

## LECTURE 9

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### 1. TENSORS AND DIFFERENTIAL $k$ -FORMS

**Definition 1.1.** Let  $V$  be an  $n$ -dimensional real vector space and  $k \geq 1$ . A covariant  $k$ -tensor  $T$  on  $V$  is a multilinear function

$$T : V^k = V \times \dots \times V \rightarrow \mathbf{R}$$

where multilinear means that it is linear on each factor of  $V$ , i.e.

$$T(v_1, \dots, av_i + bv'_i, \dots, v_n) = aT(v_1, \dots, v_i, \dots, v_n) + bT(v_1, \dots, v'_i, \dots, v_n)$$

We denote the set of covariant  $k$ -tensors as  $T^k(V)$ . This is a real vector space with scalar multiplication and pointwise addition. Covariant 1-tensors are just linear maps to  $\mathbf{R}$ . We extend the definition to  $k = 0$  by declaring that a covariant 0-tensor is just a constant.

**Exercise 1.2.** Show that covariant 2-tensors (also called bilinear maps)  $V \times V \rightarrow \mathbf{R}$  are in bijective correspondence with  $n \times n$  matrices. Show that the determinant map viewed as a function on an  $n \times n$  matrix is a covariant  $n$ -tensor on  $\mathbf{R}^n$ , with input the  $n$  row vectors of the matrix.

**Definition 1.3.** Let  $S \in T^k(V), T \in T^l(V)$ . We define the *tensor product* as

$$S \otimes T : V^{k+l} \rightarrow \mathbf{R} \quad S \otimes T(v_1, \dots, v_{k+l}) = S(v_1, \dots, v_k)T(v_{k+1}, \dots, v_{k+l})$$

Note that the definition allows us to write the tensor product of three or more tensors unambiguously without parenthesis.

**Lemma 1.4.** Let  $e_1, \dots, e_n$  be a basis for  $V$ , and let  $\varepsilon^1, \dots, \varepsilon^n$  be a dual basis (i.e. the basis for  $V^*$ ). Then a basis for  $T^k(V)$  consists of elements of the form

$$\varepsilon^{i_1} \otimes \dots \otimes \varepsilon^{i_k} \quad 1 \leq i_1, \dots, i_k \leq n$$

In particular,  $\dim T^k(V) = n^k$ .

*Proof.* First we show that this collection is linearly independent. Assume that

$$\sum_{1 \leq i_1, \dots, i_k \leq n} T_{i_1, \dots, i_k} \varepsilon^{i_1} \otimes \dots \otimes \varepsilon^{i_k} = 0$$

Then evaluating the above on  $(e_{i_1}, \dots, e_{i_k})$  we obtain that  $T_{i_1, \dots, i_k} = 0$ , proving our claim.

Now we show that these vectors span  $T^k(V)$ . Let  $T \in T^k(V)$ . Let  $T_{i_1, \dots, i_k} = T(e_{i_1}, \dots, e_{i_k})$  for each  $1 \leq i_1, \dots, i_k \leq n$ . Then it follows that

$$T = \sum_{1 \leq i_1, \dots, i_k \leq n} T_{i_1, \dots, i_k} \varepsilon^{i_1} \otimes \dots \otimes \varepsilon^{i_k}$$

by multilinearity since the evaluation of the above on the basis vectors  $(e_{i_1}, \dots, e_{i_k})$  for  $1 \leq i_1, \dots, i_k \leq n$  of  $V \times \dots \times V$  ( $k$ -times) is equal.  $\square$

We remark that it is also common to use the notation  $\otimes^k V^*$  for  $T^k(V)$ . The previous Lemma makes it clear why this is natural, and we shall use it from now on.

#### 1.1. Alternating tensors.

**Definition 1.5.** We say that a tensor  $T \in \otimes^k V^*$  is *alternating*, if

$$T(x_1, \dots, x_i, \dots, x_j, \dots, x_k) = -T(x_1, \dots, x_j, \dots, x_i, \dots, x_k) \quad \forall x_1, \dots, x_k \in V$$

The set of alternating covariant  $k$ -tensors is denoted as  $\Lambda^k V^*$  and is a subspace of  $\otimes^k V^*$ .

**Exercise 1.6.**  $T \in \otimes^k V^*$  is alternating if and only if it is of the form

$$T = \sum_{1 \leq i_1, \dots, i_k \leq n} T_{i_1, \dots, i_k} \varepsilon^{i_1} \otimes \dots \otimes \varepsilon^{i_k}$$

with the property that  $T_{i_1, \dots, i_k} = \text{sgn}(\sigma) T_{i_{\sigma(1)}, \dots, i_{\sigma(k)}}$  for each  $\sigma \in S_k$ . Here  $S_k$  is the group of permutations on  $k$  elements and  $\text{sgn}(\sigma)$  is the sign of the permutation. (Recall this from your group theory or algebra course).

Let  $e_1, \dots, e_n$  be a basis for  $V$  and let  $\varepsilon^1, \dots, \varepsilon^n$  be the corresponding dual basis for  $V^*$  as usual. For a multi-index  $I = (i_1, \dots, i_k) \in \{1, \dots, n\}^k$ , we define the following alternating covariant tensor

$$\varepsilon^I = \sum_{\sigma \in S_k} \text{sgn}(\sigma) \varepsilon^{i_{\sigma(1)}} \otimes \dots \otimes \varepsilon^{i_{\sigma(k)}}$$

These are called *elementary alternating tensors*.

When evaluating  $\varepsilon^I$  on  $(X_1, \dots, X_k) \in V \times \dots \times V$ , we obtain

$$(*) \quad \varepsilon^I(X_1, \dots, X_k) = \text{Det} \begin{pmatrix} X_1^{i_1} & \dots & X_k^{i_1} \\ \vdots & & \vdots \\ X_1^{i_k} & \dots & X_k^{i_k} \end{pmatrix}$$

**Exercise 1.7.** Demonstrate the last formula. Hint: Use induction on  $k$ .

**Lemma 1.8.** The elementary tensors satisfy the following:

- (1)  $\varepsilon^I = 0$  if  $I$  has a repeated index.
- (2) If  $J = \sigma(I)$  for some permutation  $\sigma$ , then  $\varepsilon^J = \text{sgn}(\sigma) \varepsilon^I$ .
- (3) For  $J = (j_1, \dots, j_k)$  a multi-index, we have

$$\varepsilon^I(e_{j_1}, \dots, e_{j_k}) = 0 \quad \text{if } I \text{ or } J \text{ have a repeated index or are not permutations of each other}$$

$$\varepsilon^I(e_{j_1}, \dots, e_{j_k}) = \text{sgn}(\sigma) \quad \text{if } J = \sigma(I) \text{ and } J \text{ has no repeated indices}$$

We call a multi-index  $I = (i_1, \dots, i_k)$  *increasing*, if  $i_1 < \dots < i_k$ . We show that the elementary alternating tensors given by the increasing multi-indices provide a basis for the space  $\Lambda^k V^*$ .

**Proposition 1.9.** The set

$$\{\varepsilon^I \mid I = (i_1, \dots, i_k), 1 \leq i_1 < \dots < i_k \leq n\}$$

is a basis for  $\Lambda^k V^*$ .

**Exercise 1.10.** Prove the above proposition.

The above Proposition implies that  $\dim \Lambda^k V^* = \binom{n}{k}$ . For  $k = n$  this is just a 1-dimensional space, spanned by  $\varepsilon^{(1, \dots, n)}$ , which is just the determinant function as given by the formula (\*) above.

**Definition 1.11.** We define the wedge product

$$\wedge : \Lambda^k V^* \times \Lambda^l V^* \rightarrow \Lambda^{k+l} V^*$$

as the unique bilinear map that satisfies

$$\varepsilon^I \wedge \varepsilon^J = \varepsilon^K$$

where

$$I = \{i_1, \dots, i_k\} \quad J = \{j_1, \dots, j_l\} \quad K = \{i_1, \dots, i_k, j_1, \dots, j_l\}$$

Note that if we have  $\omega \in \Lambda^k V^*, \nu \in \Lambda^l V^*$  then

$$\omega \wedge \nu = (-1)^{kl} \nu \wedge \omega$$

This motivates the notation: the space  $\Lambda^k V^*$  is a linear combination of  $k$ -fold wedge product of covariant 1-tensors, which are just covectors.

Note that any 0-tensor is alternating, and that  $\Lambda^0 V^* = \otimes^0 V^* = \mathbf{R}$ . The wedge product of a 0-tensor  $\lambda \in \Lambda^0 V^* = c \in \mathbf{R}$  with  $\nu \in \Lambda^k V^*$  is  $\lambda \wedge \nu = c\nu$ .

2. DIFFERENTIAL  $k$ -FORMS ON MANIFOLDS

Let  $M$  be a smooth manifold of dimension  $n$ . We shall apply the definitions from the previous lecture to the case where  $V = T_p M$ . For each  $p \in M$ , we obtain the  $\binom{n}{k}$ -dimensional vector space of alternating  $k$ -tensors, which is denoted as  $\Lambda^k T_p^* M$ . If  $(x^1, \dots, x^n)$  are local coordinates on a neighbourhood of  $p$  then a basis of  $\Lambda^k(T_p^* M)$  is

$$dx_p^I = dx_p^{i_1} \wedge \dots \wedge dx_p^{i_k} \quad I = (i_1, \dots, i_k), 1 \leq i_1 < \dots < i_k \leq n$$

Evaluated on a  $k$ -tuple of coordinate vectors

$$\frac{\partial}{\partial x^{j_1}} \Big|_p, \dots, \frac{\partial}{\partial x^{j_k}} \Big|_p \quad J = (j_1, \dots, j_k)$$

we obtain

$$dx_p^I \left( \frac{\partial}{\partial x^{j_1}} \Big|_p, \dots, \frac{\partial}{\partial x^{j_k}} \Big|_p \right) = \text{sgn}(\sigma)$$

where  $\sigma$  is the permutation such that  $J = \sigma(I)$ . The union

$$\Lambda^k(T^* M) = \bigcup_{p \in M} \Lambda^k(T_p^* M)$$

is a smooth vector bundle of rank  $\binom{n}{k}$  in a natural way as follows.

Given a smooth chart  $(U, (x^1, \dots, x^n))$  around  $p \in M$ , a local trivialisation for  $\Lambda^k T^* M$  is given by

$$\bigcup_{p \in U} \Lambda^k T_p^* M \rightarrow U \times \mathbf{R}^{\binom{n}{k}} \quad (p, \sum_{I \text{ an increasing multi-index}} C_I dx_p^I) \mapsto (p, (C_I)_{I \text{ an increasing multi-index}})$$

The maps  $p \rightarrow dx_p^I$  provide smooth sections and a smooth local frame for this is

$$p \rightarrow \{dx_p^I \mid I = (i_1, \dots, i_k), 1 \leq i_1 < \dots < i_k \leq n\}$$

**Example 2.1.** The vector bundle  $\Lambda^2(T^* \mathbf{R}^3)$  has a global frame given by  $dx \wedge dy, dy \wedge dz, dx \wedge dz$ .

**Definition 2.2.** A smooth section of  $\Lambda^k T^* M$  is called a differential  $k$ -form. The set of differential  $k$ -forms on  $M$  is denoted as  $\Omega^k(M)$ . Note that for  $k = 0$  a differential 0-form is just a smooth function, so  $\Omega^0(M) = C^\infty(M)$ .