

# MICRO-461

## Low-power Radio Design for the IoT

### 10. Oscillators

#### 10.1. Basic Oscillators

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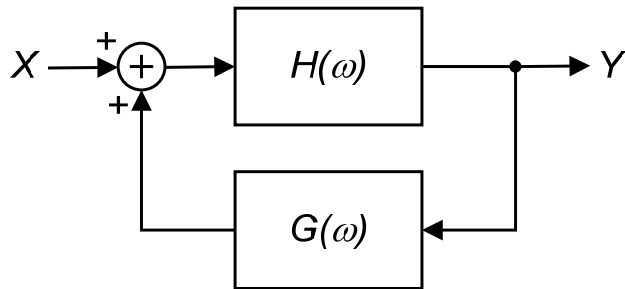
*Swiss Federal Institute of Technology, Lausanne (EPFL), Switzerland*

The logo of the Swiss Federal Institute of Technology, Lausanne (EPFL), consisting of the letters 'EPFL' in a bold, red, sans-serif font.

# Outline

- General considerations
- The 3-points oscillator
- The cross-coupled pair oscillator

# The Barkhausen Criteria



$$Y = \frac{H(\omega)}{1 - H(\omega)G(\omega)} X$$

- Most oscillators can be viewed as **positive feedback** systems with  $H(\omega)$  being the feed forward gain and  $G(\omega)$  the transfer function of the feedback circuit which is usually a frequency selective network (resonator)

- Oscillations occur at  $\omega_0$  if the loop gain  $H(\omega_0)G(\omega_0)$  is **exactly** equal to unity, leading to the **Barkhausen** criteria

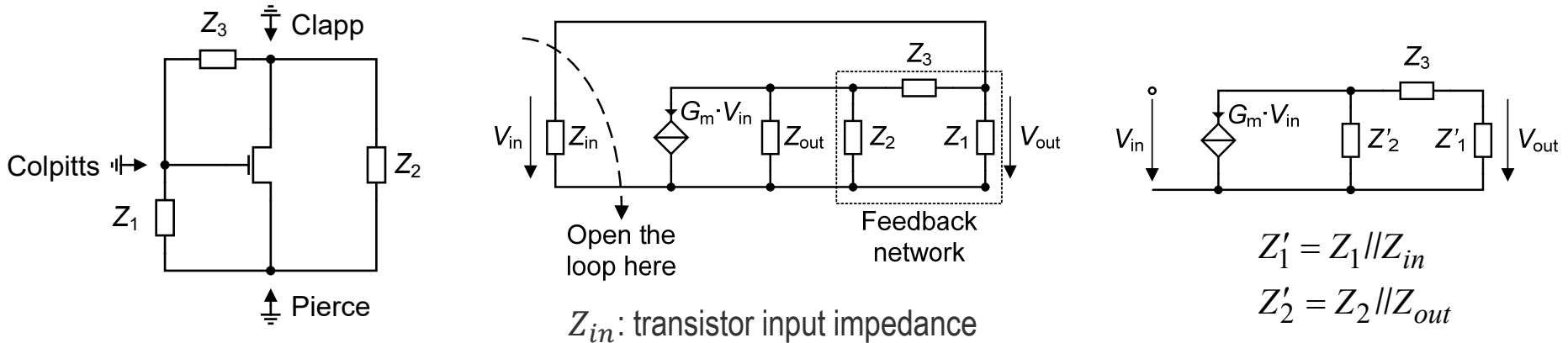
$$|H(\omega_0)G(\omega_0)| = 1 \quad \text{and} \quad \arg(H(\omega_0)G(\omega_0)) = 0$$

- The feedback network is usually frequency dependent and hence determines the oscillation frequency
- The Barkhausen criteria allows to derive the oscillation frequency, but does not say anything about the oscillation amplitude
- The latter is determined by the circuit nonlinearities

# Outline

- General considerations
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- The cross-coupled pair oscillator

# The 3-Points Oscillator – Barkhausen Criteria



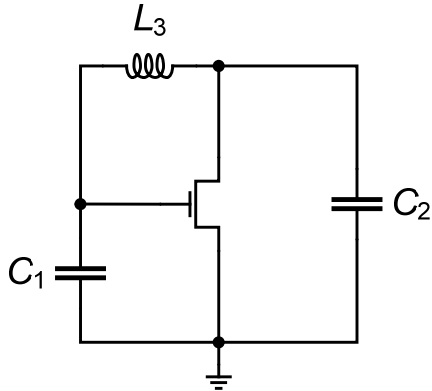
- Many basic (single transistor) oscillators can be described by the generic 3-points oscillator
- The transistor parasitic can be embedded into the impedances  $Z_k$  (like for example the transistor input and output impedances are included in  $Z_1$  and  $Z_2$  defining  $Z'_1$  and  $Z'_2$ )
- Opening the loop at the gate allows to calculate the loop gain

$$G \cdot H = \frac{V_{out}}{V_{in}} = \frac{-G_m Z'_1 Z'_2}{Z'_1 + Z'_2 + Z_3} = \frac{-G_m}{Y'_1 (1 + Y'_2 Z_3) + Y'_2}$$

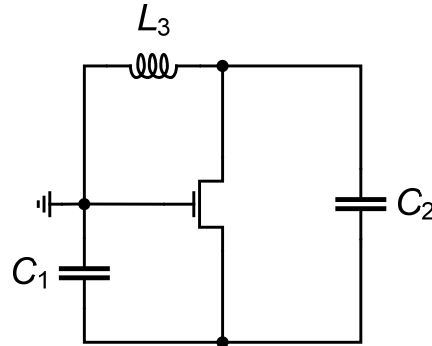
- The loop gain has to be equal to unity to satisfy the Barkhausen criteria

$$G_m Z'_1 Z'_2 + Z'_1 + Z'_2 + Z_3 = 0 \quad \text{or} \quad G_m + Y'_1 (1 + Y'_2 Z_3) + Y'_2 = 0$$

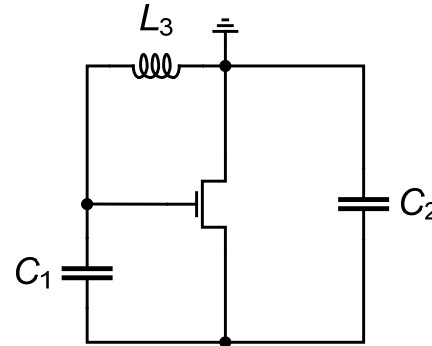
# The 3-Points Oscillator – Basic Oscillators



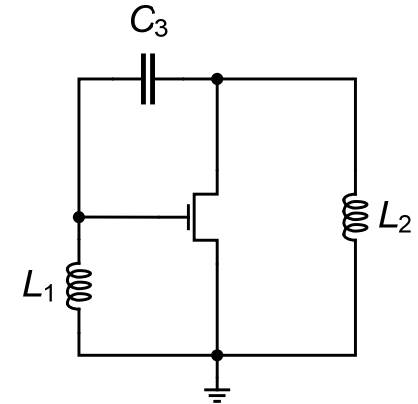
Pierce



Colpitts



Clapp



Hartley

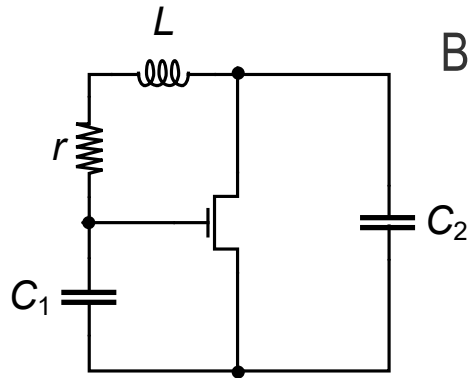
- In the case all the components of the feedback network are reactive  $Z_k = jX_k$  ( $k = 1,2,3$ ), neglecting the input impedance  $Z_{in}$  but accounting for the output impedance  $Z_{out} = 1/G_{ds}$

$$X_1 + X_2 + X_3 + j \left[ (G_m + G_{ds}) X_1 X_2 + G_{ds} X_2 X_3 \right] = 0$$

$$X_2 = A_{dc} \cdot X_1 \quad \text{and} \quad X_3 = -(A_{dc} + 1) \cdot X_1 \quad \text{with} \quad A_{dc} = \frac{G_m}{G_{ds}}$$

- Since  $A_{dc} > 0$ ,  $Z_2$  should be of the same type of reactance than  $Z_1$ , whereas  $Z_3$  should be of opposite sign leading to the following four basic single transistor oscillators depending on which node is the ground node

# The 3-Points Oscillator – Critical Transconductance



Barkhausen criteria:  $G_m + Y_1'(1 + Y_2'Z_3) + Y_2' = 0$

with:  $Y_1' = Y_1 = j\omega C_1$     $Y_2' = G_{ds} + j\omega C_2 \cong j\omega C_2$     $Z_3 = r + j\omega L$

Leads to: 
$$\begin{cases} G_m - \omega^2 r C_1 C_2 = 0 \\ C_1 + C_2 - \omega^2 L C_1 C_2 = 0 \end{cases}$$

- The **resonant frequency** is then given by

$$\omega_0 = \frac{1}{\sqrt{L C_{12}}} \quad \text{with} \quad C_{12} = \frac{C_1 C_2}{C_1 + C_2}$$

- The **critical transconductance** required to maintain the oscillation is given by

$$G_{m_{crit}} = \omega_0^2 r C_1 C_2 = \frac{(C_1 + C_2)r}{L} = \frac{\omega_0 (C_1 + C_2)}{Q_L} \quad \text{with} \quad Q_L = \frac{\omega_0 L}{r}$$

where  $Q_L$  is the unloaded  $Q$  of the inductor

- The larger the loss  $r$  (the smaller the  $Q_L$ ), the larger the required  $G_{m_{crit}}$
- $G_{m_{crit}}$  also increases with frequency  $\omega_0$  and parasitic capacitances  $C_1$  and  $C_2$

# The 3-Points Oscillator – Oscillation Conditions

- Oscillations are maintained for

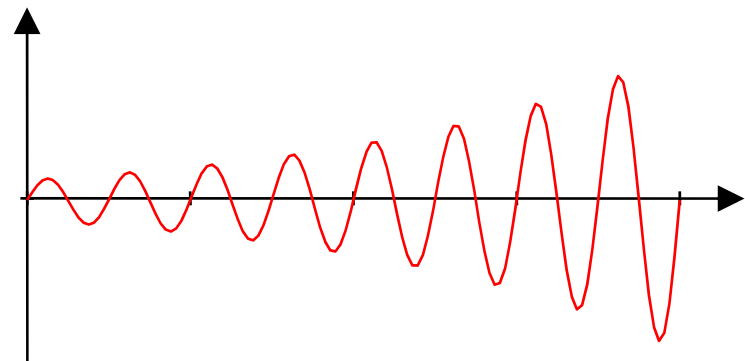
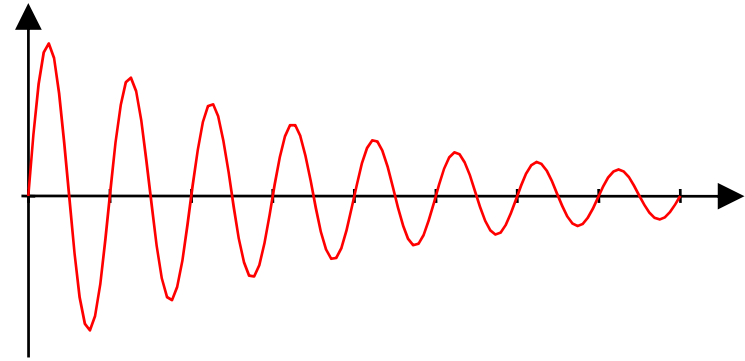
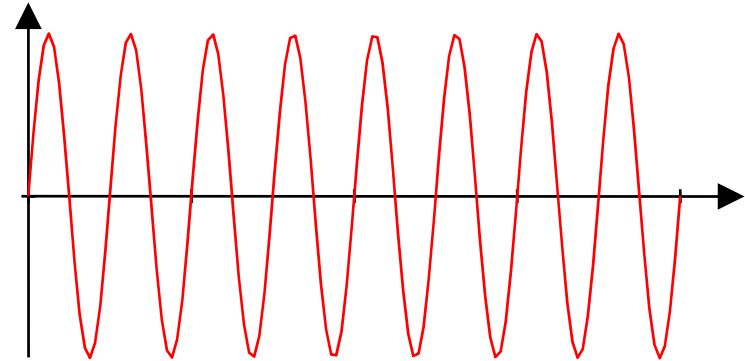
$$G_m = G_{m\text{crit}} = \frac{\omega_0 (C_1 + C_2)}{Q_L}$$

- Oscillations vanish if

$$G_m < G_{m\text{crit}} = \frac{\omega_0 (C_1 + C_2)}{Q_L}$$

- Oscillations amplitude increase if

$$G_m > G_{m\text{crit}} = \frac{\omega_0 (C_1 + C_2)}{Q_L}$$





## Accounting for Loss in Output Conductance

- If the output conductance is accounted for, the Barkhausen criteria becomes

$$\begin{cases} G_m + G_{ds} - \omega^2 C_1 (G_{ds} L + r C_2) = 0 \\ C_1 + C_2 + C_1 (G_{ds} r - \omega^2 L C_2) = 0 \end{cases}$$

- The oscillation frequency is then slightly modified by the presence of the output conductance

$$\omega_0 = \frac{1}{\sqrt{L C_{eq}}} \quad \text{with} \quad C_{eq} \triangleq \frac{C_1 C_2'}{C_1 + C_2'} \quad \text{and} \quad C_2' \triangleq \frac{C_2}{1 + G_{ds} r}$$

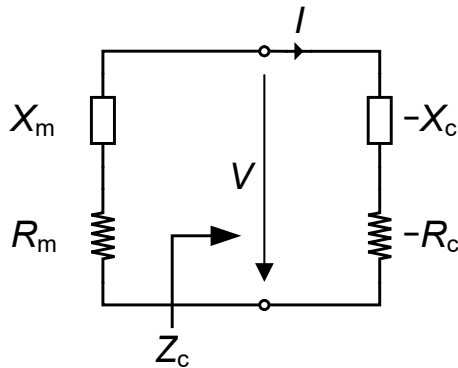
- The critical transconductance is then given by

$$G_{m_{crit}} = \alpha G_{ds} + (1 + \alpha) \frac{\omega_0 C_2}{Q_L} \cong \alpha G_{ds} + \frac{\omega_0 (C_1 + C_2)}{Q_L} \quad \text{with} \quad \alpha = \frac{C_1}{C_2'} = \frac{C_1 (1 + G_{ds} r)}{C_2} \cong \frac{C_1}{C_2}$$

- The critical transconductance has to be larger by  $\alpha \cdot G_{ds}$  compared to the case where  $G_{ds}$  is negligible

# Negative Resistance Analysis Method

- In a **linear analysis**, any oscillator can be viewed as a resonant circuit ( $X_m$  and  $X_c$ ) in series with a negative resistance  $-R_c$  that compensates for the loss  $R_m$
- The impedance seen at the input of the circuit  $Z_c$  should hence have a negative real part  $-R_c$  and a negative imaginary part  $-X_c$



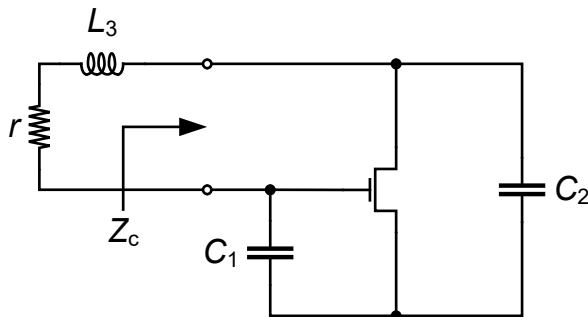
$$Z_m(\omega) = R_m + jX_m$$

$$Z_c(\omega, G_m) = -R_c(\omega, G_m) - jX_c(\omega)$$

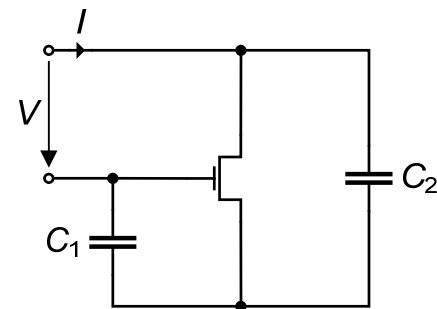
Such that their sum is equal to zero:

$$Z_m(\omega) + Z_c(\omega, G_m) = 0 \rightarrow \begin{cases} -\text{Re}\{Z_c\} = R_c(\omega, G_m) = r \\ -\text{Im}\{Z_c\} = X_c(\omega) = X_m(\omega) \end{cases}$$

- Can be applied to the Pierce oscillator

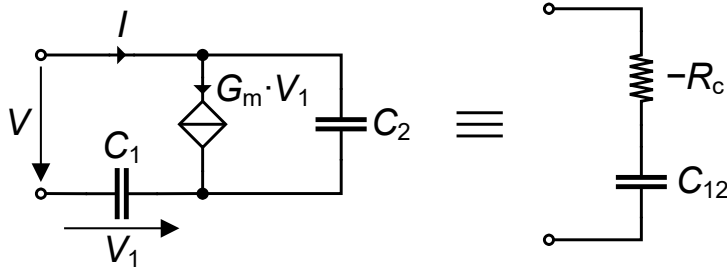


$$Z_c(\omega) = \frac{V}{I}$$



# Negative Resistance Analysis Method

- The corresponding small-signal circuit is given by



$$Z_c = \frac{V}{I} = -\frac{G_m + j\omega(C_1 + C_2)}{\omega^2 C_1 C_2} = -\frac{G_m}{\omega^2 C_1 C_2} + \frac{1}{j\omega C_{12}}$$

$$R_c = \frac{G_m}{\omega^2 C_1 C_2}$$

$$X_c = \frac{1}{\omega C_{12}} \quad \text{with} \quad C_{12} = \frac{C_1 C_2}{C_1 + C_2}$$

- The oscillation frequency is then given by the condition on the imaginary part

$$-\text{Im}\{Z_c\} = X_c = X_m \rightarrow \frac{1}{\omega C_{12}} = \omega L \rightarrow \omega_0 = \frac{1}{\sqrt{LC_{12}}}$$

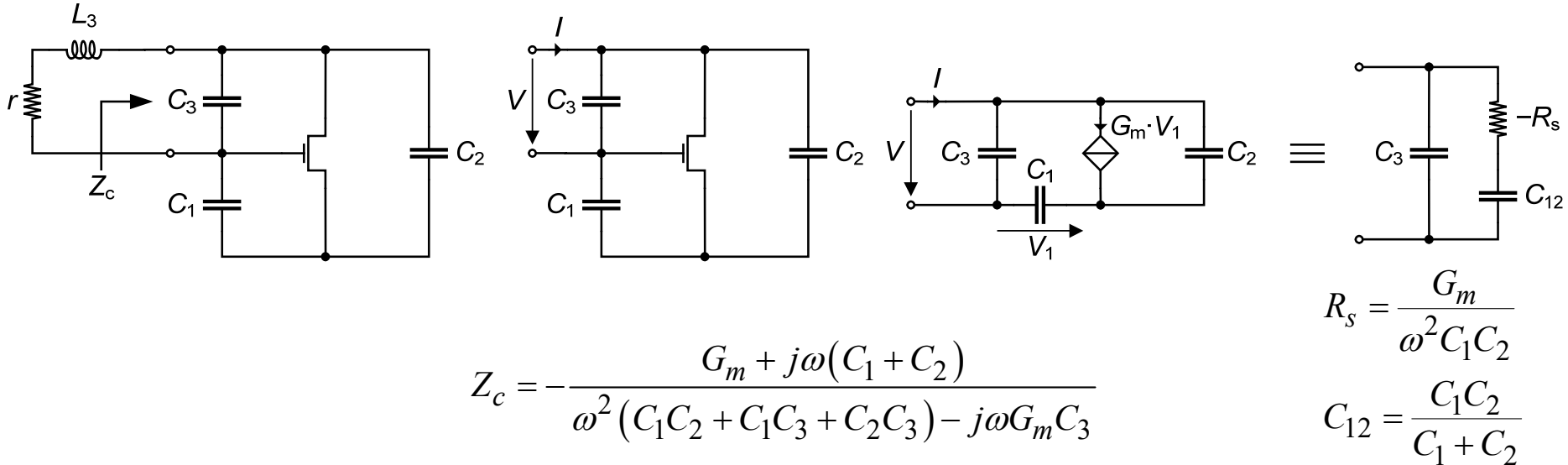
- The critical transconductance to insure oscillation is given by setting  $R_c = r$

$$\frac{G_{m\text{crit}}}{\omega^2 C_1 C_2} = r \rightarrow G_{m\text{crit}} = r \cdot \omega^2 C_1 C_2 = \frac{r}{L} \cdot (C_1 + C_2) = \frac{\omega_0 \cdot (C_1 + C_2)}{Q_L}$$

which corresponds to the result obtained earlier using the Barkhausen criteria

# Negative Resistance Analysis Method

- The same analysis can be conducted accounting for capacitance  $C_3$  embedding the parasitic capacitances of the inductor and the transistor

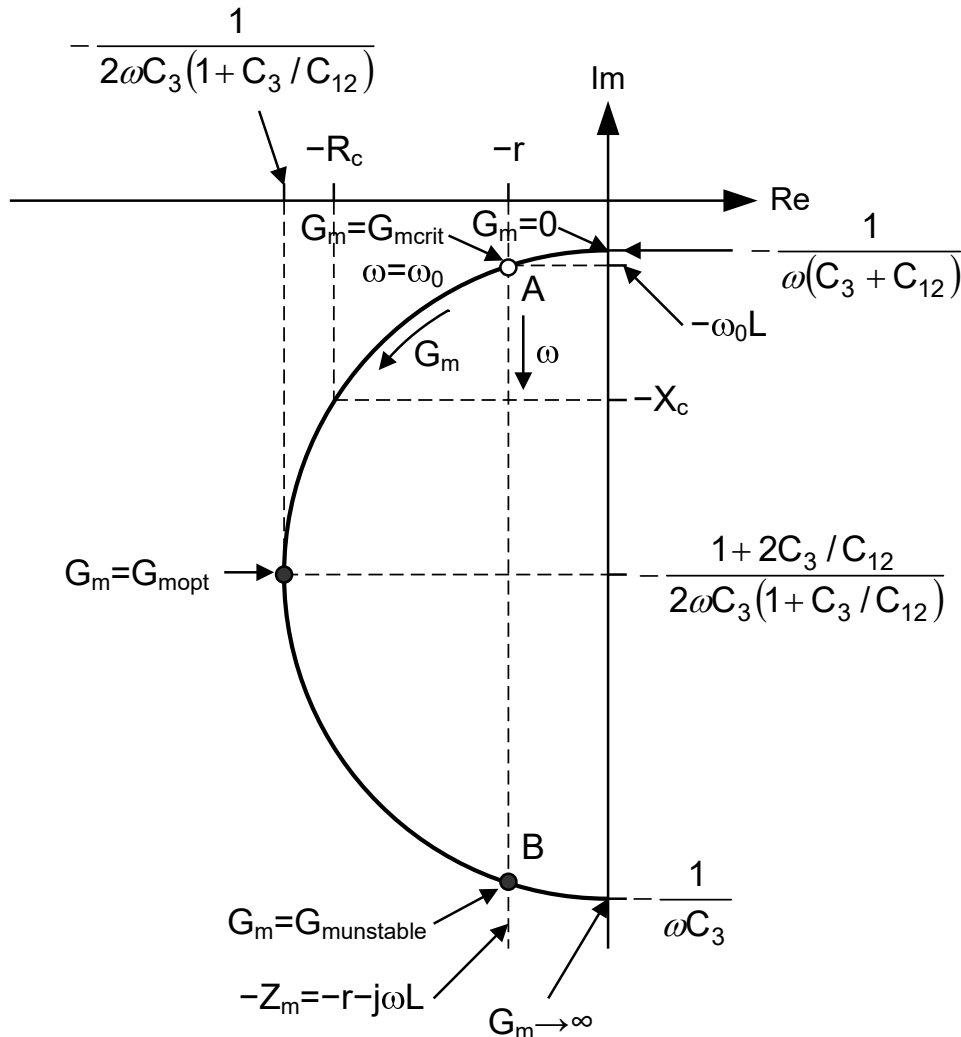


- Which leads to

$$R_c = \frac{G_m C_1 C_2}{(G_m C_3)^2 + \omega^2 (C_1 C_2 + C_1 C_3 + C_2 C_3)^2}$$

$$X_c = \frac{G_m^2 C_3 + \omega^2 (C_1 + C_2)(C_1 C_2 + C_1 C_3 + C_2 C_3)}{\omega \left[ (G_m C_3)^2 + \omega^2 (C_1 C_2 + C_1 C_3 + C_2 C_3)^2 \right]}$$

# Impedance Locus



- When plotted in the complex plane for a given frequency (usually the resonance frequency  $\omega_0$ ), versus the parameter  $G_m$ , the circuit impedance  $Z_c(G_m)$  describes a half-circle
- The impedance  $-Z_m = -r - j\omega L$  of the lossy inductor can be plotted versus  $\omega$  and describes a vertical line at  $-r$
- The condition  $Z_c = -Z_m$  corresponds to the intersections of the circle and the line (points A and B)
- It can be shown that only point A corresponds to a stable point
- By definition, at point A we have:

$$G_m = G_{m\text{crit}} \quad \text{and} \quad \omega = \omega_0$$

# Impedance Locus

- $G_{m\text{crit}}$  and  $\omega_0$  can be found by solving

$$\begin{cases} -\text{Re}\{Z_c\} = R_c(\omega, G_m) = r \\ -\text{Im}\{Z_c\} = X_c(\omega) = \omega L \end{cases}$$

- $R_c$  reaches a minimum (max in absolute value) given by

$$R_{c,\text{max}} = \frac{1}{2\omega C_3 \left(1 + \frac{C_3}{C_{12}}\right)} \quad \text{for} \quad G_m = G_{m\text{opt}} = \omega \left( C_1 + C_2 + \frac{C_1 C_2}{C_3} \right) \quad \text{with} \quad C_{12} = \frac{C_1 C_2}{C_1 + C_2}$$

- If  $r > R_{c,\text{max}}$  there are no intersections and no oscillations can take place
- The condition for a solution to exist is hence given by

$$r \leq R_{c,\text{max}} = \frac{1}{2\omega C_3 \left(1 + \frac{C_3}{C_{12}}\right)}$$

- If  $C_1$  and/or  $C_2$  decrease, point A moves downwards and  $\omega_0$  increases
- If  $C_3 = 0$  the circle becomes a horizontal line independent of  $G_m$

## $G_{m\text{crit}}$ for Given $\omega_0$ and $Q_L$

- In the case the oscillation frequency  $\omega_0$  and the quality factor of the inductor  $Q_L$  are set,  $G_{m\text{crit}}$  can be found from

$$\frac{X_c(\omega_0, G_{m\text{crit}})}{R_c(\omega_0, G_{m\text{crit}})} = Q_L \Rightarrow \frac{G_{m\text{crit}}^2 C_3 + \omega_0^2 (C_1 + C_2)(C_1 C_2 + C_1 C_3 + C_2 C_3)}{\omega_0 G_{m\text{crit}} C_1 C_2} = Q_L$$

which leads to

$$G_{m\text{crit}} = \omega_0 C_2 \frac{\alpha_1 Q_L}{2\alpha_3} \left[ 1 - \sqrt{1 - \left( \frac{2\alpha_3}{\alpha_1 Q_L} \right)^2 (\alpha_1 + 1) \left( 1 + \alpha_1 + \frac{\alpha_1}{\alpha_3} \right)} \right] \quad \text{where} \quad \alpha_1 = \frac{C_1}{C_2} \quad \alpha_3 = \frac{C_3}{C_2}$$

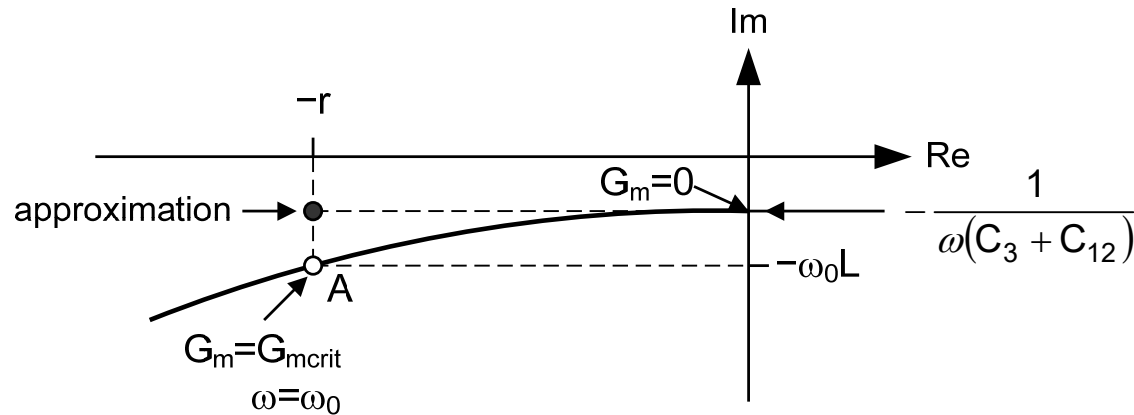
- The solution obviously only exists if

$$Q_L > \frac{2\alpha_3}{\alpha_1} \cdot \sqrt{(\alpha_1 + 1) \left( 1 + \alpha_1 + \frac{\alpha_1}{\alpha_3} \right)}$$

- An approximate solution can be found for  $Q_L \gg 1$

$$G_{m\text{crit}} \cong \omega_0 C_2 \frac{\alpha_3}{\alpha_1 Q_L} (\alpha_1 + 1) \left( 1 + \alpha_1 + \frac{\alpha_1}{\alpha_3} \right) = \frac{\omega_0}{Q_L} (C_1 + C_2) \left( 1 + \frac{C_3}{C_{12}} \right) \quad \text{with} \quad C_{12} = \frac{C_1 C_2}{C_1 + C_2}$$

# Approximation of $G_{m\text{crit}}$

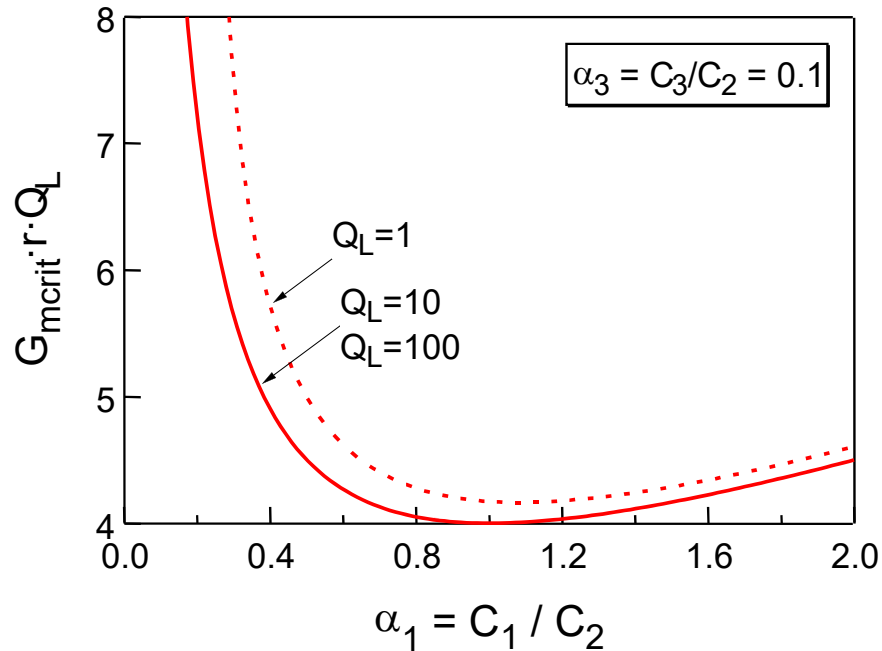


- As shown above, the oscillation frequency depends on  $r$  and therefore on the quality factor  $Q_L$  of the inductor which is not desirable since it may vary significantly
- When losses are small ( $r$  small) or  $Q_L$  becomes large, the vertical line gets closer to the imaginary axis and the sensitivity of  $\omega_0$  to  $Q_L$  becomes small
- In this condition, the oscillation frequency can be approximated by setting  $G_m = 0$  in  $X_c(\omega, G_m) = X_m(\omega)$  and solving for  $\omega$  leads to

$$\omega_0 \cong \frac{1}{\sqrt{L(C_3 + C_{12})}}$$



# Minimum Value of $G_{m_{crit}}$



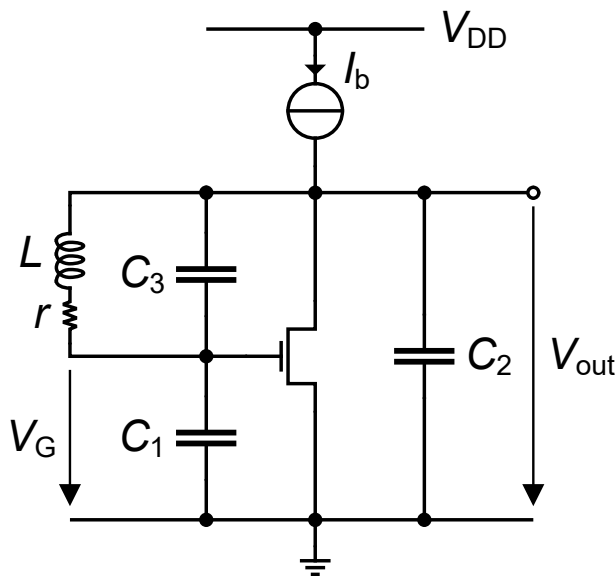
$$G_{m_{crit}} \cdot r \cdot Q_L \cong \frac{(\alpha_1 + 1)^2}{\alpha_1}$$

- As shown above,  $G_{m_{crit}}$  is minimum for  $\alpha_1 = 1$  ( $C_1 = C_2$ )

$$G_{m_{crit}, \min} = \frac{1}{r} \left( \frac{2}{Q_L} \right)^2 = \frac{\omega_0}{Q_L} 2(C_1 + 2C_3) \quad \text{for } C_1 = C_2$$

## Sinusoidal Control Voltage

- For  $G_m > G_{m_{crit}}$ , the oscillation will start and amplitude will grow, generating harmonic components due to the nonlinearity of the active element
- The above analysis was linear assuming small-signal operation. It did not give any information about the oscillation amplitude. This can only be obtained from a nonlinear analysis which is not always possible to achieve in an analytical form

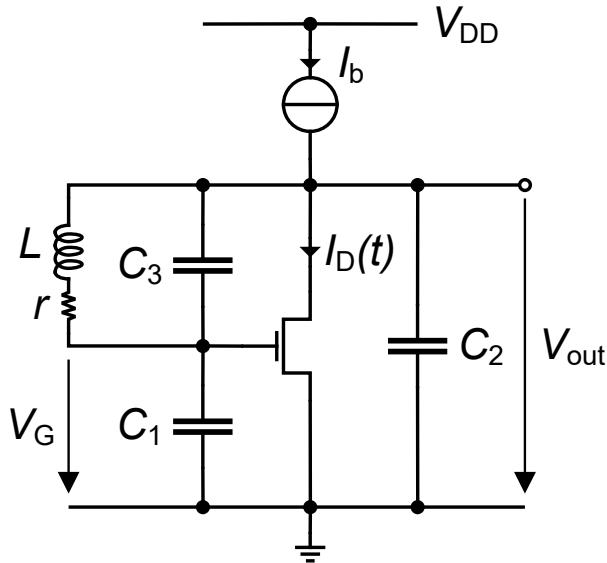


- If the quality factor of the resonator is assumed large (typically  $Q_L > 10$ ), the current going through the LC tank is filtered from its harmonics and generates a voltage at the gate that can be considered as quasi-sinusoidal

$$V_G(t) \cong V_{G0} + A \cdot \cos(\omega_0 t)$$

where  $V_{G0}$  is the dc gate voltage when there are no oscillations ( $A = 0$ )

# Nonlinear Analysis of the Pierce Oscillator (weak inv.)



- In the case of the Pierce oscillator the gate voltage can therefore be assumed to be sinusoidal

$$V_G(t) = V_{G0} + A \cdot \cos(\omega_0 t)$$

- If the transistor is biased in weak inversion, the drain current is then given by

$$\begin{aligned} I_D(t) &= I_{D0} \cdot e^{\frac{V_G(t)}{nU_T}} = I_{D0} \cdot e^{\frac{V_{G0} + A \cdot \cos(\omega_0 t)}{nU_T}} \\ &= I_0 \cdot e^{x \cdot \cos(\omega_0 t)} \end{aligned}$$

$$A = \Delta V_G \cong -\Delta V_{out}$$

$$\text{with } I_0 = I_{D0} \cdot e^{\frac{V_{G0}}{nU_T}} = I_{spec} \cdot e^{\frac{V_{G0} - V_{T0}}{nU_T}} \quad \text{and} \quad x \triangleq \frac{A}{nU_T}$$

- Notice that it is essentially capacitance  $C_3$  that couples harmonic components directly to the gate. Therefore the assumption of the gate voltage being quasi-sinusoidal only holds if  $C_3$  is much smaller than  $C_{12}$

# Nonlinear Analysis of the Pierce Oscillator (WI)

- Function  $e^{x \cdot \cos(\omega_0 t)}$  can be developed in a Fourier series given by

$$e^{x \cdot \cos(\omega_0 t)} = \mathbb{I}_{B0}(x) + 2 \cdot \sum_{n=1}^{+\infty} \mathbb{I}_{Bn}(x) \cdot \cos(n\omega_0 t)$$

where  $\mathbb{I}_{B0}(x)$  and  $\mathbb{I}_{Bn}(x)$  are the modified Bessel functions of the first kind of order 0 and  $n$

- The drain current is then given by

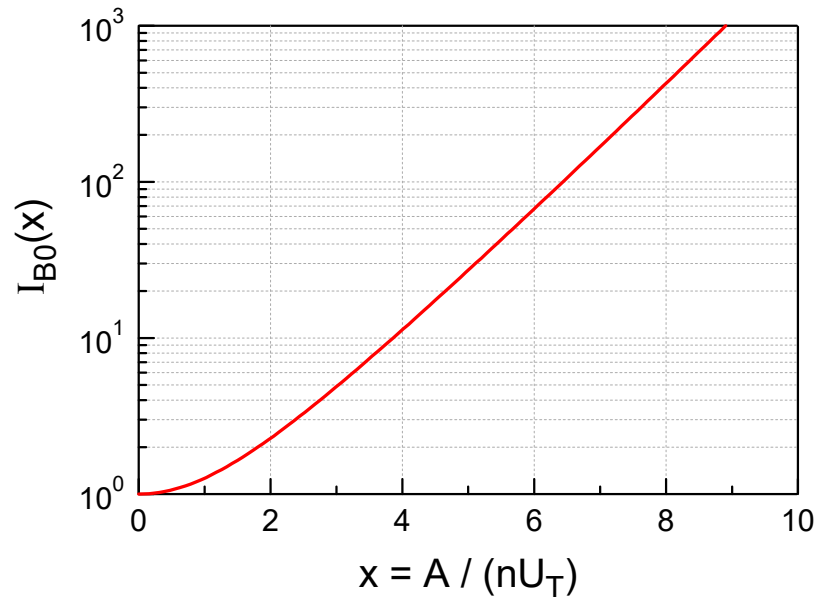
$$I_D(t) = I_0 \cdot e^{x \cdot \cos(\omega_0 t)} = I_{dc} + 2I_0 \cdot \sum_{n=1}^{+\infty} \mathbb{I}_{Bn}(x) \cdot \cos(n\omega_0 t)$$

where  $I_{dc}$  is the average current (dc current) given by

$$I_{dc} = I_0 \cdot \mathbb{I}_{B0}(x)$$

- Notice that in the case of the 3-points oscillators, the dc current  $I_{dc}$  is set by a constant bias current  $I_b$ , whereas  $I_0$  is the quiescent current defined as the current that flows when there are no oscillations (or their amplitude is zero  $x = 0$ )

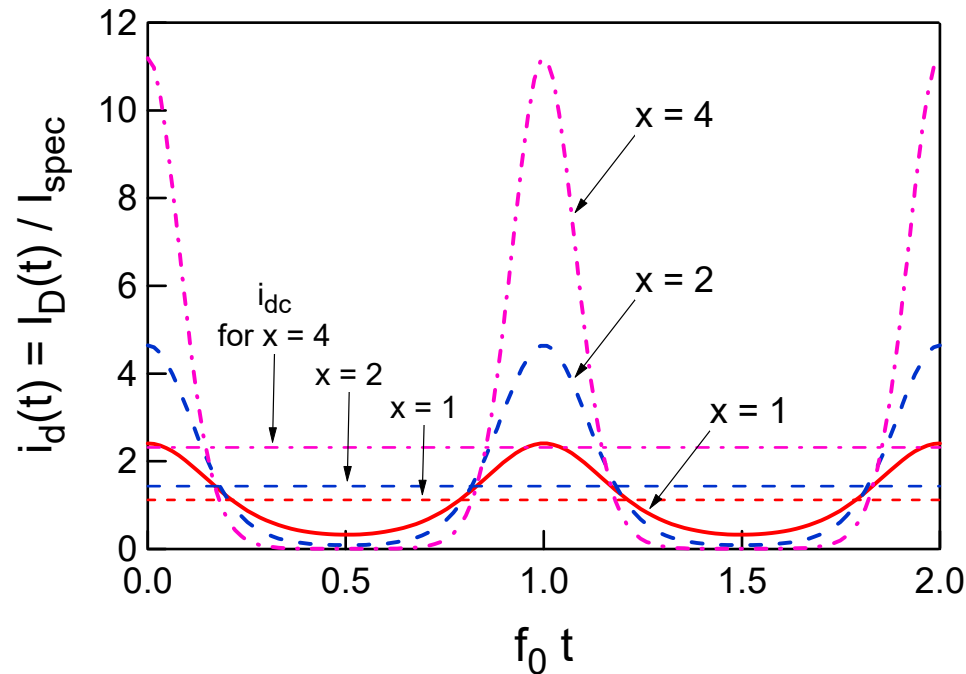
# Quiescent Current $I_0$ and Voltage $V_{G0}$



$$I_{dc} = I_0 \cdot I_{B0}(x)$$

- The average of  $e^{x \cdot \cos(\omega_0 t)}$  is given by  $I_{B0}(x)$  which increases exponentially
- For the 3-points oscillators, the dc current  $I_{dc}$  is maintained constant and equal to  $I_b$
- The current  $I_0$  and hence the gate bias voltage  $V_{G0}$  need therefore to decrease in order to compensate for the increase in  $I_{B0}(x)$  and maintain the dc current equal to  $I_b$
- There is therefore a relation between the oscillation amplitude and the dc bias which will be derived later

# Drain Current Waveforms

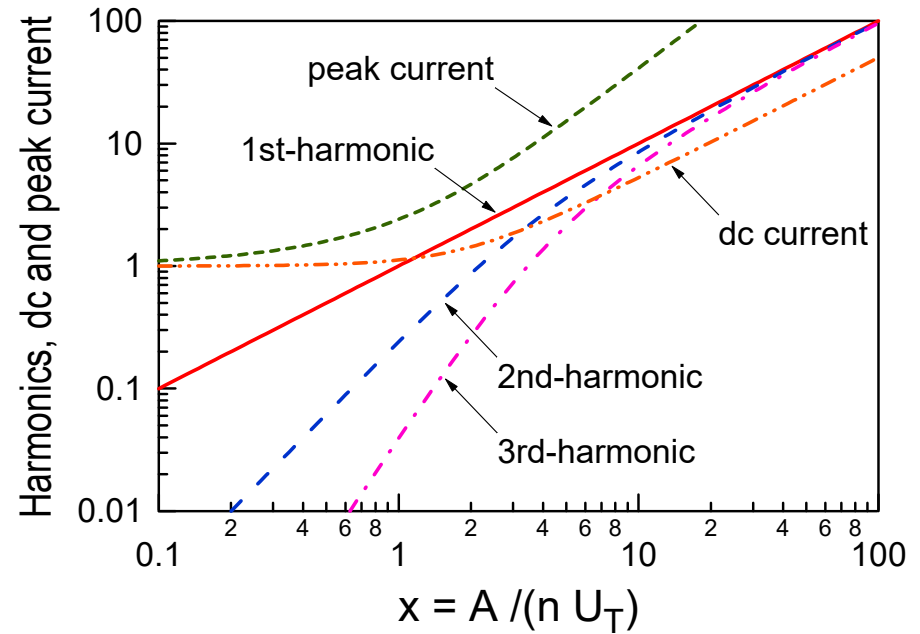
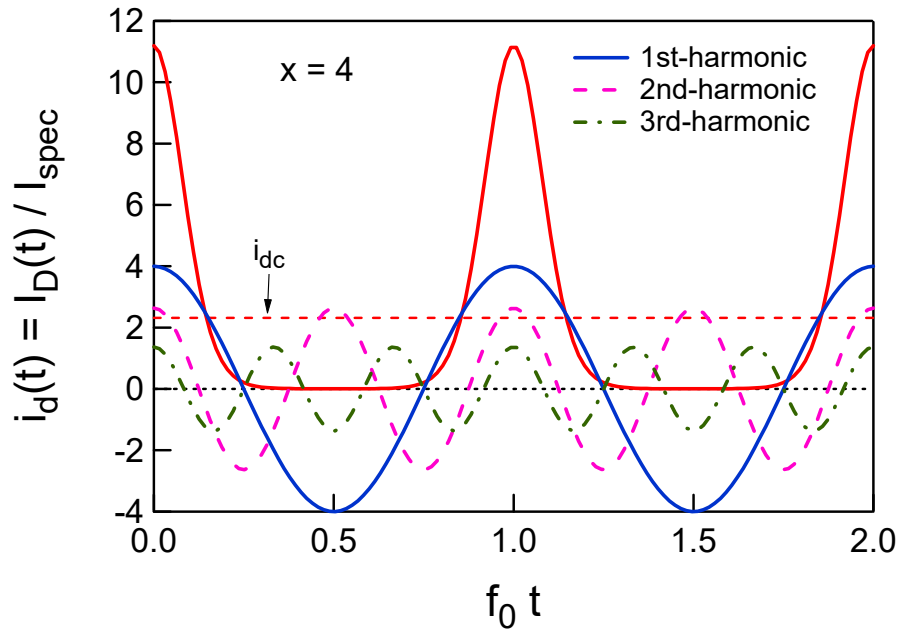


- The above plot shows the drain current normalized to  $I_{spec}$  for several oscillation amplitudes and accounting for the dependence of  $I_0$  and  $I_{dc}$  ( $I_b$ ) on  $x$

$$i_d(t) \triangleq \frac{I_D(t)}{I_{spec}} = i_0(x) \cdot e^{x \cdot \cos(\omega_0 t)} = i_{dc}(x) + 2i_0(x) \cdot \sum_{n=1}^{+\infty} \mathbb{I}_{Bn}(x) \cdot \cos(n\omega_0 t)$$

$$\text{with } i_0(x) \triangleq \frac{I_0}{I_{spec}} = \frac{x}{2\mathbb{I}_{B1}(x)} \quad \text{and} \quad i_{dc}(x) \triangleq \frac{I_b}{I_{spec}} = i_0(x) \cdot \mathbb{I}_{B0}(x)$$

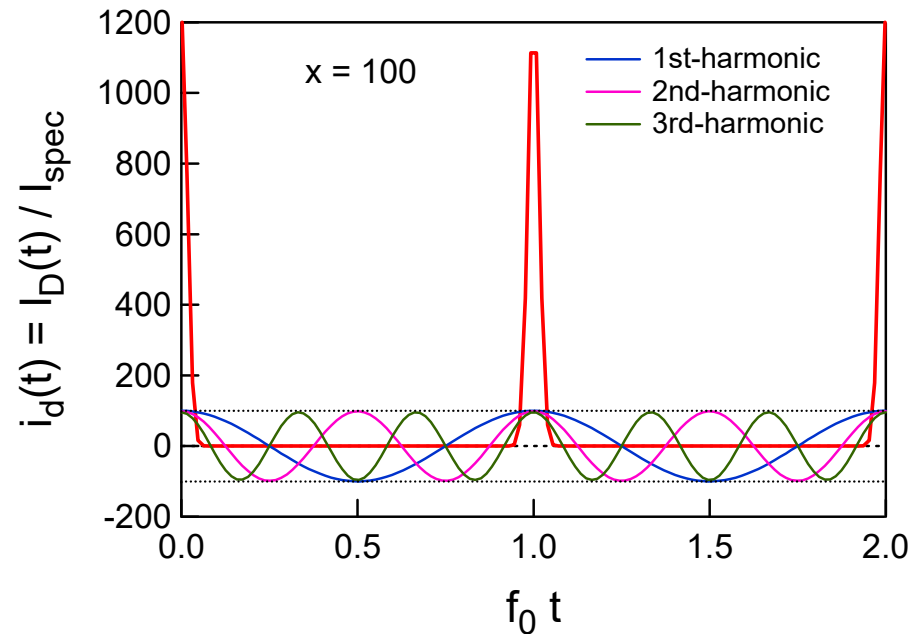
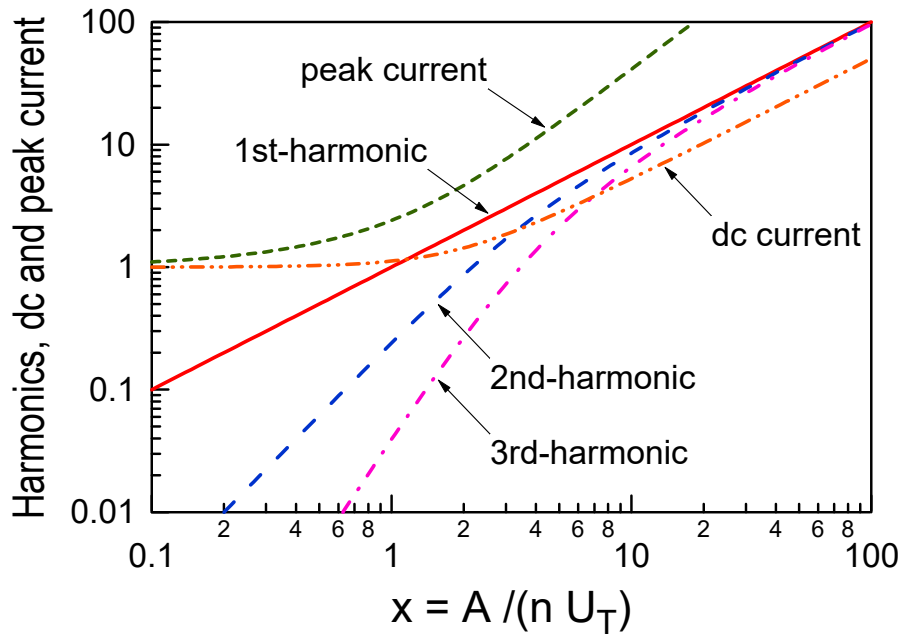
# Drain Current Harmonics



DC current: 
$$i_{dc}(x) \triangleq \frac{I_b(x)}{I_{spec}} = i_0(x) \cdot I_{B0}(x) \quad \text{with} \quad i_0(x) \triangleq \frac{I_0}{I_{spec}} = \frac{x}{2I_{B1}(x)}$$

$n^{\text{th}}$ -harmonic: 
$$i_{d(n)} \triangleq \frac{I_{D(n)}}{I_{spec}} = 2i_0(x) \cdot I_{Bn}(x) \quad \text{with} \quad i_{d(1)} = \frac{2x}{2I_{B1}(x)} \cdot I_{B1}(x) = x$$

# Harmonics for Large Amplitudes



- It is interesting to note that for large values of  $x$ , all harmonics tend to the same value, since

$$I_{Bn}(x) \cong \frac{e^x}{\sqrt{2\pi x}} \quad \text{for } x \gg 1 \quad \rightarrow \quad i_{d(n)} = 2i_0(x) \cdot I_{Bn}(x) = x \cdot \frac{I_{Bn}(x)}{I_{B1}(x)} \cong x \quad \text{for } x \gg 1$$



# Equivalent Impedance for the Fundamental Component

- The active element is usually nonlinear and generates harmonic components in the drain current
- The latter are filtered out by the resonator even though the current across it can be strongly distorted
- The energy exchange between the active element and the resonator occurs therefore mostly at the fundamental frequency
- The active circuit can therefore be replaced by the impedance for the fundamental defined as

$$Z_{c(1)} = -\frac{V}{I_{(1)}}$$

where  $I_{(1)}$  is the complex current at the fundamental frequency which depends on the amplitude of the sinusoidal voltage  $V$

# Transconductance for the Fundamental Component

- At low frequency the variation of the fundamental component of the drain current  $\Delta I_{D(1)}(t)$  and of the gate voltage  $\Delta V_G(t)$  are in-phase
- The small-signal transconductance can be replaced by the transconductance for the fundamental  $G_{m(1)}$  given by

$$G_{m(1)} = \frac{\Delta I_{D(1)}}{A} = \frac{2I_0 \mathfrak{I}_{B1}(x)}{A} = \frac{I_0}{nU_T} \cdot \frac{2 \mathfrak{I}_{B1}(x)}{x} \quad \text{where} \quad x \triangleq \frac{A}{nU_T}$$

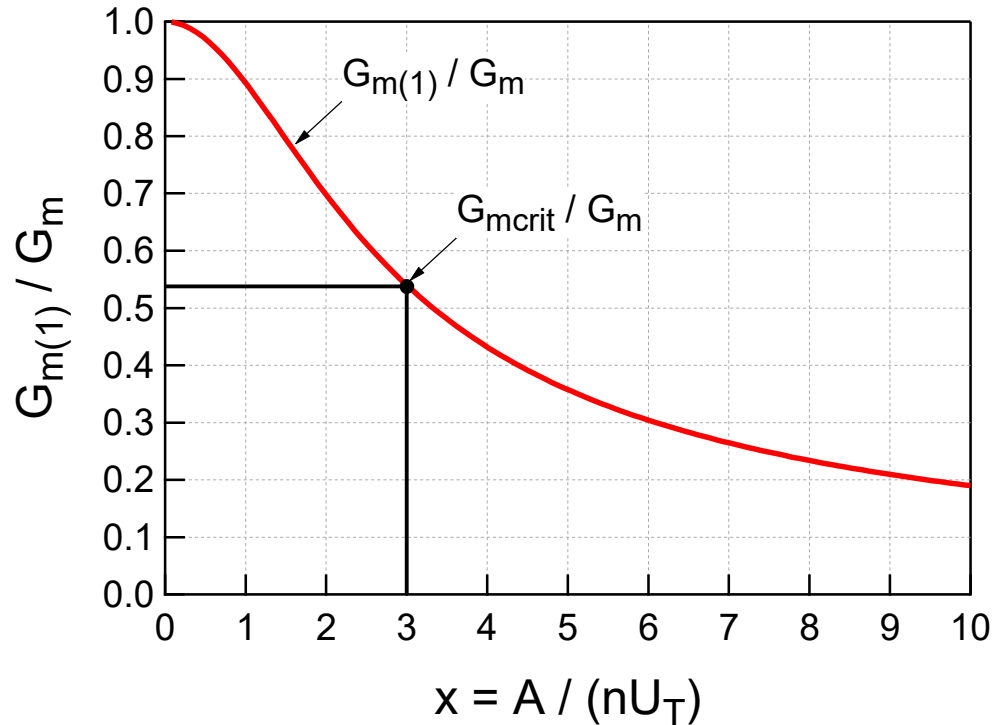
- The transconductance for the fundamental can be rewritten by introducing the dc current  $I_b$

$$I_b = I_0 \cdot \mathfrak{I}_{B0}(x) \quad \rightarrow \quad I_0 = \frac{I_b}{\mathfrak{I}_{B0}(x)} \quad \rightarrow \quad G_{m(1)} = \frac{I_b}{nU_T} \cdot \frac{2 \mathfrak{I}_{B1}(x)}{x \cdot \mathfrak{I}_{B0}(x)} = G_m \cdot \frac{2 \mathfrak{I}_{B1}(x)}{x \cdot \mathfrak{I}_{B0}(x)}$$

where  $G_m = I_b / (nU_T)$  is the small-signal transconductance set by the bias current  $I_b$

$$G_m = \frac{I_{dc}}{nU_T} = \frac{I_b}{nU_T}$$

# Transconductance for the Fundamental Component



$$\frac{G_{m(1)}}{G_m} = \frac{2I_{B1}(x)}{x \cdot I_{B0}(x)}$$

$$\text{with } G_m = \frac{I_b}{nU_T}$$

$$\text{and } x \triangleq \frac{A}{nU_T}$$

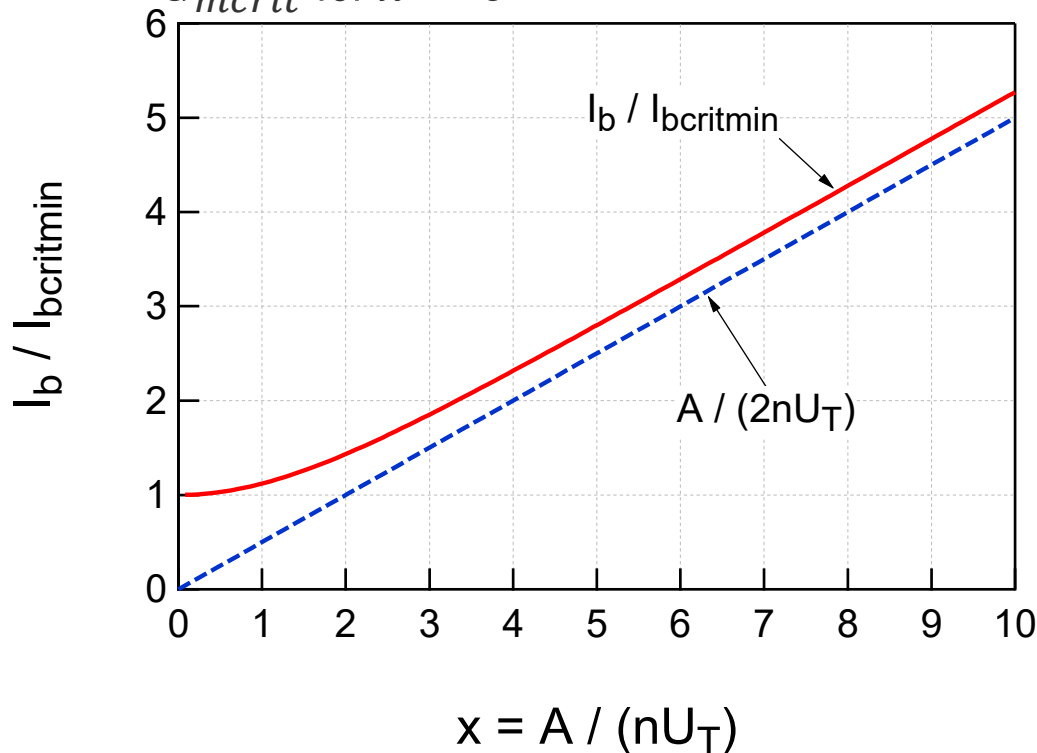
- The above plot shows the transconductance for the fundamental normalized to the small-signal transconductance versus the normalized oscillation amplitude
- The amplitude will stabilize for  $G_{m(1)} = G_{m\text{crit}}$  which is the condition that finally determines the oscillation amplitude

# Bias Current versus Amplitude

- In weak inversion the condition  $G_{m(1)} = G_{m\text{crit}}$  translates into

$$G_{m(1)} = G_{m\text{crit}} \rightarrow \frac{I_b}{nU_T} \cdot \frac{2I_{B1}(x)}{x \cdot I_{B0}(x)} = \frac{I_{b\text{critmin}}}{nU_T} \rightarrow \frac{I_b}{I_{b\text{critmin}}} = \frac{x \cdot I_{B0}(x)}{2I_{B1}(x)}$$

- $I_{b\text{critmin}} \triangleq nU_T \cdot G_{m\text{crit}}$  is the minimum current (reached in WI) to achieve  $G_{m\text{crit}}$  for  $x = 0$



- Since for  $x \gg 1$

$$I_{Bn}(x) \cong \frac{e^x}{\sqrt{2\pi x}} \quad \text{for } x \gg 1$$

- we have

$$\frac{I_b}{I_{b\text{critmin}}} = \frac{x \cdot I_{B0}(x)}{2I_{B1}(x)} \cong \frac{x}{2} \quad \text{for } x \gg 1$$

- or

$$I_b \cong I_{b\text{critmin}} \cdot \frac{A}{2nU_T} = \frac{G_{m\text{crit}}}{2} \cdot A$$

for  $A \gg nU_T$

## DC Gate Voltage Bias Shift

- In case the bias current is set to the quiescent current  $I_b = I_0$ , by definition of  $I_0$ , the oscillation amplitude is zero ( $x = 0$ )

$$x = 0 \rightarrow I_b = I_0 \cdot \mathbb{I}_{B0}(x = 0) = I_0 = I_{D0} \cdot e^{\frac{V_{G0}}{nU_T}} = I_{spec} \cdot e^{\frac{V_{G0} - V_{T0}}{nU_T}}$$

- For  $I_b > I_0$ , oscillations will start to grow until the condition  $G_{m(1)} = G_{m_{crit}}$  is reached, at which the oscillations will stabilize with an amplitude set by  $I_b / I_{bcritmin}$
- As shown in the previous plot, the dc drain current would increase wrt  $x$ , but it is actually constant and set to  $I_b$  by the current source. Since the current cannot grow when the oscillations are growing, the dc gate voltage has to adjust so that  $I_{dc} = I_b$
- $V_{G0}$  and  $I_0$  therefore decrease compared to the condition  $V_{G0} = V_{G_{crit}}$  and  $I_0 = I_b = I_{bcritmin}$  for which  $x = 0$
- The quiescent voltage  $V_{G0}$  and the quiescent current  $I_0$  are therefore indirectly also functions of the oscillation amplitude and hence of the  $I_b / I_{bcrit}$  ratio

## DC Gate Voltage Bias Shift

- For a given bias current  $I_b$  and minimum critical bias current  $I_{bcritmin}$ , the relation between the quiescent current  $I_0$  and the oscillation amplitude  $x$  can be found from the oscillation condition

$$G_{m(1)} = G_{mcrit} \rightarrow \frac{I_b}{I_{bcritmin}} = \frac{I_0 \cdot \mathfrak{I}_{B0}(x)}{I_{bcritmin}} = \frac{x \cdot \mathfrak{I}_{B0}(x)}{2 \mathfrak{I}_{B1}(x)} \rightarrow \frac{I_0}{I_{bcritmin}} = \frac{x}{2 \mathfrak{I}_{B1}(x)}$$

- Introducing the definition of the quiescent current  $I_0$ , we get

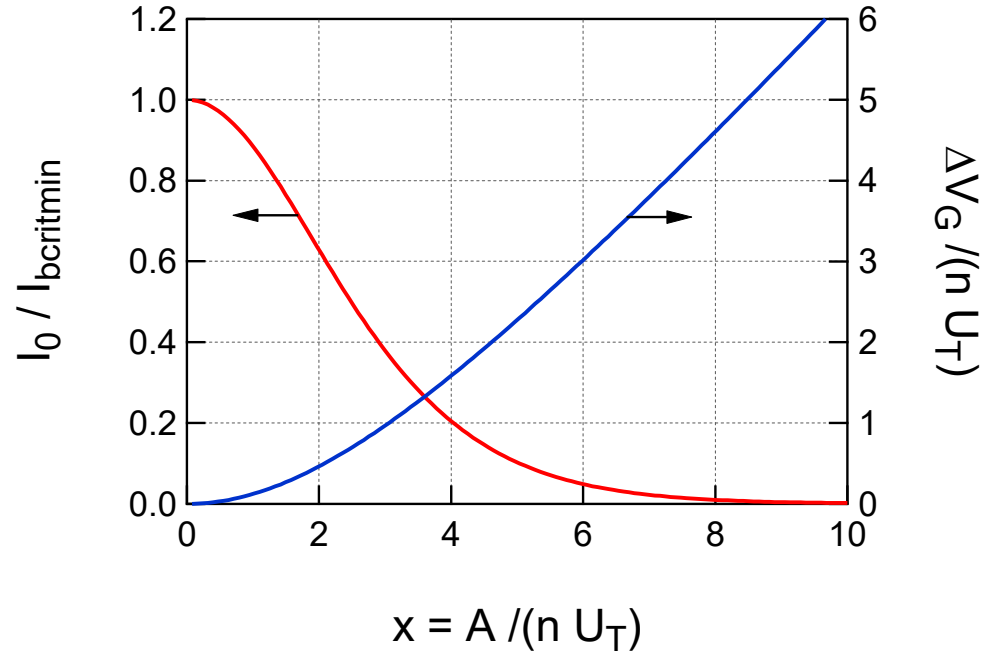
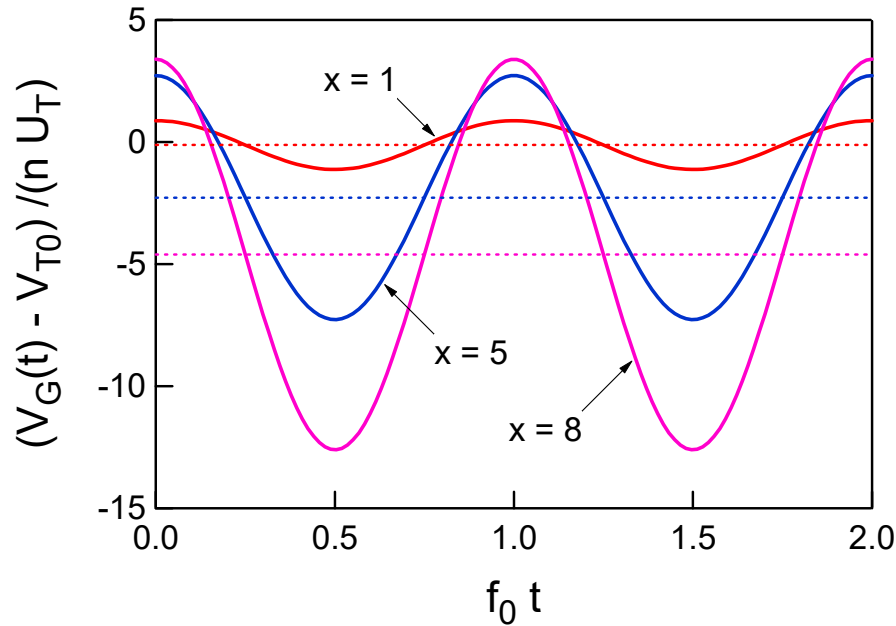
$$\frac{I_0}{I_{bcritmin}} = \frac{I_{spec}}{I_{bcritmin}} \cdot e^{\frac{V_{G0} - V_{T0}}{nU_T}} \rightarrow e^{\frac{V_{G0} - V_{T0}}{nU_T}} = \frac{I_{bcritmin}}{I_{spec}} \cdot \frac{x}{2 \mathfrak{I}_{B1}(x)}$$

- We see that for a given  $I_{bcritmin}$  and bias current  $I_b$ , as the amplitude grows, at the same time the overdrive voltage decreases according to

$$\frac{V_{G0} - V_{T0}}{nU_T} = \ln\left(\frac{I_0}{I_{spec}}\right) = \underbrace{\ln\left(\frac{I_{bcritmin}}{I_{spec}}\right)}_{\triangleq \frac{V_{Gcrit} - V_{T0}}{nU_T}} - \underbrace{\ln\left(\frac{2 \mathfrak{I}_{B1}(x)}{x}\right)}_{\triangleq \frac{\Delta V_G(x)}{nU_T}} = \frac{V_{Gcritmin} - V_{T0}}{nU_T} - \frac{\Delta V_G(x)}{nU_T}$$

where  $V_{Gcritmin}$  is the gate voltage for a bias current  $I_b = I_{bcritmin}$ , i.e.  $x = 0$

# DC Gate Bias Voltage Shift



- As mentioned earlier, the gate bias has to decrease when the oscillations are growing to maintain the dc drain current equal to the bias current

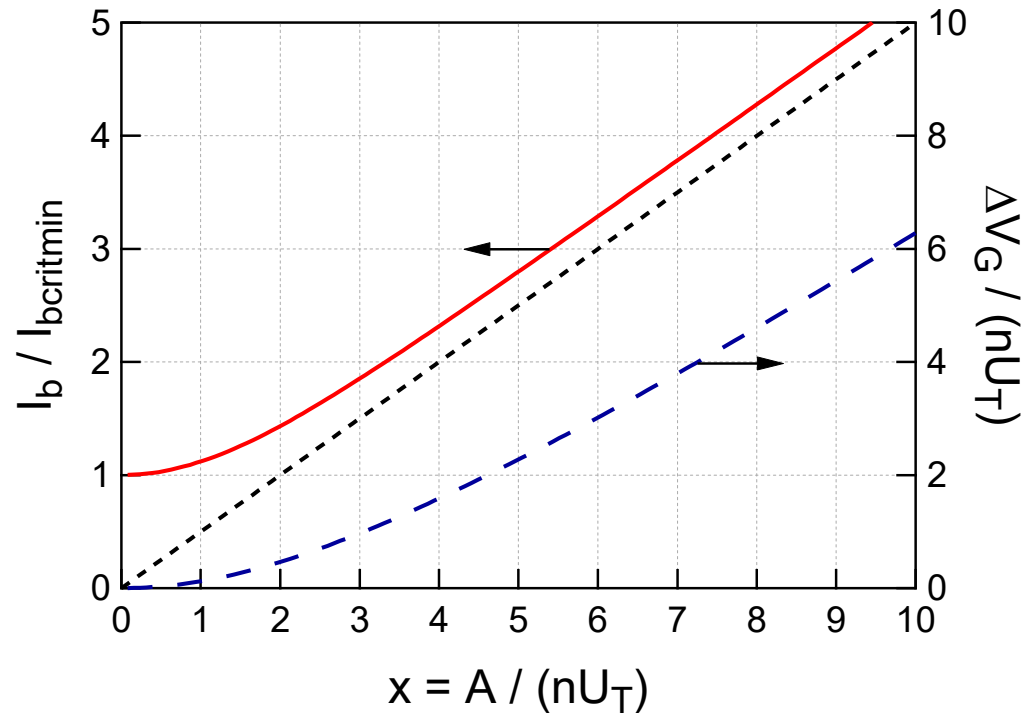
$$\frac{V_G(t) - V_{T0}}{n U_T} = \frac{V_{G0}(x) - V_{T0}}{n U_T} + x \cdot \cos(\omega_0 \cdot t)$$

$$\text{with } \frac{V_{G0}(x) - V_{T0}}{n U_T} = \ln\left(\frac{I_{bcritmin}}{I_{spec}}\right) - \ln\left(\frac{2 I_{B1}(x)}{x}\right)$$

$$\frac{V_{G0} - V_{T0}}{n U_T} = \frac{V_{Gcritmin} - V_{T0}}{n U_T} - \frac{\Delta V_G(x)}{n U_T}$$

$$\text{with } \frac{\Delta V_G(x)}{n U_T} \triangleq \ln\left(\frac{2 I_{B1}(x)}{x}\right)$$

# Amplitude and Gate Voltage Bias Shift vs Bias Current

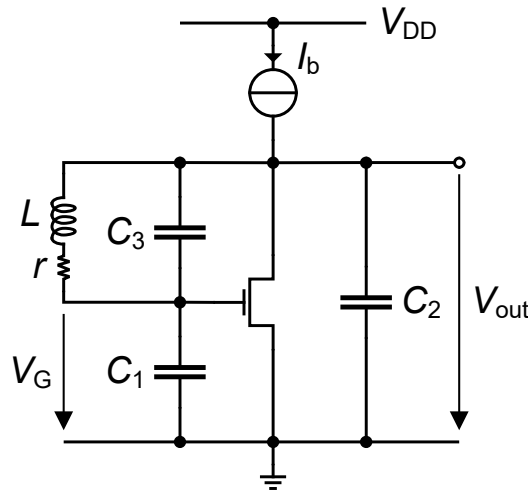


- For a given resonator and hence a given  $I_{bcritmin}$ , this plot shows the bias current  $I_b$  that is required for achieving a given amplitude  $A$  and the resulting gate bias shift decrease

$$\frac{I_b}{I_{bcritmin}} = \frac{x \cdot I_{B0}(x)}{2 I_{B1}(x)} \quad \text{and} \quad \frac{\Delta V_G}{nU_T} = \ln\left(\frac{2 I_{B1}(x)}{x}\right)$$



## Example – The Pierce Oscillator



$$f_0 = 1 \text{ GHz}, Q_L = 10, C_1 = C_2 = 1 \text{ pF}, C_3 = 1 \text{ pF}$$

$$\omega_0 \cong \frac{1}{\sqrt{L(C_3 + C_{12})}} \Rightarrow L \cong \frac{1}{\omega_0^2 (C_3 + C_{12})} = 16.9 \text{ nH}$$

$$r = \frac{\omega_0 L}{Q_L} = 10.6 \Omega$$

$$G_{m_{crit}} \cong \frac{\omega_0}{Q_L} (C_1 + C_2) \left( 1 + \frac{C_3}{C_{12}} \right) = 3.8 \frac{\text{mA}}{\text{V}}$$

- Since the inductance  $Q_L$  is not very high, the above approximation is not very accurate. The exact solution is then given by

$$G_{m_{crit}} = \omega_0 C_2 \frac{\alpha_1 Q_L}{2\alpha_3} \left[ 1 - \sqrt{1 - \left( \frac{2\alpha_3}{\alpha_1 Q_L} \right)^2 (\alpha_1 + 1) \left( 1 + \alpha_1 + \frac{\alpha_1}{\alpha_3} \right)} \right] = 4 \frac{\text{mA}}{\text{V}}$$

- The inductance value is then found from

$$L = \frac{X_c(\omega_0, G_{m_{crit}})}{\omega_0}$$

- This leads to  $L = 17.256 \text{ nH}$  and  $r = 10.8 \Omega$

## Pierce Oscillator Example – Bias Current in WI

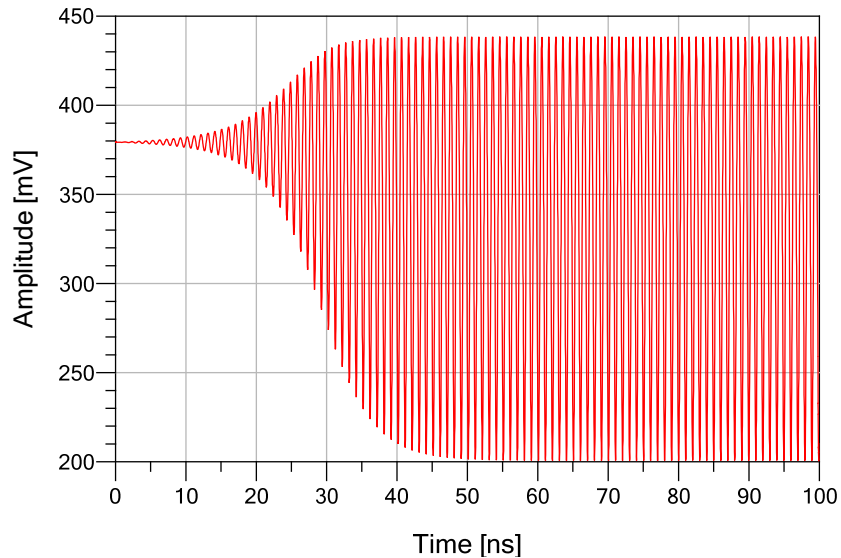
- If we assume that the transistor operates in weak inversion (with  $n = 1.3$ ), the critical current is given by

$$I_{bcritmin} = G_{mcrit} \cdot nU_T \cong 132 \mu A$$

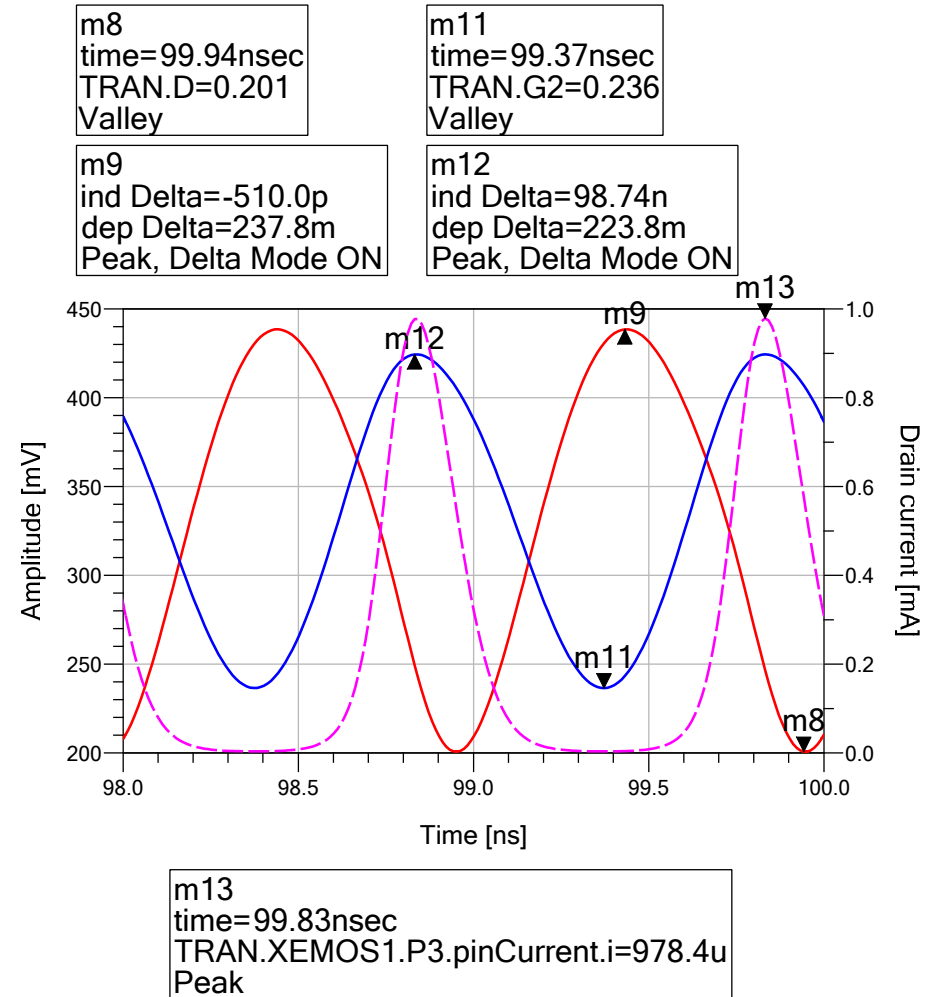
- Setting the oscillation amplitude to  $A = 100 \text{ mV}$ , we get

$$x = \frac{A}{nU_T} = 3 \quad \Rightarrow \quad \frac{x \cdot I_{B0}(x)}{2 I_{B1}(x)} = 1.87 \quad \Rightarrow \quad I_b = I_{bcritmin} \cdot 1.87 = 247.7 \mu A$$

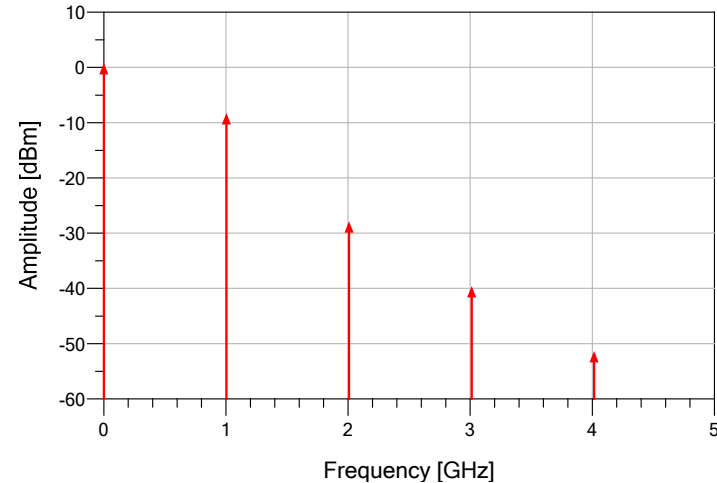
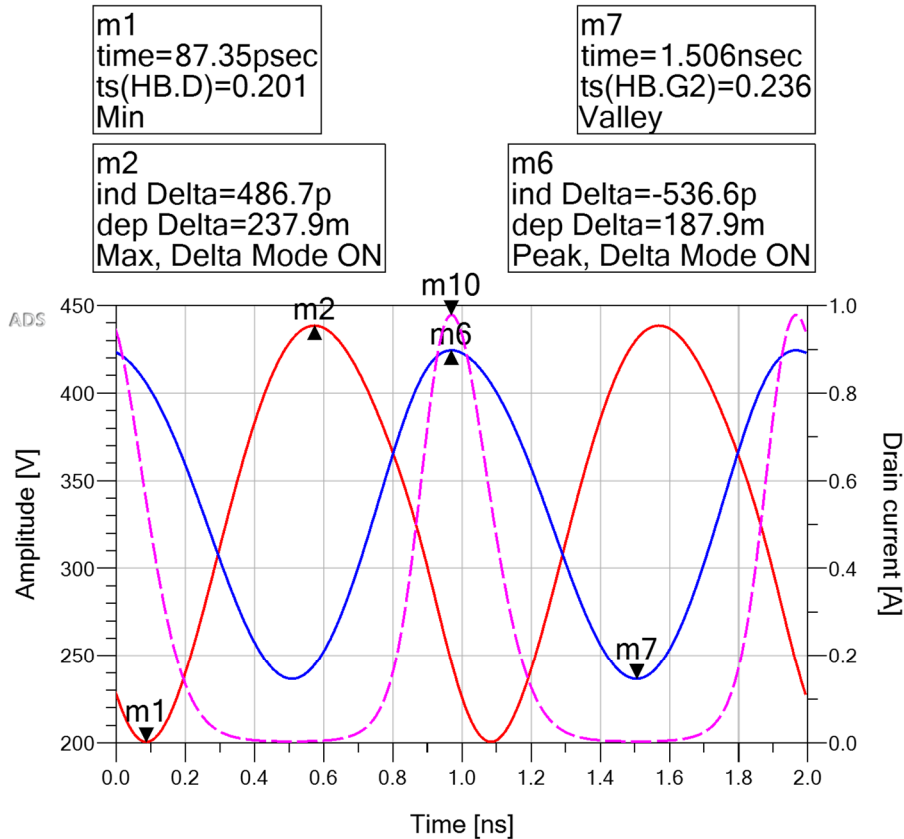
# Pierce Oscillator Example in WI – Transient Simulations



- Transient simulations performed with an ideal exponential transconductor
- The amplitude is slightly larger than 100mV (119 mV). This comes from the fact that  $Q_L$  is not that large generating harmonics which is in contradiction with the assumption of a sinusoidal gate voltage
- The above theory is based on the fundamental component only assuming a large  $Q$  and hence that the harmonics are negligible



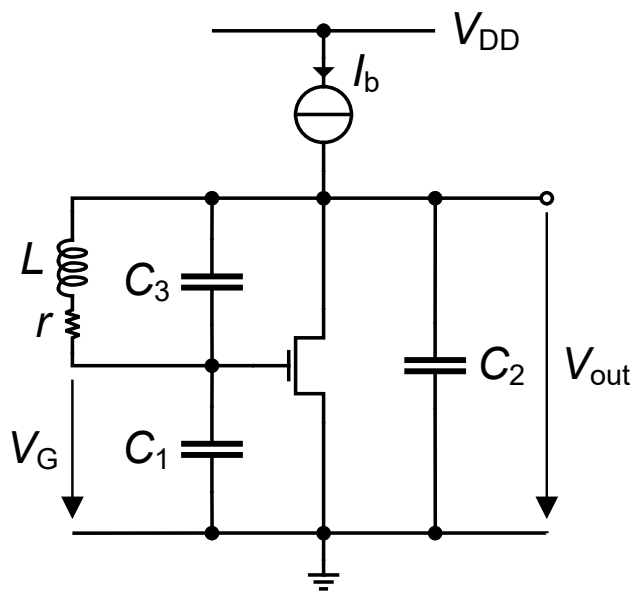
# Pierce Oscillator Example in WI – HB Simulations



- Harmonic balance SS simulations performed with an ideal exponential transconductor
- Consistent with transient simulations
- The amplitude is slightly larger than 100mV (119 mV)

# Pierce Oscillator in Strong Inversion

- The same analysis can be carried out assuming the transistor is operating in **strong inversion**
- It can be handled analytically as long as the oscillation amplitude  $A$  is assumed to be smaller than the overdrive voltage  $V_G - V_{T0}$  in order for the current to remain positive **avoiding any current clipping**
- In this case the gate voltage and the drain current are given by



$$V_G(t) = V_{G0} + A \cdot \cos(\omega_0 t)$$

$$I_D(t) = I_{spec} \cdot \left( \frac{V_G(t) - V_{T0}}{2nU_T} \right)^2 = I_{spec} \cdot \left( \frac{V_{G0} - V_{T0} + A \cdot \cos(\omega_0 t)}{2nU_T} \right)^2$$

$$= \frac{I_{spec}}{4} \cdot \left( v_{gt0} + x \cdot \cos(\omega_0 t) \right)^2 \quad \text{for } x \leq v_{gt0}$$

$$\text{with } I_{spec} \triangleq 2n\beta U_T^2, \quad v_{gt0} \triangleq \frac{V_{G0} - V_{T0}}{nU_T}, \quad x \triangleq \frac{A}{nU_T}$$

## Pierce Oscillator in Strong Inversion

- Normalizing and developing the quadratic function leads to

$$\begin{aligned}
 i_d(t) &= \frac{I_D(t)}{I_{spec}} = \frac{1}{4} \cdot (v_{gt0} + x \cdot \cos(\omega_0 t))^2 = \frac{1}{4} \cdot (v_{gt0}^2 + 2v_{gt0} \cdot x \cdot \cos(\omega_0 t) + x^2 \cdot \cos^2(\omega_0 t)) \\
 &= \frac{1}{4} \cdot \underbrace{\left( v_{gt0}^2 + \frac{x^2}{2} \right)}_{i_{dc}} + \underbrace{\frac{v_{gt0} \cdot x}{2}}_{i_{d(1)}} \cdot \cos(\omega_0 t) + \frac{x^2}{8} \cdot \cos(2\omega_0 t)
 \end{aligned}$$

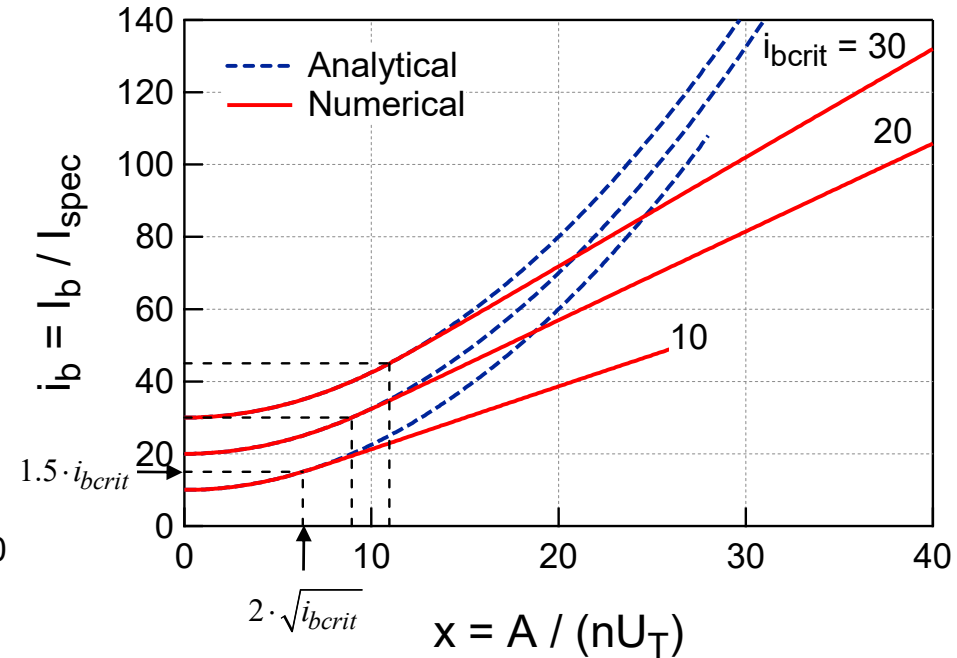
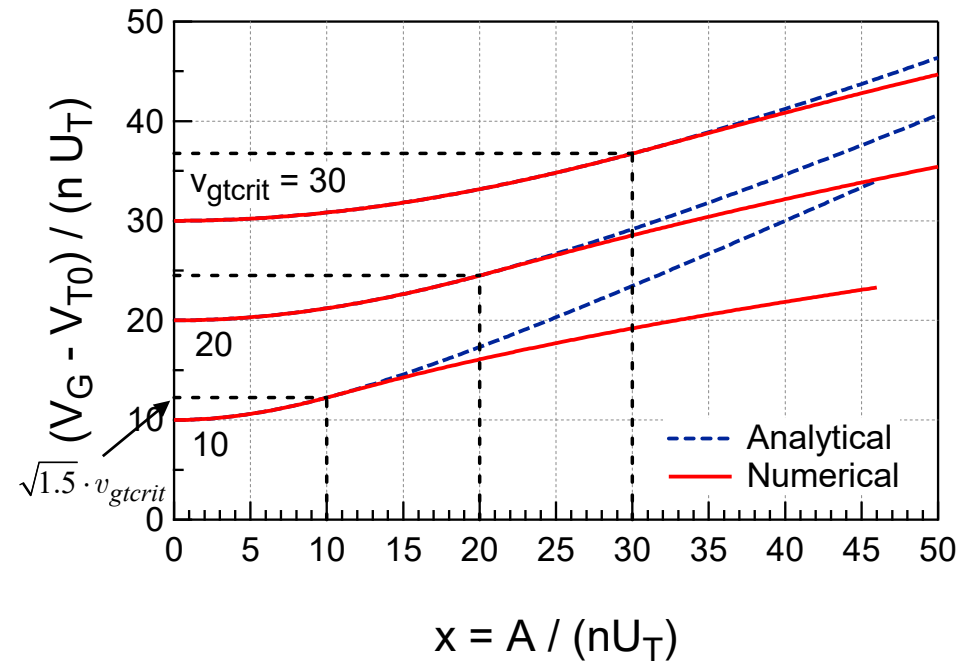
- The normalized **dc current** for  $x < v_{gt0}$  is given by

$$i_{dc} \triangleq \frac{I_{dc}}{I_{spec}} = \frac{I_b}{I_{spec}} = \frac{1}{4} \cdot \left( v_{gt0}^2 + \frac{x^2}{2} \right) = i_0 + \frac{x^2}{8} \quad \text{with} \quad i_0 \triangleq \frac{I_0}{I_{spec}} = \left( \frac{v_{gt0}}{2} \right)^2$$

- Where  $i_0$  is the dc current for zero amplitude

$$i_0 \triangleq \frac{I_0}{I_{spec}} = \left( \frac{v_{gt0}}{2} \right)^2 \quad \text{with} \quad I_0 \triangleq I_D|_{x=0} = I_{spec} \cdot \left( \frac{V_{G0} - V_{T0}}{2nU_T} \right)^2 = I_{spec} \cdot \left( \frac{v_{gt0}}{2} \right)^2$$

# Bias Voltage and Current



- The required normalized bias overdrive voltage  $v_{gt}$  (normalized bias current  $i_b$  or inversion factor) for a given critical overdrive voltage  $v_{gtcrit}$  (critical current  $i_{bcrit}$ ) assuming  $x < v_{gtcrit}$  is given by

$$v_{gt} \triangleq \frac{V_G - V_{T0}}{nU_T} = \sqrt{v_{gtcrit}^2 + \frac{x^2}{2}} \quad \text{or} \quad i_b \triangleq \frac{I_b}{I_{spec}} = \left( \frac{v_{gt}}{2} \right)^2 = i_{bcrit} + \frac{x^2}{8}$$

# Transconductance for the Fundamental

- The fundamental component for  $x < v_{gt0}$  is given by

$$I_{D(1)} = I_{spec} \cdot \frac{v_{gt0}}{2} \cdot x \quad \text{for } x \leq v_{gt0}$$

- The transconductance for the fundamental component is then given by

$$G_{m(1)} = \frac{I_{D(1)}}{A} = \frac{I_{spec}}{A} \cdot \frac{v_{gt0}}{2} \cdot x = \frac{I_{spec}}{nU_T} \cdot \frac{v_{gt0}}{2} = \frac{I_{spec}}{nU_T} \cdot \sqrt{i_0} \quad \text{for } x \leq v_{gt0}$$

- From the dc constraint, we get the relation between  $i_0$  and  $v_{gt0}$  which should both decrease with the amplitude  $x$

$$i_b = \left(\frac{v_{gt}}{2}\right)^2 = \left(\frac{v_{gt0}}{2}\right)^2 + \frac{x^2}{8} = i_0 + \frac{x^2}{8} \quad \rightarrow \quad i_0 = i_b - \frac{x^2}{8} \quad \text{or} \quad v_{gt0} = \sqrt{v_{gt}^2 - \frac{x^2}{2}}$$

- The transconductance for the fundamental then becomes

$$G_{m(1)} = \frac{I_{spec}}{nU_T} \cdot \sqrt{i_b - \frac{x^2}{8}} = \frac{I_{spec}}{nU_T} \cdot \sqrt{\left(\frac{v_{gt}}{2}\right)^2 - \frac{x^2}{8}} = \frac{I_{spec}}{nU_T} \cdot \frac{1}{2} \cdot \sqrt{v_{gt}^2 - \frac{x^2}{2}}$$



# Transconductance for the Fundamental

- The small-signal transconductance is given by

$$G_m = \frac{I_{spec}}{nU_T} \cdot \frac{v_{gt}}{2} = \frac{I_{spec}}{nU_T} \cdot \sqrt{i_b} \quad \text{with} \quad v_{gt} \triangleq \frac{V_G - V_{T0}}{nU_T} \quad \text{and} \quad i_b = \left( \frac{v_{gt}}{2} \right)^2$$

- The transconductance for the fundamental normalized to the small-signal transconductance is then given by

$$\frac{G_{m(1)}}{G_m} = \sqrt{1 - \frac{x^2}{8i_b}} = \sqrt{1 - \frac{1}{2} \left( \frac{x}{v_{gt}} \right)^2} = \sqrt{1 - \frac{1}{2} \left( \frac{A}{V_G - V_{T0}} \right)^2} \quad \text{for} \quad x \leq v_{gt}$$

- The critical condition is then given by

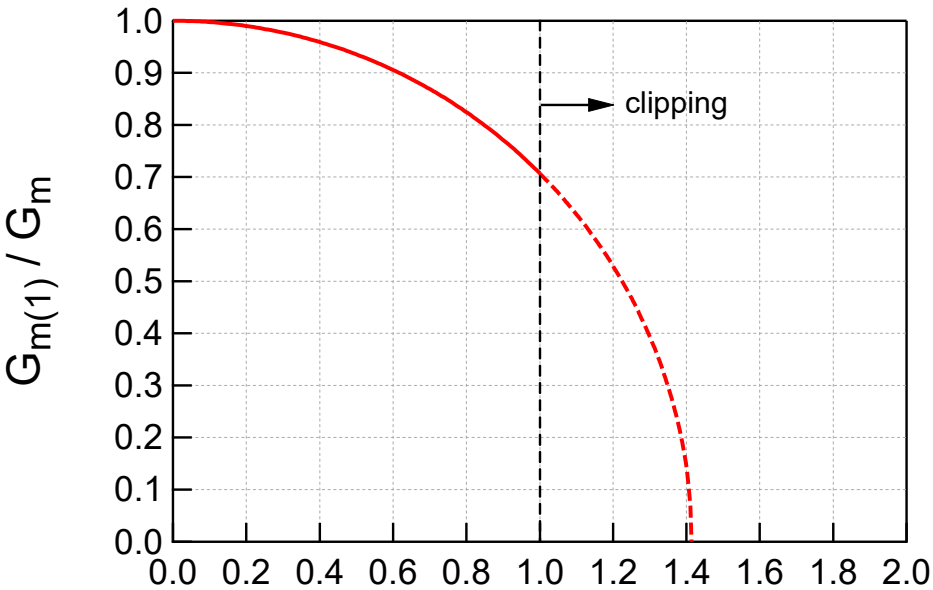
$$G_{m(1)} = G_{m_{crit}} \quad \rightarrow \quad \frac{I_{spec}}{nU_T} \cdot \frac{1}{2} \cdot \sqrt{v_{gt}^2 - \frac{x^2}{2}} = \frac{I_{spec}}{nU_T} \cdot \frac{v_{gt_{crit}}}{2} \quad \rightarrow \quad \sqrt{v_{gt}^2 - \frac{x^2}{2}} = v_{gt_{crit}}$$

or in terms of currents  $\frac{I_{spec}}{nU_T} \cdot \sqrt{i_b - \frac{x^2}{8}} = \frac{I_{spec}}{nU_T} \cdot \sqrt{i_{b_{crit}}} \quad \rightarrow \quad i_b - \frac{x^2}{8} = i_{b_{crit}}$

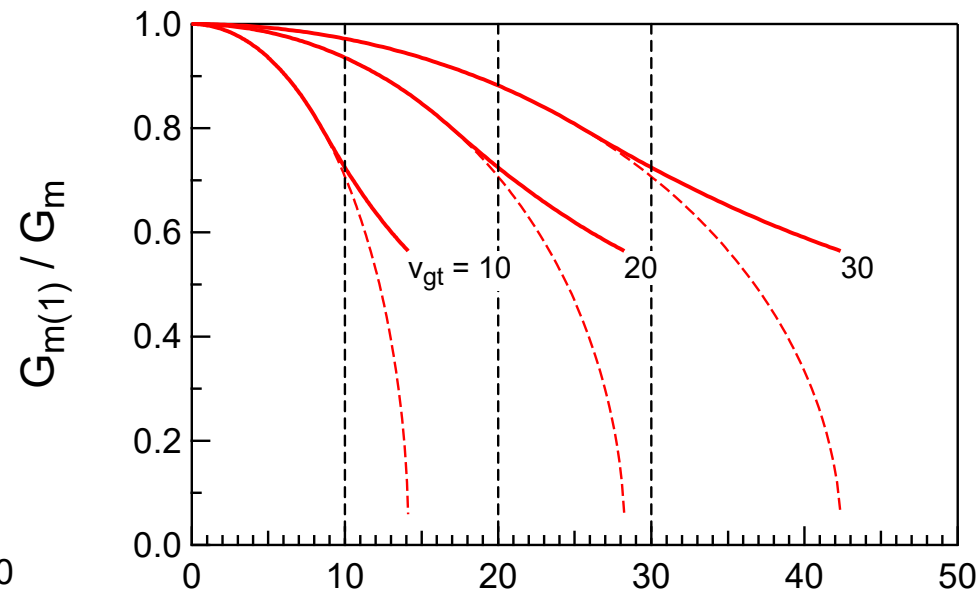
- Since there is no current clipping and no bias shift, it is not surprising to find that

$$v_{gt_{crit}} = v_{gt0} = \sqrt{v_{gt}^2 - \frac{x^2}{2}} \quad \text{and} \quad i_{b_{crit}} = i_0 = i_b - \frac{x^2}{8}$$

# Transconductance for the Fundamental



$$x / v_{gt} = A / (V_G - V_{T0})$$



$$x = A / (n U_T)$$

$$\frac{G_{m(1)}}{G_m} = \sqrt{1 - \frac{x^2}{8i_b}} = \sqrt{1 - \frac{1}{2} \left( \frac{x}{v_{gt}} \right)^2} = \sqrt{1 - \frac{1}{2} \left( \frac{A}{V_G - V_{T0}} \right)^2} \quad \text{for } x \leq v_{gt}$$

$$\text{with } v_{gt} \triangleq \frac{V_G - V_{T0}}{n U_T} \quad \text{and} \quad x \triangleq \frac{A}{n U_T}$$

## Pierce Oscillator Example – Bias in SI

- If we assume the transistor operates in strong inversion (with  $n = 1.3$ ) and choose the operating overdrive voltage as  $V_G - V_{T0} = 300 \text{ mV}$  and the oscillation amplitude as  $A = 200 \text{ mV}$ , we can then calculate the normalized amplitude as

$$v_{gt} \triangleq \frac{V_G - V_{T0}}{nU_T} = 9.12 \quad i_b \triangleq \frac{I_b}{I_{spec}} = \left( \frac{v_{gt}}{2} \right)^2 = 20.8 \quad x \triangleq \frac{A}{nU_T} = 6.08$$

- The critical overdrive and critical current can then be calculated as

$$v_{gtcrit} = 2\sqrt{i_{bcrit}} = \sqrt{v_{gt}^2 - \frac{x^2}{2}} = 8.045 \quad \text{or} \quad i_{bcrit} = \left( \frac{v_{gtcrit}}{2} \right)^2 = i_b - \frac{x^2}{8} = 16.18$$

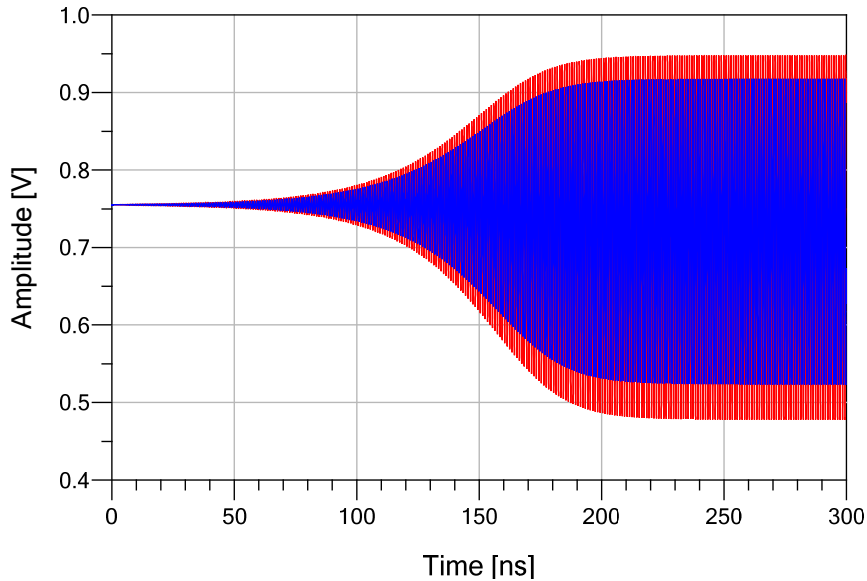
- The specific current is then obtained as

$$I_{spec} = \frac{2nU_T \cdot G_{mcrit}}{v_{gtcrit}} = \frac{nU_T \cdot G_{mcrit}}{\sqrt{i_{bcrit}}} = 32.9 \mu\text{A} \quad \rightarrow \quad \frac{\beta}{2n} = \frac{I_b}{(V_G - V_{T0})^2}$$

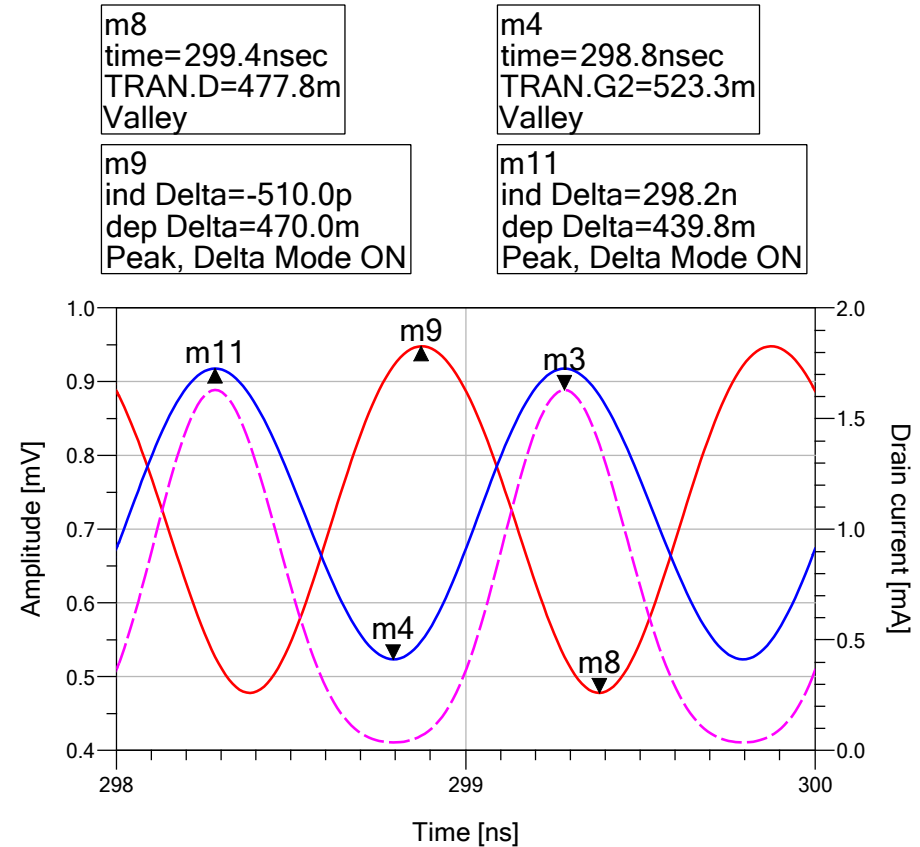
- The critical current and the required bias current are given by

$$I_{crit} = I_{spec} \cdot i_{bcrit} = 532.875 \mu\text{A} \quad I_b = I_{spec} \cdot i_b = I_{spec} \cdot \left( \frac{v_{gt}}{2} \right)^2 = 685.125 \mu\text{A}$$

# Pierce Oscillator Example in SI – Transient Simulations



- Transient simulations performed with an ideal quadratic transconductor
- The amplitude at the drain (235 mV) and at the gate (220 mV) are slightly higher than 200mV
- The above theory is based on the fundamental component only assuming a large Q and hence that the harmonics are negligible



m8  
time=299.4nsec  
TRAN.D=477.8m  
Valley

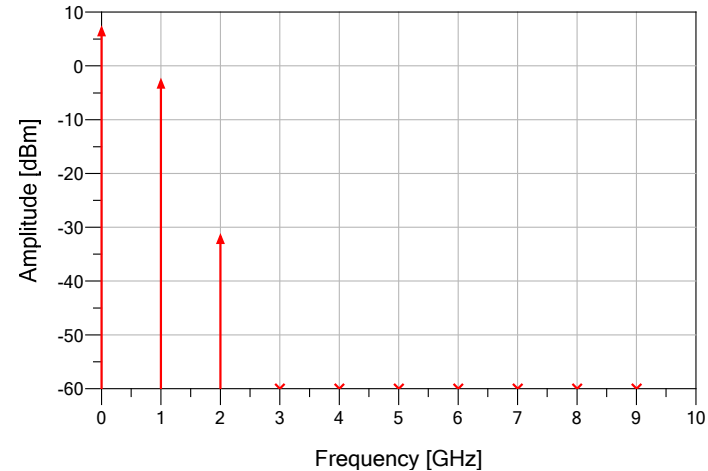
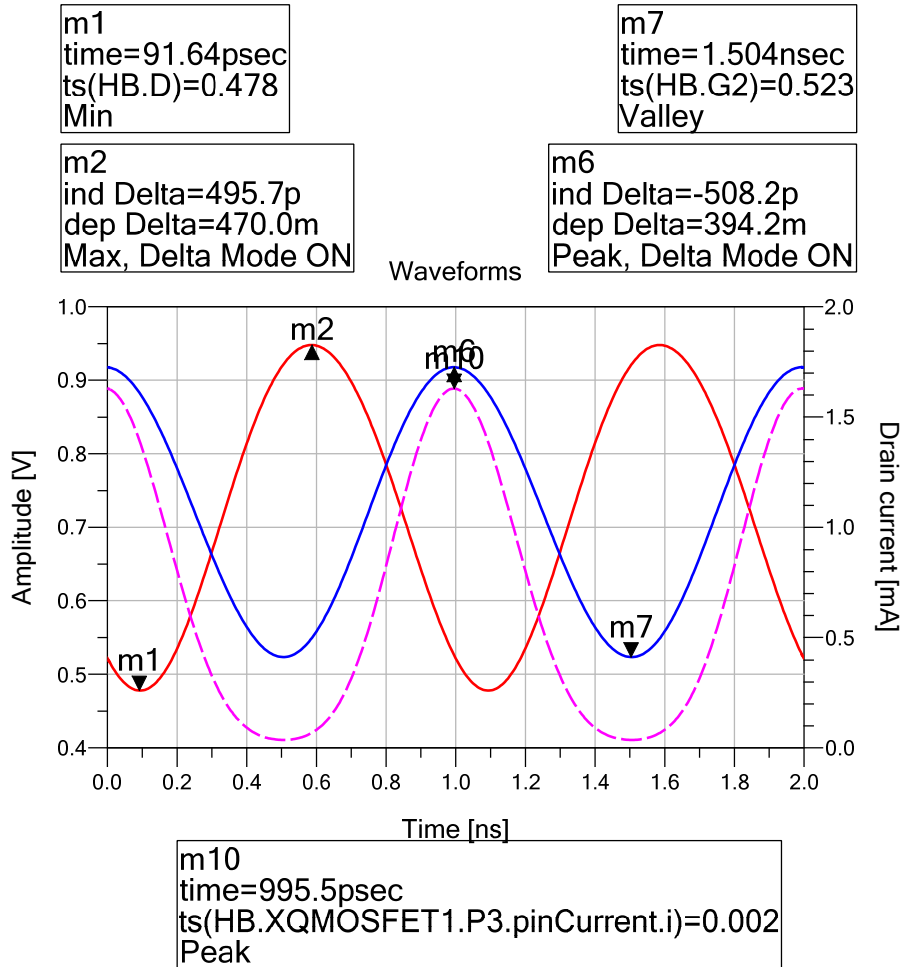
m4  
time=298.8nsec  
TRAN.G2=523.3m  
Valley

m9  
ind Delta=-510.0p  
dep Delta=470.0m  
Peak, Delta Mode ON

m11  
ind Delta=298.2n  
dep Delta=439.8m  
Peak, Delta Mode ON

m3  
time=299.3nsec  
TRAN.XQMOSFET1.P3.pinCurrent.i=1.628m  
Peak

# Pierce Oscillator Example in SI – HB Simulations



- Harmonic balance SS simulations performed with an ideal quadratic transconductor
- The amplitude at the gate is exactly 200mV, whereas the amplitude at the drain is slightly larger (235mV)

## Design Procedure (Strong Inversion)

- From the  $Q$  of the tank and the capacitances, deduce the required  $G_{m\text{crit}}$
- Choose an appropriate critical overdrive voltage  $V_{G\text{crit}} - V_{T0}$  (normalized form  $v_{gt\text{crit}}$ ) or critical inversion factor  $i_{b\text{crit}} = (v_{gt\text{crit}}/2)^2$  which correspond to the average overdrive and bias current at the operating point

- We can now find the specific current according to

$$I_{\text{spec}} = \frac{2nU_T \cdot G_{m\text{crit}}}{v_{gt\text{crit}}} = \frac{nU_T \cdot G_{m\text{crit}}}{\sqrt{i_{b\text{crit}}}}$$

- Calculate the desired normalized amplitude  $x = A/(nU_T)$
- For the chosen normalized critical overdrive voltage (or inversion factor) and normalized amplitude, deduce the normalized overdrive voltage or bias current from

$$v_{gt} \triangleq \frac{V_G - V_{T0}}{nU_T} = \sqrt{v_{gt\text{crit}}^2 + \frac{x^2}{2}} \quad \text{or} \quad i_b \triangleq \frac{I_b}{I_{\text{spec}}} = \left(\frac{v_{gt}}{2}\right)^2 = i_{b\text{crit}} + \frac{x^2}{8}$$

- The bias current  $I_b$  required for the desired amplitude is then given by

$$I_b = I_{\text{spec}} \cdot i_b = I_{\text{spec}} \cdot \left(\frac{v_{gt}}{2}\right)^2$$

## Design Procedure (from Weak to Strong Inversion)

- From the  $Q$  of the tank and the capacitances, deduce the required  $G_{mcrit}$
- Choose an appropriate inversion factor  $i_{bcrit}$
- Calculate the minimum critical bias current  $I_{bcritmin}$

$$I_{bcritmin} = n \cdot U_T \cdot G_{mcrit}$$

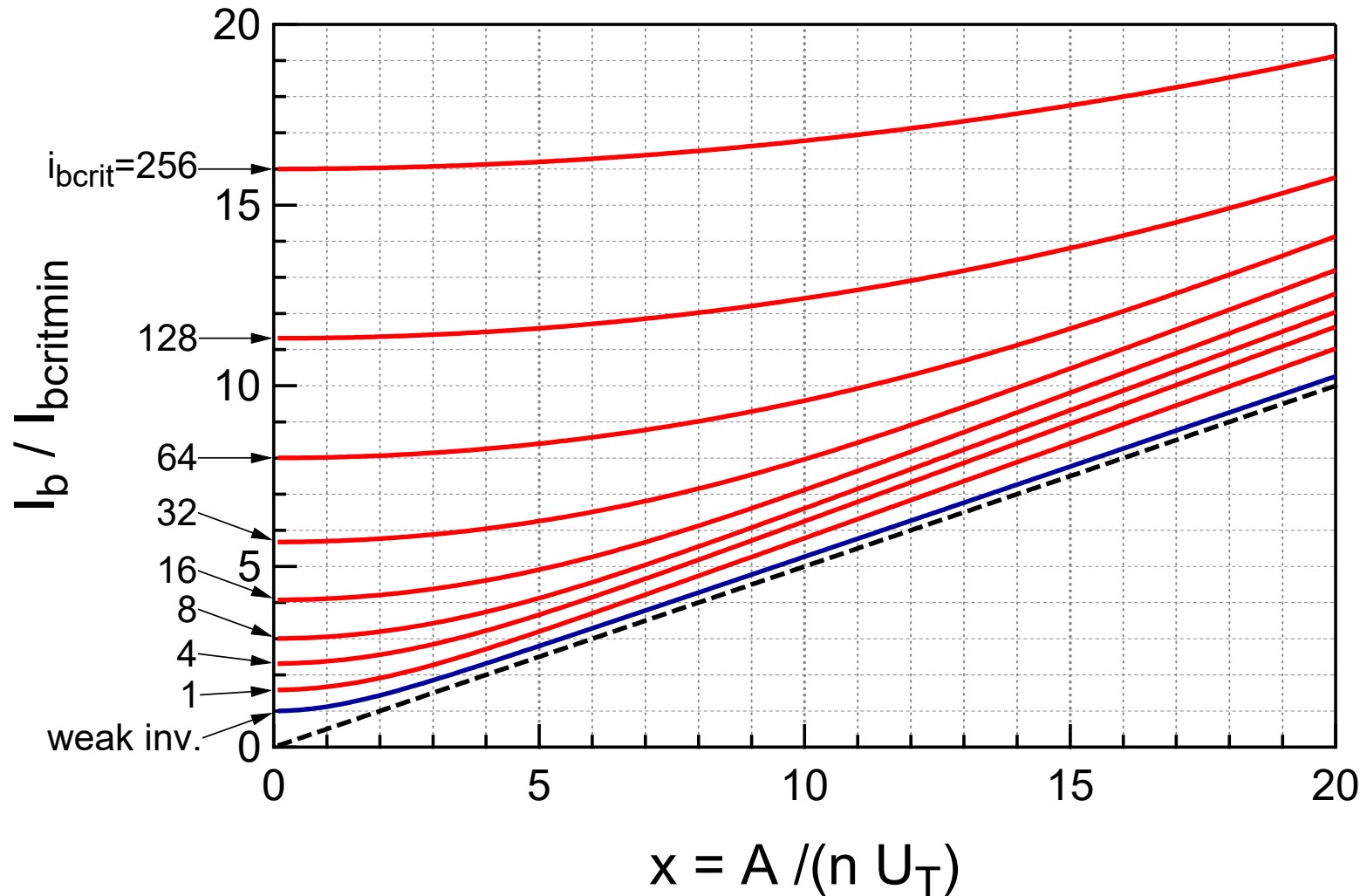
- Calculate the corresponding specific current

$$I_{spec} = \frac{I_{bcritmin}}{\sqrt{i_{bcrit}} \cdot \left(1 - \exp\left[-\sqrt{i_{bcrit}}\right]\right)}$$

- Calculate the desired normalized amplitude  $x = A/(nU_T)$
- For the chosen inversion factor  $i_{bcrit}$  and normalized amplitude  $x$ , deduce the normalized bias current  $I_b/I_{bcritmin}$  from the abacus (next slide)
- Deduce the actual bias current

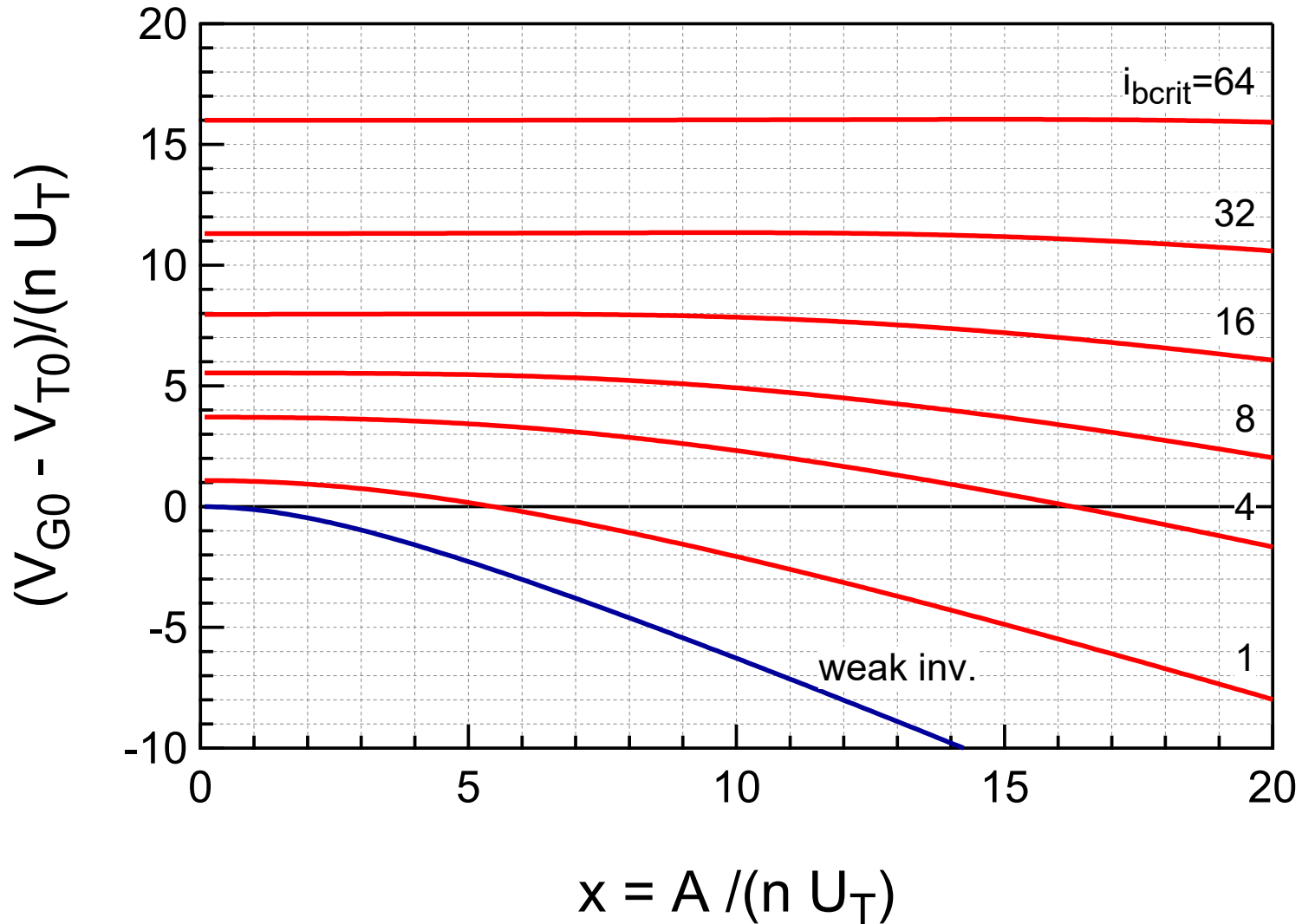
$$I_b = I_{bcritmin} \cdot \frac{I_b}{I_{bcritmin}}$$

# Bias Current from Weak to Strong Inversion

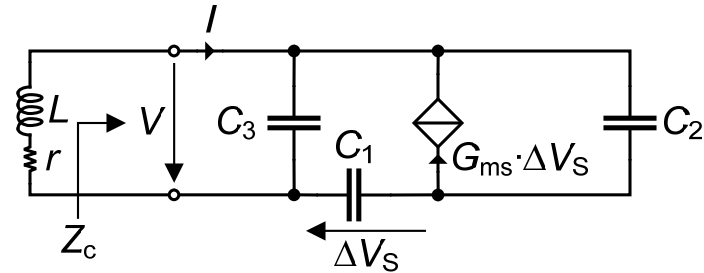
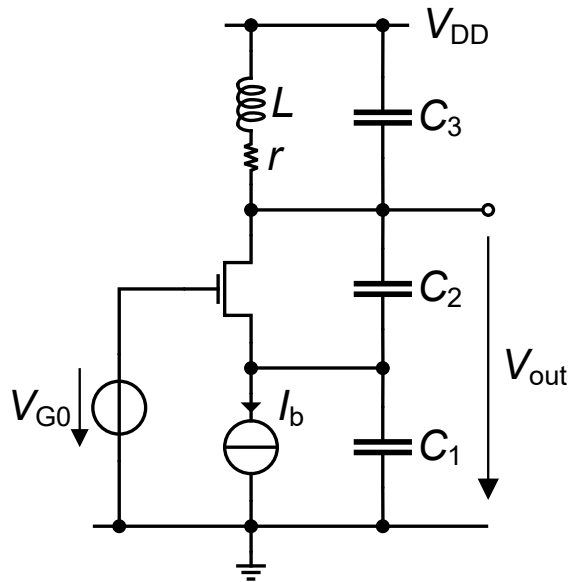




# Bias Shift from Weak to Strong Inversion



# The Colpitts Oscillator – Circuit Impedance



$$Z_c = -\frac{G_{ms} + j\omega(C_1 + C_2)}{\omega^2(C_1C_2 + C_1C_3 + C_2C_3) - j\omega G_{ms}C_3}$$

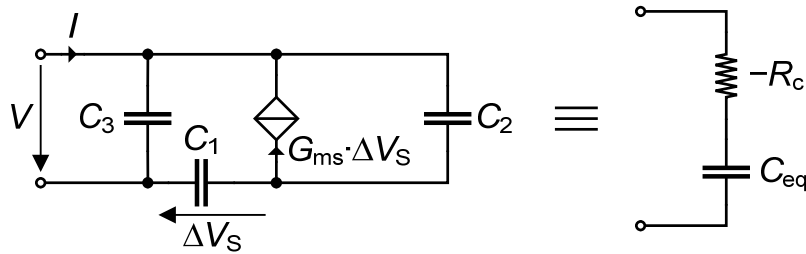
- Analysis almost identical to the Pierce except that  $G_m$  is replaced with  $G_{ms}$

$$R_c = \frac{G_{ms}C_1C_2}{(G_{ms}C_3)^2 + \omega^2(C_1C_2 + C_1C_3 + C_2C_3)^2}$$

$$X_c = \frac{G_{ms}^2C_3 + \omega^2(C_1 + C_2)(C_1C_2 + C_1C_3 + C_2C_3)}{\omega \left[ (G_{ms}C_3)^2 + \omega^2(C_1C_2 + C_1C_3 + C_2C_3)^2 \right]}$$

# The Colpitts Oscillator – Critical Transconductance

- For  $G_{ms} \ll (\omega_0/C_3)(C_1C_2 + C_1C_3 + C_2C_3)$ ,  $R_c$  and  $X_c$  simplify to



$$R_c \cong \frac{G_{ms} C_1 C_2}{\omega^2 (C_1 C_2 + C_1 C_3 + C_2 C_3)^2} \quad X_c \cong \frac{1}{\omega C_{eq}}$$

with  $C_{eq} = C_3 + C_{12} = \frac{C_1 C_2 + C_1 C_3 + C_2 C_3}{C_1 + C_2}$

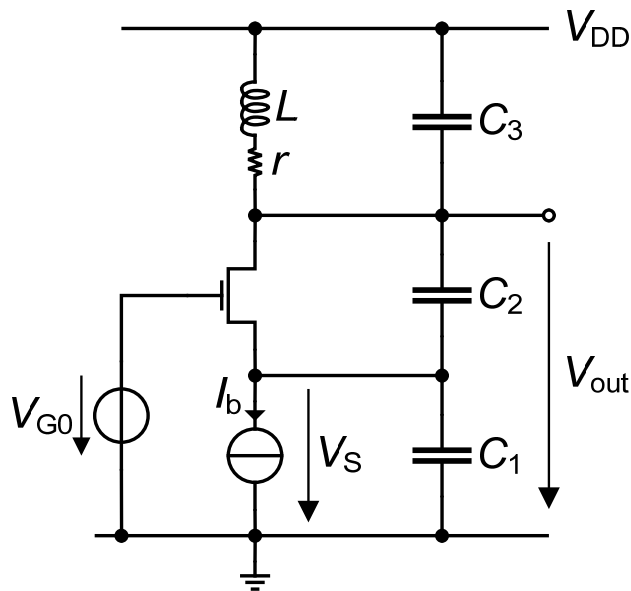
- The oscillation frequency is approximated by  $\omega_0 \cong \frac{1}{\sqrt{L \cdot C_{eq}}}$
- And the critical (source) transconductance is given by

$$G_{mscrit} = \omega_0 C_2 \frac{\alpha_1 Q_L}{2\alpha_3} \left[ 1 - \sqrt{1 - \left( \frac{2\alpha_3}{\alpha_1 Q_L} \right)^2} (\alpha_1 + 1) \left( 1 + \alpha_1 + \frac{\alpha_1}{\alpha_3} \right) \right]$$

$$\cong \frac{1}{r} \frac{\alpha_1}{2\alpha_3^2} \left[ 1 - \sqrt{1 - \left( \frac{2\alpha_3 (\alpha_1 + 1)}{\alpha_1 Q_L} \right)^2} \right] \cong \frac{1}{r} \frac{(\alpha_1 + 1)^2}{\alpha_1 Q_L} = \frac{\omega_0}{Q_L} (C_1 + C_2) \left( 1 + \frac{C_3}{C_{12}} \right)$$

where  $\alpha_1 \triangleq \frac{C_1}{C_2}$      $\alpha_3 \triangleq \frac{C_3}{C_2}$

# Nonlinear Analysis of the Colpitts Oscillator (weak inv.)



$$A = \Delta V_S = \frac{C_2}{C_1 + C_2} \cdot \Delta V_{out}$$

- In the case of the Colpitts oscillator the source voltage can be assumed to be sinusoidal

$$V_S(t) = V_{S0} - A \cdot \cos(\omega_0 t)$$

- If the transistor is biased in weak inversion, the drain current is then given by

$$I_D(t) = I_{D0} \cdot e^{\frac{V_{G0} - nV_S(t)}{nU_T}} = I_{D0} \cdot e^{\frac{V_{G0} - nV_{S0} + nA \cdot \cos(\omega_0 t)}{nU_T}}$$

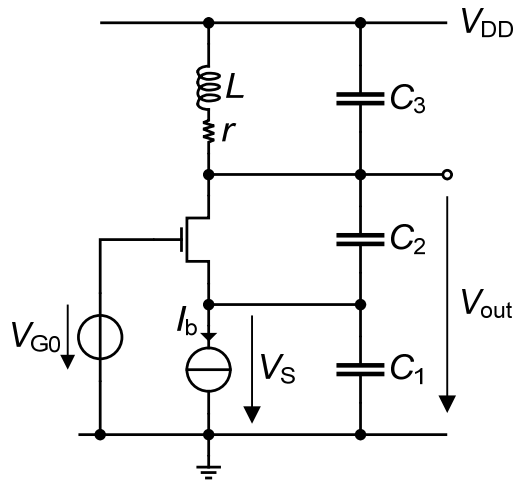
$$= I_0 \cdot e^{\frac{A \cdot \cos(\omega_0 t)}{U_T}} = I_0 \cdot e^{x \cdot \cos(\omega_0 t)}$$

$$\text{with } I_0 \triangleq I_{D0} \cdot e^{\frac{V_{G0} - nV_{S0}}{nU_T}} = I_{spec} \cdot e^{\frac{V_{G0} - V_{T0} - nV_{S0}}{nU_T}}$$

$$\text{and } x \triangleq \frac{A}{U_T}$$

- **Same analysis than the Pierce oscillator** and hence the results and normalized plots of the Pierce oscillator also apply to the Colpitts oscillator

## Example – The Colpitts Oscillator



$$f_0 = 1 \text{ GHz}, Q_L = 10, C_1 = C_2 = 1 \text{ pF}, C_3 = 1 \text{ pF}$$

$$\omega_0 \cong \frac{1}{\sqrt{L(C_3 + C_{12})}} \Rightarrow L \cong \frac{1}{\omega_0^2 (C_3 + C_{12})} = 16.9 \text{ nH}$$

$$r = \frac{\omega_0 L}{Q_L} = 10.6 \Omega$$

$$G_{mscrit} \cong \frac{\omega_0}{Q_L} (C_1 + C_2) \left( 1 + \frac{C_3}{C_{12}} \right) = 3.8 \frac{\text{mA}}{\text{V}}$$

- Since the inductance  $Q_L$  is not very high, the above approximation is not very accurate. The exact solution is then given by

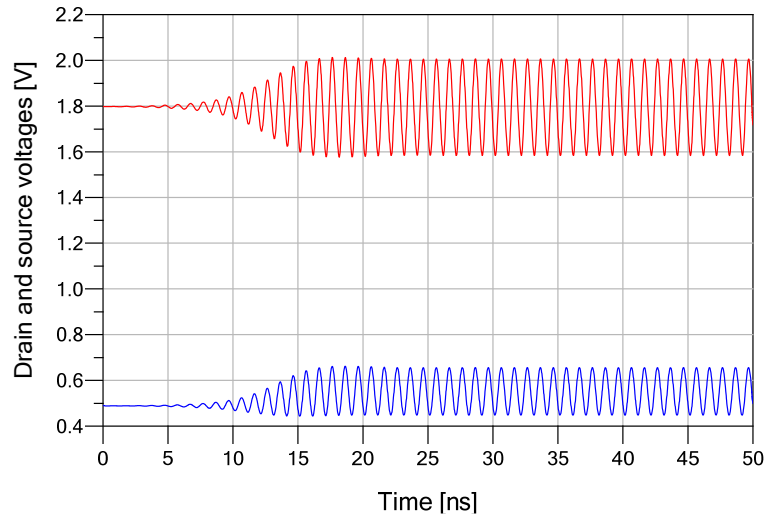
$$G_{mscrit} = \omega_0 C_2 \frac{\alpha_1 Q_L}{2\alpha_3} \left[ 1 - \sqrt{1 - \left( \frac{2\alpha_3}{\alpha_1 Q_L} \right)^2} (\alpha_1 + 1) \left( 1 + \alpha_1 + \frac{\alpha_1}{\alpha_3} \right) \right] = 4 \frac{\text{mA}}{\text{V}}$$

- The inductance value is then found from

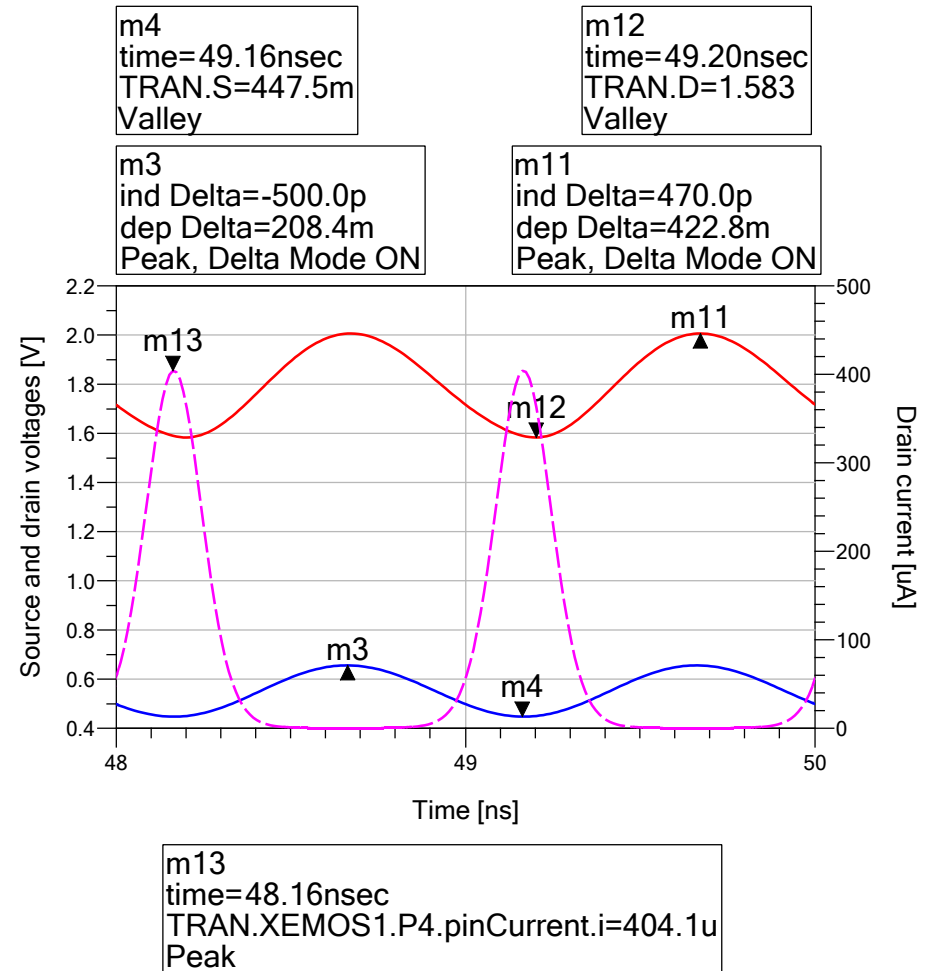
$$L = \frac{X_c(\omega_0, G_{mscrit})}{\omega_0}$$

- This leads to  $L = 17.256 \text{ nH}$  and  $r = 10.8 \Omega$

# Colpitts Oscillator Example in WI – Transient Simulations



- Transient simulations performed with an ideal exponential transconductor
- The amplitude is almost exactly 100mV
- The above theory is based on the fundamental component only assuming a large Q and hence that the harmonics are negligible



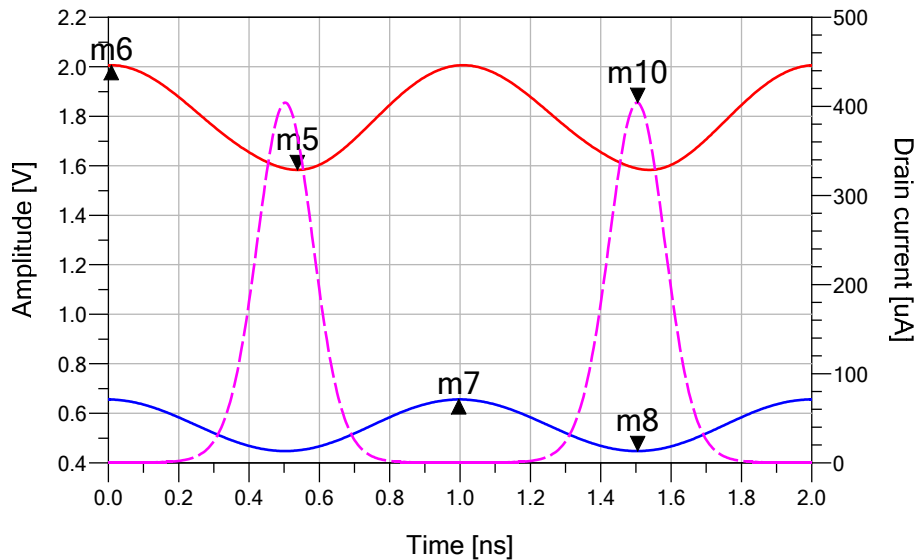
# Colpitts Oscillator Example in WI – HB Simulations

m8  
time= 1.505nsec  
ts(HB.S)=0.447  
Valley

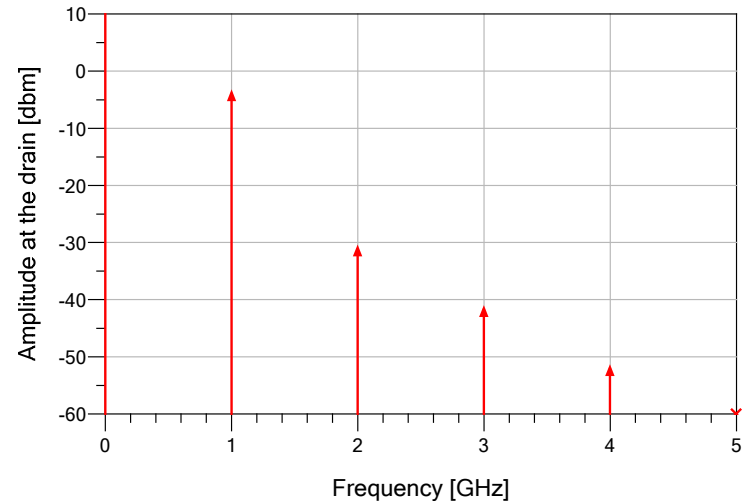
m5  
time=537.8psec  
ts(HB.D)=1.583  
Min

m7  
ind Delta=-508.6p  
dep Delta=208.4m  
Peak, Delta Mode ON

m6  
ind Delta=-529.4p  
dep Delta=422.8m  
Max, Delta Mode ON



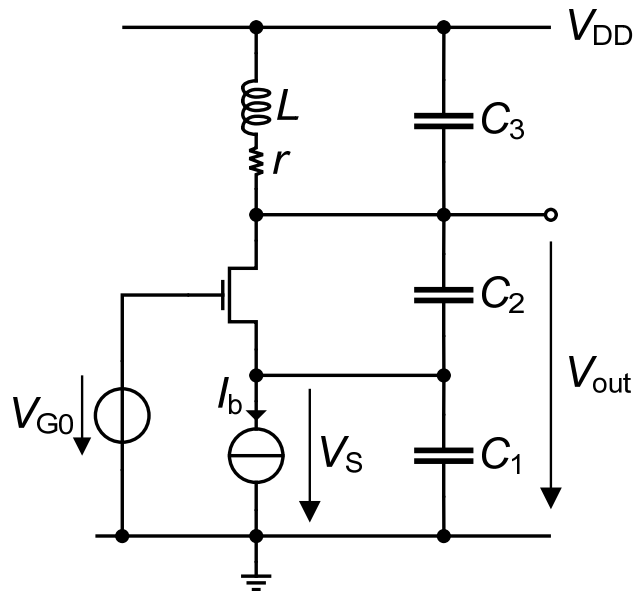
m10  
time= 1.505nsec  
ts(HB.I\_Probe1.i)=404.1u  
Peak



- Harmonic balance SS simulations performed with an ideal quadratic transconductor
- The amplitude at the gate is exactly 200mV, whereas the amplitude at the drain is slightly larger (235mV)

# Colpitts Oscillator in Strong Inversion

- Similar analysis than for the Pierce oscillator. In this case we have



$$A = \Delta V_S = \frac{C_2}{C_1 + C_2} \cdot \Delta V_{out}$$

$$V_S(t) = V_{S0} - A \cdot \cos(\omega_0 t)$$

$$I_D(t) = I_{spec} \cdot \left( \frac{V_{P0} - V_S(t)}{2U_T} \right)^2$$

$$= I_{spec} \cdot \left( \frac{V_{P0} - V_{S0} - A \cdot \cos(\omega_0 t)}{2U_T} \right)^2$$

$$= \frac{I_{spec}}{4} \cdot \left( v_{ps0} - x \cdot \cos(\omega_0 t) \right)^2 \quad \text{for } x \leq v_{ps0}$$

- The results and plots obtained for the Pierce oscillator in strong inversion can be used for the Colpitts oscillator accounting for the different normalization given by

$$v_{ps0} \triangleq \frac{V_{P0} - V_{S0}}{U_T} = \frac{V_{G0} - V_{T0} - nV_{S0}}{nU_T}, \quad x \triangleq \frac{A}{U_T}$$



# Colpitts Oscillator in Strong Inversion

- Normalizing and developing the quadratic function leads to

$$\begin{aligned}
 i_d(t) &= \frac{I_D(t)}{I_{spec}} = \frac{1}{4} \cdot (v_{ps0} - x \cdot \cos(\omega_0 t))^2 = \frac{1}{4} \cdot (v_{ps0}^2 - 2v_{ps0} \cdot x \cdot \cos(\omega_0 t) + x^2 \cdot \cos^2(\omega_0 t)) \\
 &= \frac{1}{4} \cdot \underbrace{\left( v_{ps0}^2 + \frac{x^2}{2} \right)}_{i_{dc}} - \underbrace{\frac{v_{ps0} \cdot x}{2}}_{-i_{d(1)}} \cdot \cos(\omega_0 t) + \frac{x^2}{8} \cdot \cos(2\omega_0 t)
 \end{aligned}$$

- The normalized dc current for  $x < v_{ps0}$  is given by

$$i_{dc} \triangleq \frac{I_{dc}}{I_{spec}} = \frac{I_b}{I_{spec}} = \frac{1}{4} \cdot \left( v_{ps0}^2 + \frac{x^2}{2} \right) = i_0 + \frac{x^2}{8} \quad \text{with} \quad i_0 \triangleq \frac{I_0}{I_{spec}} = \left( \frac{v_{ps0}}{2} \right)^2$$

- The normalized fundamental component for  $x < v_{ps0}$  is given by

$$i_{d(1)} \triangleq \frac{I_{D(1)}}{I_{spec}} = \frac{v_{ps0} \cdot x}{2} \quad \text{for} \quad x \leq v_{ps0}$$

## Transconductance for the Fundamental (Colpitts)

- The fundamental component for  $x < v_{ps0}$  is given by

$$I_{D(1)} = I_{spec} \cdot \frac{v_{ps0}}{2} \cdot x \quad \text{for } x \leq v_{ps0}$$

- The transconductance for the fundamental component is given by

$$G_{m(1)} = \frac{I_{D(1)}}{A} = \frac{I_{spec}}{A} \cdot \frac{v_{ps0}}{2} \cdot x = \frac{I_{spec}}{U_T} \cdot \frac{v_{ps0}}{2} = \frac{I_{spec}}{U_T} \cdot \sqrt{i_0} \quad \text{for } x \leq v_{ps0}$$

- From the dc constraint we get

$$i_b = \left(\frac{v_{ps}}{2}\right)^2 = \left(\frac{v_{ps0}}{2}\right)^2 + \frac{x^2}{8} = i_0 + \frac{x^2}{8} \quad \rightarrow \quad i_0 = i_b - \frac{x^2}{8} \quad \text{or} \quad v_{ps0} = \sqrt{v_{ps}^2 - \frac{x^2}{2}}$$

- The transconductance for the fundamental then becomes

$$G_{ms(1)} = \frac{I_{spec}}{U_T} \cdot \sqrt{i_b - \frac{x^2}{8}} = \frac{I_{spec}}{U_T} \cdot \frac{1}{2} \cdot \sqrt{v_{ps}^2 - \frac{x^2}{2}}$$

## Transconductance for the Fundamental (Colpitts)

- The small-signal source transconductance is given by

$$G_{ms} = \frac{I_{spec}}{U_T} \cdot \frac{v_{ps}}{2} = \frac{I_{spec}}{U_T} \cdot \sqrt{i_b} \quad \text{with} \quad v_{ps} \triangleq \frac{V_P - V_S}{U_T} \quad \text{and} \quad i_b = \left( \frac{v_{ps}}{2} \right)^2$$

- The transconductance for the fundamental normalized to the small-signal transconductance is then given by

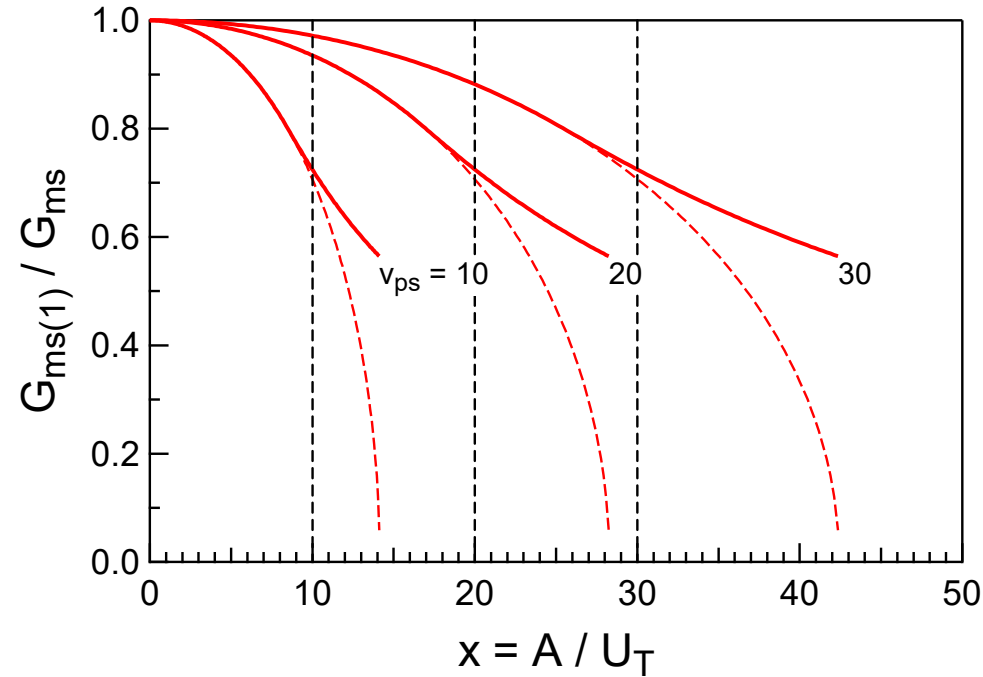
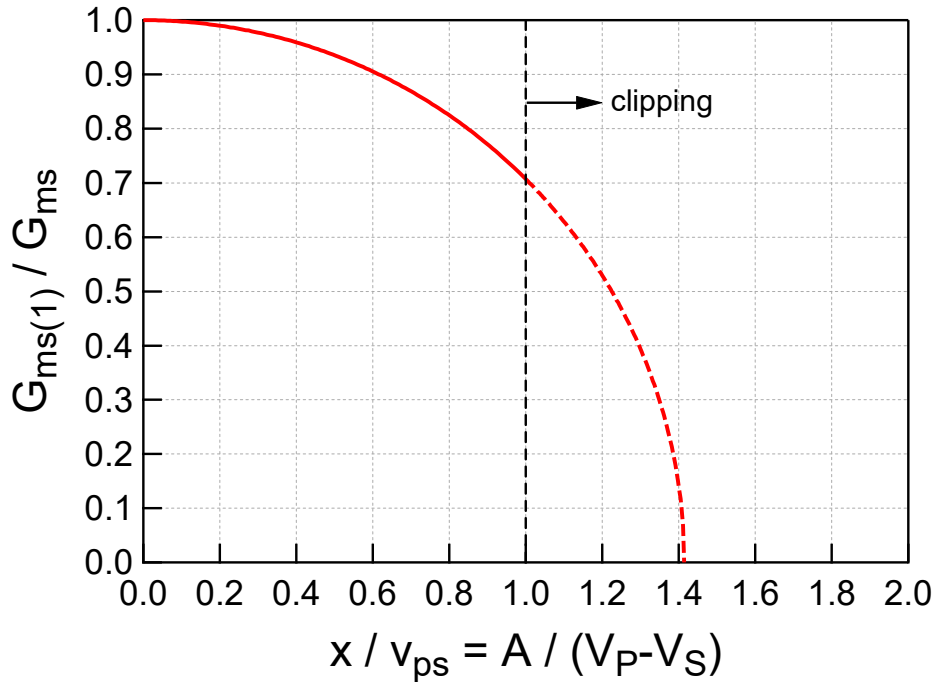
$$\frac{G_{ms(1)}}{G_{ms}} = \sqrt{1 - \frac{x^2}{8i_b}} = \sqrt{1 - \frac{1}{2} \left( \frac{x}{v_{ps}} \right)^2} = \sqrt{1 - \frac{1}{2} \left( \frac{A}{V_P - V_S} \right)^2} \quad \text{for} \quad x \leq v_{ps}$$

- The critical condition is then given by

$$G_{ms(1)} = G_{mscrit} \quad \rightarrow \quad \frac{I_{spec}}{U_T} \cdot \frac{1}{2} \cdot \sqrt{v_{ps}^2 - \frac{x^2}{2}} = \frac{I_{spec}}{U_T} \cdot \frac{v_{pscrit}}{2} \quad \rightarrow \quad \sqrt{v_{ps}^2 - \frac{x^2}{2}} = v_{pscrit}$$

$$\text{or in terms of currents} \quad \frac{I_{spec}}{U_T} \cdot \sqrt{i_b - \frac{x^2}{8}} = \frac{I_{spec}}{U_T} \cdot \sqrt{i_{bcrit}} \quad \rightarrow \quad i_b - \frac{x^2}{8} = i_{bcrit}$$

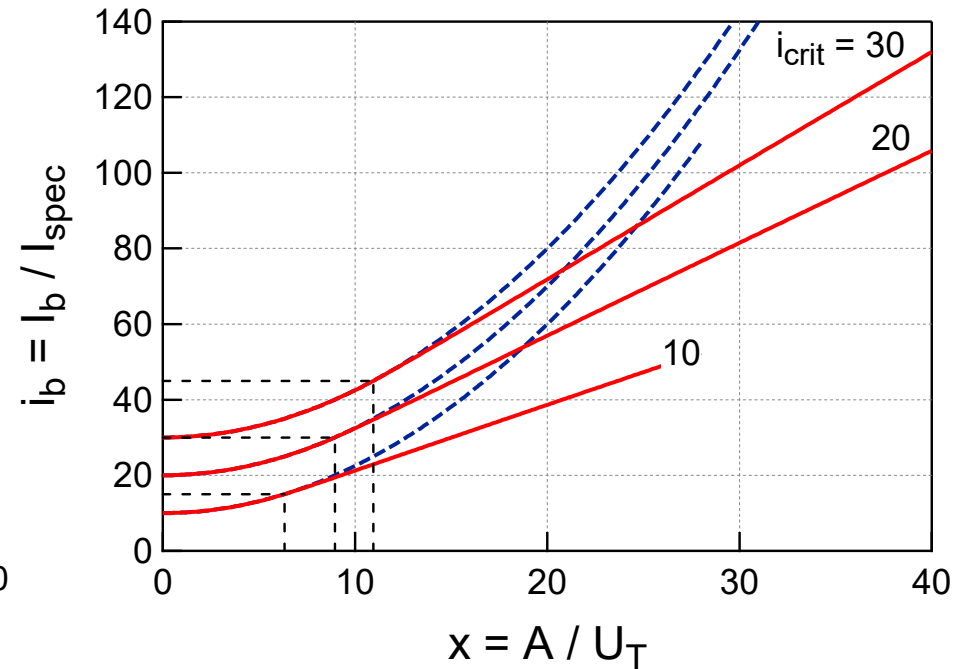
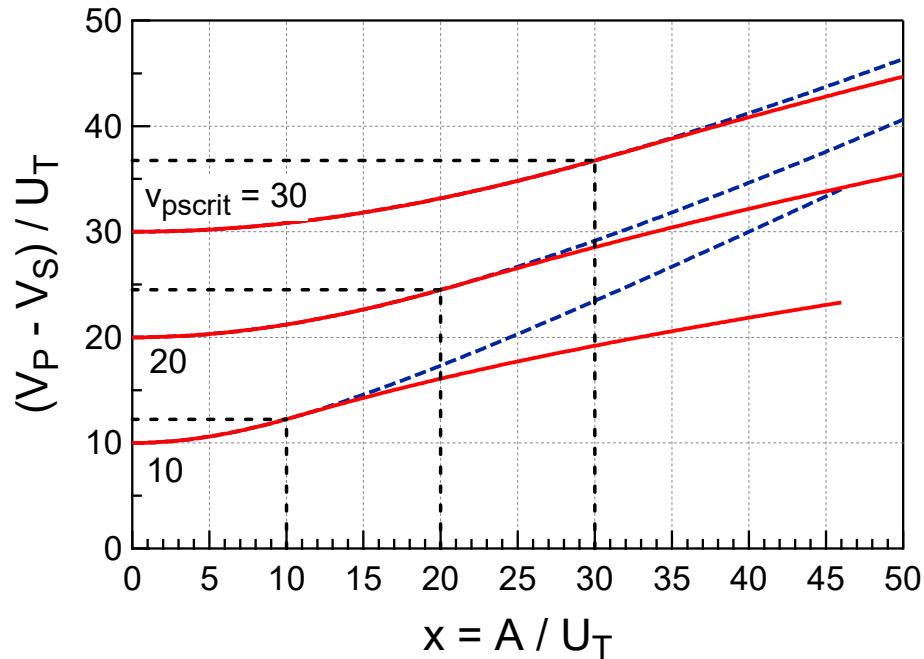
# Transconductance for the Fundamental (Colpitts)



$$\frac{G_{ms(1)}}{G_{ms}} = \sqrt{1 - \frac{x^2}{8i_b}} = \sqrt{1 - \frac{1}{2} \left( \frac{x}{v_{ps}} \right)^2} = \sqrt{1 - \frac{1}{2} \left( \frac{n \cdot A}{V_G - V_{T0} - n \cdot V_S} \right)^2} \quad \text{for } x \leq v_{ps}$$

$$\text{with } v_{ps} \triangleq \frac{V_P - V_S}{U_T} \cong \frac{V_G - V_{T0} - n \cdot V_S}{n \cdot U_T} \quad \text{and } x \triangleq \frac{A}{U_T}$$

# Bias Voltage and Current (Colpitts)



- The required bias overdrive voltage  $v_{ps}$  (bias current  $i_b$ ) for a given critical saturation voltage  $v_{pscrit}$  (critical current  $i_{bcrit}$ ) assuming  $x < v_{ps0}$  is given by

$$v_{ps} \triangleq \frac{V_P - V_S}{U_T} \cong \frac{V_G - V_{T0} - n \cdot V_S}{nU_T} = \sqrt{v_{pscrit}^2 + \frac{x^2}{2}} \quad \text{or} \quad i_b \triangleq \frac{I_b}{I_{spec}} = \left( \frac{v_{ps}}{2} \right)^2 = i_{bcrit} + \frac{x^2}{8}$$

## Colpitts Oscillator Example – Bias in SI

- If we assume the transistor operates in strong inversion (with  $n = 1.3$ ) and choose the operating saturation voltage as  $V_P - V_S = 300 \text{ mV}$  and the output oscillation amplitude as  $\Delta V_{out} = 200 \text{ mV}$  corresponding to an amplitude of the source voltage of  $A = \Delta V_S = 100 \text{ mV}$ , we can then calculate the normalized amplitude as

$$v_{ps} \triangleq \frac{V_P - V_S}{U_T} = 11.6 \quad i_b \triangleq \frac{I_b}{I_{spec}} = 33.6 \quad x \triangleq \frac{A}{U_T} = 3.86$$

- The critical overdrive and critical current can then be calculated as

$$v_{pscrit} = \sqrt{v_{ps}^2 - \frac{x^2}{2}} = 11.27 \quad \text{or} \quad i_{bcrit} = i_b - \frac{x^2}{8} = 31.7$$

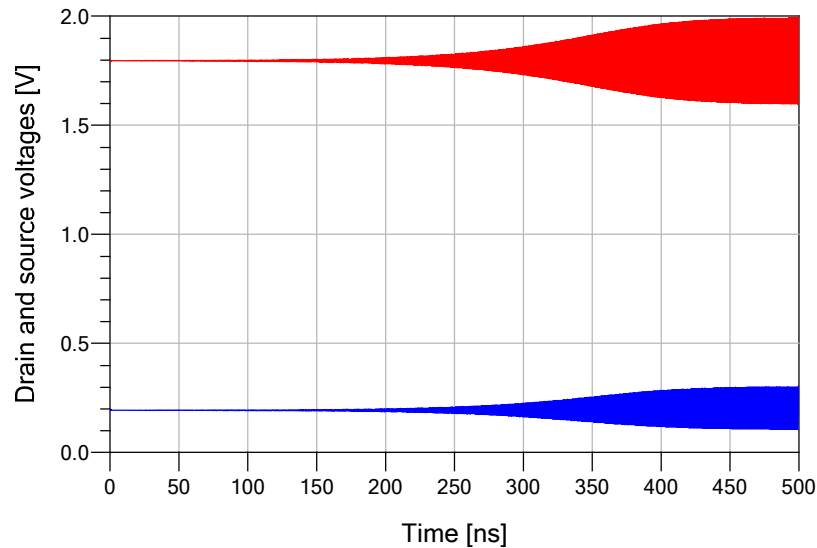
- The specific current is then obtained as

$$I_{spec} = \frac{2U_T \cdot G_{mscrit}}{v_{pscrit}} = \frac{U_T \cdot G_{mscrit}}{\sqrt{i_{bcrit}}} = 18.5 \mu A$$

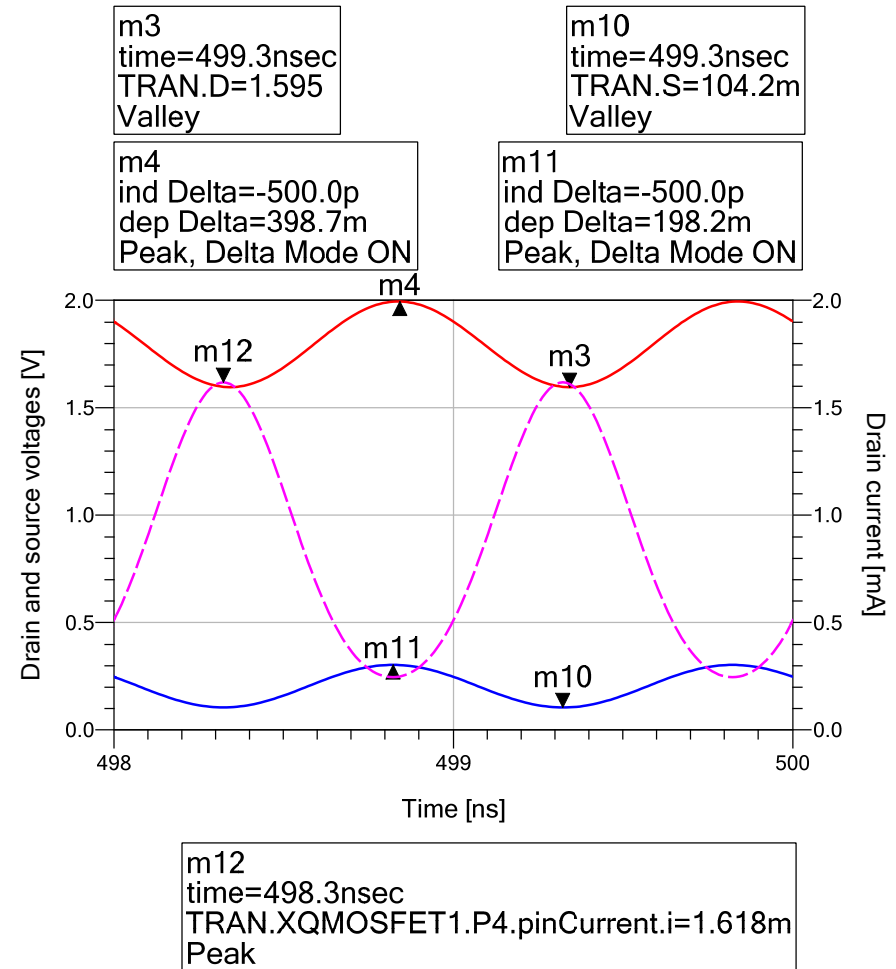
- The critical current and the required bias current are given by

$$I_{crit} = I_{spec} \cdot i_{bcrit} = 587.2 \mu A \quad I_b = I_{spec} \cdot i_b = I_{spec} \cdot \left( \frac{v_{ps}}{2} \right)^2 = 621.7 \mu A$$

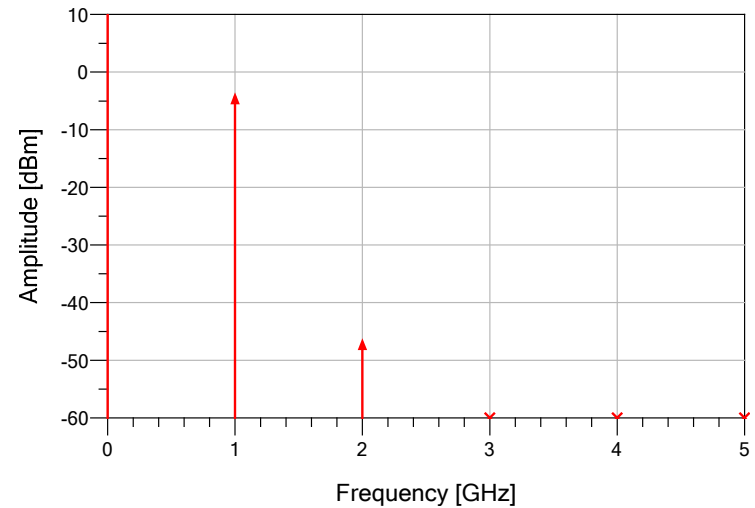
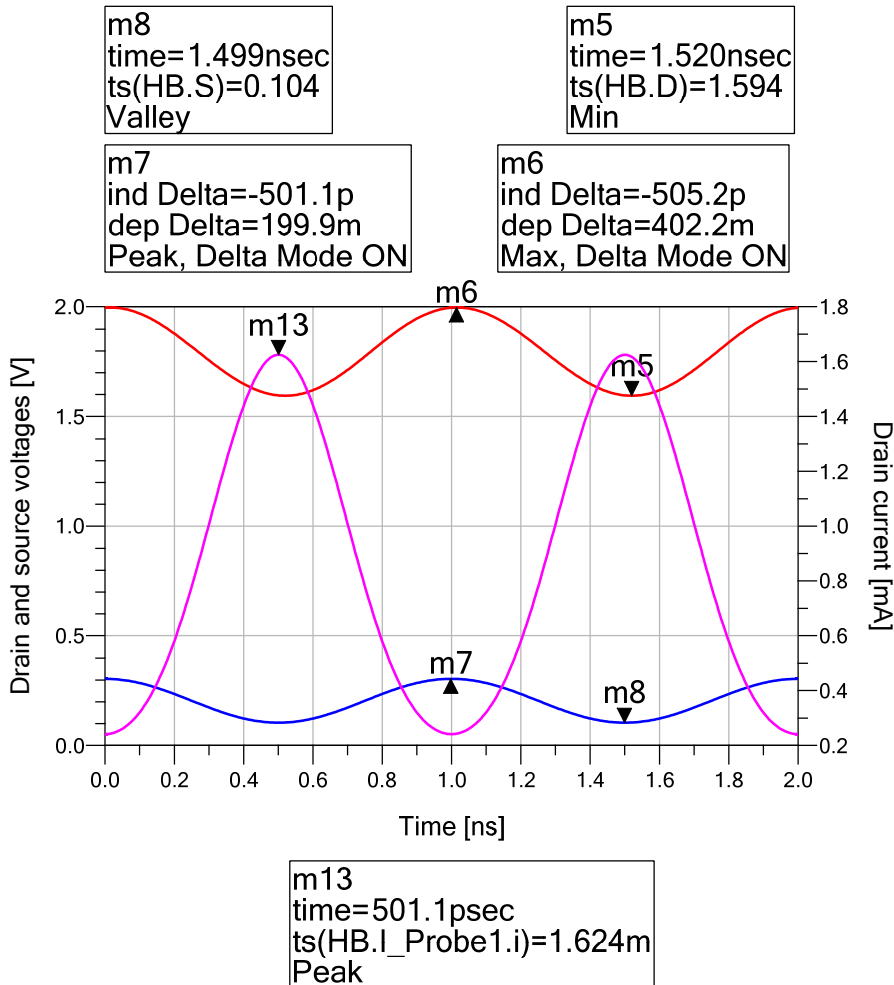
# Colpitts Oscillator Example in SI – Transient Simulations



- Transient simulations performed with an ideal quadratic transconductor
- The amplitude at the source (99mV) and at the drain (199mV) are almost exactly 100mV and 200mV
- The above theory is based on the fundamental component only assuming a large Q and hence that the harmonics are negligible



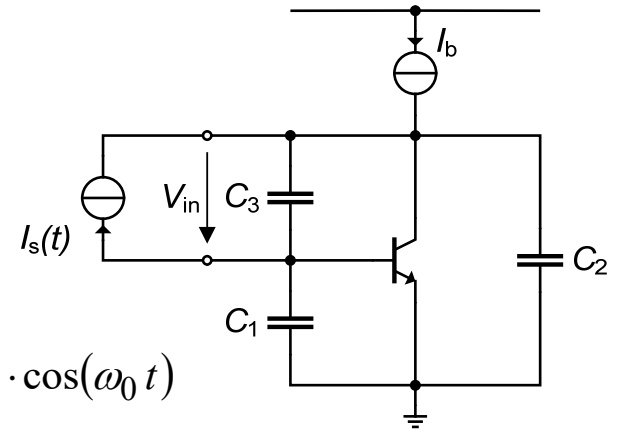
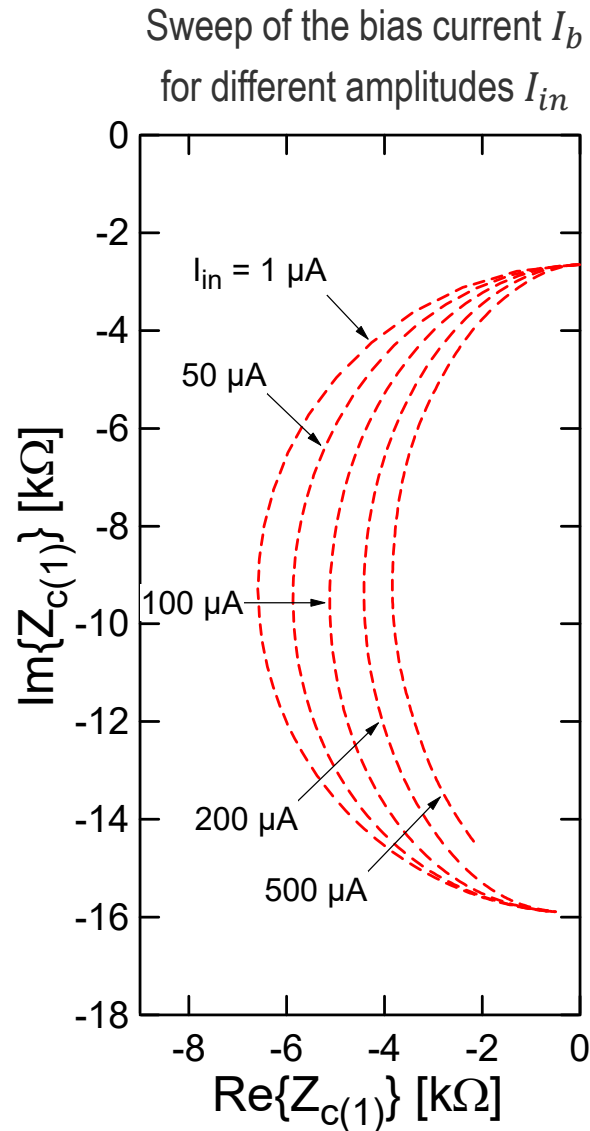
# Colpitts Oscillator Example in SI – HB Simulations



- Harmonic balance SS simulations performed with an ideal quadratic transconductor
- The amplitude at the gate is exactly 200mV, whereas the amplitude at the drain is slightly larger (235mV)



# Nonlinear Effects

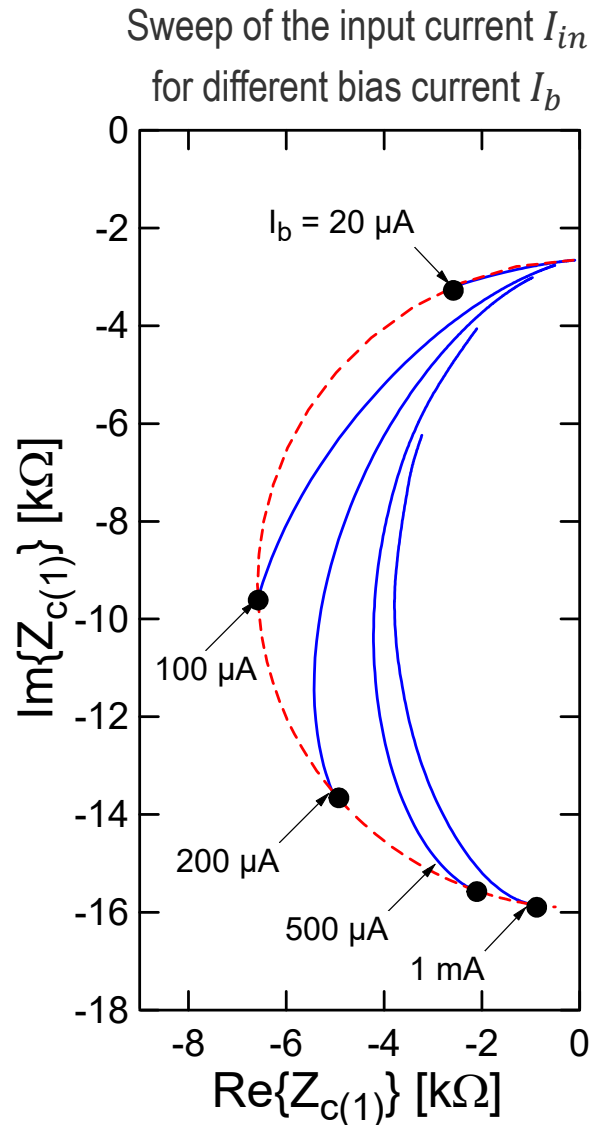


$$I_s(t) = I_{in} \cdot \cos(\omega_0 t)$$

$$Z_c = \frac{V_{in}}{I_{in}} \quad \text{and} \quad Z_{c(1)} = \frac{V_{in(1)}}{I_{in}}$$

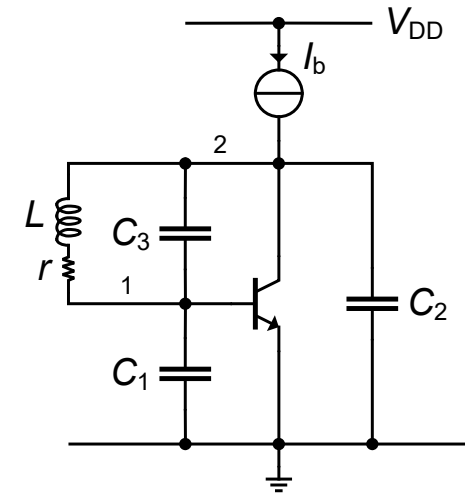
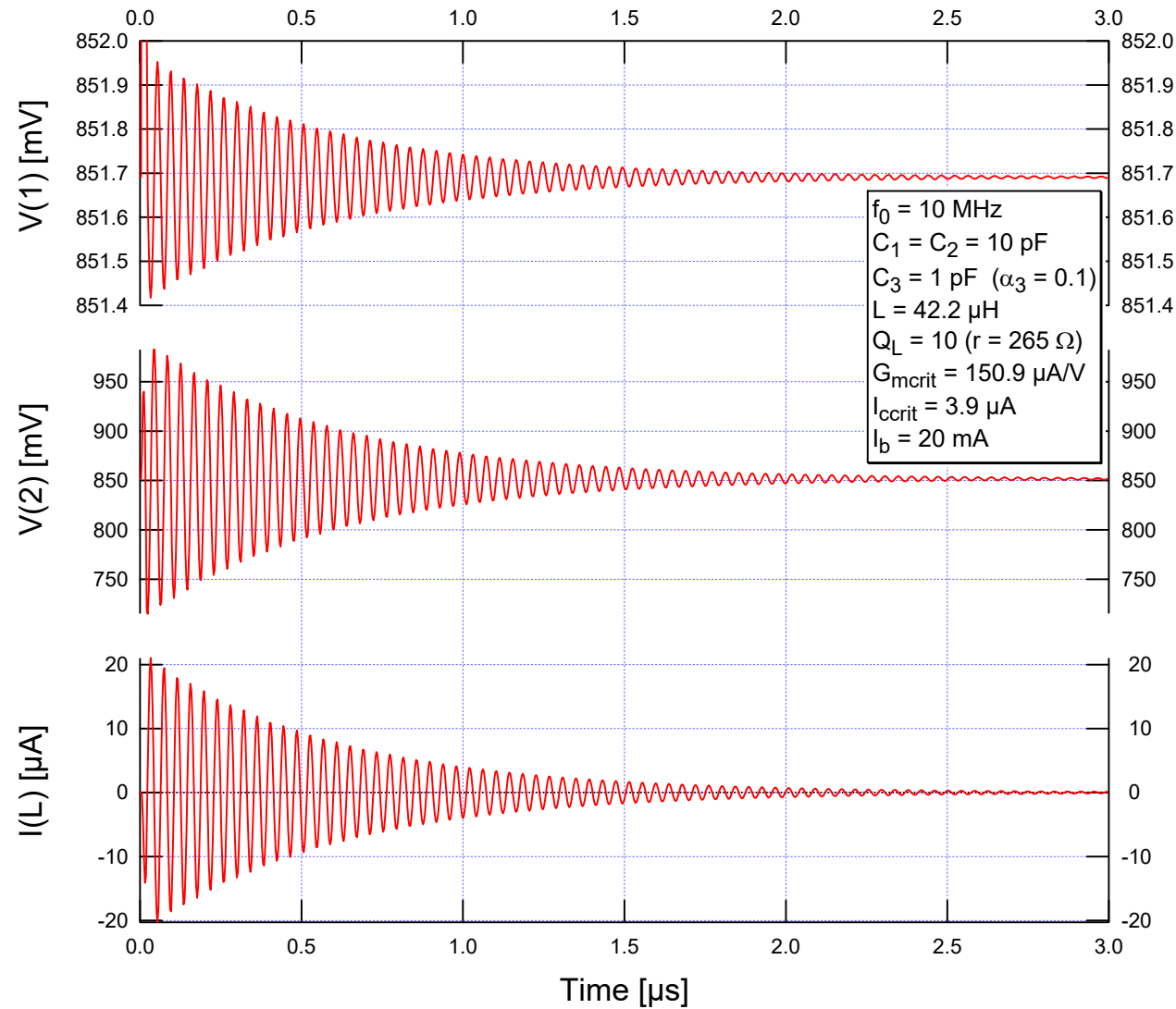
- Plot of the input impedance  $Z_{in(1)}$  evaluated for the fundamental component versus the bias current  $I_b$  swept from  $1 \mu\text{A}$  to  $1.7 \text{ mA}$  for different current amplitudes  $I_{in}$  ( $1 \mu\text{A}$ ,  $50 \mu\text{A}$ ,  $100 \mu\text{A}$ ,  $200 \mu\text{A}$  and  $500 \mu\text{A}$ )
- For small amplitudes ( $I_{in} = 1 \mu\text{A}$ ), we get the circle obtained from the linear analysis, but for large amplitudes, the locus starts to deviate from the circle obtained for small amplitude due to nonlinear effects

# Nonlinear Effects



- Plot of the input impedance  $Z_{in(1)}$  evaluated for the fundamental component versus the current amplitude  $I_{in}$  swept from  $1\mu\text{A}$  to  $1\text{mA}$  for different bias currents  $I_b$  ( $20\mu\text{A}$ ,  $100\mu\text{A}$ ,  $200\mu\text{A}$ ,  $500\mu\text{A}$  and  $1\text{mA}$ )
- The locus always starts on the circle obtained for small amplitude with a direction tangent to the circle and then deviates from it due to nonlinear effects
- The actual operating point can be quite far from the one obtained with the linear analysis
- There may eventually be **no operating point** when the bias current becomes too large, even though the small-signal analysis would show an intersection

# Unstable Point at Very Large Bias Current

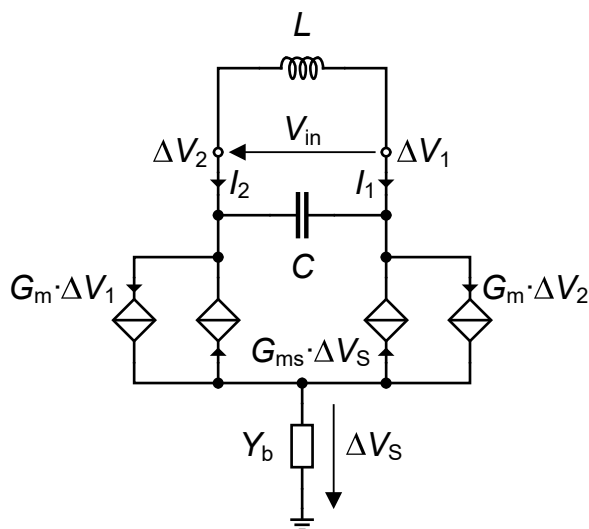
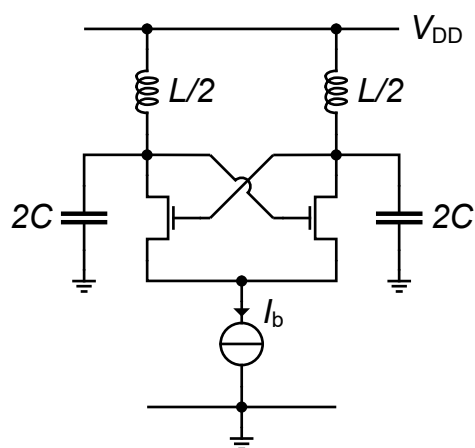


# Outline

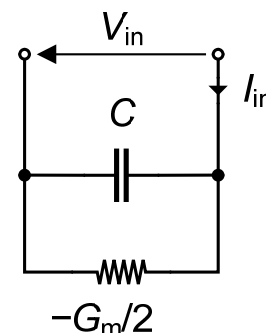
- General considerations
- The 3-points oscillator
- The cross-coupled pair oscillator

# The Cross-coupled Pair Oscillator – Principle

- A balanced LO signal is most often required
- Can be generated by the cross-coupled pair oscillator shown below



$$\Delta V_S = \frac{G_m}{G_{ms} + Y_b/2} \cdot \frac{\Delta V_1 + \Delta V_2}{2}$$



- If fully balanced operation is assumed  $\Delta V_1 = -\Delta V_2 = \frac{V_{in}}{2} \rightarrow \Delta V_S = 0$
- And hence

$$Y_c = \frac{I_{in}}{V_{in}} = -\frac{G_m}{2} + j\omega C$$

## Critical $G_m$

- The circuit impedance  $Z_c$  is then given by

$$Z_c = \frac{1}{Y_c} = \frac{1}{-G_m/2 + j\omega C} = -\frac{G_m/2}{(G_m/2)^2 + (\omega C)^2} - \frac{j\omega C}{(G_m/2)^2 + (\omega C)^2}$$

- And hence

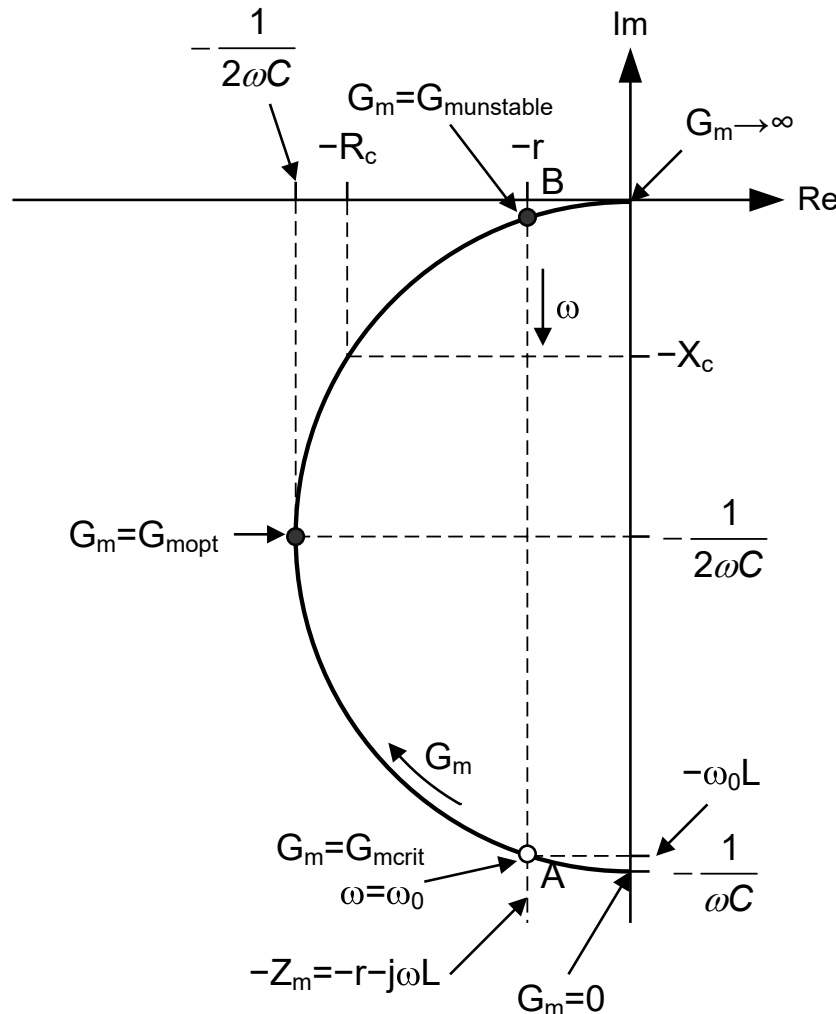
$$R_c = -\text{Re}\{Z_c\} = \frac{G_m/2}{(G_m/2)^2 + (\omega C)^2} \quad \text{and} \quad X_c = -\text{Im}\{Z_c\} = \frac{\omega C}{(G_m/2)^2 + (\omega C)^2}$$

- Solving for  $G_{m\text{crit}}$  and  $\omega_0$  results in

$$\frac{X_c(\omega_0, G_{m\text{crit}})}{R_c(\omega_0, G_{m\text{crit}})} = Q_L \Rightarrow \frac{\omega_0 C}{G_{m\text{crit}}/2} = Q_L \Rightarrow G_{m\text{crit}} = \frac{2\omega_0 C}{Q_L}$$

$$X_c(\omega_0, G_{m\text{crit}}) = \omega_0 L \Rightarrow \omega_0 = \frac{\omega_{LC}}{\sqrt{1 + \frac{1}{Q_L^2}}} \quad \text{with} \quad \omega_{LC} = \frac{1}{\sqrt{LC}}$$

# Circuit Impedance

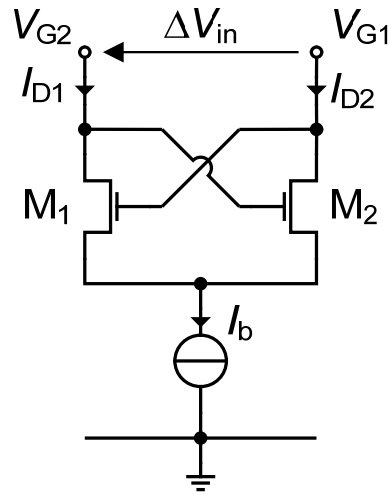


$$Z_c = \frac{-G_m/2 - j\omega C}{(G_m/2)^2 + (\omega C)^2}$$

$$G_{m\text{crit}} = \frac{2C\omega_0}{Q_L}$$

$$\omega_0 = \frac{\omega_{LC}}{\sqrt{1 + \frac{1}{Q_L^2}}} \quad \text{with} \quad \omega_{LC} = \frac{1}{\sqrt{LC}}$$

# Large-signal Analysis (weak inversion)



- The differential current in WI is given by

$$\Delta I_{out} = I_{D2} - I_{D1} = -I_b \cdot \tanh\left(\frac{\Delta V_{in}}{2nU_T}\right)$$

- The output waveform for a sinusoidal differential voltage is then given by

$$\Delta V_{in}(t) = A \cdot \cos(\omega_0 t)$$

$$\Delta i_{out}(t) = \frac{\Delta I_{out}(t)}{I_b} = -\tanh(x \cdot \cos(\omega_0 t)) \quad \text{with} \quad x = \frac{A}{2nU_T}$$

- The output waveform is periodic and can be developed in a Fourier series

$$\Delta i_{out}(t) = \frac{\Delta I_{out}(t)}{I_b} = \sum_{\substack{n=1 \\ n \text{ odd}}}^{+\infty} a_n(x) \cdot \cos(n\omega_0 t) = \sum_{\substack{n=1 \\ n \text{ odd}}}^{+\infty} a_n(x) \cdot \cos(n\varphi)$$

with

$$a_n(x) = \frac{1}{\pi} \cdot \int_{-\pi}^{\pi} \tanh(x \cdot \cos(\varphi)) \cdot \cos(n\varphi) \cdot d\varphi$$



## Large-signal Analysis (weak inversion)

- We are mostly interested in the fundamental component given by

$$a_1(x) = \frac{1}{\pi} \cdot \int_{-\pi}^{\pi} \tanh(x \cdot \cos(\varphi)) \cdot \cos(\varphi) \cdot d\varphi$$

- Unfortunately there is no analytical solution for this integral
- For  $x \ll 1$ , it can nevertheless be approximated by

$$a_1(x) \cong \frac{2 I_{B1}(x)}{I_{B0}(x) + I_{B2}(x)}$$

- For large signal amplitudes, the output waveform becomes a square wave and hence for  $x \gg 1$  we have

$$a_1(x) \cong \frac{4}{\pi} \quad \text{for } x \gg 1$$

## Transconductance for the Fundamental

- The transconductance for the fundamental component is then given by

$$G_{m(1)} = \frac{I_{D(1)}}{A} = \frac{a_1(x) \cdot I_b}{A} = \frac{I_b}{2nU_T} \cdot \frac{a_1(x)}{x} = G_m \cdot \frac{a_1(x)}{x}$$

$$\text{with } G_m = \frac{I_b}{2nU_T}$$

- Or in normalized form

$$\frac{G_{m(1)}}{G_m} = \frac{a_1(x)}{x} \cong \frac{2I_{B1}(x)}{x \cdot (I_{B0}(x) + I_{B2}(x))}$$

# Transconductance for the Fundamental

