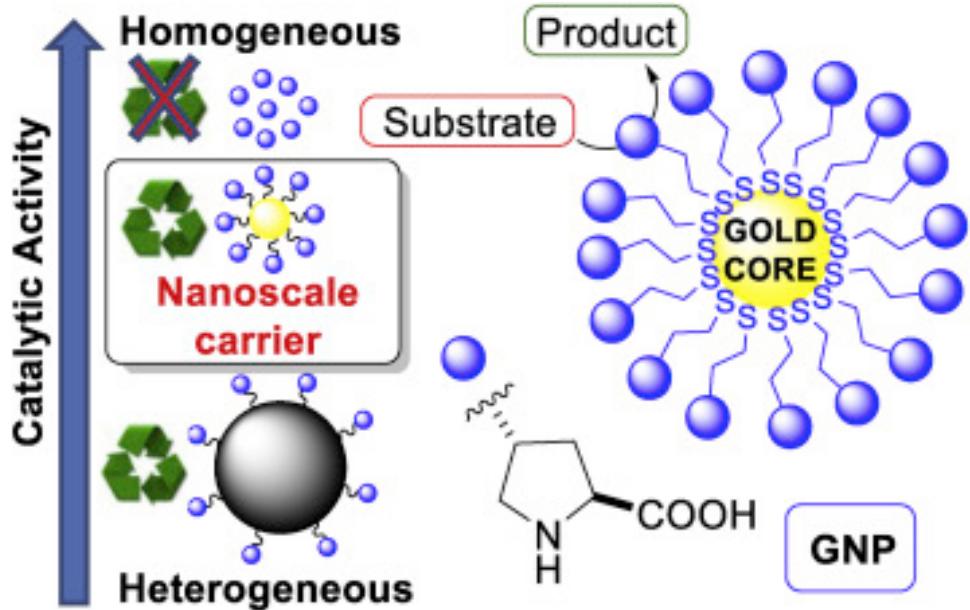


# Online-immobilized reactions

## Immobilized catalyst



# Hetero – Homogeneous catalysis Proline-coated GNP



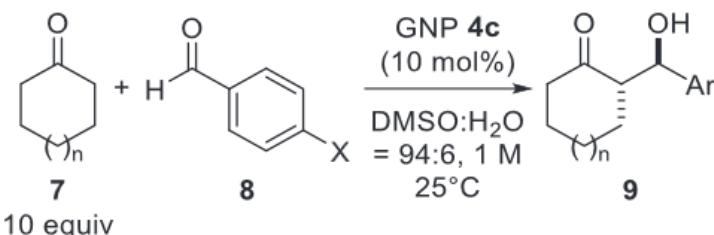
- (a) i) NaOH, H<sub>2</sub>O<sub>2</sub>, H<sub>2</sub>O, rt, 1 h, ii) HCl, rt, 0.5 h, 86%
- (b) i) (COCl)<sub>2</sub>, CH<sub>2</sub>Cl<sub>2</sub>, rt, 3 h,  
ii) 4-hydroxy-L-proline, TFA, rt, 16 h, 81%
- (c) HAuCl<sub>4</sub>, NaBH<sub>4</sub>, DMSO, rt, 24 h 53%

# Hetero – Homogeneous catalysis

## Proline-coated GNP

- Screening

GNP-supported proline-catalyzed asymmetric aldol reactions



Entry	n	X	Time (h)	Yield (%) <sup>a</sup>	dr <sup>b</sup>	ee (%) <sup>c</sup>	Product
1	1	NO <sub>2</sub>	24	97	90:10	88	<b>9a</b>
2	1	Cl	72	99	91:9	89	<b>9b</b>
3	1	Br	72	90	90:10	87	<b>9c</b>
4	1	CN	48	99	90:10	83	<b>9d</b>
5	1	CO <sub>2</sub> Me	72	99	90:10	86	<b>9e</b>
6	1	OMe	166	23 <sup>d</sup>	85:15	78	<b>9f</b>
7	1	H	120	65	91:9	77	<b>9g</b>
8	0	NO <sub>2</sub>	5	98	35:65	78	<b>9h</b>

<sup>a</sup> Isolated yield.

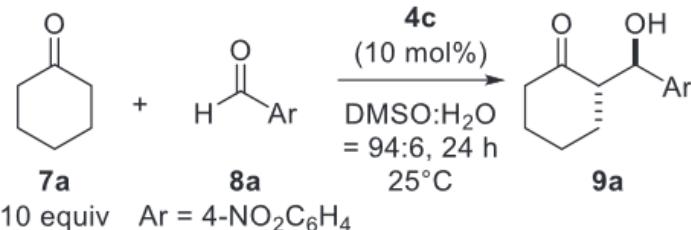
<sup>b</sup> Determined by <sup>1</sup>H NMR (*anti:syn*).

<sup>c</sup> Enantiomeric excesses of the *anti*-products were determined by chiral HPLC analysis.

<sup>d</sup> Conversion of the aldehyde was 31% based on <sup>1</sup>H NMR.

- Reuse NP  
(filtration + drying only)

Recycling of GNP-supported proline catalyst **4c**



Cycles	Conversion (%) <sup>a</sup>	Yield (%) <sup>b</sup>	dr <sup>c</sup>	ee (%) <sup>d</sup>	Recovery (%)
1	98	97	90:10	88	≥99
2	99	98	91:9	88	≥99
3	99	98	91:9	88	≥99
4	99	98	92:8	88	≥99
5	99	98	92:8	88	≥99

<sup>a</sup> Determined by <sup>1</sup>H NMR.

<sup>b</sup> Isolated yield.

<sup>c</sup> Determined by <sup>1</sup>H NMR (*anti:syn*).

<sup>d</sup> Enantiomeric excesses of the *anti*-products were determined by chiral HPLC analysis.

# Conclusions

## Proline modularity

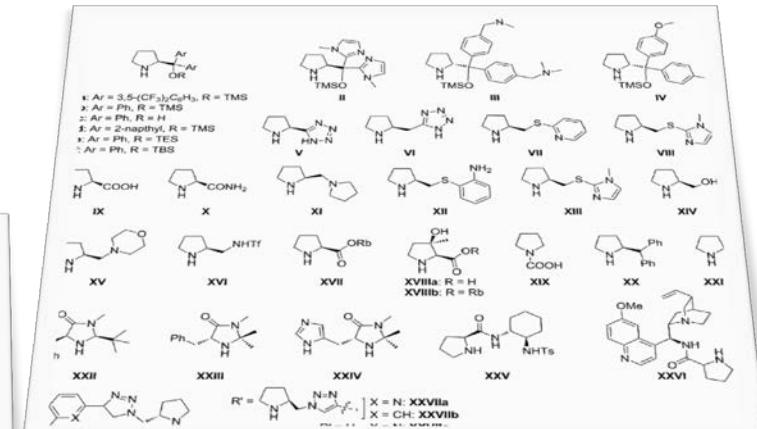
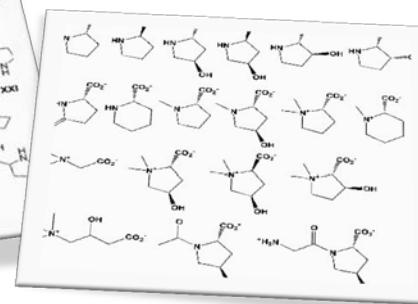
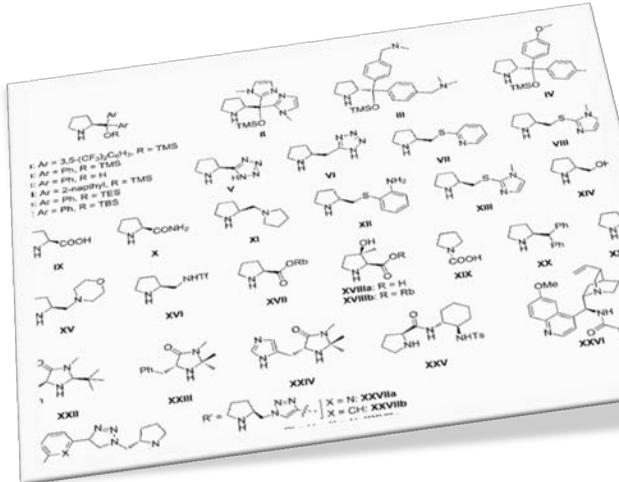
- L-Proline gave very good results
    - But in certain conditions only
  - Limitations:
    - Solubility
    - Face selectivity
    - Reactivity
    - Enantioselectivity
    - catalyst loading
  - Some solutions has to be found
- 
- The diagram consists of five green arrows pointing from left to right, each connecting a limitation to its corresponding solution list. The first arrow points from 'Solubility' to 'Substitution of acid group' and 'Additions of group on the core'. The second arrow points from 'Face selectivity' to 'Increase steric hindrance on core', 'Substitute acid group', and 'Add directing/repulsing group on core'. The third arrow points from 'Reactivity' to 'Substitute acid group', 'Protect alcohol group', and 'Linked to solubility'. The fourth arrow points from 'Enantioselectivity' to 'Linked to face selectivity' and 'Fine tuning'. The fifth arrow points from 'catalyst loading' to 'Linked to reactivity', 'Make it reusable (heterogeneous cat.)', and 'Fine tuning'.
- Substitution of acid group
  - Additions of group on the core
  - Increase steric hindrance on core
  - Substitute acid group
  - Add directing/repulsing group on core
  - Substitute acid group
  - Protect alcohol group
  - Linked to solubility
  - Linked to face selectivity
  - Fine tuning
  - Linked to reactivity
  - Make it reusable (heterogeneous cat.)
  - Fine tuning

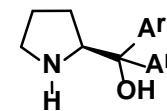
# No universal catalyst

Reaction / substrate dependant

Many different structures possible and reported

- Heterocycle
  - Substituted amine
  - Different size of ring
    - Etc...



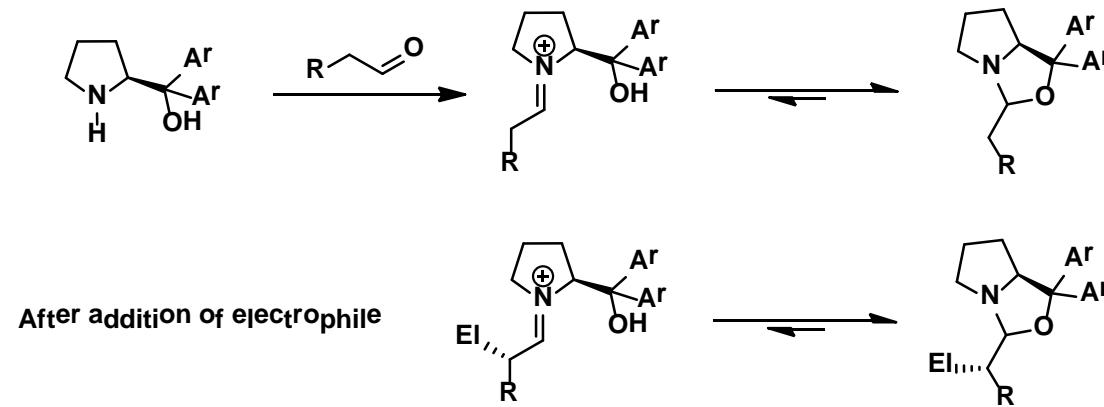


- Why free alcohol on prolinol catalyst decrease generally the reactivity?
- Why Nitro-Michael reactions are generally highly selective?
- What is important in order to have a good facial selectivity? (catalytic cycle)

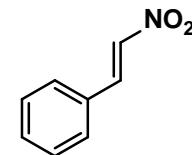




- Formation of stable hemiaminal species

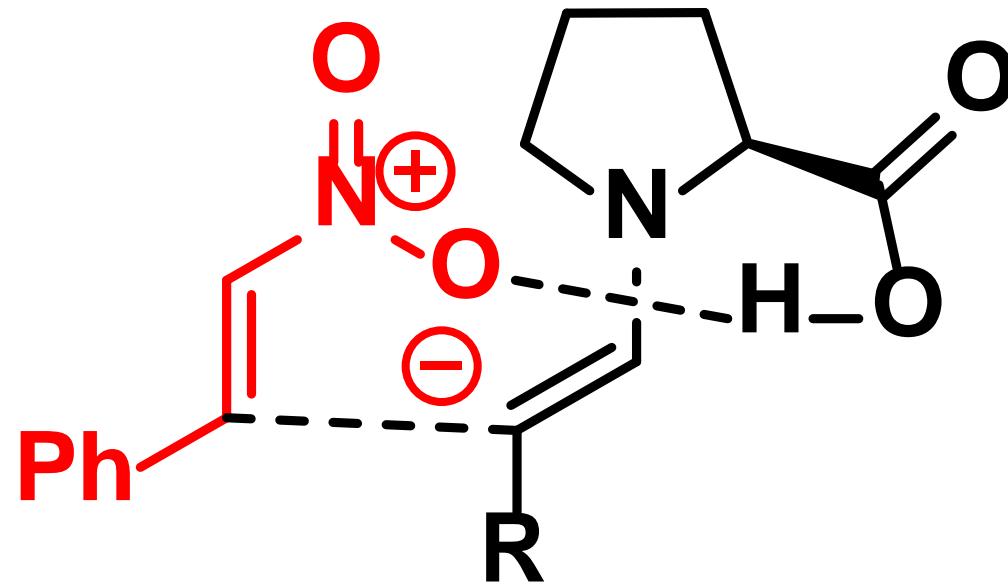


# Questions



- Why free alcohol on prolinol catalyst decrease generally the reactivity?
- Why Nitro-Michael reactions are generally highly selective?
- What is important in order to have a good facial selectivity? (catalytic cycle)





- Why free alcohol on prolinol catalyst decrease generally the reactivity?
- Why Nitro-Michael reactions are generally highly selective?
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